

# Anisotropic turbulence and zonal jets in rotating flows with a $\beta$ -effect

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Received: 9 September 2005 – Revised: 23 January 2006 – Accepted: 23 January 2006 – Published: 4 April 2006

Part of Special Issue “Turbulent transport in geosciences”

**Abstract.** Numerical studies of small-scale forced, two-dimensional turbulent flows on the surface of a rotating sphere have revealed strong large-scale anisotropization that culminates in the emergence of quasi-steady sets of alternating zonal jets, or zonation. The kinetic energy spectrum of such flows also becomes strongly anisotropic. For the zonal modes, a steep spectral distribution,  $E(n)=C_Z(\Omega/R)^2n^{-5}$ , is established, where  $C_Z=O(1)$  is a non-dimensional coefficient,  $\Omega$  is the angular velocity, and  $R$  is the radius of the sphere, respectively. For other, non-zonal modes, the classical, Kolmogorov-Batchelor-Kraichnan,  $-5/3$  spectral law is preserved. This flow regime, referred to as a zonostrophic regime, appears to have wide applicability to large-scale planetary and terrestrial circulations as long as those are characterized by strong rotation, vertically stable stratification and small Burger numbers. The well-known manifestations of this regime are the banded disks of the outer planets of our Solar System. Relatively less known examples are systems of narrow, subsurface, alternating zonal jets throughout all major oceans discovered in state-of-the-art, eddy-permitting simulations of the general oceanic circulation. Furthermore, laboratory experiments recently conducted using the Coriolis turntable have basically confirmed that the lateral gradient of “planetary vorticity” (emulated via the topographic  $\beta$ -effect) is the primary cause of the zonation and that the latter is entwined with the development of the strongly anisotropic kinetic energy spectrum that tends to attain the same zonal and non-zonal distributions,  $-5$  and  $-5/3$ , respectively, in both the slope and the magnitude, as the corresponding spectra in other environmental conditions. The non-dimensional co-

efficient  $C_Z$  in the  $-5$  spectral law appears to be invariant,  $C_Z \approx 0.5$ , in a variety of simulated and natural flows.

This paper provides a brief review of the zonostrophic regime. The review includes the discussion of the physical nature, basic mechanisms, scaling laws and universality of this regime. A parameter range conducive to its establishment is identified, and collation of laboratory and naturally occurring flows is presented through which the zonostrophic regime manifests itself in the real world.

## 1 Introduction

Planetary rotation, topographical constraints and other factors lead to quasi-two-dimensionalization of the atmospheric, oceanic and planetary circulations on large scales. On even larger scales, the circulations are affected by the latitudinal variation of the Coriolis parameter, the “planetary” vorticity gradient or so-called  $\beta$ -effect. This effect can be captured in the  $\beta$ -plane approximation in which a portion of the spherical surface is replaced by a tangential plane (Pedlosky, 1987). Alternatively, the  $\beta$ -effect is fully represented in simulations in spherical geometry. In the following, we shall not differentiate between the  $\beta$ -plane and entire sphere representations; in both cases, we shall refer to the flows as two-dimensional (2-D) turbulence with a  $\beta$ -effect.

The  $\beta$ -effect breaks the horizontal isotropy of the flow field and facilitates its self-organization in the zonal (east-west) direction giving rise to the emergence of quasi-one-dimensional structures - zonal jets (Rhines, 1975). The basic physics of quasi-2-D turbulence with a  $\beta$ -effect is described by the 2-D vorticity equation specified either on a  $\beta$ -plane or on the entire surface of a rotating sphere. Although both

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formulations are idealizations of the real-world situations, they allow one to concentrate attention on various features of anisotropic turbulence and its interaction with Rossby waves. In addition, important flow characteristics, such as spatial and temporal scales and the energy spectra, can be distilled and quantified. These characteristics can be used as observable and predictable parameters when the results of the idealized theory are validated against natural flows and utilized for interpretation of the processes that are taking place in these flows.

This paper summarizes recent progress achieved in theoretical and numerical studies of quasi-2-D turbulence with a  $\beta$ -effect and provides account of the real-world flows that can be described and quantified within this theory. The material presented here complements an extensive recent review by Vasavada and Showman (2005). The next section is a review which surveys results of computer simulations and elaborates the physical processes that govern the tendency to one-dimensionalization under the action of the  $\beta$ -effect. The three sections that follow up bring together manifestations of this strongly anisotropic flow regime in the natural environment that ranges from the large turntable in the laboratory, Sect. 4, to the outer planets of the Solar System, Sect. 5, and to the terrestrial oceans, Sect. 6. Finally, Sect. 7 provides some conclusions.

## 2 Computer simulations on $\beta$ -plane and on the surface of a rotating sphere

### 2.1 Basics

The equation that describes small-scale forced, anisotropic 2-D turbulence on the  $\beta$ -plane is

$$\frac{\partial \zeta}{\partial t} + \frac{\partial (\nabla^{-2} \zeta, \zeta)}{\partial (x, y)} + \beta \frac{\partial}{\partial x} (\nabla^{-2} \zeta) = \nu_o \nabla^2 \zeta + \xi, \quad (1)$$

where  $\zeta$  is the fluid vorticity,  $\nu_o$  is the molecular viscosity, and  $\xi$  is the forcing;  $x$  and  $y$  are directed eastward and northward, respectively. The constant  $\beta$  is the background vorticity gradient describing the latitudinal variation of the normal component of the Coriolis parameter,  $f = f_0 + \beta y$ , where  $f_0$  is the reference value of the Coriolis parameter. The forcing  $\xi$ , concentrated around some high wave number  $k_\xi$ , supplies energy to the system with the constant rate  $\epsilon$ , and is assumed random, with zero-mean, Gaussian and white noise in time. The relatively high wave-number modes are nearly isotropic and can be described by the classical Kolmogorov-Batchelor-Kraichnan (KBK) theory of 2-D turbulence. For those modes, a conventional eddy turnover time scale can be introduced,

$$\tau_t = \left[ k^3 E(k) \right]^{-1/2}, \quad (2)$$

where  $E(k)$  is the KBK energy spectrum,

$$E(k) = C_K \epsilon^{2/3} k^{-5/3}, \quad (3)$$

and where  $C_K$  is the Kolmogorov-Kraichnan constant,  $C_K \simeq 6$ . The  $\beta$ -effect-induced anisotropy becomes increasingly profound for decreasing wave-number modes which are dominated by Rossby waves whose period is given by

$$\tau_{RW} = -\frac{k^2}{\beta k_x}. \quad (4)$$

Equating  $\tau_t$  and  $\tau_{RW}$ , one can find a transitional wave number separating regions in which either isotropic turbulence or Rossby waves are the dominant processes,

$$k_t(\phi) = k_\beta \cos^{3/5} \phi, \quad k_\beta = (\beta^3 / \epsilon)^{1/5}, \quad (5)$$

where  $\phi = \arctan(k_y / k_x)$ . The contour  $k_t(\phi)$  has been coined “the dumb-bell shape” by Vallis and Maltrud (1993) or “lazy 8” by Holloway (1984). While the  $\beta$ -effect and ensuing flow anisotropy are relatively weak for the modes  $k > k_\beta$ , the modes inside the dumb-bell are strongly anisotropic. Note that for stationary  $\epsilon$ , the transitional wave numbers  $k_t(\phi)$  and  $k_\beta$  are also stationary even if the flow itself is not in a steady state.

It is well-known that without kinetic energy removal at the large-scales, Eq. (1) does not possess a steady-state solution because, due to the upscale cascade, energy propagates to ever smaller wave number modes. To attain a steady state, an energy withdrawal mechanism in a form of the large-scale drag must be introduced. In that case, important questions arise about the impact of this drag upon the flow field. Due to the complexity of these issues, unsteady and steady state simulations, i.e., simulations without and with the large-scale drag included, will be discussed separately.

### 2.2 Unsteady simulations with small-scale forcing

Simulations of 2-D turbulence in the energy range with no large-scale drag lead to energy accumulation in the lowest available modes (Smith and Yakhot, 1993, 1994). However, such simulations can be useful in studies of transient flows prior to the energy condensation at the large-scale modes. Indeed, Smith and Yakhot (1993) showed that non-rotating, small-scale forced 2-D turbulence is governed by KBK statistics until the energy reaches the lowest modes. Similar simulations on a  $\beta$ -plane and on the surface of a rotating sphere were conducted by Chekhlov et al. (1996); Smith and Waleffe (1999) and Huang et al. (2001), respectively. In both settings, the energy spectrum becomes strongly anisotropic. In the spherical geometry, the total kinetic energy spectrum is defined as

$$E(n) = \frac{n(n+1)}{4R^2} \sum_{m=-n}^n \langle |\psi_n^m|^2 \rangle, \quad (6)$$

where  $\psi_n^m$  is the coefficient with the spherical harmonics  $Y_n^m$  in the stream function decomposition, and  $n$  and  $m$  are the total and zonal wave numbers, respectively; the brackets indicate an ensemble or time average (Boer, 1983; Boer and

Shepherd, 1983). Numerical simulations by Huang et al. (2001) and Sukoriansky et al. (2002) indicate that the spectrum (6) can be represented as a sum of the zonal and residual spectra,  $E(n)=E_Z(n)+E_R(n)$ , where  $E_Z(n)$  corresponds to the addend with  $m=0$ , and where

$$E_Z(n) = C_Z(\Omega/R)^2 n^{-5}, \quad C_Z \simeq 0.5, \quad (7)$$

$$E_R(n) = C_K \epsilon^{2/3} n^{-5/3}, \quad C_K \simeq 4 \text{ to } 6. \quad (8)$$

Here,  $\Omega$  and  $R$  are the angular velocity and the radius of the sphere. On the  $\beta$ -plane, the spectrum has a similar structure, with the steep zonal slope,  $E_Z(k)=C_Z\beta^2 k_y^{-5}$ ,  $C_Z \simeq 0.3$  to 0.5, and KBK-like residual,  $E_R(k)=C_K\epsilon^{2/3} k^{-5/3}$ ,  $C_K \simeq 4$  to 6. Note that  $\Omega/R$  replaces  $\beta$  in spherical geometry, and the spherical analogue of  $k_\beta$  is  $n_\beta=[(\Omega/R)^3/\epsilon]^{1/5}$  (Huang et al., 2001; Sukoriansky et al., 2002).

