

## A case study carried out with two different NWP systems

P. Kållberg<sup>1</sup> and A. Montani<sup>2</sup>

<sup>1</sup>SMHI, S-601 76 Norrköping, Sweden

<sup>2</sup>ARPA-SIM, Viale Silvani 6, 40122 Bologna, Italy

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**Abstract.** A model intercomparison between two atmospheric models, the non-hydrostatic Lokal Modell (LM) and the hydrostatic High Resolution Limited Area Model (HIRLAM) is carried out for a one-week period, including a case of cyclogenesis leading to heavy precipitation over Northern Italy. The two models, very different in terms of data-assimilation and numerics, provide different results in terms of forecasts of surface fields. Opposite diurnal biases for the two models are found in terms of screen level temperatures. HIRLAM wind speed forecasts are too strong, while LM precipitation forecasts have larger extremes. The intercomparison exercise identifies some systematic differences in the weather products generated by the two systems and sheds some light on the biases of the two numerical weather prediction systems.

### 1 Introduction

Limited area data assimilation and forecast experiments with different numerical weather prediction (NWP) systems using identical resolutions and applied to the same observational database are of considerable interest. In particular can the differences between the resulting analyses and forecasts identify systematic errors (“biases”) in the systems. Such an experiment has been carried out with two participating systems jointly at ARPA-SIM in Bologna and at SMHI in Norrköping, as a part of the research project Carpe Diem supported by the European Commission under the 5th Framework Programme (official web site: <http://carpediem.ub.es/home/>). At ARPA-SIM a version of the DWD Lokal Modell (hereafter, LM) was used (Steppeler et al., 2002), while version 6.1 of the High Resolution Limited Area Model (here-

after, HIRLAM) system was used at SMHI (Undén et al., 2002).

A synoptic situation from November 1999 with a north-westerly flow across the Alps leading to an intense cyclogenesis over the Gulf of Genoa was selected. This event produced copious precipitation over northern Italy and was of special interest for the Carpe Diem project. November 1999 was also within the Mesoscale Alpine Programme (hereafter, MAP; Bougeault et al., 2001) with an enhanced observational coverage over continental Europe.

For this case study, LM and HIRLAM were run both selecting the same integration domain, which covered continental Europe, and using the same horizontal resolution of 0.1° on a rotated latitude/longitude grid. In addition to this, the same sets of observations and lateral boundary conditions were taken from the ECMWF observational archive and operational analyses so as to drive the limited area integrations. For both models, data assimilation cycles and sets of forecasts (starting at 00:00 UTC and 12:00 UTC and ranging up to 48 h) were run from 3 to 9 November 1999, thus covering the MAP IOPs (Intensive Observation Periods) 14 and 15. Here, the attention is focused on the sub-period between 5 and 8 November 1999 (MAP IOP 15), when the cyclogenesis took place.

### 2 Description of the NWP systems

As previously described, LM and HIRLAM present a number of common features, as summarised in Table 1. Despite these similarities, it is immediately worth pointing out that basic differences exist between the two forecast systems: for example, the LM forecast model is non-hydrostatic (that is, vertical velocities are a prognostic variable of the model) and the data assimilation is performed with a nudging technique (Davies and Turner, 1977). On the other hand, HIRLAM is hydrostatic (vertical velocities are diagnosed from other

Correspondence to: P. Kållberg  
([per.kallberg@smhi.se](mailto:per.kallberg@smhi.se))

**Table 1.** Main common features in LM and HIRLAM integrations.

horizontal resolution	0.1°
grid point_x	224
grid point_y	202
vertical resolution	40 model levels
vertical coordinate	hybrid pressure-based
initial conditions	ECMWF analyses
boundary conditions	3-hourly ECMWF analyses

model variables) and the data assimilation is done with 3D-Var, a three-dimensional variational technique (Gustafsson et al., 2001; Lindskog et al., 2001). The two models also have different orographies and a different distribution of the vertical levels (although the total number of levels is 40 in both NWP systems), this having a possible impact on the generation of post-processed surface variables like mean-sea-level pressure (as shown in Sect. 3). These, and the other model differences existing in terms of numerical and physical approximations, act in such a way that the same observations and lateral boundary conditions, once ingested in the models, may provide rather different forecasts. In the next two subsections, the two limited-area models are described in greater detail, so as to emphasise the main features of each forecasting system.

