

# Using remote sensing to assess tsunami-induced impacts on coastal forest ecosystems at the Andaman Sea coast of Thailand

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Received: 22 December 2009 – Revised: 16 March 2010 – Accepted: 20 March 2010 – Published: 13 April 2010

**Abstract.** The December 2004 tsunami strongly impacted coastal ecosystems along the Andaman Sea coast of Thailand. In this paper tsunami-induced damage of five different coastal forest ecosystems at the Phang-Nga province coast is analysed with a remote sensing driven approach based on multi-date IKONOS imagery. Two change detection algorithms, change vector analysis (CVA) and direct multi-date classification (DMC), are applied and compared regarding their applicability to assess tsunami impacts. The analysis shows that DMC outperforms CVA in terms of accuracy (Kappa values for DMC ranging between 0.947 and 0.950 and between 0.610–0.730 for CVA respectively) and the degree of detail of the created change classes. Results from DMC show that mangroves were the worst damaged among the five forests, with a 55% of directly damaged forest in the study area, followed by casuarina forest and coconut plantation. Additionally this study points out the uncertainties in both methods which are mainly due to a lack of ground truth information for the time between the two acquisition dates of satellite images. The created damage maps help to better understand the way the tsunami impacted coastal forests and give basic information for estimating tsunami sensitivity of coastal forests.

destroyed (e.g. Szczucinski et al., 2006; Phongsuwan et al., 2006). At the Andaman Sea coast of Thailand, the Phang-Nga province was one of the most severely affected regions. For instance, in total 386 ha of mangrove forests were impacted directly by the tsunami (Paphavasit et al., 2009), other coastal forests were uprooted or washed away, coral reefs were affected in various ways, and beaches as well as tidal inlets were eroded (e.g. Paphavasit et al., 2009; DMCR, 2005). There are some studies from Thailand referring to the assessment of tsunami impacts on coastal forests. Most of them are based on field work, e.g. measurements conducted by the Department of Marine and Coastal Resources (e.g. DMCR, 2005, 2006; DMCR and Thammasat University, 2005) or by UNEP (UNEP, 2005) or the Office of Natural Resources and Environmental Policy and Planning (ONEP) (ONEP, 2005). Some studies focus on the impacts on mangrove forests (Yanagisawa et al., 2009a, b; Paphavasit et al., 2009) and on the role of mangroves acting as natural buffers in mitigating tsunami impacts (Danielsen et al., 2005; Chang et al., 2006; Chatenoux and Peduzzi, 2007; Tanaka et al., 2006).

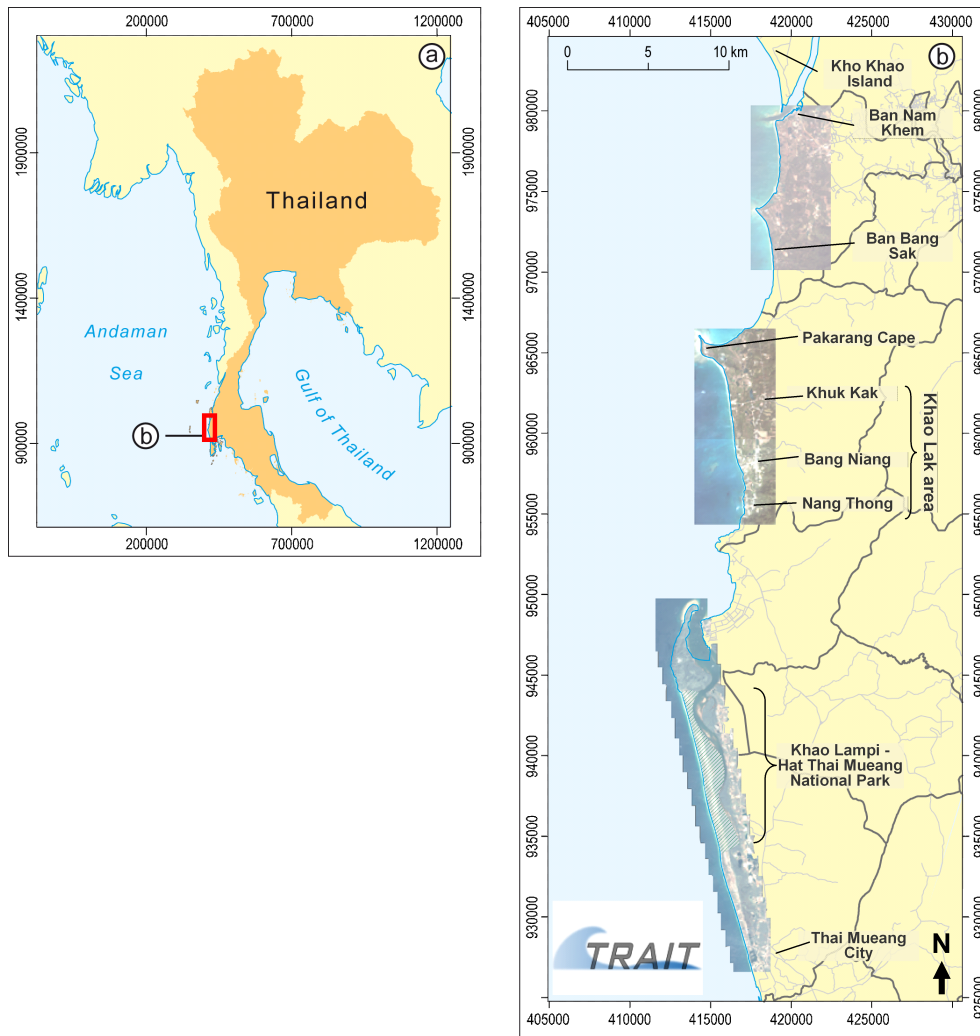
On a larger spatial scale, remote sensing based studies were conducted in Thailand in order to provide a quick damage assessment: Vu et al. (2007) used a dual-scale approach based on ASTER and IKONOS imagery, while Kouchi and Yamazaki (2007) used a digital elevation model and spectral indices (NDVI, NDWI, NDSI) developed from ASTER pre- and post-tsunami data. Other remote sensing studies refer to specific ecosystems like mangroves: Bahugana et al. (2008) analyse tsunami impacts on coral reefs and mangroves at the Andaman and Nicobar Islands using an unsupervised post-classification approach based on multi-temporal RESOURCESAT and AWiFS data. Damage assessment of mangroves based on SPOT pansharpened imagery has been conducted for Phang-Nga by Deedomchan

## 1 Introduction

The Indian Ocean Tsunami in 2004 was one of the most devastating natural disasters ever. Besides the enormous number of fatalities and the massive destruction of settlements and infrastructure, coastal ecosystems were deteriorated or



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**Fig. 1.** Study area. Satellite images are based on IKONOS multispectral data from 13 January 2003. The administrative units are at the community level (muban).

et al. (2006). It was found that mangroves along the shores were impacted more strongly than those in the inner areas. A combined approach based on change detection techniques (Landsat TM) and GIS proximity analysis was presented by Sirikulchayanon et al. (2008).

In this study, tsunami-related impacts are determined from satellite image analyses for five different coastal forest ecosystems (including mangrove forests, casuarina beach forests, mixed beach forests, melaleuca forest and coconut plantation). Two different change detection techniques (change vector analysis, CVA, and direct multi-date classification, DMC) are compared. Thus, this study contributes to the general need for studies focussing on comparative evaluations of change detection studies (Mas, 1999). DMC (Weissmiller et al., 1977; Mas, 1999) was applied respectively for the red, green and near-infrared bands in the multi-date dataset; the CVA (e.g. Malila, 1980; Johnson, 1994) was

applied for the first two tasseled cap components brightness and greenness, which were calculated from all four multi-spectral bands (Horne, 2003).

The results of this study help to better understand the way coastal forests have been impacted by the tsunami and thus provide a further step for in-depth-assessments of ecological and socio-ecological tsunami sensitivity of the coastal area.