Consider now energy evolution (unimpeded by the large-scale friction) in systems with the zonal spectrum (7), (8). Since on the large scales, the zonal energy becomes much larger than its non-zonal counterpart, the computation of the total energy,  $E_{\text{tot}}(t)$ , can be based upon the zonal energy spectrum only,

$$E_{\text{tot}}(t) \simeq \frac{U^2(t)}{2} \simeq \sum_{n_m}^{\infty} E_Z(n) \propto \left(\frac{\Omega}{R}\right)^2 n_m^{-4}(t), \quad (9)$$

where  $U(t)$  is the rms of the zonal velocity, and  $n_m(t)$  represents the location of the ‘‘moving energy front’’ in spectral domain. In other words,  $n_m$  is the time-dependent mode that contains the maximum energy. Using Eq. (9),  $n_m$  can be expressed as

$$n_m(t) \propto \left[\frac{(\Omega/R)}{U(t)}\right]^{1/2} = [\beta/U(t)]^{1/2}, \quad (10)$$

thus,  $n_m(t)$  is in the same form as Rhines’s wave number (Rhines, 1975). Here, for unsteady flows, Eq. (10) identifies Rhines’s wave number with the ‘‘moving energy front.’’ It is important to emphasize this result because Rhines’s wave number is often confused with  $n_\beta$  even in unsteady flows where  $n_\beta$  is stationary while  $n_m$  is time dependent.

Combining Eq. (9) with the linear trend  $E_{\text{tot}}(t)=\epsilon t$ , one obtains

$$E_{\text{tot}}(t) = \epsilon t \propto \beta^2 n_m^{-4}(t), \quad (11)$$

$$n_m(t) \propto (\epsilon t \beta^{-2})^{-1/4} \propto t^{-1/4}. \quad (12)$$

A similar estimate of the ‘‘moving energy front’’ for classical KBK turbulence with no rotation yields the Richardson law (Lesieur, 1997),

$$n_m^0(t) \propto (\epsilon t^3)^{-1/2} \sim t^{-3/2}. \quad (13)$$

Comparing the evolution laws (12) and (13), one concludes that a  $\beta$ -effect slows down the up-scale march of the energy front which is the direct result of the steepening of the zonal spectrum since low zonal modes can absorb more energy

when  $\beta \neq 0$ . In other words, a  $\beta$ -effect increases the energetic capacity of the zonal modes, and this tendency becomes more pronounced with decreasing  $n_m$  (Chekhlov et al., 1996; Huang et al., 2001). The notion of increased energetic capacity helps to clarify that the slowing down of the spectral evolution means not the *complete arrest* of the inverse energy cascade, as is sometimes promulgated in the literature, but a *deceleration* of the energy front propagation because it takes an increasingly longer time to saturate zonal modes to their energy levels specified by Eq. (7) at the same rate of the energy injection  $\epsilon$ . As a consequence, numerical simulations on a  $\beta$ -plane or on the surface of a rotating sphere require much longer integration time than similar simulations with no rotation; this tendency is reflected in the ‘‘ $-\frac{1}{4}$  law’’ (12).

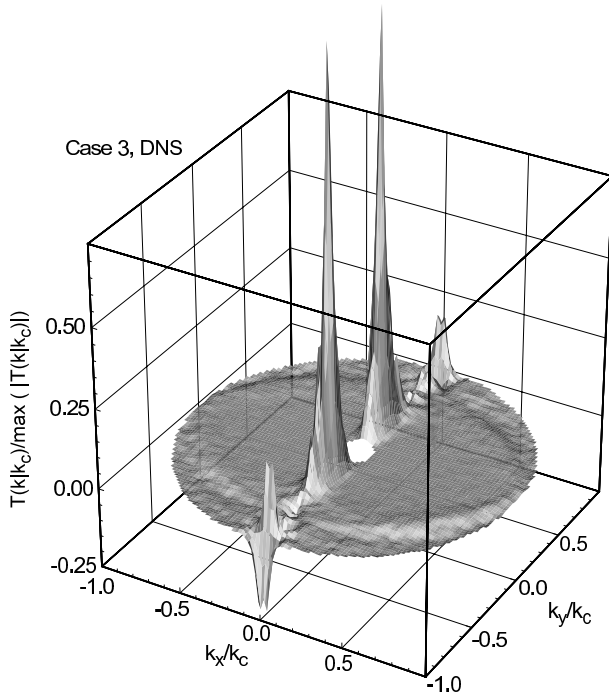
For convenience, we shall refer to the multiple-jet flow regime with the spectral scaling (7,8) as ‘‘zonostrophic’’, from the Greek words  $\zeta\omega\nu\eta$  – band, belt and  $\sigma\tau\rho\phi\eta$  – turn, thus describing the zonation in a rotating environment. To elucidate the physical nature of the zonostrophic flows, the following three major issues need to be addressed:

- (1) the mechanism of the anisotropic energy flux into the modes  $k_x \rightarrow 0$ ;
- (2) the mechanism that allows the zonal modes to retain this energy;
- (3) the factor that limits the energy absorption by the zonal modes.

### 2.3 The anisotropic inverse energy cascade

The first issue, the anisotropization of the energy cascade, has been widely discussed in the literature. Hasselmann (1967) demonstrated that the highest frequency wave in a resonant triad is unstable with respect to the increasing energy of the other two waves. This facilitates energy transfer to low frequency waves. Later, Rhines (1975) extended this result by making the key argument that due to the dispersion relation (4), simultaneous energy transfer to small wavenumbers and low frequencies is only possible when the energy flux is anisotropic and directed towards the zonal modes. This issue has received further attention in the papers by Legras (1980); Basdevant et al. (1981); Chekhlov et al. (1996); Huang and Robinson (1998); Huang et al. (2001) and others. A new view of flow zonation has been presented recently by Balk (2005). Exploring a new invariant for inviscid 2-D flows with Rossby waves (Balk, 1991), he has shown that the transfer of energy from small to large scales is such that most of the energy is directed to the structures with  $\phi \rightarrow \pm\pi/2$ , i.e., the zonal jets.

The anisotropization of the inverse energy cascade has been demonstrated directly using the results of direct numerical simulation (DNS) of the  $\beta$ -plane turbulence by Chekhlov et al. (1996). They considered the enstrophy transfer function



**Fig. 1.** Normalized spectral energy transfer,  $T_E(\mathbf{k}|k_c)/\max|T_E(\mathbf{k}|k_c)|$ , for  $k_c=50$ .

$T_\Omega(\mathbf{k}, t)$  in Fourier space as derived from the enstrophy evolution equation,

$$\left[ \frac{\partial}{\partial t} + 2\nu_o k^2 \right] \Omega(\mathbf{k}, t) = T_\Omega(\mathbf{k}, t), \quad (14)$$

where  $\Omega(\mathbf{k}, t)$  is the vorticity correlation function. The spectral enstrophy transfer is given by

$$T_\Omega(\mathbf{k}, t) = 2\pi k \Re \left\{ \int_{\mathbf{p}+\mathbf{q}=\mathbf{k}} \frac{d\mathbf{p} d\mathbf{q}}{(2\pi)^2} \times \frac{\mathbf{p} \times \mathbf{q}}{p^2} \langle \zeta(\mathbf{p}, t) \zeta(\mathbf{q}, t) \zeta(-\mathbf{k}, t) \rangle \right\}, \quad (15)$$

where  $\Re$  denotes the real part. To compute the spectral energy flux, we introduce a cutoff wavenumber  $k_c$  and refer to the modes  $k < k_c$  as explicit and the modes  $k > k_c$  as implicit. Note that the energy source resides in the implicit modes. Using the DNS data, Chekhlov et al. (1996) have calculated the  $\mathbf{k}$ -dependent spectral energy transfer,  $T_E(\mathbf{k}|k_c) = T_\Omega(\mathbf{k}|k_c)/k^2$ , from all implicit modes to a given explicit mode  $\mathbf{k}$ . Following the ideas of Kraichnan (1976), the integral in Eq. (15) is evaluated by extending the integration only over all such triangles  $(\mathbf{k}, \mathbf{p}, \mathbf{q})$  that  $p$  and/or  $q$  are greater than  $k_c$ . Figure 1 shows  $T_E(\mathbf{k}|k_c)$  for the simulation DNS3 by Chekhlov et al. (1996) with an arbitrarily set value of  $k_c=50$  ( $< k_\beta$ ) (the hole in the middle of the Fig. 1 indicates that the energy front had not yet reached those wave numbers). There is a striking difference between

energy transfer to zonal modes  $k_x \rightarrow 0$  and the rest of the modes. One can see that most of the energy flux is directed towards the zonal modes; a trend that dramatically increases as  $k$  decreases. The energy concentration in zonal modes, or zonation, results in increasing anisotropization of the flow field which, however, never attains a fully degenerated one-dimensional state. Weak  $x$ -dependency is necessary to maintain nontrivial nonlinearity that sustains the anisotropic transfer. In addition, non-zonal modes continue to adhere to the KBK spectrum.

