

# Spatial and temporal patterns of land surface fluxes from remotely sensed surface temperatures within an uncertainty modelling framework

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**Abstract.** Characterising the development of evapotranspiration through time is a difficult task, particularly when utilising remote sensing data, because retrieved information is often spatially dense, but temporally sparse. Techniques to expand these essentially instantaneous measures are not only limited, they are restricted by the general paucity of information describing the spatial distribution and temporal evolution of evaporative patterns. In a novel approach, temporal changes in land surface temperatures, derived from NOAA-AVHRR imagery and a generalised split-window algorithm, are used as a calibration variable in a simple land surface scheme (TOPUP) and combined within the Generalised Likelihood Uncertainty Estimation (GLUE) methodology to provide estimates of areal evapotranspiration at the pixel scale. Such an approach offers an innovative means of transcending the patch or landscape scale of SVAT type models, to spatially distributed estimates of model output. The resulting spatial and temporal patterns of land surface fluxes and surface resistance are used to more fully understand the hydro-ecological trends observed across a study catchment in eastern Australia. The modelling approach is assessed by comparing predicted cumulative evapotranspiration values with surface fluxes determined from Bowen ratio systems and using auxiliary information such as in-situ soil moisture measurements and depth to groundwater to corroborate observed responses.

## 1 Introduction

Early attempts at determining spatial distributions of evapotranspiration at regional scales were often based on geostatistical and interpolation procedures (e.g. kriging and splines), using data from sparsely distributed meteorological stations to produce large scale maps of evapotranspiration and other hydrological variables. Alternatively, when regional water balance closure was attempted, estimates of evapotranspiration were generally determined as the difference between long-term rainfall and runoff. In more recent times, a variety of modelling approaches have been developed to estimate evapotranspiration at both field and regional scales (Zhang et al., 1995; Li and Lyons, 1999; Braun et al., 2001), with these types of approaches generally relying on the broad application of effective parameter values to large homogeneous units of the land surface. Parameter uncertainty or sensitivity (Beven and Binley, 1992; Franks and Beven, 1997; Gupta et al., 1999) is rarely considered, thus the accuracy of predicted values cannot be assessed.

There is a general belief that remote sensing offers the most amenable means towards obtaining spatial evapotranspiration patterns, although there exists little agreement on how best to realise this. While numerous schemes and methodologies have been proposed to provide estimates of land surface fluxes using surface temperatures obtained from remote sensors (Diak and Whipple, 1995; Anderson et al., 1997; Norman et al., 2000), estimation of evapotranspiration in this way has achieved varied levels of success. Whether this is due to the disparity between the aerodynamic and radiometric temperatures, to conceptual misrepresentations or to the inevitable scale issues that plague hydrological modelling, heat fluxes estimated in this way are often subject to significant uncertainty. Undoubtedly, successful measurement of surface fluxes with remote sensing techniques would

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provide a valuable information source, and much progress is being made towards achieving this (e.g. Norman et al., 2003; Su et al., 2005). The ability to calibrate land surface models and hence refine model predictions at larger spatial scales using such measurements would see an immediate improvement over existing techniques.

Humes et al. (2000) investigated a technique to provide maps of surface energy fluxes for two small watersheds located in different climatic conditions. A novel aspect of their approach was the use of spatial maps to identify the dominant factors controlling the energy fluxes for time periods shortly after precipitation events. The authors found that in the semi-arid environment studied, the patterns of sensible heat across the watershed were similar to that of the spatially variable cumulative precipitation. In contrast, sensible heat flux patterns in the sub-humid watershed tended to be more uniform and were influenced by a combination of precipitation and land cover type. The use of data in a qualitative way can often be as informative as techniques designed to quantitatively examine spatial patterns, particularly given the uncertainties evident in model structure and input data and inconsistencies between observed and modeled variables. McCabe et al. (2005c) presented an intuitive example of the qualitative use of hydrological data sets by evaluating satellite soil moisture estimates using distributed precipitation patterns. The pattern rich information present in remote sensing data offers much potential for such application, but relatively little effort has been directed towards examining this. Qualitative evaluation of models is one aspect of a move towards approaches that incorporate remote sensing as an alternative or proxy source of calibration information. The attraction of such a temporally consistent and spatially dense source of data is evident, given the paucity of ground based evaluation data available over much of the Earth.

Franks and Beven (1997) presented a methodology for the representation of spatial variability in land surface fluxes using LANDSAT data and a simple SVAT model. Using multiple realisations of the TOPUP model (Beven and Quinn, 1994), they classified the numerous model outputs into a number of functional types with different surface behaviour. Pixel scale flux estimates calculated from the satellite platform were then used to map surface fluxes across the landscape using a fuzzy-disaggregation scheme – in effect mapping the landscape space of the satellite estimates into the model space of the TOPUP functional types. Such an approach represents a novel way in which a patch based model can be used to spatially disaggregate the modelled distribution of surface fluxes across a landscape, whilst incorporating both model and image uncertainty. The TOPUP model was particularly well suited to this style of spatial disaggregation, as it accounts for a range of possible landscape responses by producing multiple model realisations. Information from satellite platforms or other ground based sources can then be used to identify the likely landscape responses from the many possible model outputs using traditional cali-

bration techniques.