## 2 Study area

The study area (Fig. 1) covers a 50 km long coastal strip in the Phang-Nga province in Thailand from Ban Nam Khem in the north to Thai Mueang city in the south. The area was strongly impacted by the 2004 tsunami: Along the coast between Khao Lak and the northern tip of Kho Khao Island, runup heights were in the range of 5.0 to 10.0 m and the



**casuarina beach forest**

*Casuarina equisetifolia*, *Barringtonia asiatica*, *Pandanus odoratissimus*



**mangrove forest**

*Rhizophora* sp., *Bruguiera* sp., *Ceriops* sp.



**melaleuca forest**

*Melaleuca leucadendron*



**coconut plantation**

*Cocos nucifera*



**mixed beach forest**

*Syzygium grande*, *Shorea* sp., *Eugenia* sp., *Diospyros* sp.

**Fig. 2.** Coastal forest ecosystems examined in the study (photos taken in January 2009).

inundation varied from 200 m around Ban Bang Sak beach to more than 1.5 km at Pakarang Cape. Run up at Khao Lampi – Hat Thai Mueang National Park (here called as Thai Mueang National Park) was slightly lower, ranging from 3.5 to 6.5 m (Szcucinski et al., 2006; Ioualalen et al., 2007).

The sparsely populated northern part of the study area (8°52'10" N to 8°46'30" N) is dominated by agriculture (rubber, oil palm and coconut plantation, orchards). Further to the south, Khao Lak (the area between 8°44'30" and 8°37'52") represents a young booming tourism community with large hotel complexes scattered near the coast around the touristic villages Khuk Kak, Bang Niang and Nang Thong. The southern part (8°35'15" N to 8°28'28") is located on a peninsula around a tidal inlet and hosts large areas of intact coastal ecosystems like mangroves, beach forests and primary rain forest on the mountainous northern tip of the peninsula. The Thai Mueang National Park covers a main part of this peninsula. The whole study area is interspersed with smaller freshwater ponds resulting from tin mining activities during the last century (Williams et al., 1996; Szcucinski et al., 2006).

The topography of the coastal area is relatively flat, with elevations mostly below 20 m a.s.l. Coasts are predominantly built-up by sand. A sequence of beach ridges occurs in many coastal areas. They are usually up to 2 m high and aligned in parallel to the beach. These ridges often alternate with linear swampy depressions termed swales. Rocky coasts occur

north of Thai Mueang National Park at the northern tip of the peninsula and south of the Khao Lak area (Pajimans, 1976).

Regarding the vegetation characteristics, five coastal forests can be distinguished (Fig. 2): mangrove forests, casuarina beach forests, coconut plantation, mixed beach forest and melaleuca forests. Mangrove forests can be found in different places in the study area, mostly along the river mouths near Thai Mueang National Park. Other river mangroves occur near Ban Nam Khem and Pakarang Cape. The total area of mangrove forests within the tsunami inundation zone was about 108 ha. *Rhizophora apiculata*, *R. mucronata*, *Ceriops tagal*, *Bruguiera* sp. are the dominant species (Yanagisawa et al., 2009a; Phapavasit et al., 2009).

*Casuarina equisetifolia* is a common pioneer (*Ipomoea pes-caprae* formation) in beach ridges and flat environments and forms 20–30 m wide mono-specific stands above the high water-mark on sandy coasts, river mouths and offshore bars (Cochard et al., 2008; Pajimans, 1976; Wong, 2005). The total forest area is 76 ha.

Coconut plantations (*Cocos nucifera*), with a total area of 451 ha, can be found in many places along the coast, especially in the Khao Lak area and near Ban Nam Khem. Being strongly influenced by human interventions, it is not to be considered a natural forest (Kashio, 2005).

Mixed littoral forest (termed mixed beach forest in the following) is located in Thai Mueang National Park covering a total area of 130 ha. The forest is very dense at uniform

**Table 1.** IKONOS band dependent parameters of the scenes acquired on 13 January 2003, 04:11 GMT (pre-tsunami) and 15 January 2005 04:12 GMT (post-tsunami), after Taylor (2009), Geoeye (2006).

IKONOS band ( $\lambda$ )	spectral range (nm)	band-width (nm)	resolution (m)	CalCoef $_{\lambda}^*$ (DN/(mW/cm $^2$ -sr))
Pan	526–929	403	0.82/1.0	161
Blue	445–516	71.3	3.2/4.0	728
Green	506–595	88.6	3.2/4.0	727
Red	632–698	65.8	3.2/4.0	949
NIR	757–853	95.4	3.2/4.0	843

\* Only for image production date Post 22 February 2001 (coefficients are for the 11-bit products).

canopy height. Typical canopy trees are *Syzigium grande*, *Diospyros* sp., *Shorea* sp., *Lepisanthes rubiginosa*, *Eugenia* sp. The mixed beach forest directly borders to the melaleuca savannah (here called melaleuca forest), which is dominated by *Melaleuca leucadendron*. This widely spaced forest type covers a small area of 42 ha in Thai Mueang National Park.

### 3 Data and methods

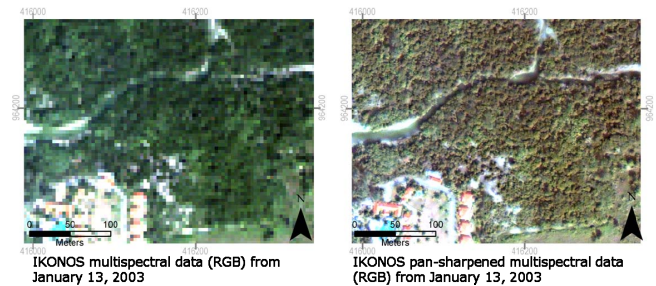
The study used multi-date IKONOS images (Table 1) acquired on 13 January 2003 (pre-tsunami) and on 15 January 2005 (post-tsunami). All change detection techniques in this paper were carried out on the multispectral bands with a resolution of about four meters, whereas the higher resolution pan-sharpened data (1 m horizontal resolution) were used for validation purposes.

In order to distinguish between different types of coastal forest, a land use map was created from the pre-tsunami IKONOS image by object oriented image analysis (using Definiens Developer 7.0 software). With a total number of 42 different land use classes, the map provides information on the spatial distribution of mangrove forests, casuarina beach forests, mixed beach forests, melaleuca forest and coconut plantation. The forest polygons were used to reduce the classified pixel quantity from  $2.76 \times 10^7$  to  $5.04 \times 10^5$ .

## 4 Image processing

### 4.1 Pre-processing

Before applying change detection analyses, the digital numbers from IKONOS imagery ( $DN_{\lambda}$ ) were converted into at sensor's aperture radiance values ( $L_{\lambda}$ ), according to the equation (Taylor, 2009):



**Fig. 3.** IKONOS pan-sharpening based on the Gram-Schmidt-spectral sharpening technique (northern Khao Lak).

$$L_{\lambda} = \frac{10^4 \cdot DN_{\lambda}}{\text{CalCoef}_{\lambda} \cdot \text{Bandwidth}_{\lambda}}, \quad (1)$$

where,

$DN_{\lambda}$  = digital value for spectral band  $\lambda$ ,

$\text{CalCoef}_{\lambda}$  = Radiometric calibration coefficient (DN/(mW/cm $^2$ -sr))

$\text{Bandwidth}_{\lambda}$  = Bandwidth of spectral band  $\lambda$  (nm).

Both  $\text{CalCoef}_{\lambda}$  and  $\text{Bandwidth}_{\lambda}$  are given in Table 1.

Geometric correction (co-registration) was carried out by using a set of ground control points from the pre-tsunami scene. The post-tsunami scene was then warped to the base image. The dark object subtraction, one of the simplest and most widely used image-based absolute atmospheric correction approaches, was applied for radiometric correction (Spanner et al., 1990; Ekstrand, 1994).

Image pan-sharpening was applied using the Gram-Schmidt Spectral Sharpening approach (Laben and Brower, 2000). Therewith, the low resolution multispectral data (4 m) were sharpened using the high spatial resolution panchromatic band (1 m). The resulting high-resolution multispectral dataset (Fig. 3) was used to visually select training areas and for identifying appropriate test sites for the accuracy assessment of change classifications.

## 4.2 Change detection

### 4.2.1 Direct multi-date classification

The direct multi-date classification is performed according to the scheme in Fig. 4, starting with the definition of appropriate change classes and training areas.