As discussed in Huang et al. (2001), in the process of zonation the energy flux is funneled, through the narrow wedge between the dumbbell shape (5) and the zonal axis, into flow configurations with  $k_x \rightarrow 0$ . The nonlinear interactions that lead to the formation of zonal jets could be spectrally non-local. The large-scale wave modes enclosed inside the dumbbell shape do not play a significant role in maintaining the zonal jets. The latter two points have also been emphasized in the analysis by Huang and Robinson (1998).

Note that the spectrum  $\beta^2 k_y^{-5}$  is somewhat counter-intuitive because the  $\beta$ -term vanishes in Eq. (1) for  $k_x \rightarrow 0$ , Rossby waves do not propagate in this direction, and scaling with  $\beta$  would not be anticipated from considerations of the linear dynamics. On the other hand, for  $k_x \neq 0$  and  $k < k_t$ , the flow would be expected to be dominated by Rossby waves for which  $\beta$  is a natural scaling parameter. However, the spectrum in the non-zonal directions remains KBK-like and, thus, scales with  $\epsilon$  rather than  $\beta$ . This peculiar behavior stems from strong nonlinearity and anisotropy of the vorticity equation (1).

#### 2.4 The mechanism of the energy retention in zonal jets

The second and the third issues above will be touched upon briefly; more general discussions can be found in Huang et al. (2001) and in Galperin and Sukoriansky (2005). Analyzing the evolution of the zonally-averaged zonal velocity  $U(y, t)$  one finds that initially, the zonal jets are nearly symmetric with respect to the reflection  $y \rightarrow -y$ . However, as time progresses, the jets develop strong asymmetry; eastward jets are sharp and narrow while westward jets are smooth and wide, in accordance with other simulations (Vallis and Maltrud, 1993; Manfroi and Young, 1999). In plane parallel inviscid flows with  $\beta=0$ , the linear stability is controlled by the Rayleigh criterion that requires the profile  $U(y)$  to have no inflection points. When  $\beta \neq 0$ , this criterion is generalized into the Rayleigh–Kuo criterion according to which, in linearly stable flows, the profile  $U(y, t) - \beta y^2/2$  should have no inflection points. Indeed, the examination of the second derivative  $U_{yy}(y, t)$  in simulations by Chekhlov et al. (1996) demonstrates that the Rayleigh–Kuo criterion does hold, as it did elsewhere (Vallis and Maltrud, 1993). As long as the effect of the large scale drag remains small, excitation of progressively smaller wavenumber modes leads to a diminishing number of jets, in agreement with other simulations (Panetta,

1993). The extended Rayleigh–Kuo stability criterion can be identified as the primary mechanism that enables zonal modes to retain the energy funneled there by the anisotropic energy transfer.

## 2.5 The upper limit of the energetic capacity of zonal modes

To address the third issue above, one needs to consider triad interactions in the integral in Eq. (15). Interactions between the modes enclosed inside the dumb-bell shape (5) are hampered by the necessity to satisfy the resonance condition  $\omega_{\mathbf{p}} + \omega_{\mathbf{q}} + \omega_{-\mathbf{k}} = 0$  in addition to the triad selection condition  $\mathbf{p} + \mathbf{q} - \mathbf{k} = 0$  (Holloway and Hendershott, 1977). Using a spectral closure theory, Huang et al. (2001) have shown that when the zonal spectrum reaches the  $k^{-5}$  slope, the resonance condition is relaxed and direct interactions between the wave modes inside the dumb-bell shape and the zonal modes become possible. This would facilitate direct energy exchange between Rossby waves and zonal flows. In this sense, the zonostrophic regime is unique and constitutes an attractive and stable manifold for 2-D turbulence with  $\beta$ -effect. For the same reason, Eq. (7) can be referred to as an equilibrium, or saturation spectrum. The presence of  $\beta$  in that spectrum can be understood as a result of strongly nonlinear and anisotropic interaction between Rossby waves and zonal flows.

The three aforementioned mechanisms are basic components of the zonostrophic regime; they are characteristic of both time-dependent and steady-state flows. The latter, however, include one more non-trivial element, a large-scale drag, which may have a significant impact upon the flow field. These flows are also more pertinent for real-world situations and will be considered next.

## 2.6 Steady-state simulations

When large-scale drag is present, the balance between the small-scale energy injection and large-scale energy withdrawal makes a steady-state solution possible. However, the physical nature of this solution remains controversial. While Galperin et al. (2001); Sukoriansky et al. (2002) and Galperin and Sukoriansky (2005) have found that in a certain range of parameters, the steady-state solution develops universal features, Danilov and Gurarie (2004) and Danilov and Gryanik (2004) arrived at an opposite conclusion. The issue of the universality of the zonostrophic regime requires some clarification. The governing equation (1) represents a strongly nonlinear, anisotropic system with waves whose complicated behavior is affected by the details of the forcing, boundary conditions, large-scale drag parameterization, etc. It is hoped, however, that, similarly to the classical Kolmogorov regime in three-dimensional (3-D) turbulence or KBK regime in 2-D turbulence with no rotation, 2-D turbulence with a  $\beta$ -effect also possesses a regime that can be described by a small sub-

set of the most intrinsic parameters, i.e., a universal regime. This regime can be identified as zonostrophic. It is clear that a universal regime is an idealization which, nevertheless, is useful for understanding and quantification of the basic dynamics of the system under consideration. A dimensional analysis of a zonostrophic system was presented in Sukoriansky et al. (2002). To further elaborate the universality of the zonostrophic regime, the following basic issues need to be addressed:

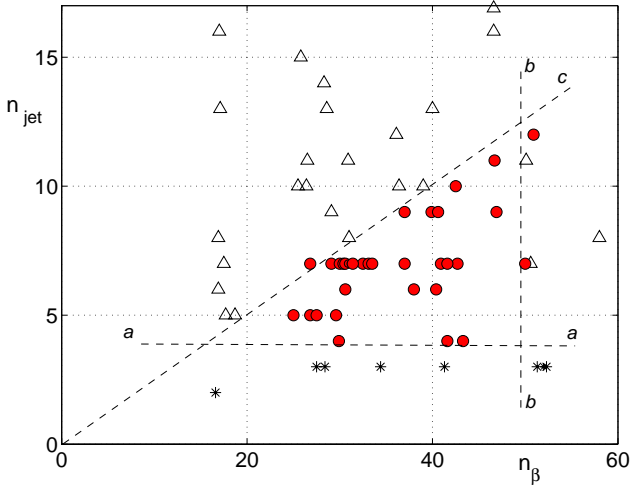
- (i) the sensitivity of the steady state to the functional representation of the large-scale friction;
- (ii) the sensitivity of the steady state to the parameterization of the small-scale dissipation;
- (iii) the sensitivity of the steady state to the representation of the small-scale forcing.

## 2.7 The large-scale friction parameterization

With regard to issue (i), recall that the large-scale friction parameterization has a strong effect on the flow field even in non-rotating 2-D turbulence. Borue (1994) conducted long-term simulations of 2-D turbulence in the energy range; he employed drag representation based upon a high-power inverse Laplacian, or hypofriction. During the initial evolution, a KBK regime was established. However, as time elapsed, the KBK spectrum became distorted and eventually disappeared. Borue (1994) concluded that the KBK regime is irreproducible in long-term simulations due to intrinsic instabilities. The reproducibility of the KBK regime was carefully re-evaluated by Sukoriansky et al. (1999) who revealed that the culprit of the problem was the hypofriction that, by suppressing the energy of low wave-number modes, distorts the inverse energy cascade and causes gradual energy accumulation in the unsuppressed modes. As a result, the entire flow field undergoes restructuring and eventually grows away from the KBK regime (Sukoriansky et al., 1999, 2002; Sukoriansky and Galperin, 2005). Sukoriansky et al. (1999) have derived a large-scale drag parameterization that did not distort the inverse cascade. Employing this parameterization, they were able to maintain the KBK regime practically indefinitely, thus positively asserting its reproducibility. The functional representation of the large-scale friction derived by Sukoriansky et al. (1999) turned out to be close to the linear (Rayleigh) drag. Similar calculations have not been performed for 2-D turbulence with a  $\beta$ -effect, so it will be assumed here that, in the first approximation, the large-scale friction can be represented by the linear drag also in this case.

## 2.8 The small-scale dissipation and the enstrophy range

The importance of the issue (ii) in simulations of 2-D turbulence with an inverse energy cascade is underscored by the fact that small-scale vortices of the size of the forcing scale may impinge upon the energy range and cause deviations from the KBK regime (Maltrud and Vallis, 1991). It is



**Fig. 2.** Parameter space of different flow regimes in  $\beta$ -plane turbulence. The vertical axis shows the number of jets,  $n_{\text{jet}}$ , which is equal to the wave number with the maximum energy. The filled (red) dots mark simulations in which the zonestrophic regime with  $C_Z \simeq 0.5$  had been established; the unfilled triangles and stars show simulations lacking the universal regime. The large right triangle formed by the dashed lines delineates the parameter range conducive to the establishment of the zonestrophic regime.

important, therefore, to specify a relatively large dissipation capable of fully suppressing the enstrophy range (Maltrud and Vallis, 1991; Sukoriansky et al., 1999, 2002).

### 2.9 The small-scale forcing in 2-D simulations

In real systems, the energy sources are always related to 3-D processes. Since these processes are excluded in purely 2-D simulations, the forcing is introduced artificially, via random excitation of a number of small scale modes. Given computer limitations on the number of modes that can be resolved, such forcing may be acting on scales strongly affected by a  $\beta$ -effect which would result in loss of universality of the flow field. The issue (iii) above pertains to precisely such situation and is concerned about the relative location of the forcing and transitional wave numbers,  $k_\xi$  and  $k_\beta$ , respectively. We have investigated this issue in a new series of simulations and found out that for the establishing of the zonestrophic regime it is necessary that  $k_\xi$  be sufficiently larger than  $k_\beta$  as described in the next section, otherwise the emerging flow regimes are prone to a non-universal behavior. This condition can be understood as a requirement that the forcing acts on relatively small scales where a  $\beta$ -effect is weak.