### 2.1 The ARPA-SIM system

The Consortium for Small-scale MOdelling (COSMO) is a joint development formed in October 1998 and has as members the national meteorological services of Germany, Greece, Poland and Switzerland (for further details, the official COSMO web-site can be found at: <http://www.cosmo-model.org>). In addition to this, the regional weather service ARPA-SIM (Italy) and the military service AWGeophys (Germany) within the member states are participating in the project. The general goal of COSMO is to develop, improve and maintain the non-hydrostatic limited-area atmospheric model LM (Steppeler et al., 2003), used for both operational and research applications by the COSMO members. The model equations of LM are solved numerically using the Eulerian finite difference method. By default, second-order centred finite difference operators for both horizontal and vertical differencing are used, although other options are present. A hybrid sigma-type (with respect to base-state pressure) vertical coordinate is used. The time integration method used in LM is a leapfrog scheme (horizontally explicit, vertically implicit), including the extensions proposed by Skamarock and Klemp (1992). Lateral boundary conditions are provided using the relaxation technique of Davies and Turner (1977). The parameterisation of physical processes include grid-scale cloud and precipitation, subgrid-scale clouds, moist convection, radiation, vertical diffusion,

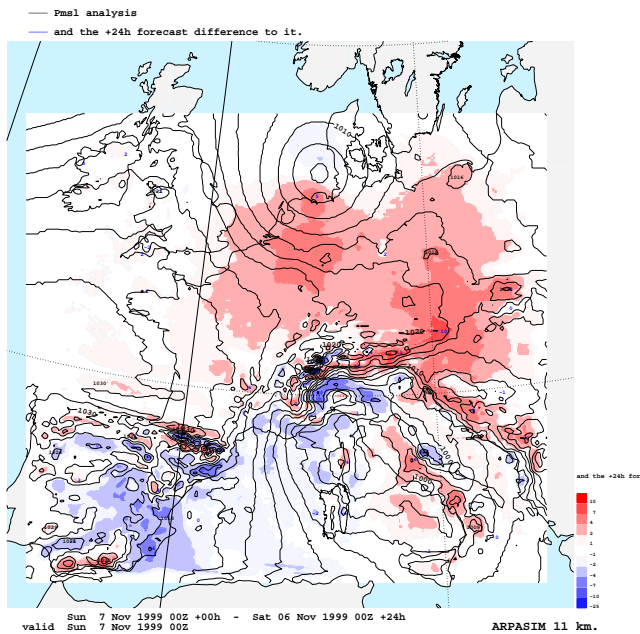
surface layer and soil processes. The data-assimilation is done using a nudging-based assimilation scheme, where the model prognostic variables are relaxed towards direct observations within a predetermined time window (Davies and Turner, 1977). Currently, only conventional observations are used, namely from TEMP, PILOT, AIRCRAFT, SYNOP, SHIP and DRIBU. For these experiments, LM was used with 40 vertical hybrid coordinate levels. The ARPA-SIM experiment will be identified as “ARP” from now on.

### 2.2 The SMHI system

HIRLAM (Undén et al., 2002) is a joint development by several European countries of an operational data-assimilation and short-range forecast system for their National Weather Services. The numerical approximation of HIRLAM includes both a gridpoint version and a spectral version, with either Eulerian or semi-Lagrangian time integration. Lateral boundary conditions are prescribed with the boundary relaxation technique (Davies and Turner, 1977). The physical parameterisation includes turbulence closure with turbulent kinetic energy as a prediction parameter (Cuxart et al., 2000), long and short-wave radiation with cloud interaction (Savijarvi, 1989), and stratiform and convective precipitation according to the STRACO scheme (Sass et al., 1999). The semi-Lagrangian gridpoint configuration of HIRLAM was used with 40 vertical hybrid coordinate levels. The data assimilation was done with a three-dimensional variational technique, 3D-Var (Gustafsson et al., 2001; Lindskog et al., 2001), using so called “conventional” observations from the MAP period as collected at ECMWF. The 3D-Var assimilation was run with six hour cycling and the “FGAT” (First Guess at Appropriate Times) approach. Five different experiments were made with the SMHI system, but in this paper we will only cover the control experiment, from here called “CDC”, which is directly comparable with ARP. A crucial component of the variational analysis process is the assumption made on the errors of the background forecast, in this case a 6-h forecast. The original structure functions used in CDC were originally developed for the SMHI operations which were run with considerably lower horizontal resolution. A revised set, recently determined for higher resolution operations, was used in an experiment which is not further described here; suffice it here to note that it did produce somewhat finer structures in the analyses and forecasts.