Pan-sharpened IKONOS imagery as well as several studies on tsunami driven impacts on coastal ecosystems (Yanagisawa et al., 2009a; Phapavasi et al., 2009; Bechteler et al., 2006) revealed that coastal forests were damaged in different ways and intensities. As illustrated in Fig. 5, forest damage patterns were categorized in four major damage classes including (1) no/low damage, (2) direct forest damage, (3) indirect forest damage, and (4) degradation of understory

**Table 2.** Training and test area for DMC.

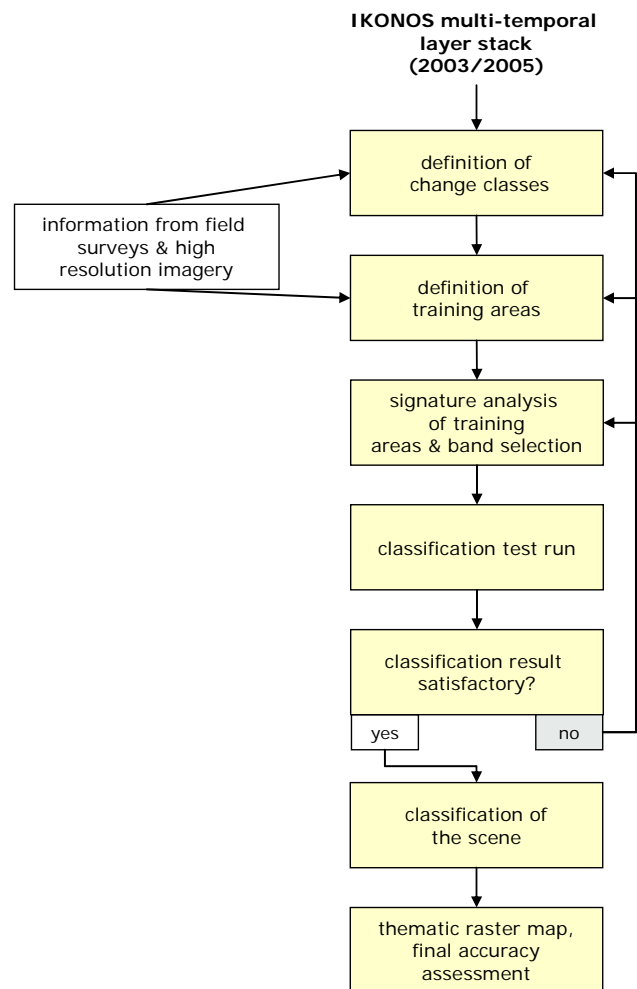
Change classes (ID/name)	Training area N*	Aggregated change classes	Test area N*
1 persistence vegetation	460	no damage	988
2 persistence sand	109		
3 understory vegetation → soil	29	degradation of soils/understory vegetation	59
4 understory → sand/sandy soils	18		
5 understory → water	163		
6 sand → water	97		
7 mud → water	123		
8 soil → sand	97		
9 forest → mud	295	direct forest damage	143
10 forest → sand	330		148
11 forest → water	314		187
12 forest → inclined/roots rem.	80		145
13 yellowing/standing leafless	248	indirect forest damage: yellowing/standing leafless	261

\* = number of pixels (total number of image pixels  $5.04 \times 10^5$  pixels).

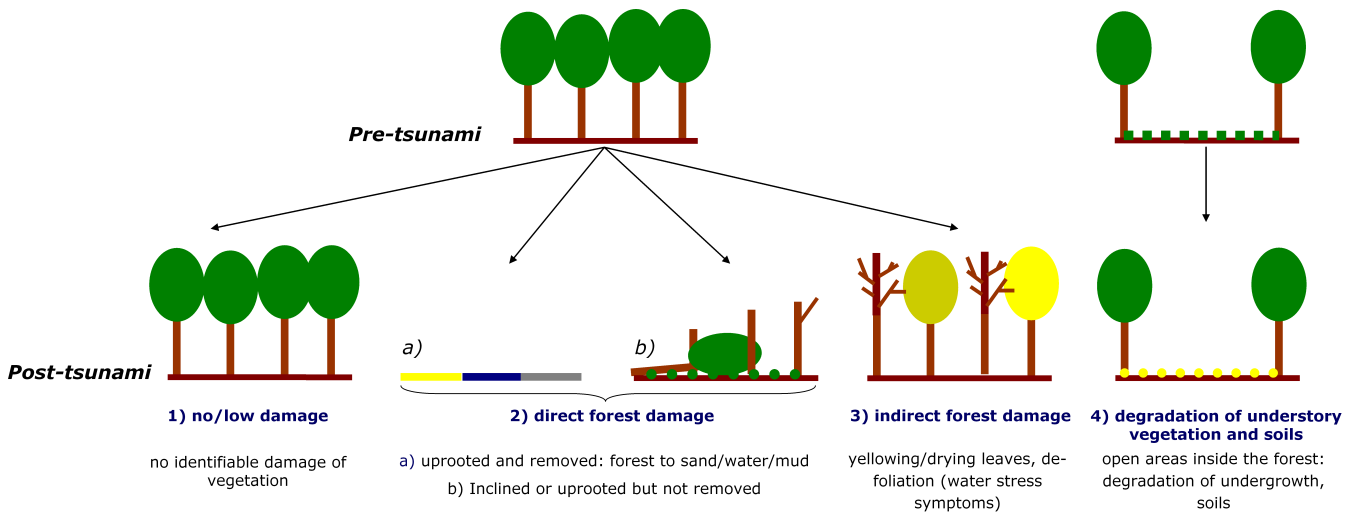
vegetation and soils. Direct forest damage is closely related to the kinetic energy of massive water flows caused by the tsunami. Additionally it can be distinguished between a) a total removal of trees (termed as “forest to sand/water/mud”) or b) uprooting or inclination of trees without removal. Indirect tree damage, not affecting the physical structure of stem and branches (Bechteler et al., 2006; Obura and Abdulla, 2005), is understood for trees showing symptoms of toxic and water stress, such as yellowing, drying leaves or defoliation (due to sediment deposition or salt water intrusion). For those areas which are not covered by tree canopies, damage information obtained from satellite images are directly related to changes of soils and understory vegetation. Thus, a new class “degradation of soils/understory” was created. Of course this does not imply that understory vegetation or soils were unaffected in damage classes 2 and 3.

The definition of training and test areas was carried out based on the high resolution pan-sharpened imagery. As illustrated in Table 2, a total of 13 different training classes (6360 pixels) were selected, organized in eight super classes. Test areas (1931 pixel) were selected independently and only for the aggregated super-classes. Since the five forest types have different stand densities (low density in melaleuca forests and coconut plantations) and were impacted in different ways (e.g. direct impacts were rare in mixed beach forests), not all change classes could be selected as training areas in each of the five forest ecosystems. Figure 6 exemplifies the selection of seven different change sub-classes.