### 2.10 A parametric study of the zonestrophic regime

A new series of simulations was dedicated to studies of the nature of various steady-state regimes in a wide range of parameters. A comprehensive description of these simulations will be given elsewhere; here, we provide a brief summary of

the pertinent results. Recall that the steep zonal spectrum results in a very slow evolution of the flow field; a fact already noticed in unsteady simulations. If  $\tau$  is the characteristic time scale of the (linear) large-scale drag, then the establishment of a steady state has a duration of about  $10\tau$ , while to assemble sufficient statistics for spectral analysis, one needs to extend the integration to about  $100\tau$  (Galperin and Sukoriansky, 2005). All simulations performed in our new study had a duration between  $35\tau$  and  $100\tau$ . The friction time scale,  $\tau$ , can be related to a large-scale friction wave number,  $n_{fr}$ , if we notice that a typical zonal spectrum in steady-state simulations features a steep inertial range and a relatively flat plateau at the smallest wave numbers affected by the large-scale friction. The wave number,  $n_{fr}$ , can be identified with the transition from the steep spectral slope to the plateau and quantified in terms of  $\tau$  (Galperin et al., 2001; Sukoriansky et al., 2002). In some cases,  $n_{fr}$  can be associated either with the wave-number at which  $E(n)$  attains its maximum value or with  $n_{\text{jet}}$ , the number of the alternating jets.

Our simulations have revealed that the parametric range admitting a steady-state zonestrophic regime with  $C_Z \simeq 0.5$  is restricted by the following conditions:

- (I) the forcing  $\xi$  acts on relatively small scales only weakly affected by the  $\beta$ -related anisotropy, such that  $n_\xi/n_\beta \gtrsim 2$ ;
- (II) the extent of the inertial range should be large enough,  $n_\beta/n_{fr} \gtrsim 4$ ;
- (III) the large-scale drag should be relatively small yet large enough to prevent the accumulation of energy in the largest available modes, such that  $n_{fr} > 3$  (in units of a sphere with  $R=1$ );
- (IV) the small-scale dissipation should be large enough to virtually suppress the enstrophy subrange, i.e., the ratio of characteristic length scales of the dissipation and the forcing,  $n_\xi/n_d$ , should be slightly smaller than 1. The characteristic dissipation length scale,  $1/n_d$ , can be determined by equating its characteristic time scale to that of a wave-number somewhat larger than  $n_\xi$ . Then, the dissipation parameters can be adjusted in such a way as to attain the desirable target value of the ratio  $n_\xi/n_d$ . In our simulations, this ratio was kept close to 0.7.

Summarizing the criteria formulated in (I)–(III), one arrives at a chain inequality delineating the parametric range of the zonestrophic regime:

$$n_\xi \gtrsim 2n_\beta \gtrsim 8n_{fr} \gtrsim 30. \quad (16)$$

The criterion (IV) is important for purely 2-D simulations but has less significance in real flows where the energy sources are almost always associated with scales affected by three-dimensionality and vortex stretching.

Results of our simulations are summarized in Fig. 2. All runs complying with the criteria (I)–(IV) are marked by filled dots. The dots are concentrated within a triangle demarcated on Fig. 2 by dashed lines. This triangle delineates a parametric range in which the flow had attained the zonestrophic

regime with  $C_Z \simeq 0.5$ . On the other hand, the unfilled triangles and stars pertain to flows in which at least one of the criteria (I)–(IV) was violated. All such flows were found to lack universal behavior. Most of the simulations by Danilov and Gryanik (2004) and Danilov and Gurarie (2004) belong in the latter group. In addition, all of their simulations were almost certainly of insufficient duration to enable “universal” flows to develop to full maturity. We have replicated some of these simulations; those satisfying criteria (I)–(IV) produced smoother spectra, Eqs. (7), (8), with  $C_Z \simeq 0.5$  when averaged over longer times. Note that in all simulations with the zonostrophic regime, the flow field exhibited slow variability which yielded, upon long-time averaging, smooth zonal and residual spectra. This result points to the stochastic nature of the flow field. In the framework of a general theory, the issue of stochasticity has important implications for the universality of the flow regime. Clearly, if the large-scale structures were quasi-deterministic, as asserted in Danilov and Gurarie (2004) and Danilov and Gryanik (2004), then the quest for the universal statistical behavior would be meaningless.

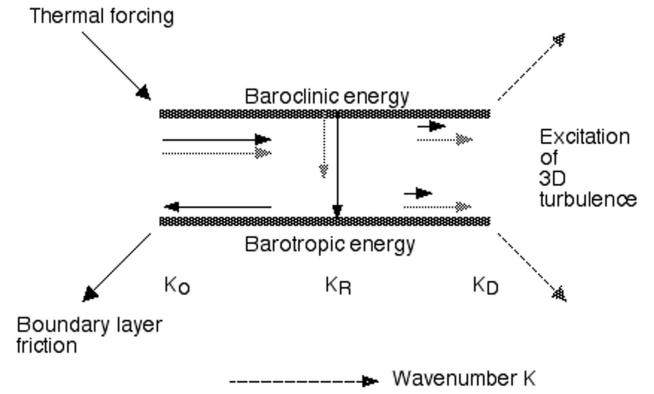
It is of interest to find out about the fate of the “moving energy front,” Eq. (12), and the Rhines scale, Eq. (10), in steady-state flows. Recall that in such flows, the zonal spectrum is comprised of the steep inertial part given by Eq. (7) for  $n > n_{fr}$  and an approximate plateau for  $n < n_{fr}$ . Noting that in flows with a well developed spectrum (7) most of the energy is contained in  $E_Z(n)$ , their total kinetic energy is estimated by integrating this spectrum from 0 to  $\infty$  (Galperin et al., 2001),

$$E_{\text{tot}} = (5C_Z/4)(\Omega/R)^2 n_{fr}^{-4}. \quad (17)$$

Explorations of the planetary energetics based upon Eq. (17) are given in Galperin et al. (2001) and Sukoriansky et al. (2002). Substituting  $U = (2E_{\text{tot}})^{1/2}$  in the definition of the Rhines’s number,  $n_R = (\beta/U)^{1/2}$ , and replacing  $\beta$  by  $\Omega/R$ , we obtain

$$n_R = \left(\frac{5}{4}C_Z\right)^{-1/4} n_{fr} \simeq n_{fr}. \quad (18)$$

A comparison of this result with Eq. (10) shows that, while in unsteady flows  $n_R$  designates the moving energy front, in steady-state flows the advance of this front is terminated by the large-scale friction at wave number  $n_{fr}$  at which point the spectrum flattens out. The wave numbers  $n_{fr} \simeq n_R$  show the “final destination” of the moving energy front; they both demarcate the low wave-number terminus of the spectral range with the  $-5$  slope. Note that since the spectrum (7) is very steep, most of the energy is concentrated in the lowest modes with the  $-5$  spectrum, i.e., around the wave-number  $n_{fr}$ . Therefore,  $n_{fr}$  determines the signature of the velocity profile and, thus, the number of the zonal jets (Galperin et al., 2001). Using unforced inviscid simulations on a rotating sphere, Menou et al. (2003) have estimated the number



**Fig. 3.** Schematic representation of energy transfers in a two-layer system (after Salmon, 1998, and Read, 2005).

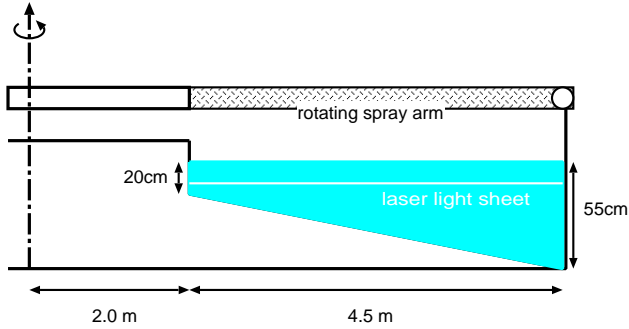
of zonal bands/jets as  $N_{\text{band}} \sim 1/(2Ro)^{1/2}$ , where the Rossby number,  $Ro$ , is given by

$$Ro \equiv \frac{U}{\Omega R}. \quad (19)$$

Evaluating  $U$ , as before, from Eq. (17) and substituting it in Eq. (19), we find  $N_{\text{band}} \simeq Rn_{fr}$  thus demonstrating the consistency between our definitions of the number of zonal jets and that of Menou et al. (2003). However, since the spectra have not been computed in Menou et al. (2003), it is difficult to judge whether or not the zonostrophic regime was attained in those simulations.

### 3 Barotropic mode in stratified flows

The flows considered so far were idealized, two-dimensional and barotropic. Real-world flows are more typically three-dimensional and baroclinic. Would it not be an oversimplification to seek for characterization of the latter by the former? Consideration of the normal-mode representation allows one to establish an appropriate framework for such a characterization. In accordance with the Taylor-Proudman theorem, strong rotation results in a tendency towards two-dimensionalization of planetary flows on large scales. On even larger scales, the  $\beta$ -effect leads to horizontal anisotropization and zonation. As demonstrated by Salmon (1998) on an example of a two-layer system (see also Rhines, 1979; Read, 2001, 2005) and schematically represented on Fig. 3, large-scale thermal forcing, via baroclinic instability at the scales of the first baroclinic deformation radius  $L_{Ro} \propto 1/n_{Ro}$ , leads to energy transfer to a barotropic mode which is independent of vertical coordinate and, thus, behaves like 2-D turbulence. If the baroclinic-barotropic energy conversion takes place on sufficiently small scales, and the radius of the planet is sufficiently large, i.e., the Burger number is small,  $Bu \equiv (L_{Ro}/R)^2 \ll 1$ , then the barotropic mode can develop an anisotropic inverse energy cascade for



**Fig. 4.** Schematic cross-section of the experimental rig used for the Grenoble experiment to demonstrate anisotropic zonation.