## 3 Comparisons with own analyses

Although the forecast experiments were “technically” run up to a range of 48 h, here the attention is focused over a shorter prediction range (namely, 24 h). It is thought that longer-term limited area forecasts over a small domain (like the one used in these experiments) are primarily just a down-scaling of the ECMWF forecasts and not very interesting in



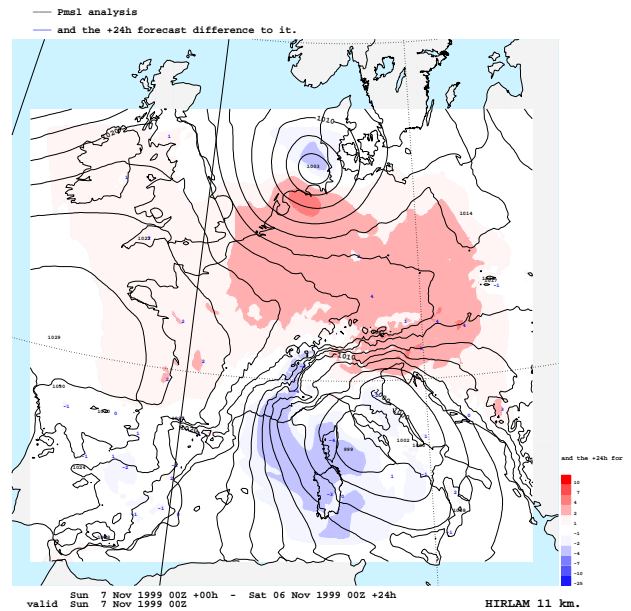
**Fig. 1.** ARP analysis valid at 00:00 UTC, 7 November in terms of mean-sea-level pressure (in hPa, solid contours), together with the forecast error in the 24-h forecast valid at this time (in hPa, shaded areas: red positive, blue negative).

our kind of study. Therefore, the most interesting 24-h forecasts for the Genoa cyclone development were those starting at 00:00 UTC and 12:00 UTC on 6 November. Figures 1 and 2 show the mean sea level pressure analyses at 00:00 UTC on 7 November (contours), together with the deviation (error) of the corresponding 24-h forecast (shaded). The analysed mean sea level pressure is extrapolated from the surface pressure at the model’s orography, and we can note that this extrapolation introduces some noise in the ARP analyses over high terrain, e.g. the Alps and the Pyrenees. The CDC analyses exhibit some kinks in the boundary relaxation zone. Disregarding these minor cosmetic differences, the two analyses are very similar.

Both systems capture the development well, but we can note that the ARP forecast errors are noisier and with somewhat larger amplitudes. Both systems predict too high pressures over central Europe north of the Alps and too low pressures near and west from Corsica/Sardinia, i.e. the cyclone is slightly too far west.

#### 4 Comparisons with observations

Rather than making too many comparisons with own analyses, we will compare the analyses and the 24-h forecasts from ARP and CDC directly with the synoptic observations. The ARP analyses and forecasts at/from 12:00 UTC, 5 November were not available for this verification. In Table 2, the stan-



**Fig. 2.** The same as Fig. 1, but for CDC analysis and 24-h forecast.

**Table 2.** Mean sea level pressure standard deviation (in hPa) of analyses and 24-h forecasts against SYNOP/SHIP observations in the full area.

initial time	st. dev. ana (ARP)	st. dev. ana (CDC)	st. dev. fc (ARP)	st. dev. fc (CDC)
5 Nov 00Z	1.27	0.81	1.19	1.02
6 Nov 00Z	1.31	0.92	1.74	1.58
6 Nov 12Z	1.18	0.84	1.16	0.99
7 Nov 00Z	1.00	0.73	0.89	0.99
7 Nov 12Z	0.95	0.77	1.14	0.95
Mean	1.14	0.81	1.22	1.11

dard deviation of analyses and 24-h forecasts versus all available SYNOP and SHIP observations of the mean sea level pressure are summarised. Observations obviously wrong were removed by a crude filter. A better procedure would certainly have been to verify against only those observations accepted by the analysis procedures themselves, but this information was not available from both experiments. Again we can note somewhat lower scores for ARP, possibly related to the post-processing of the mean sea level pressure.