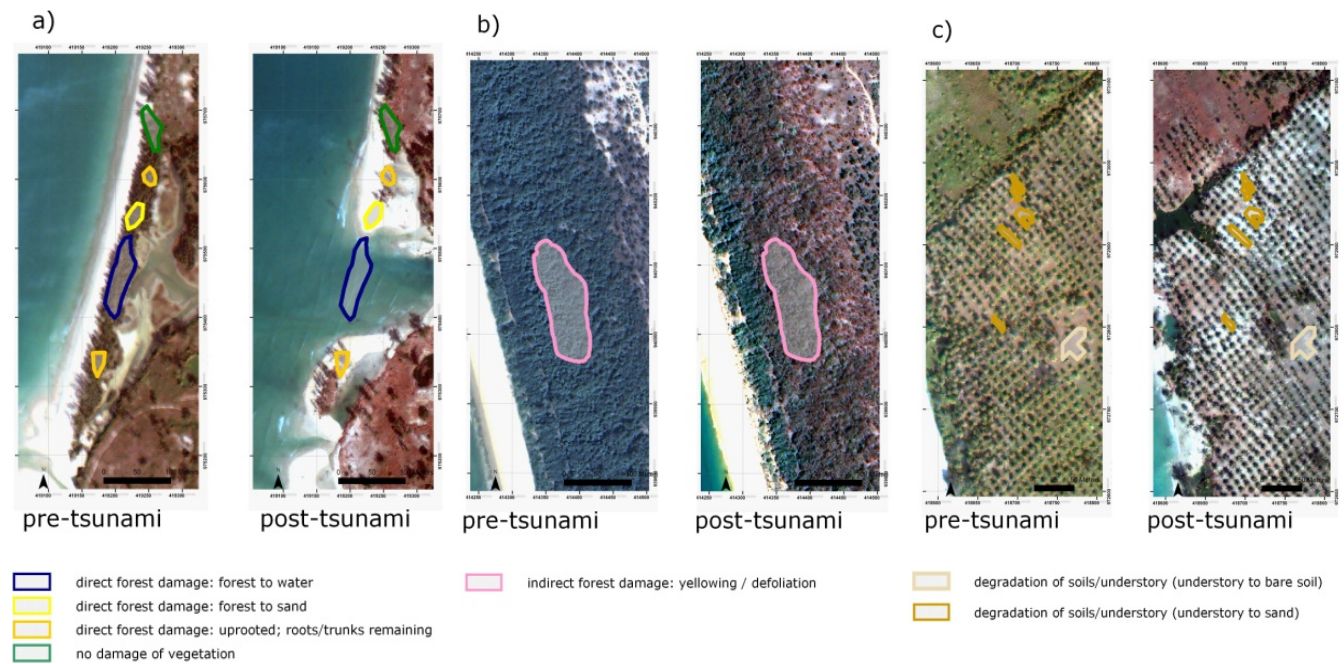
Pre- and post-tsunami IKONOS data capture four multi-spectral bands (Table 1). Since not all multispectral bands contain the same information, while adjacent bands in the visual domain are closely correlated (and therefore partly redundant), a preliminary step in image classification involves the definition of optimum multi-spectral band composition.



**Fig. 4.** Flowchart of direct multi-date supervised classification.



**Fig. 5.** Categorization of forest damage patterns.



**Fig. 6.** Selection of training areas exemplified for seven different change classes. **(a)** casuarina beach forest near Ban Bang Sak, **(b)** mixed beach forest in Thai Mueang, and **(c)** coconut plantation near Ban Bang Sak.

**Table 3.** OIF calculation for multi-date IKONOS imagery.

band composites*	OIF 2003	OIF 2005	mean OIF
1/2/3	16.08	21.66	18.87
1/2/4	43.98	43.74	43.86
1/3/4	44.59	47.64	46.12
2/3/4	49.16	43.57	46.37

\* bands 1–4: 1 = blue, 2 = green, 3 = red, 4 = near infrared.

The Optimum Index Factor (OIF), determined as the ratio of band contrast and band correlation (Chavez et al., 1982) was calculated for both multispectral datasets. High OIF values indicate band combinations with high information content. According to Table 3, the highest values correspond to the composite containing the green, red and near infrared band for the pre-tsunami scene and the blue, red and near infrared band for the post-tsunami scene. In order to avoid using two different three-band combinations for multi-date classification, we used the combination with the highest mean OIF in

**Table 4.** Change class definition based on CVA.

change classes (ID/name)	aggregated change classes	direction (°)/ magnitude	test area n*
1 no damage	no damage	-/≤31.49	988
2 regrowth		0–90/>31.49	
3 other	other	270–360/>31.49	–
4 sand → water	understory/soils to water	250–270/>31.49	150
5 mud → water		225–250/31.49–46.06	
6 understory → water		225–250/>46.06	
7 forest → water	direct forest damage (forest to water/mud)	180–225/>46.06	187
8 forest → mud		180–225/31.49–46.06	143
9 degradation	degradation	90–180/>31.49	613

= number of pixels (total number of image pixels  $5.04 \times 10^5$  pixels).

both scenes (compare to Table 2). The final band composite for multi-data classification contains the green, red and near-infrared bands in both scenes.

#### 4.2.2 Change Vector Analysis (CVA)

A first step of CVA involves the calculation of the tasseled cap components brightness (TC 1) and greenness (TC 2), in order to reduce the amount of redundant information of digital images to be analyzed (Kauth and Thomas, 1976). This process can be compared to defining a new two-dimensional feature space, in which the multispectral data occupy two new axis associated with biophysical properties in the areas of interest. According to Lorena et al. (2002), the brightness axis is correlated to variations of soil reflectance whereas the greenness axis is associated with amount and vitality of vegetation. Tasseled cap components were calculated for IKONOS multispectral data according to the equations (Horne, 2003):

$$TC\ 1 = 0.326 \cdot x_{blue} + 0.509 \cdot x_{green} + 0.560 \cdot x_{red} + 0.567 \cdot x_{nir},$$

$$TC\ 2 = -0.311 \cdot x_{blue} - 0.356 \cdot x_{green} - 0.325 \cdot x_{red} + 0.819 \cdot x_{nir}, \quad (2)$$

where TC 1 and TC 2 are the tasseled cap components brightness and greenness and  $x$  represents the value of the respective multispectral band. The position variation of the same pixel during different data acquisitions within the two-dimensional space can be determined by the magnitude and direction of the spectral change vectors. The vector's magnitude was calculated using the Euclidean distance between the positions of a pixel within the feature space of different data acquisitions, using the equation:

$$R = \sqrt{(ya - yb)^2 + (xa - xb)^2} \quad (3)$$

where  $R$  is the Euclidean distance,  $ya$  and  $yb$  are the greenness values from January 2005 and January 2003 respec-

tively, and  $xa$  and  $xb$  the brightness values from January 2005 and January 2003, respectively.

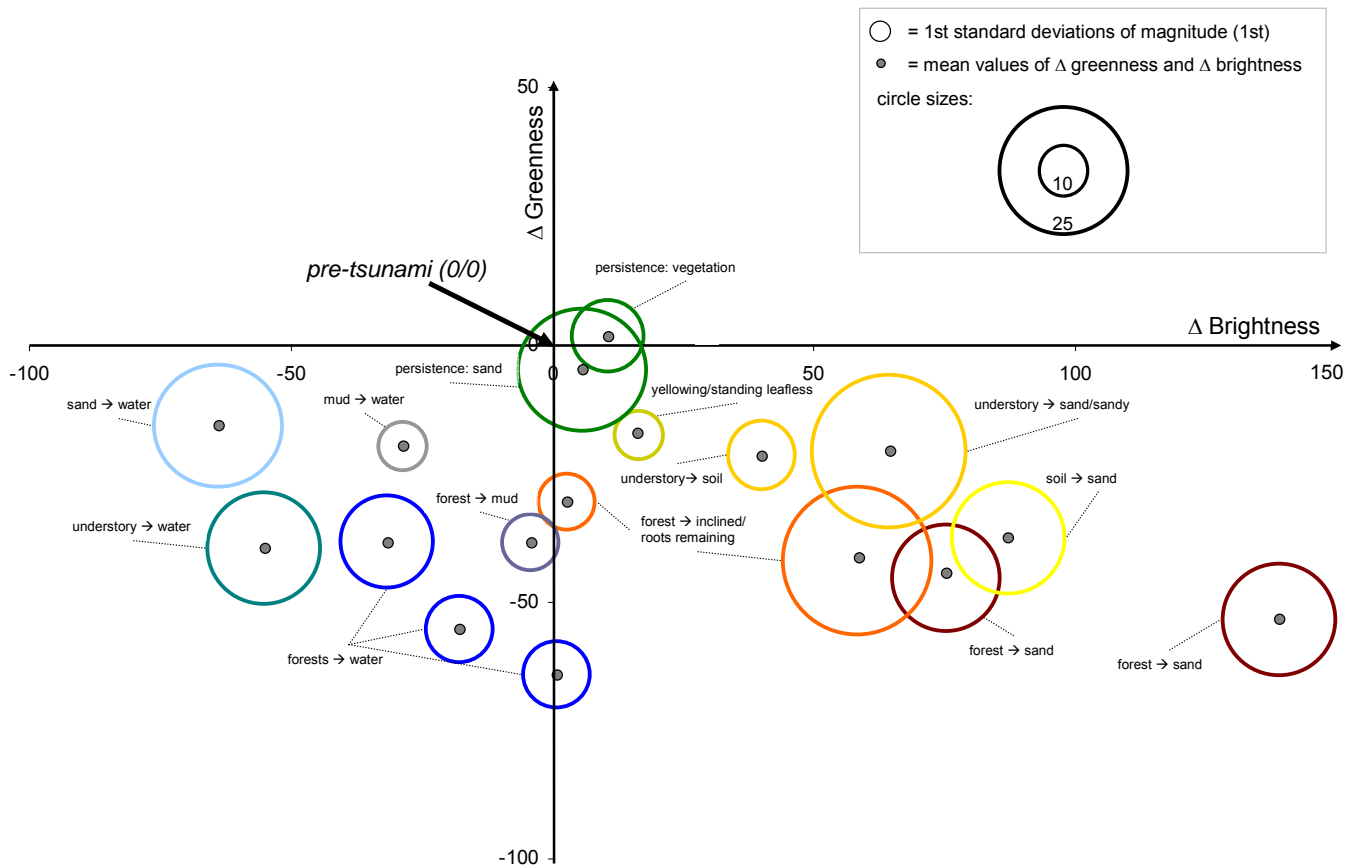
The direction or angle of the change vector is determined by the type of occurring change. Due to the fact that only two components are used for CVA, only four major change directions are possible:

- 0–90°: increase in both components,
- 90–180°: increase in brightness, decrease in greenness,
- 180–270°: decrease in both components,
- 270–360°: decrease in brightness and increase in greenness.

Similar to a decision tree classification, the final definition of change classes (Table 4) was carried out by user defined thresholds of direction and magnitude values. Spectral statistics of training areas, computed for the multi-date classification (Sect. 4.2.1), were used for decision support (Fig. 7). The threshold distinguishing between change- and no-change-information (31.49) was defined based on the first standard deviation from the mean magnitude calculated for training class IDs 1 and 2 (Table 2). Another threshold of 46.06 was defined based on 1.5 times the standard deviation of the vector's magnitude.

A differentiation between damage of understory vegetation and damage of forests was restricted to the third quadrant between 180 and 270° of the feature space. Thus, the change class defined in the second quadrant “degradation” contains multiple types of land cover changes as defined in DMC including the class IDs 3, 4, 8, 10, 12, and 13 from Table 2.

Except for the change classes 4–6 (understory/soils to water), the same test areas from DMC could be used again. The test area for the class “degradation” is composed of four different test areas resulting from the change class IDs 3, 4, 8, 10, 12, and 13.



**Fig. 7.** Training classes from DMC displayed in a two dimensional feature space.

**Table 5.** Damage statistics (area in %) based on DMC.

damage type	mangrove forests	casuarina beach forest	coconut plantation	mixed beach forest	melaleuca forest
forest → sand	22.67	27.10	21.04	0.35	0.73
forest → water	3.42	4.94	0.88	0.13	0.47
forest → mud	23.37	0.27	0.12	0.48	0.00
forest inclined/roots remaining	4.17	5.67	5.59	0.29	0.02
indirect damage	7.52	1.69	3.52	52.90	21.36
degradation of soils/understory	4.97	13.72	34.24	1.10	15.85
no damage	32.05	45.52	34.58	44.76	61.68
other	0.31	1.08	0.03	0.00	0.00
total area (ha)	107.90	75.90	451.00	130.10	42.20

## 5 Results

### 5.1 Direct multi-date classification

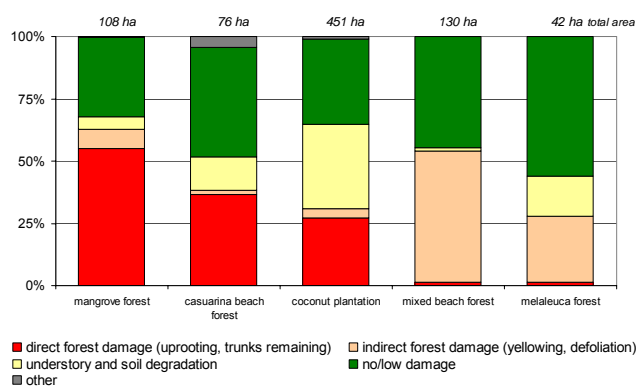
Damage statistics for all the five forest types are illustrated in Table 5 (aggregated, except for direct forest damage) and Fig. 8 (aggregated). It can be concluded that damage patterns vary among the five coastal forest ecosys-

tems: mangroves were the most damaged forest type with a total of 60 ha of forest area directly damaged (change classes 9–12, see Table 2). This relates to 55% of the initial mangrove area located within the inundation zone. Indirect damage, such as drying and yellowing of leaves or defoliation occurred mainly in mixed beach forests and melaleuca forests. These forests types are exclusively located in Thai Mueang National park where wave energy



**Table 6.** Damage statistics based on CVA.

damage type (area in %)	mangrove forests	casuarina beach forest	coconut plantation	mixed beach forest	melaleuca forest
understory/soils → water forest → mud	0.32	0.35	0.59	0.04	0.33
forest → water degradation	4.80	0.86	1.0	3.93	0.03
no damage	23.37	3.13	1.43	3.54	0.03
other	33.48	42.7	61.70	7.69	7.01
total area (ha)	38.03	52.71	35.12	84.78	91.61
	0	0.30	0.16	0.02	1.76
	107.90	75.90	451.00	130.10	42.20

**Fig. 8.** Damage patterns based on direct-multi-date classification.

was relatively low (Ioualalen et al., 2007). Casuarina beach forests were also severely damaged by the tsunami, with 38% of direct damage. Tsunami induced direct damage to coconut plantation is very likely overestimated, due to man made changes which have taken place between image acquisition dates. Damage of understory and soils is maximal in coconut plantation and melaleuca forest, where forest stand densities are usually low. Melaleuca forest was least damaged with approximately 62% of the area classified as “no/low damage” and about 16% as “understory or soil degradation”.

Spatial patterns of forest damage are shown in Fig. 9 for selected subsets. As illustrated in maps (a) and (d), severe damage occurred particularly near the rivers (blue areas). This is very likely related to the higher intensity of the tsunami backwash which was channelled and accelerated in river valleys. In example (e) a sand dune was completely breached. As a consequence, the tin mining pond in the back side of the dune has evolved to a small bay. Indirect tsunami impact on mixed beach forests are concentrated more in the hinterland than near the coast, probably a consequence of the present swales in this area. Another reason could be a change in species composition towards a higher abundance

of salt-intolerant species. The figure also illustrates (c) that melaleuca forests were only slightly affected by the tsunami.

The quality of change detection results was examined based on test areas (N=1931) as described in Table 2. An overall accuracy of 96.4% and a Kappa value of 0.947 indicate very good results. Confusion matrices are shown in Appendix A.

## 5.2 Change vector analysis

Because CVA is based on the combined examination of vector’s magnitude and direction, the isolated examination of both measures, as illustrated in Fig. 10, provide low information on the kind and intensity of forest damage.