$n < n_{Ro}$  and, thus, acquire features of barotropic 2-D turbulence with a  $\beta$ -effect. The criteria (I)–(IV) identified in the previous section as necessary conditions for the development of a universal flow regime in steady-state simulations can now be applied to the barotropic mode. Let us assume that even if the flow has additional forcing mechanisms that differ from the baroclinic instability, their scale does not exceed  $L_{Ro}$ , i.e.,  $n_{\xi} \gtrsim n_{Ro}$ . Using this assumption, we can extend the criterion (16) to the barotropic mode of baroclinic flows,

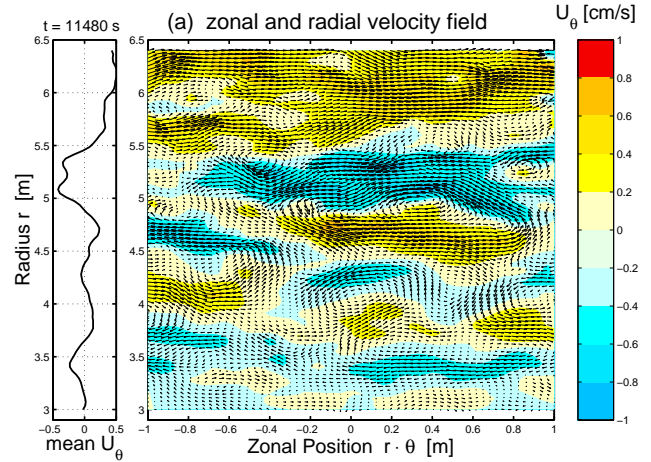
$$n_{\xi} \gtrsim n_{Ro} \gtrsim 2n_{\beta} \gtrsim 8n_{fr} \gtrsim 30. \quad (20)$$

The chain inequality (20) sharpens the criterion  $Bu \ll 1$  and rectifies the conditions that can bring to life the zonostrophic flow regime.

Let us now turn to validating the criterion (20) versus data collected in laboratory experimentation and from observations of the large-scale planetary and terrestrial circulations. Flows with small  $Bu$  and a topographic  $\beta$ -effect have recently been created in the world's largest rotating tank, the Coriolis turntable, in Grenoble, France. The Grenoble experiment will be described in detail in the next section. In the natural environment, flows with small values of  $Bu$  and  $n_{fr}/n_{\beta}$  abound in the atmospheres of the outer planets and in the terrestrial oceans. These flows are analyzed in Sects. 5 and 6, respectively.

#### 4 The Grenoble experiment

While results from clean and carefully formulated idealized numerical experiments can provide valuable insights from the viewpoint of basic theory, various assumptions made in their formulation need to be tested and evaluated under more physically realizable conditions. Laboratory experiments provide the best well-controlled environment in which to investigate dynamical phenomena in a real fluid, provided appropriate dynamical similarity can be achieved. In the case of turbulent zonation on a  $\beta$ -plane, although a basic analog of the  $\beta$ -plane can be produced in a rotating fluid by use



**Fig. 5.** Instantaneous velocity field and zonal flow profile (in  $\text{cm s}^{-1}$ ) as a function of radius during the development of zonation in a convectively driven flow on a topographic  $\beta$ -plane.

of sloping bottom topography, the requirements for dynamical similarity in the laboratory turn out to be very stringent, particularly with regard to achieving conditions under which viscous friction is sufficiently weak to allow for a significant turbulent inertial range to develop. Thus, although some hint of zonation effects has been evident in several small-scale experiments in the past (Mason, 1975; Bastin and Read, 1998; Sommeria et al., 1991), none of these were able to produce fully-developed zonal jets and anisotropic spectra exhibiting the properties discussed above, mainly because viscous dissipation was too strong and exerted a significant influence even at the energy injection scales (produced either by forced source-sink vortices or baroclinic instability).

Recently, Read et al. (2004) have conducted an experiment in a very large (13 m diameter) rotating tank with both strong rotation and a topographic  $\beta$ -effect. The small-scale forcing was delivered via unstably-stratified convection, produced by carefully spraying a continuous film of dense, salty water over the water surface. This generated a field of small convective plumes with a horizontal scale of around 15 cm, which were transformed into vortices via interaction with the background rotation. The basic experimental arrangement is illustrated in Fig. 4. A sloping bottom with depth increasing with radius (see Fig. 4) was produced with a stretched fabric sheet mounted on a conical tubular frame in the base of the tank. Both downward sloping and flat bottom configurations were investigated (though a slight upward slope in the free upper surface was unavoidable due to the centrifugal distortion produced by the background rotation; but this never exceeded  $0.6^\circ$  at mid-radius).

The flow field was measured over a  $3.5 \times 2.5$  m region at regular intervals by particle image velocimetry (PIV), and some measurements were made of the vertical density profile at mid-radius using a conductivity probe. The tank could be

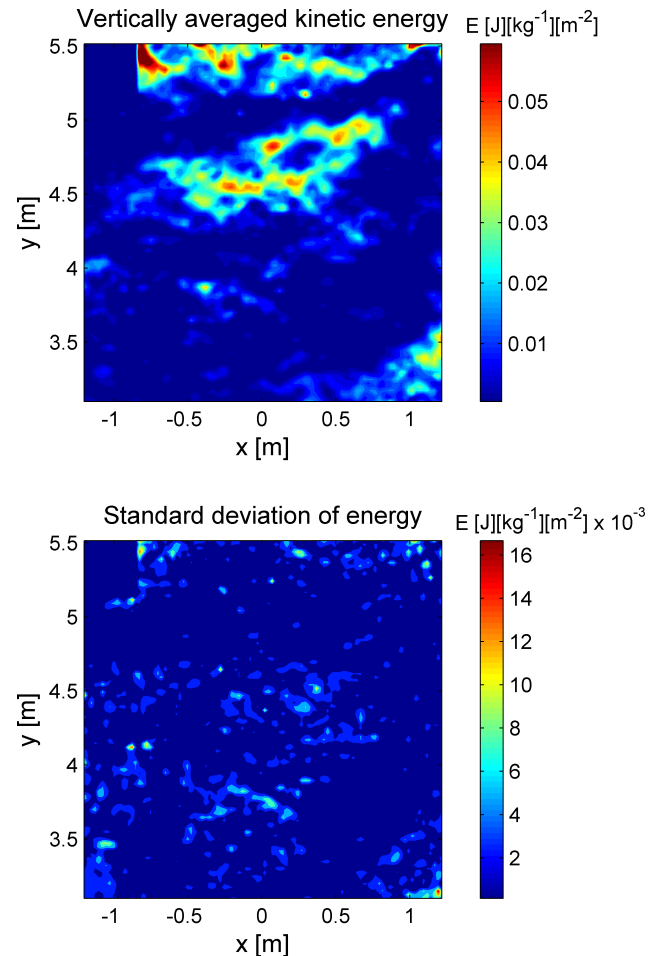


rotated at up to  $\Omega = \pi/20 \text{ rad s}^{-1}$ , corresponding to a Burger number  $Bu \sim 5 \times 10^{-4}$ , and the forcing maintained for up to 4–6 h continuous operation.

When a flat bottom was used, the convective eddies were found to grow in size during the initial development of the flow until the annular channel was occupied by a small number of weak domain-filling vortices, superimposed upon a broad, weakly retrograde zonal flow produced by residual wind-stress effects at the free upper surface. When a  $5^\circ$  sloping bottom was used, however, the vortex field which developed from the initial convection grew to a maximum size of around 1 m, in association with a persistent pattern of alternating prograde and retrograde zonal jets, also with a radial spacing of around 1 m. An example of this zonally-organized flow is shown in Fig. 5, in which the azimuthal flow is contoured and velocity vectors superposed at around the mid-depth of the tank. The coherent pattern of parallel, zonally-oriented jets is clear, with an amplitude of around  $0.5 \text{ cm s}^{-1}$ .

Despite the baroclinic nature of the convective forcing, the rotationally-modified response, at least on large scales, was strongly barotropic. Figure 6 shows horizontal maps of the kinetic energy of the vertically averaged flow and that of the vertically-varying fluctuations for an example of a fully developed flow (in fact with a flat bottom, though similar results were also obtained with a sloping bottom), measured by PIV at 5 levels in the vertical spanning the depth of the tank. The horizontal (vector) velocity field was first averaged in the vertical at each horizontal grid point and the mean and standard deviation of each velocity component was then used in turn to compute maps of vertical mean kinetic energy and that of the vertically-varying fluctuations. The fluctuation field is much less energetic than the mean field and is dominated by small scale features (with a typical diameter of 10–15 cm), representing the scales of the individual convective plumes. In contrast, the mean field is at least 5–10 times more energetic than the fluctuations and evidently dominated by large scales, representing the rotationally-modified field of barotropic jets and vortices.