Tables 3 and 4 show the mean biases and standard deviations of the screen level temperatures. It is interesting to note the opposing biases in the two analyses; ARP is biased cool at daytime and warm at nighttime, while CDC is warm at daytime and cool at night. Both forecast models chill too much in their 24-h forecasts, CDC considerably more so than ARP. The cooling bias in the HIRLAM model has also been

**Table 3.** Screen level temperature bias (in °C) of analyses and 24-h forecasts against SYNOP/SHIP observations in the full area.

initial time	bias ana (ARP)	bias ana (CDC)	bias fc (ARP)	bias fc (CDC)
5 Nov 00Z	+0.58	-0.10	-0.48	-0.01
6 Nov 00Z	+0.18	-0.09	-0.15	-0.48
6 Nov 12Z	-0.28	+0.16	-0.06	-0.10
7 Nov 00Z	+0.60	-0.05	-0.18	-1.06
7 Nov 12Z	-0.43	+0.17	+0.01	-0.47
Mean	+0.13	+0.03	-0.17	-0.42

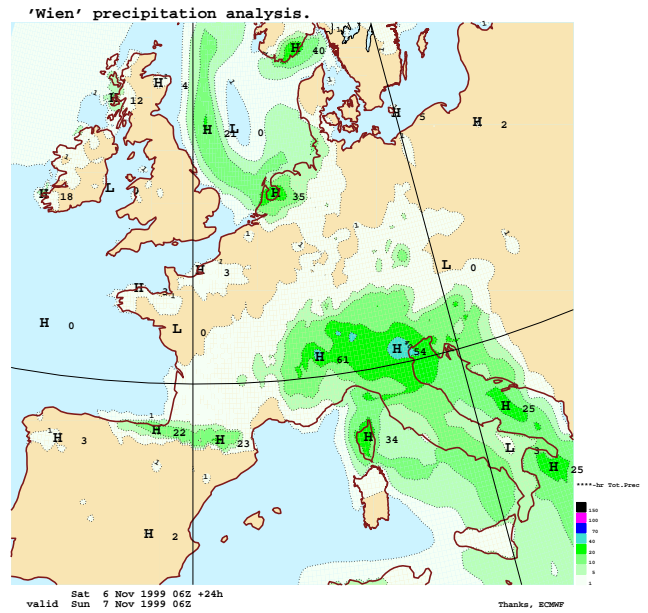
**Table 4.** Screen level temperature standard deviation (in °C) of analyses and 24-h forecasts against SYNOP/SHIP observations in the full area.

initial time	st. dev ana (ARP)	st. dev ana (CDC)	st. dev. fc (ARP)	st. dev. fc (CDC)
5 Nov 00Z	1.49	1.37	1.74	1.91
6 Nov 00Z	1.55	1.46	1.88	1.76
6 Nov 12Z	1.48	1.39	1.70	1.62
7 Nov 00Z	1.49	1.44	1.69	1.76
7 Nov 12Z	1.36	1.32	1.54	1.59
Mean	1.47	1.40	1.71	1.73