The information content that is present in a temporal record of surface temperature has been largely ignored in calibration and modelling studies, with most techniques preferring instantaneous remotely sensed temperatures in energy balance equations to determine surface flux predictions. Recent work (McCabe et al., 2005a), illustrated that the temporal change in surface temperature can provide a useful tool for the calibration of a simple land surface model. The authors showed that by using observed infrared temperature differences to calibrate against modelled aerodynamic temperature, a significant reduction in the a priori uncertainty bounds of the latent heat resulted, facilitating improved prediction of surface fluxes. The work presented in this paper extends this concept using spatially distributed surface temperature differences derived from the NOAA-AVHRR platform as a means to identify land surface behaviour throughout a study catchment.

Many practically based models of evaporation rely on estimates of the potential evaporation, from which the actual evaporation can be derived using a variety of correction factors. Wallace (1995) commented that evapotranspiration studies should employ techniques that calculate the evaporation using the surface resistance directly – such as the physiological resistance to water vapour transport used in the Penman-Monteith equation. Surface resistance describes the physiological controls that plants have on water vapour transport on its route from inside the leaf, through the stomatal openings and ultimately into the bulk atmosphere. The complexity in modelling such controls is obvious, as stomatal response is a function of the moisture demand of the plant, the moisture conditions of the soil and atmosphere, as well as the time of day and season. Given the difficulty in measuring this variable and the important role it plays in evaporation studies, empirical relationships have been sought between the surface resistance and leaf cover, soil water status and a number of other environmental variables (Nemani and Running, 1989; Shuttleworth and Gurney, 1990; Jiang and Islam, 1999). Through the close relationship between the surface resistance and evapotranspiration, it is expected that the temporal and spatial patterns of this variable should corroborate the calibrated latent heat flux results, and also allow insight into its dynamic nature during periods of varied hydrometeorology.

This paper addresses the use of remotely sensed surface temperature differences within an uncertainty modelling framework to predict spatial patterns of evapotranspiration. Further, through undertaking a calibration of the land surface model with observations of the surface temperature, an assessment of the spatial distribution and temporal response of the surface resistance to variable hydro-climatic forcing is achieved. The results obtained from this calibration exercise are compared using flux data obtained from Bowen ratio derived in situ measurements located in a 275 km<sup>2</sup> catchment in eastern Australia.

**Table 1.** TOPUP model parameterisation for the Drop Zone field investigation.

| Parameter         | Parameter Description                                     | Range      |
|-------------------|---|------------|
| $F_A$             | Fractional upslope area                                   | 0.1–1      |
| TTANB             | Product of transmissivity and hydraulic gradient, $m^2/h$ | 0.005–0.04 |
| $m$               | Transmissivity profile and recession curve parameter      | 0.005–0.05 |
| RSMIN             | Minimum surface resistance, s/m                           | 50–150     |
| RSMAX             | Maximum surface resistance, s/m                           | 300–1000   |
| SRMAX             | Root zone storage, m                                      | 0.02–0.2   |
| INSR              | Initial fractional root zone store                        | 0.01–1.0   |
| MAXINT            | Interception store, m                                     | 0.005–0.01 |
| VTD               | Vertical time delay through unsaturated zone, $h\ m^{-1}$ | 0.05–20    |
| DTH1              | Gravity drainage effective storage coefficient            | 0.05–0.15  |
| DTH2              | Root zone effective storage coefficient                   | 0.05–0.40  |
| $\ln(z_0/z_h)$    | log roughness length ratio for momentum and heat flux     | 1–10       |
| $z_0$             | Roughness length for momentum flux, m                     | 0.01–0.25  |
| $d$               | Zero displacement height, m                               | 0.05–0.35  |
| GHFP ( $\omega$ ) | Ground heat flux parameter                                | 0.05–0.20  |