The clear change in the zonal structure of the horizontal flow by imposing the sloping bottom topography was also reflected in the kinetic energy spectra. Figure 7 shows the time-mean spectrum obtained with a sloping bottom, in which the total kinetic energy density (integrated over all directions) decreases with increasing wavenumber  $k$ , but saturates to a near constant value for  $k$  less than a limiting value (corresponding roughly to a frictional wavenumber  $k_{fr}$ ). The eddy contribution to the kinetic energy density shows an initial spectral slope (between  $k=15$  and  $30 \text{ m}^{-1}$ , cf. an energy injection scale of around  $k_\xi = 40 \text{ m}^{-1}$ ) corresponding roughly to the classical  $-5/3$  KBK spectrum. In contrast, the zonal mean spectrum (along the  $k_\theta = 0$  axis, where  $\theta$  is azimuth) initially shows a much steeper fall-off beyond a  $k_{fr}$  of around  $10 \text{ m}^{-1}$ , with a gradient of around  $-3$  to  $-5$ , approaching the  $-5$  suggested by the predictions of the theory above. More-

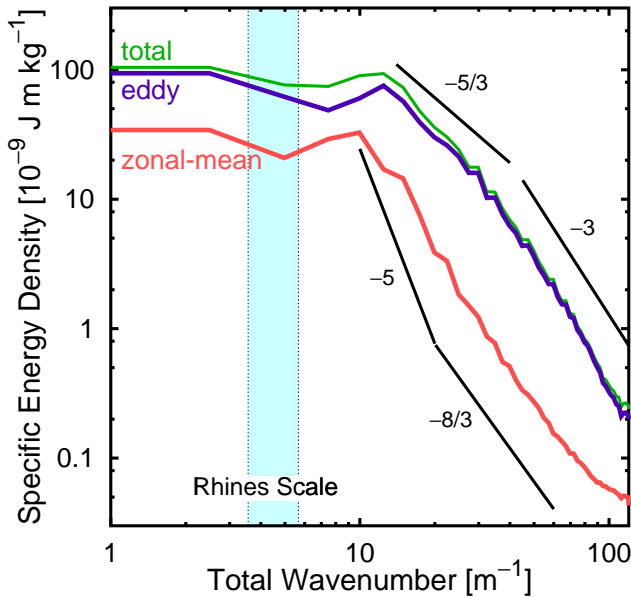


**Fig. 6.** Instantaneous (horizontal) kinetic energy field and fluctuations at mid-depth as a function of radius and azimuth during the development of a convectively driven flow with a flat bottom in the Grenoble experiment (Read et al., 2004).

over, the magnitude of the zonal mean kinetic energy spectrum is also roughly consistent with  $C_Z \simeq 0.5$  as suggested above, as indicated by the solid line with slope  $-5$  in Fig. 7.

These trends were not evident in the flat bottom case (cf. Read et al., 2004), for which the spectrum was generally shallower than the KBK  $-5/3$  slope and the zonal spectrum was dominated by wind-stress effects, greatly exceeding the strength suggested from the theory outlined above.

Although the spectra and other features of the sloping bottom experiment exhibit various characteristics of the anisotropic flow regime introduced above, they also exhibit various other features which depart from this ideal behavior. This is not unduly surprising, given the difficulties in satisfying the criteria represented by Eq. (20) under laboratory conditions, even on the largest available experimental facility. By use of the convective forcing mechanism,  $k_\xi \sim k_{Ro} \sim 40 \text{ m}^{-1}$ . The frictional wavenumber seems to satisfy the last inequality of Eq. (20) comfortably, and frictional



**Fig. 7.** Time-averaged (horizontal) kinetic energy spectra for fully-developed flows with a sloping bottom in the Grenoble experiment (Read et al., 2004).

effects are dominated by scale-invariant laminar Ekman bottom friction. The conditions concerning  $k_\beta$ , however, are more problematic. Based on an estimate of  $\epsilon \sim 5 \times 10^{-10} \text{ m}^2 \text{ s}^{-3}$  ( $\sim$  one quarter of the ratio of the measured total kinetic energy and the Ekman spin-down timescale  $\tau_E$ ),  $k_\beta$  is estimated at around  $15 \text{ m}^{-1}$ . Given that  $k_{fr} \sim 10 \text{ m}^{-1}$ , this leaves little room to obtain a fully developed, anisotropic, inverse energy inertial range. Moreover, the maximum duration of the experiments of 4–6 h was probably insufficient to allow the turbulent flow field to evolve to full maturity. Nevertheless, it seems clear that this experiment has come the closest so far in the laboratory to achieving the conditions required for the zonostrophic flow regime dominated by a topographic  $\beta$ -effect. It will be difficult to improve significantly upon this experiment in the future unless the rotation rate can be substantially increased, which is a significant engineering challenge.

## 5 Anisotropic turbulence and large-scale circulation on giant planets

Large-scale circulations in the cloud layers of the outer planets are good candidates for the development of the zonostrophic flow regime for the following reasons:

(1) large-scale planetary flows are two-dimensionalized due to the actions of strong rotation and weak density stratification;

(2) the outer planets are gaseous and do not have solid boundaries, their large-scale friction is therefore relatively low;

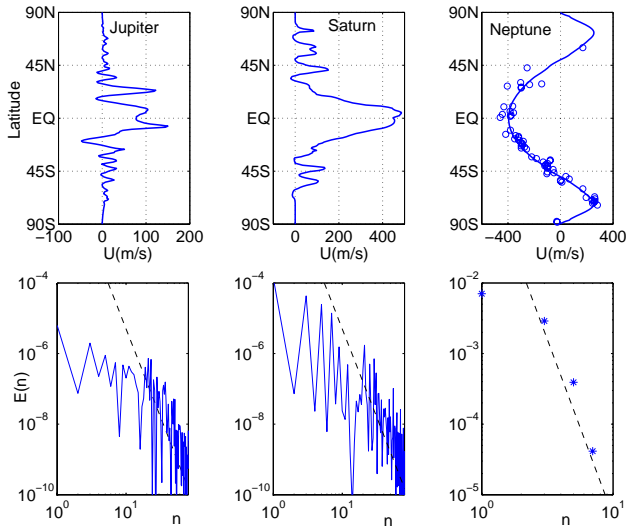
(3) convective cells, solar and/or internal heating may provide the necessary small-scale forcing that would give rise to an anisotropic inverse energy cascade towards planetary scales;

(4) the Burger number is small for all outer planets. This issue requires some clarification. According to Menou et al. (2003), for example, a Burger number, based upon an *external* deformation radius,  $L_E$ , is smaller than  $5.6 \times 10^{-2}$  for all giant planets. Note, however, that  $L_E$  (given by  $L_E = (gD)^{1/2}/f$ , where  $g$  is the gravity acceleration and  $D$  is the pressure scale height of an atmospheric layer) represents the length scale of geostrophic adjustment in the shallow water approximation (Gill, 1982). The analysis in Sect. 3 employs  $Bu$  calculated for the first *internal* (baroclinic) deformation radius,  $L_D$ , characterizing the scale of baroclinic instability and defined as  $L_D = NH/f$ , where  $N$  is the Brunt-Väisälä frequency and  $H$  is the depth of a stably stratified layer. Using an estimate  $N \sim [(g/\rho_0)(|\Delta\rho|/H)]^{1/2}$ , where  $\rho_0$  is the reference density and  $|\Delta\rho|$  is a measure of the density deviation from the adiabatic lapse rate across the stable layer, find that  $L_D/L_E \sim [(H/D)(|\Delta\rho|/\rho_0)]^{1/2}$ . Since for most situations,  $H/D < 1$  and  $|\Delta\rho|/\rho_0 \ll 1$ , one concludes that  $L_D/L_E < 1$ . In the absence of any knowledge of the deep vertical stratification on the giant planets, one cannot determine accurate values of that ratio; however, a rough estimate,  $L_D/L_E \sim 1/10$ , is sufficient and plausible enough for the present purposes. For comparison, for Jupiter, for example, the Galileo Probe found evidence for weakly stable stratification ( $\leq 0.1 \text{ K km}^{-1}$ ) averaged over the 1–20 bar range in pressure (Magalhaes et al., 2002). This would suggest a first baroclinic deformation radius of no more than a few thousand km, implying a Burger number of  $\sim 3 \times 10^{-3}$  or less (an estimate of the same order of magnitude has been given by Li et al. (2006) based upon a number of studies over the last 25 years). Similar conditions are likely to prevail on the other outer planets. The small values of  $Bu$  leave open the possibility for flow barotropization and development of the anisotropic inverse cascade in the barotropic mode;

(5) the inertial range is large since  $n_\beta/n_{fr} \gtrsim 10$ ;

(6) small  $n_{fr}$  allows for considerable energy accumulation in the barotropic mode. The barotropic mode is thus expected to dominate the cloud movement and determine the shape of the cloud tracks.

Spectral analysis of zonal flows on the outer planets holds a key to answering the question whether or not their large-scale atmospheric circulations are consistent with the zonostrophic regime. Such analysis was performed using observational data from Voyager 1 and 2 and Hubble Space Telescope; its results are summarized on Fig. 8 (after Sukoriansky et al., 2002). As one can see, theoretical and observed spectra agree in both slope and the amplitude. A detailed discussion

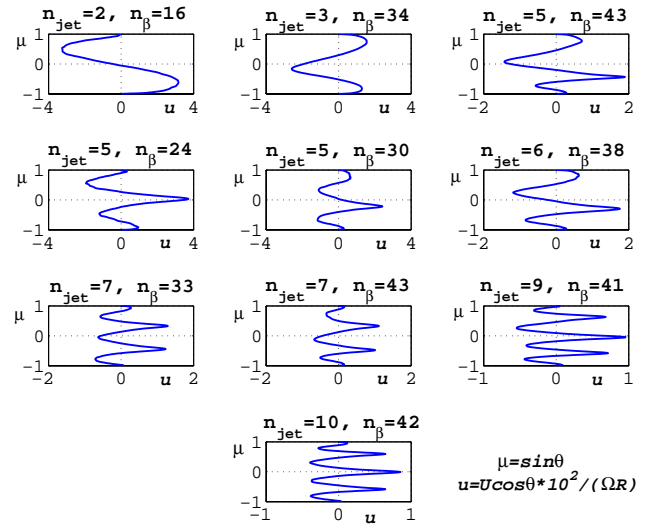


**Fig. 8.** Top row: observed zonal profiles deduced from the motion of the cloud layers (García-Melendo and Sánchez-Lavega, 2001; Sánchez-Lavega et al., 2000; Sromovsky et al., 2001); bottom row: observed zonal spectra (solid lines and asterisks) and theoretical zonal spectra, Eq. (7) (dashed lines) on the giant planets [all spectra are normalized with their respective values of  $(\Omega/R)^2$ ].

of the spectra and the planetary energetics can be found in Galperin et al. (2001); Sukoriansky et al. (2002); Galperin and Sukoriansky (2005).