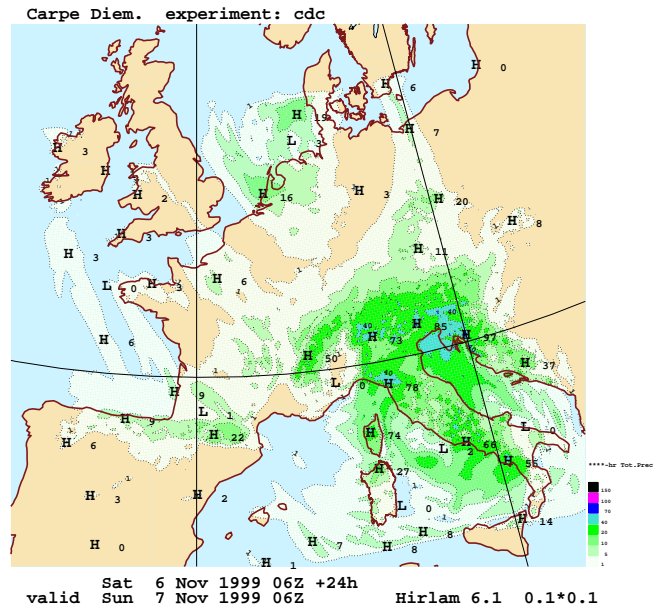
noted in the SMHI operational forecasts (L. Häggmark, personal communication). The differences in standard deviation of the screen level temperatures between the two systems are negligible. The two systems exhibit noticeable differences in the 10-m wind speed at sea, as shown in Table 5. The ARP analyses have a strong diurnal variation with too weak wind speed analyses at daytime and too strong at night. The CDC speed analyses are always too strong compared with the SHIP observations (differences larger than 5 m/s are excluded from these comparisons). Both systems produce too strong winds in the 24-h forecasts, CDC however twice as large. The positive bias in the HIRLAM 10-m winds has also been found at SMHI, and is related to the parameterisation of the turbulent momentum flux in the planetary boundary layer (PBL).

**5 Precipitation**

In this section some figures of the predicted 24-h precipitation are compared with analyses made from gauge data (Rubel and Rudolf, 2001). The gauge analyses in Fig. 3 are from 06:00 UTC, 6 November to 06:00 UTC, 7 November, and so is the accumulated precipitation from CDC in Fig. 4. The ARP precipitation was accumulated only from the 00:00 UTC and 12:00 UTC forecasts, and both are shown



**Fig. 3.** Rubel-Rudolf rain gauge analysis of the 24-h precipitation from 06:00 UTC, 6 November to 06:00 UTC, 7 November (in mm/day).



**Fig. 4.** 24-h precipitation accumulation (in mm/day) from forecast CDC beginning at 06:00 UTC, 6 November.

in Figs. 5 and 6, respectively. We note that the ARP forecasts contain more fine-structures and intense precipitation maxima, as could be expected from a non-hydrostatic model. The hydrostatic CDC produces smoother larger-scale features that agree somewhat better with the analyses. The

**Table 5.** 10-m wind-speed bias (m/s) of analyses and 24-h forecasts against SHIP observations in the full area.

initial time	bias ana (ARP)	bias ana (CDC)	st. dev. fc (ARP)	bias fc (CDC)
5 Nov 00Z	+0.36	+0.42	+0.45	+1.04
6 Nov 00Z	+0.00	+0.38	+0.59	+0.89
6 Nov 12Z	-0.91	+0.40	+0.13	+0.67
7 Nov 00Z	+0.26	+0.55	+0.40	+0.77
7 Nov 12Z	-0.46	+0.27	+0.44	+0.61
Mean	-0.15	+0.40	+0.40	+0.80

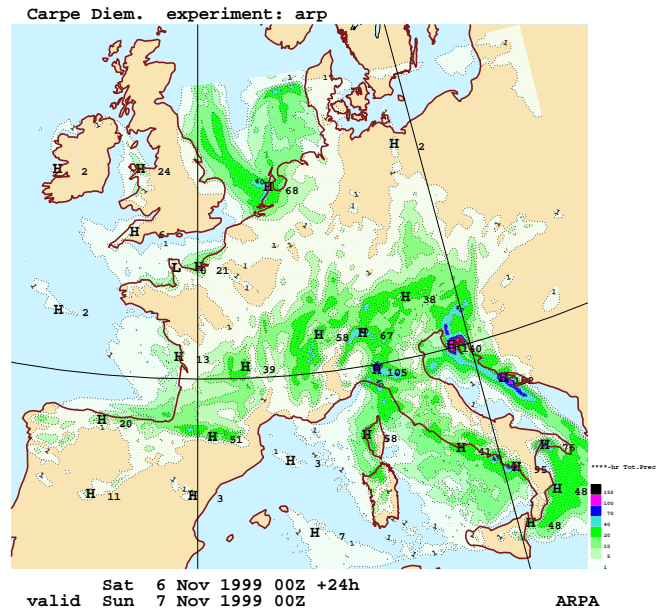
Rubel-Rudolf gauge analyses are available on a 0.2 grid, making them still smoother in appearance.