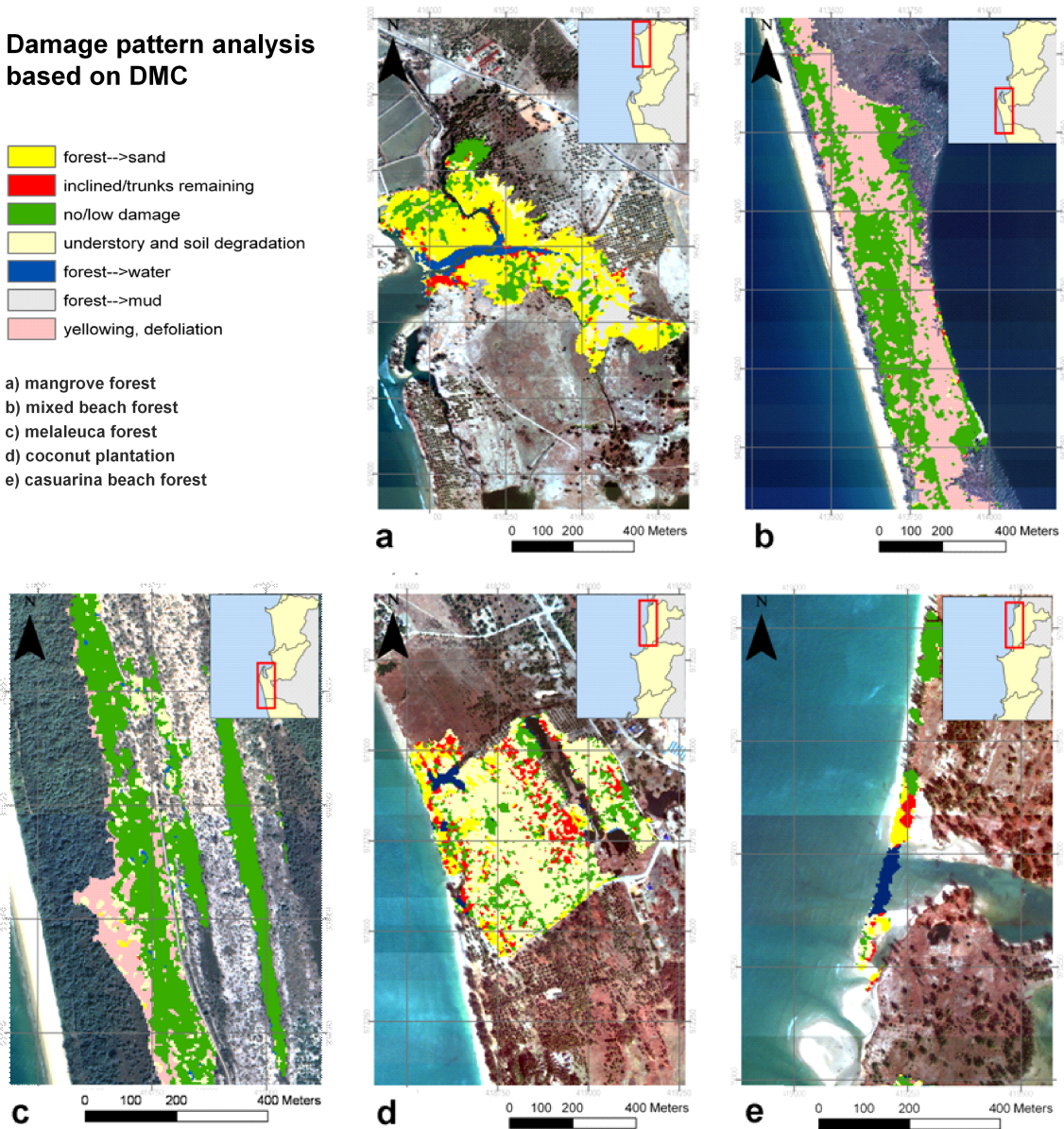
Damage statistics derived from CVA are given in Table 6 and Fig. 11 for the aggregated classes. As already pointed out in DMC, 91.61% of the melaleuca forest area has been classified as “no damage”, closely followed by mixed beach forest with 84.5%. From the statistics it can be assumed that coconut plantations are the most damaged and thus most sensitive forest type in this study. Only 35% of the coconut area is classified as “no damage”, followed by mangroves with 38%. But without knowing the relation between understory or soil damage and direct tree damage, any assumptions concerning the actual forest damage are uncertain. Direct forest damage including “forest to water” and “forest to mud” occurred mainly in mangroves (28.17%), followed by mixed beach forest (7.5%) which is neither consistent with visual interpretation of pan-sharpened IKONOS imagery nor with findings from literature.

Spatial patterns of forest damage are shown in Fig. 12 for selected subsets. As pointed out in Fig. 9, severe direct damage to forest trees such as the class “forest to water” particularly occurred near the river mouths (Fig. 12a and e) or near the shore (Fig. 12d). In CVA the class “degradation” includes both, damage to understory vegetation or soils and damage to trees, which complicates the estimation of the damaged forest area. An accuracy assessment was carried out based on five test areas (2081 pixels) as described in Table 4. With

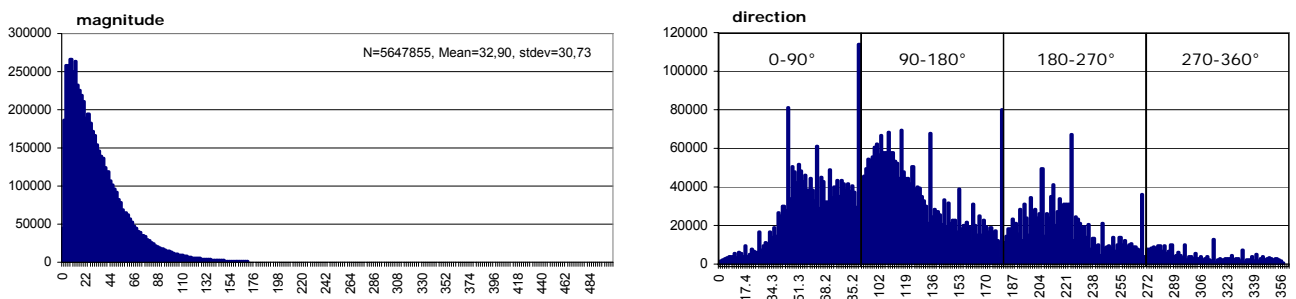
**Damage pattern analysis based on DMC**

- forest-->sand
- inclined/trunks remaining
- no/low damage
- understory and soil degradation
- forest-->water
- forest-->mud
- yellowing, defoliation

- a) mangrove forest
- b) mixed beach forest
- c) melaleuca forest
- d) coconut plantation
- e) casuarina beach forest



**Fig. 9.** Change maps based on DMC, illustrated for the five coastal forests in the Phang-Nga province.



**Fig. 10.** Frequency distributions of change vector's magnitude and direction.

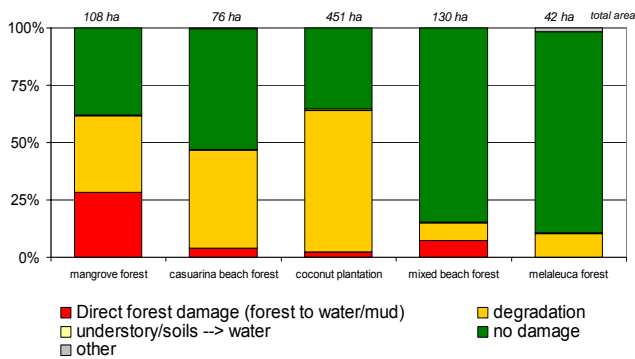


Fig. 11. Damage patterns based on CVA.

an overall accuracy of 68.62% and a Kappa value of 0.61, CVA led to satisfactory to sufficient results. Inaccuracies mainly appear for the class “degradation” (producer accuracy of 43%) where 39% of pixels were incorrectly classified as “no damage”. This becomes clear in Fig. 12b, where large areas which were damaged indirectly by the tsunami (see Fig. 9b) are now classified as “no damage”. Confusion matrices for CVA are listed in Appendix B.

## 6 Discussion

Assessing ecological vulnerability of coastal forests requires a good understanding of the damage caused by the tsunami. Since damage patterns vary in space and are determined by different influencing factors, such as distance to shoreline and rivers, elevation or coast inclination, detailed spatial damage information was derived for the whole coastal area between Ban Nam Khem in the North and Thai Mueang in the South. The two methods applied here showed different results, varying according to accuracy, expenditure of work and usefulness for vulnerability assessment.

### 6.1 Accuracy

As pointed out in Sect. 5, DMC provides much more accurate results than CVA in terms of information content and clearness of the created change classes and the calculated accuracy for the change maps (96.4% for DMC and 68.6% for CVA, respectively). Thus, damage assessment based on DMC is much more precise, especially for low density forests such as coconut plantations. The accuracy of the change detection results was also audited by aggregating change classes in one no-damage class and one damage class (cp. Table 7 and Appendices C and D). Although accuracy measures are closer than in the disaggregated approach in Sect. 5, DMC still provides more accurate results than CVA. It becomes clear that the total damage area is underestimated in CVA. This problem resulted from the difficulty of select-

ing an adequate threshold distinguishing between change- and no-change information, as described in Sect. 4.2.2.

Figure 13 shows that an underestimation of damage particularly occurs for melaleuca forests and mixed beach forest. These forest types have been predominantly damaged only slightly and indirectly.