### 5.1 Equatorial jets

Numerous simulations with the 2-D vorticity equation on the surface of a rotating sphere have consistently produced westward equatorial jets (Cho and Polvani, 1996; Huang et al., 2001) although some of the simulations by Huang and Robinson (1998) did yield eastward jets. The westward jets are consistent with the circulation patterns on Uranus and Neptune, but they are opposite to the equatorial jets observed on Jupiter and Saturn. The seeming inability of the 2-D models to produce eastward equatorial jets could indeed be a serious limitation of these models (Vasavada and Showman, 2005; Li et al., 2006). One of the issues investigated in our series of new long-term simulations described in the section 2.6 and shown in Fig. 2 was the direction of the equatorial jets which developed; the results are summarized in Fig. 9. The simulations revealed that the flow pattern was slowly meandering as a whole in the north-south direction such that the equatorial jets could be either eastward or westward; there were even situations when the equatorial velocity was zero. Slow fluctuations of the flow field are manifestation of the stochastic nature of turbulence with a  $\beta$ -effect. To fully explore the question of stochasticity of the large-scale circulation on giant planets, long observational records, of the order of the time scale of the large-scale friction, would be required. However, such data is a matter of the remote future.

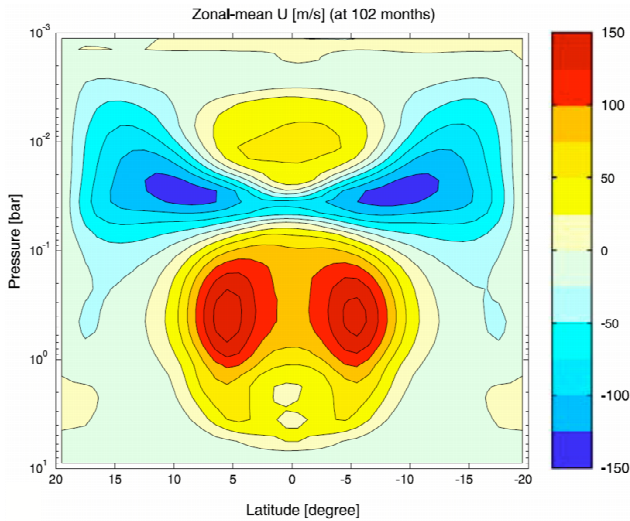


**Fig. 9.** Zonal velocity profiles for different combinations of  $n_{jet}$  and  $n_{\beta}$  from various long-term simulations using 2-D vorticity equation on the surface of a rotating sphere.

An interesting insight into this problem from the viewpoint of the large-scale turbulence dynamics could be gained in the near future from the spectral analysis of the high-resolution circulation data collected by the Cassini mission for Jupiter and Saturn. A mission similar to Cassini could provide the same kind of data for Uranus and Neptune (Beebe, 2005).

Summarizing, let us emphasize that the existing opinion that the equatorial jets produced in barotropic simulations are always directed westward is fallacious although a certain preference to the formation of westward jets has been noticeable. Note also that the simulated equatorial jets are not necessarily symmetric with respect to the equator; this fact has been addressed in some discussions of the circulation on Uranus (Karkoschka, 1998; Hammel et al., 2001, 2005; Sromovsky and Fry, 2005).

In fact, equatorial jets on the outer planets may be more complicated phenomena than can be captured in a purely barotropic model although there has been a recent attempt to represent the general atmospheric circulation on Jupiter as a superposition of the barotropic flow at relatively high latitudes and a deep rotating convection in the equatorial region (Heimpel et al., 2005). Generally, however, the cloud-level jets on both Jupiter and Saturn, for example, do not exhibit simple maxima in zonal or angular velocity at the equator itself, but produce a relatively flat profile close to the equator with some evidence for secondary maxima in  $\bar{U}$  displaced either side of the equator and a local *minimum* on the equator itself. Moreover, the velocity structure is found to change substantially in the vertical (e.g. Flasar et al., 2004) into the stratosphere, indicating some baroclinic character. In the case of Saturn, the flow may also vary significantly with time (e.g. Sánchez-Lavega et al., 2003), though the observed



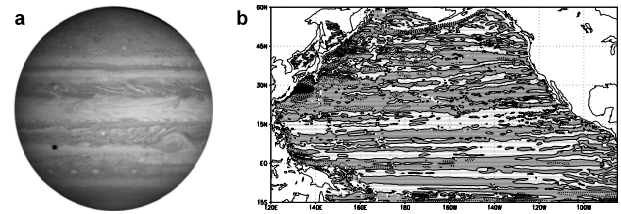
**Fig. 10.** Meridional cross-section through a baroclinic equatorial jet in an idealized 3-D numerical simulation of Jupiter's upper troposphere and stratosphere by Yamazaki et al. (2005).

variations may be due in part to fluctuations in cloud height as well as actual variations in the strength of the equatorial jet.

In an attempt to take this detailed structure into account, Yamazaki et al. (2005), for example, have recently produced a baroclinic equatorial jet in numerical simulations involving angular momentum transport by both zonally-symmetric latitude-dependent heating (a Hadley circulation) and equatorially-trapped Kelvin waves. Figure 10 shows the result of such a combination of Hadley and Kelvin wave processes in producing a complex zonal velocity profile at cloud levels with a local minimum on the equator, much as observed. It does, however, imply a strongly baroclinic structure below the clouds, for which there is as yet little observational evidence either way.

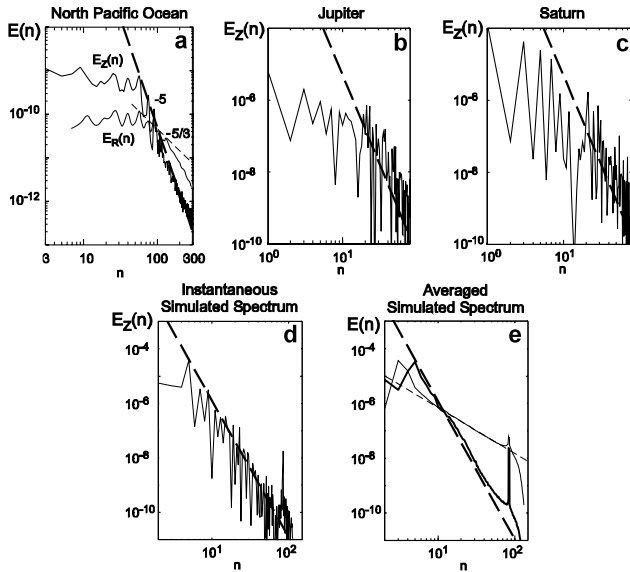
## 6 The ocean-Jupiter connection

Eddy-permitting simulations of general oceanic circulation have consistently revealed systems of subsurface narrow zonal jets filling the entire ocean domain; see, e.g., Treguier et al. (2003) for the Atlantic, Nakano and Hasumi (2005) for the North Pacific, and Maximenko et al. (2005) for the global ocean. The signature of narrow zonal jets has also been detected in the maps of the surface geostrophic currents obtained from satellite altimetry (Maximenko et al., 2005). Comparing the visual appearance of the alternating zonal jets on the outer planets and in the ocean, Fig. 11, Galperin et al. (2004) have questioned whether or not their resemblance could be more than just coincidental. To answer this question, a spectral analysis of a 5-year long model-generated dataset of the North Pacific circulation (Nakano and Hasumi,



**Fig. 11.** (a) Composite view of the banded structure of the disk of Jupiter taken by NASA's Cassini spacecraft on 7 December 2000 (image credit: NASA/JPL/University of Arizona); (b) zonal jets at 1000 m depth in the North Pacific Ocean averaged over the last five years of a 58-year long computer simulation (Nakano and Hasumi, 2005). The initial flow field was reconstructed from the Levitus climatology; the flow evolution was driven by the ECMWF climatological forcing. Shaded and white areas are westward and eastward currents, respectively; the contour interval is  $2 \text{ cm s}^{-1}$ .