The differences between CDC and ARP rainfall forecasts are due, among other factors, to differences in the model formulation and, to a lesser extent, to the small discrepancies in the initial conditions. It is clear that the different PBL parameterisations schemes used in the two limited-area-models have a great impact on the surface fields predicted by the two NWP systems. The different convection schemes used in LM and HIRLAM (and their interaction with orography precipitation mechanisms) play a major role in the different structures of the predicted precipitation fields. In addition to this, the difficulty in observing and analysing properly the initial humidity fields can be considered another possible source of the forecast differences. Since the forecast model itself is used to assimilate the variables, the two models also make a different use of the same variables to be assimilated, this causing some differences (small but not negligible) in the initial conditions.

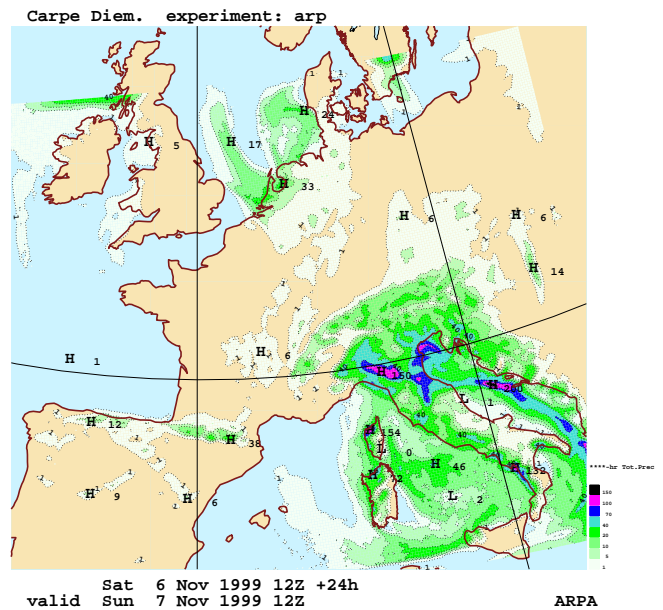
### 6 Conclusions

Two very different NWP systems (data-assimilation and forecast) have been applied to the same synoptic situation with identical horizontal resolution and integration area. The same observations were used, and the same lateral boundary conditions were applied in both experiments. One remaining difference, the choice of orography, complicates comparisons of post-processed variables such as mean sea level pressure and pressure level geopotential, and it is recommended, for future experiments, that the same orographies are used. Also, to eliminate post-processing differences, future inter-comparisons should preferably be made directly from the model variables rather than the post-processed products.

The main value of this intercomparison is the identification of biases in some near surface variables, indicating systematic problems in the parameterisation schemes of both models.



**Fig. 5.** 24-h precipitation accumulation (in mm/day) from forecast ARP beginning at 00:00 UTC, 6 November.



**Fig. 6.** 24-h precipitation accumulation (in mm/day) from forecast ARP beginning at 12:00 UTC, 6 November.

- The two models have opposite diurnal biases in the screen level temperatures.
- The HIRLAM screen level temperature forecasts are biased cool.

- The HIRLAM 10-m wind speed forecasts are too strong, especially over the sea, possibly due to too large downward momentum fluxes in the PBL parameterisation.
- The LM precipitation forecasts have finer structures and larger extremes, while the HIRLAM precipitation agrees better (visually) with the gauge analyses.

Although this little experiment lasted only one week and included only one major meteorological event, the exercise identified some systematic differences in the weather products generated by the two systems. Further insight may be gained from other (and longer) periods of experimentation, and also from cross-over tests where forecasts are run from each other's analyses, i.e. ARP forecasts from CDC analyses and vice versa.

It is not trivial to assess the hydrological implications of the model discrepancies. The extent to which atmospheric model differences have either a larger or smaller impact on hydrometeorological forecasting chains, may depend, among other factors, on the size of the river catchment. It is likely that, if the hydrological model is run over a small catchment area, then the discharge forecast differences will be higher due to the faster response to the different rainfall predicted fields. In view of a possible operational implementation of a flood forecasting chain, long experimentation would be needed before drawing firm conclusions. Several months of tests are probably necessary in order to assess benefits and weaknesses of using either one NWP system or another one "to feed" the hydrological model, also for short forecast ranges.

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