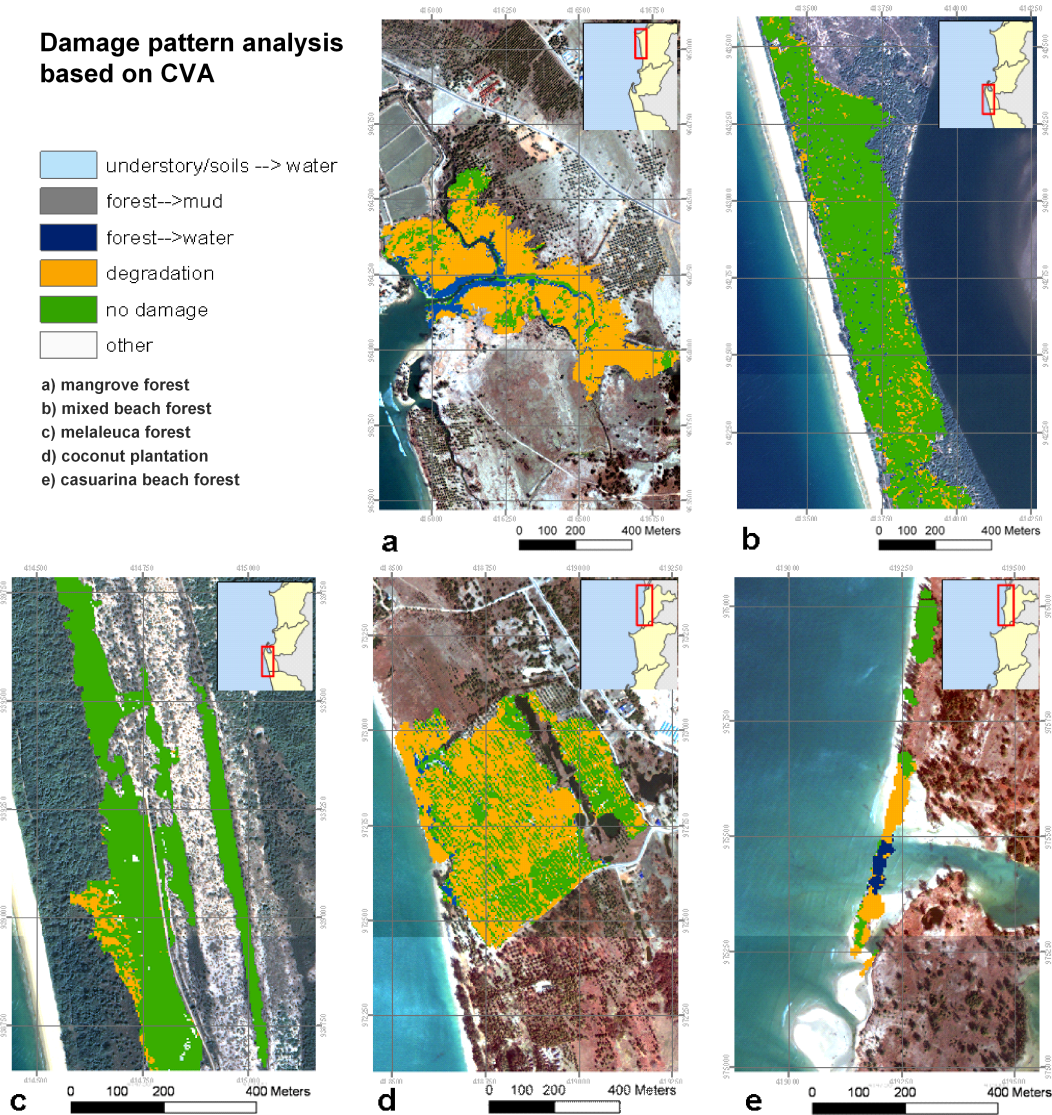
### 6.2 Expenditure of time and work

Although technical implementation of CVA is easier than DMC, both methods require producer’s expertise in order to correctly interpret change detection results. Disadvantages in DMC involve the problem of considering many different types of change classes during the classification process and the fact that the degree of automation is relatively low. Performing CVA is quicker in the beginning phase, since rough change information can be automatically extracted from the change vector’s magnitude and direction. The challenge here lies in the selection of appropriate change thresholds and further differentiations of change classes (interpretation). Thus, expenditure of time and work is almost the same for both methods with some advantages for CVA.

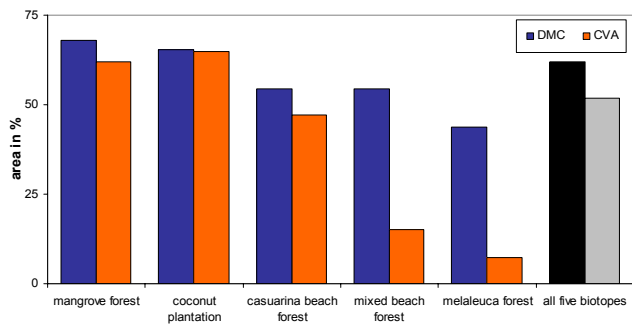
### 6.3 Transferability and implementation

While being slightly more complex than CVA regarding expenditure of time and work, DMC provides more accurate results and is thus preferable for image based damage analysis. From this work it can be concluded that digital change detection techniques like CVA and DMC allow the estimation of ecological damages and are suited for ecological vulnerability assessment. Moreover it becomes clear that the selection of an adequate change detection technique is a crucial step when using remote sensing techniques to analyse forest damage. The usage of the high resolution IKONOS imagery is appropriate, because accurate spatial information of forest damage can be provided. Especially for the small patches of casuarina forests, it must be expected that the application of medium resolution imagery like ASTER (15 m) or Landsat ETM+ (30 m) would not have led to satisfactory results.

The application of remote sensing and digital change detection induces uncertainties which have to be taken into account when interpreting the results: Due to a lack of in-situ information on land use changes occurring between the first image acquisition date and the tsunami, land cover changes cannot be automatically related to tsunami induced impacts. Especially in the case of coconut plantations, human interventions may have occurred in this period. This problem may lead to an overestimation of the calculated damage area for coconut plantation, as illustrated in Fig. 14. Furthermore, there is a lack of more detailed spatial damage information, which cannot be sufficiently derived from satellite data, such as information on changes in soil conditions or damage information for specific plant species.



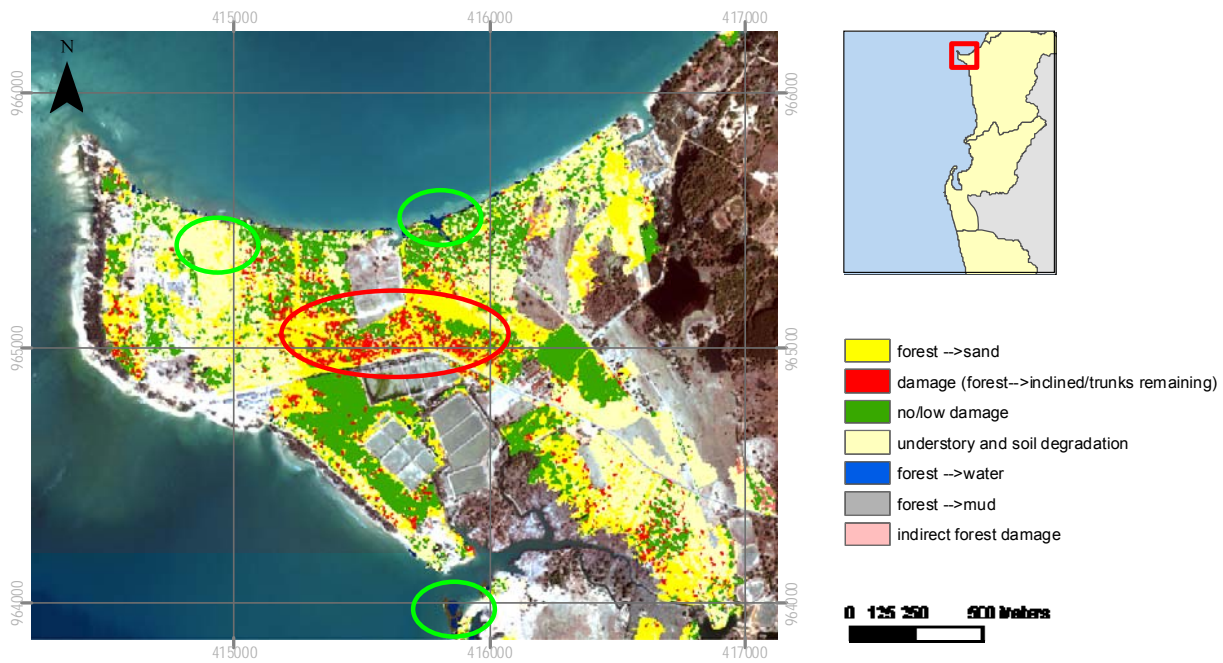
**Fig. 12.** Change maps based on CVA, illustrated for the five coastal forests in the Phang-Nga province.