2005) was performed. In order to facilitate this calculation, a  $60^\circ$  longitude sector of the Pacific was extracted from the original simulation. This sector was repeated 6-fold in the northern hemisphere, mirror-reflected relative to the equator and repeated 6 more times in the southern hemisphere so as to assemble a continuous quasi-global dataset on the sphere. A spectral analysis of this dataset was performed using the spherical harmonics decomposition; it yielded both zonal and residual spectra (Galperin et al., 2004). The calculated spectrum was averaged over the last 5 years of a 58-year long integration which was initialized using the Levitus climatology. As the simulated jets exhibit an equivalent barotropic structure, the analysis is based on the vertical average from the surface to 500 m depth (as noted in Picaut and Sombardier (1993) and Wunsch (1997), the density field in the top 600 m of the water column is most important in computation of the normal modes). The results of the spectral analysis are shown in Fig. 12. The averaged zonal and non-zonal oceanic spectra are presented in Fig. 12a. A  $-5$  slope is immediately evident for the zonal flows. Similarly to the case of giant planets (Figs. 12b and c), this slope extends upward to the dominant scale of the zonal jets yet for smaller  $n$  the spectrum becomes flat. The universality of  $E_Z(n)$  is supported not only by the  $-5$  slope, but also by the constancy of  $C_Z \approx 0.5$  for all cases. The energy spectrum for the nonzonal components,  $E_R(n)$ , exhibits a slope close to  $-5/3$  over the range  $n=60-120$ . The departure of  $E_R(n)$  from the  $-5/3$  slope for  $n > 120$  could be attributed to various factors, such as the interaction between barotropic and baroclinic modes, the effect of direct forcing, damping by the bottom topography, etc. Based upon extensive observational data, Zang and Wunsch (2001) have calculated zonal wave-number spectra of zonal and meridional velocity components (their Fig. 17). Although the definition of those spectra differs from the definition used in this study, one can still discern a much steeper slope in the spectrum of the zonal velocity with the disparity between the spectra at small wave numbers and the zonal



**Fig. 12.** Averaged and instantaneous zonal (thick solid lines) and non-zonal (thin solid lines) energy spectra on rotating planets with small  $Bu$  (top row) and in barotropic 2-D simulations on a rotating sphere Sukoriansky et al. (2002) (bottom row); the high wave number spikes on the latter correspond to the small-scale forcing. All spectra are non-dimensionalized such that  $E_Z(1)=C_Z$ . Idealized  $-5$  (thick dashed lines) and  $-5/3$  (thin dashed lines) slopes are superimposed, based upon Eqs. (7) and (8), with  $C_Z \simeq 0.5$ ,  $C_K \simeq 5$  and  $\epsilon \simeq 10^{-10} \text{ m}^2 \text{ s}^{-3}$ .

spectrum being about an order of magnitude larger. This result is consistent with our Fig. 12a. We would also emphasize that both zonal and residual spectra of the horizontal currents have been obtained here from fully 3-D, realistic simulations of the circulation in the north Pacific rather than from idealized barotropic 2-D simulations used so far in theoretical studies. Note in addition that the barotropization on the latitudinal scale of the zonal jets' width expected in flows with small  $Bu$  has been detected in simulations (Panetta, 1993; Williams, 2003; Nakano and Hasumi, 2005) as well as in ocean observations (Hall et al., 2004).

The spectra  $E_Z(n)$  and  $E_R(n)$ , obtained from a model of 2-D flow on a rotating sphere (Sukoriansky et al., 2002), are shown in Fig. 12e for comparison; they are averaged over about 300 years. As could be expected, longer averaging produces smoother spectra. Since, as can be shown by simple energy balance arguments (Galperin et al., 2001), the characteristic time of the large-scale variability on Jupiter and Saturn is larger than the time of observations, the observed zonal spectra on these planets, presented on Figs. 12b and c, are, in fact, *instantaneous* spectra. Finally, Fig. 12d (Sukoriansky et al., 2002) shows a typical instantaneous zonal spectrum from a 2-D simulation. Large-amplitude fluctuations are characteristic of all instantaneous spectra including those in the ocean (not shown here).

**Table 1.** Parameters of large-scale circulation in various natural flows\*.

Source	$n_\xi$	$n_\beta^{**}$	$n_{fr}$	$Bu$
Coriolis turntable	40	15	10	$5 \times 10^{-4}$
Oceans	400	110	60	$6 \times 10^{-5}$
Jupiter	1000	310	16	$3 \times 10^{-5}$
Saturn	500	270	8	$4 \times 10^{-5}$
Uranus	400	150	3	$5 \times 10^{-5}$
Neptune	500	140	3	$6 \times 10^{-5}$

\* All wave numbers are given in units of a sphere with  $R=1$ .

\*\* In calculation of  $n_\beta$  it was assumed that  $\epsilon \simeq 10^{-8} \text{ m}^2 \text{ s}^{-3}$  for all giant planets

## 7 Conclusions

We have demonstrated that there exists a parametric range in which 2-D turbulence with a  $\beta$ -effect develops a universal flow regime coined here a zonostrophic regime. This parametric range is outlined by the chain inequality (16) and is distinguished by the anisotropic composite energy spectrum, Eqs. (7), (8), with the coefficients  $C_Z \simeq 0.5$  and  $C_K \simeq 5$  which thus appear to be universal constants. This regime is maintained by the anisotropic inverse energy cascade that leads to the emergence of a flow pattern of alternating zonal jets. The lateral extent and preferred scale of these jets are determined by the large-scale energy withdrawal mechanism which is not completely understood at the present time, see e.g. Müller et al. (2005).

A similar regime may develop in the barotropic mode of stably stratified, 3-D, real-world flows with small values of a Burger number which is based upon the first baroclinic deformation radius. The criteria (16) or (20) should also be fulfilled for the 3-D flows. One needs to keep in mind, however, that these conditions have been derived from purely 2-D flows which have their own specific peculiarities absent in real 3-D flows. Therefore, these criteria are expected to be somewhat less restrictive when applied to the real world flows. Table 1 provides a summary of the parameters typical of various natural flows; the data used in this table is from Read et al. (2004); Galperin et al. (2004) and Galperin et al. (2001). The values of the Burger number for the giant planets are calculated according to the data in Menou et al. (2003) using the estimate  $L_R=L_E/10$ , established in Sect. 5. The rate of the small-scale energy input for all outer planets was assumed  $\epsilon=10^{-8} \text{ m}^2 \text{ s}^{-3}$ . This value is smaller than  $10^{-7} \text{ m}^2 \text{ s}^{-3}$  as suggested in Galperin et al. (2001) and  $10^{-5} \text{ m}^2 \text{ s}^{-3}$  used in Vasavada and Showman (2005). Both latter estimates pertain to the total energy injection. However, a large part of this energy becomes involved in the direct cascade and subsequent viscous dissipation and only a small fraction of the energy will be available for the inverse cascade.

Analyzing Table 1, we take note that the inertial range for the Grenoble experiment is fairly narrow. Despite this, the experiment serves to link together data from the outer planets, the subsurface oceanic circulation and computer simulations and seems generally to confirm that all these flows are governed by strongly non-linear dynamics with anisotropic inverse energy cascade. In view of the limitations discussed in Sect. 4, it would be a real challenge to expand this, requiring e.g. to significantly change the radius of the turntable and/or its rate of rotation.

For the terrestrial oceans, the criterion (20) generally holds although the inertial range is quite narrow. Note that the large-scale friction for these flows is fairly large, leading to  $n_{fr} \sim 60$ , so that it would be generally wrong to say that the zonostrophic regime can only develop in low-friction systems. In the terrestrial oceans, the prevalence of the zonal energy over its meridional counterpart is not as prominent as on the giant planets, and this explains a highly fluctuating pattern of oceanic circulation on mesoscales.

Table 1 shows that the criterion (20) is best satisfied for the outer planets. In fact, they appear to present nearly ideal gigantic natural laboratories where the zonostrophic regime can materialize and be studied in all its complexity. Due to the low large-scale friction, most of the kinetic energy of the planetary atmospheric circulation is concentrated in the lowest zonal modes forming a pattern of relatively few, very stable, alternating zonal jets, particularly on the ice giants Uranus and Neptune. Through cloud advection, these jets manifest themselves as bands of different colors observable from a great distance.

The last decade has been distinguished not only by significant progress in understanding of the atmospheric circulation on giant planets of the Solar System but also by the discovery of many planets beyond it, the so-called extra-solar system planets, or, briefly, exoplanets. Thus, Jupiter, Saturn, Uranus and Neptune are no longer the only giant planets whose atmospheric circulation can be understood and quantified within a general theory. At the present time, there are 170 gaseous giant planets known to move around their Sun-like stars (Burrows, 2005; the web page where the extrasolar planets' catalogue is maintained is at J. Schneider, <http://www.obspm.fr/encycl/encycl.html>).

The present state-of-the-art in this area of planetary science has been vividly presented by Guillot (2005), "We lie on the verge of a true revolution: With ground-based and future space-based transit search programs, we should soon be able to detect and characterize many tens, probably hundreds, of planets orbiting their stars, with hope of inferring their composition and hence the mechanisms responsible for the formation of planets." This information may also enable us to characterize the large-scale planetary circulations, at least under some circumstances. In particular, if the circulation regimes of at least some of these planets are similar to the ones found on the giant planets of the Solar System, then, as follows from Eq. (17), only a few parameters will

be needed for their quantification. Those parameters are the planetary radius, the rate of rotation, and the frictional wave number  $n_{fr}$ . The mass and the radius of some of the planets can presently be measured with a fair degree of accuracy (Guillot, 2005). As explained earlier,  $n_{fr}$  is roughly equal to the number of bands on the planetary disc. This data, as well as the planetary rotation rates may become available from future space-based observations.

Finally, we note that a rigorous confirmation of the presence of the inverse energy cascade in real flows requires computation of the data-based spectral energy transfer with relatively high resolution of the velocity field. Such an analysis has not been performed yet for either of the systems considered here. However, for the oceanic flows, the first steps in this direction have been undertaken by Scott and Wang (2005). In addition to the zonal spectrum (7) it is important to have a good estimate of the residual spectrum (8) which is an important characteristic of the large-scale circulation in systems with a strong  $\beta$ -effect. This spectrum can be computed for the Grenoble experiment and for the ocean. The current resolution and the surface coverage are insufficient to determine  $E_R(n)$  for the flows on the outer planets. One may hope that this situation will dramatically improve when the data from the Cassini encounters of Jupiter and Saturn will become available, opening up numerous venues for interdisciplinary, laboratory-terrestrial-planetary studies.

*Acknowledgements.* The authors greatly appreciate helpful comments by R. Salmon. This research has been partially supported by the US Army Research Office, the Israel Science Foundation and the UK Particle Physics and Astronomy, and Natural Environment Research Councils.

Edited by: W.-G. Früh

Reviewed by: two referees

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