**Fig. 13.** Estimated damaged area for different forest types based on DMC and CVA.

## 7 Concluding remarks

This paper presents a remote sensing based approach for estimating tsunami induced impacts on coastal forests. The damage maps help to better understand the tsunami impact on coastal forest ecosystems. Additionally they provide valuable information to estimate tsunami sensitivity of coastal forests. It was emphasized that the accuracy of the results provided by digital change detection strongly depends on the respective technique. DMC clearly is the more effective and accurate change detection method, since a complex matrix of change information, so called from-to information, can be provided. For CVA, change information depends heavily on the selection of suitable thresholds (cp. Lu et al., 2004). This analysis showed that there are limitations in both methods, resulting from poor image data availability for the year 2004,



**Fig. 14.** Human induced land use changes versus tsunami induced changes illustrated for coconut plantation near Pakarang Cape (Khao Lak). Change detection results are based on DMC. Human induced land use changes in the hinterland are highlighted in red, whereas tsunami induced changes near the coast are highlighted in green.

**Table 7.** Aggregation of change classes for CVA and DMC.

Method	CVA	DMC
Class ID's*:	4–9/1–2	3–13/1–2
Damage/No damage		
Test area N (pixel):	1093/988	943/988
Damage/No damage		
Area (ha):	417/390	500/307
Damage/No damage		
Area (%)	51.96/48.04	61.96/38.04
Damage/No damage		
Accuracy	86.50/0.73	97.58/0.95
Overall accuracies (%)/ Kappa coefficient		

\* class ID's are taken from Table 2 from DMC and Table 4 for CVA, respectively.

and from the problem that not all of the damage information seen during field observations can easily be detected by digital image analysis. Hence, change detection cannot fully substitute field surveys. The advantages of remote sensing techniques include the possibility of (a) providing spatial information which is particularly necessary for areas difficult to access, (b) analysing processes that already have taken

place, such as the estimation of tsunami impacts on coastal forests, and (c) developing automated, transferable methods. These criteria make remote sensing an effective tool for hazard analysis. Regarding transferability, the change detection techniques from this study can also be applied for the assessment of ecological impacts from other natural or anthropogenic disasters, e.g. earthquakes, volcanic eruptions, hurricanes or tornados. Additionally, if more image data from the following periods are available, the techniques could also be applied for the estimation of long-term tsunami impacts on coastal forests as well as forest recovery.

## Appendix A

### Accuracy assessment DMC.

	Ground truth (Pixels)							total
	forest → sand	forest inclined	forest → water	indirect	forest → mud	no damage	soil/understory degradation	
forest → sand	147	3	1	0	0	0	9	160
forest inclined	1	132	5	0	0	0	0	138
forest → water	0	0	180	0	0	0	0	180
indirect	0	0	0	225	0	0	0	225
forest → mud	0	0	0	0	143	0	0	143
no damage	0	7	0	36	0	984	0	1027
soil/understory degradation	0	3	1	0	0	4	50	58
total	148	145	187	261	143	988	59	1931

	Ground truth (Percent)							total
	forest → sand	forest inclined	forest → water	indirect	forest → mud	no damage	soil/understory degradation	
forest → sand	99.32	2.07	0.53	0.00	0.00	0.00	15.25	13.45
forest inclined	0.68	91.03	2.67	0.00	0.00	0.00	0.00	11.60
forest → water	0.00	0.00	96.26	0.00	0.00	0.00	0.00	15.13
indirect	0.00	0.00	0.00	86.21	0.00	0.00	0.00	18.91
forest → mud	0.00	0.00	0.00	0.00	100.00	0.00	0.00	12.02
no damage	0.00	4.83	0.00	13.79	0.00	99.60	0.00	86.30
soil/understory degradation	0.00	2.07	0.53	0.00	0.00	0.40	84.75	4.87
total	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00

Class	Commission (Percent)	Omission (Percent)	Commission (Pixels)	Omission (Pixels)
forest → sand	8.13	0.68	13/160	1/148
forest inclined	4.35	8.97	6/138	13/145
forest → water	0.00	3.74	0/180	7/187
forest → yellowing	0.00	13.79	0/225	36/261
forest → mud	0.00	0.00	0/143	0/143
no damage	4.19	0.40	43/1027	4/988
soil/understory degradation	13.79	15.25	8/58	9/59

Class	Prod. Acc. (Percent)	User Acc. (Percent)	Prod. Acc. (Pixels)	User Acc. (Pixels)
forest → sand	99.32	91.88	147/148	147/160
forest inclined	91.03	95.65	132/145	132/138
forest → water	96.26	100.00	180/187	180/180
forest → yellowing	86.21	100.00	225/261	225/225
forest → mud	100.00	100.00	143/143	143/143
no damage	99.60	95.81	984/988	984/1027
soil/understory degradation	84.75	86.21	50/59	50/58

**Appendix B****Accuracy assessment CVA.**

	Ground truth (Pixels)					
	understory → water/mud	forest → mud	degradation	no/low damage	forest → water	total
understory → water/mud	80	0	0	0	9	89
forest → mud	6	105	74	0	4	189
degradation	15	5	263	8	25	316
no/low damage	8	8	236	980	20	1252
forest → water	41	25	40	0	129	235
total	150	143	613	988	187	2081

	Ground truth (Percent)					
	understory → water/mud	forest → mud	degradation	no/low damage	forest → water	total
understory → water/mud	53.33	0.00	0.00	0.00	4.81	4.28
forest → mud	4.00	73.43	12.07	0.00	2.14	9.08
degradation	10.00	3.50	42.90	0.81	13.37	15.19
no/low damage	5.33	5.59	38.50	99.19	10.70	60.16
forest → water	27.33	17.48	6.53	0.00	68.98	11.29
total	100.00	100.00	100.00	100.00	100.00	100.00

Class	Commission	Omission	Commission	Omission
	(Percent)	(Percent)	(Pixels)	(Pixels)
understory → water/mud	10.11	46.67	9/89	70/150
forest → mud	44.44	26.57	84/189	38/143
degradation	16.77	57.10	53/316	350/613
no/low damage	21.73	0.81	272/1252	8/988
forest → water	45.11	31.02	0/143	58/187

Class	Prod. Acc.	User Acc.	Prod. Acc.	User Acc.
	(Percent)	(Percent)	(Pixels)	(Pixels)
understory → water/mud	53.33	89.89	147/148	147/160
forest → mud	73.43	55.56	132/145	132/138
degradation	42.90	83.23	180/187	180/180
no/low damage	99.19	78.27	225/261	225/225
forest → water	68.98	54.89	143/143	143/143

## Appendix C

### Confusion matrices DMC (aggregated change classes).

	Ground truth (Pixels)		
	damage	no damage	total
damage	900	4	904
no damage	43	984	1027
total	943	988	1931

	Ground truth (Percent)		
	damage	no damage	total
damage	95.44	0.40	75.71
no damage	4.56	99.59	24.29
total	100.00	100.00	100.00

## Appendix D

### Confusion matrices CVA (aggregated change classes).

	Ground truth (Pixels)		
	damage	no damage	total
damage	821	8	829
no damage	272	980	1252
total	1093	988	2081

	Ground truth (Percent)		
	damage	no damage	total
damage	75.11	0.81	39.84
no damage	24.89	99.19	60.16
total	100.00	100.00	100.00

*Acknowledgements.* The research presented in this paper builds upon the project “Tsunami Risks, Vulnerability and Resilience in the Phang-Nga Province, Thailand” funded by the German Research Foundation.

Edited by: K.-T. Chang

Reviewed by: two anonymous referees

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