## 2 Methodology

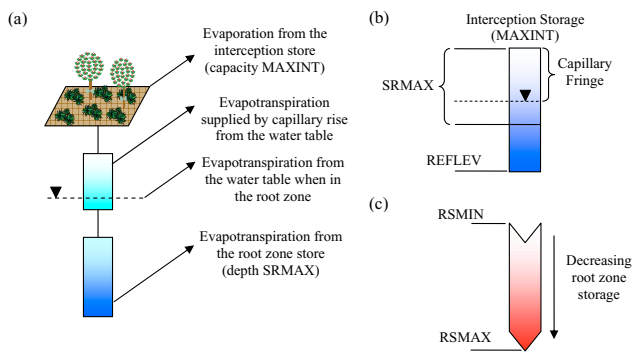
### 2.1 The TOPUP land surface model

The TOPUP model (Beven and Quinn, 1994; Franks et al., 1999) was developed to counter a trend towards more complex Soil Vegetation Atmosphere Transfer (SVAT) descriptions. The underlying rationale behind models of increased complexity is that improved process representation will yield parameters that are easier to measure or estimate and provide predictions that are more accurate. However, this is not necessarily the case for a number of reasons including (a) model parameters may not be equivalent to observed variables; (b) parameters that are physically represented may be difficult or impossible to measure (SVAT models aim to produce effective values for the various parameters at patch, regional or larger scales and these cannot be easily estimated); and (c) issues of parameter inequality when moving between spatial and temporal scales remain unresolved.

The philosophy behind TOPUP details a move towards striking a balance between representing the key physical processes affecting land surface interactions while doing so in a parametrically parsimonious manner. The rationale for developing a simplified model structure is that simplicity is necessary to validate the use of SVAT models in the field. Limited calibration data is available for such purposes, again highlighting the significant parametric and predictive uncertainty which exists in the general calibration, or more precisely, in the evaluation of SVAT models. This problem is compounded for more complex model structures that are grossly over-parameterised with respect to the available calibration-evaluation data sets (Jakeman and Hornberger, 1993).

The dominant hydrological processes affecting evapotranspiration have been incorporated into TOPUP in the simplest representation possible, whilst retaining a physically realistic conceptual foundation. Similar to many SVAT models, the water availability is controlled by a single bucket type storage function. TOPUP also includes a representation of the lateral redistribution of water flow across the model ‘patch’, an important contribution of water supply in many landscapes, although not a significant consideration within the study area. TOPUP consists of three main moisture stores representing a vegetation interception, root zone storage and a variable, or dynamic, water table. These provide four main pathways for evaporation to proceed including; (1) evaporation from the interception store; (2) evapotranspiration from the root zone store; (3) evapotranspiration supplied by capillary rise from the water table; and (4) evapotranspiration from the water table when it is in the root zone (see Fig. 1a).

Water is routed through the system in a simple linear progression, modelling as closely as possible the physical process of water redistribution within the vertical profile. For example, given a sample rain event, the incident rainfall will initially be routed into the interception store until its capacity (MAXINT) is reached. At this point, moisture is then directed into the root zone storage until exceedence of the controlling parameter SRMAX is reached. Finally excess water from the root zone is routed to the water table, with a vertical time delay parameter (VTD), controlling the flow time. Given that the land surface at the research field site was consistently flat, the assumption of a minimal hydraulic gradient was employed. Thus, the lateral subsurface flow components within the model were not utilised for this study. Figure 1 presents a schematic of many of the processes described here and Table 1 an outline of the major parameters employed in TOPUP operation.



**Fig. 1.** Schematic of (a) the four pathways available to evapotranspiration within TOPUP; (b) a description of the sub-surface parameterisations for root zone storage (SRMAX), maximum water table depth (REFLEV) and maximum interception storage (MAXINT); (c) a representation of the linear decrease in surface resistance as determined by the decrease in moisture availability in the root zone storage.

Within TOPUP, the surface resistance is a dynamic variable that is calculated at each time step according to the moisture content of the model's three water stores. The surface resistance is assigned a value of 0 s/m when the interception store is at a maximum. As moisture within the interception store (canopy) is evaporated,  $r_s$  is increased linearly up to a value of RSMIN. The parameter RSMIN expresses the surface resistance of an empty interception store (dry canopy) which is not limited by water supply. Once the interception store has been depleted, the water available for evapotranspiration is then a function of the moisture content of the root zone, capillary rise through the unsaturated zone from the water table and from the water table directly if it has intersected the root zone boundaries. In a similar way as described for the interception store,  $r_s$  is increased linearly to a value of RSMAX (the maximum surface resistance) at which point the available moisture has reached a minimum and the vegetation becomes water limited. Figure 1c illustrates the linear variation in surface resistance with changing moisture status.

In order to achieve closure of the surface energy balance, a series of equations have been used that allow determination of individual components of the energy balance as well as the aerodynamic surface temperature – of primary interest for the methodology proposed here. The equations characterise expressions for the surface energy balance and the latent and sensible heat flux, and are presented below:

$$R_n - G = H + LE \quad (1)$$

$$LE = \frac{\rho c_p (e_o - e)}{\gamma r_s} \quad (2)$$

$$LE = \frac{\rho c_p (e_s[T_o] - e_o)}{\gamma r_s} \quad (3)$$

$$H = \frac{\rho c_p (T_o - T_a)}{r_a} \quad (4)$$

where  $R_n$  is the net radiation,  $LE$ ,  $H$  and  $G$  are the latent, sensible and ground heat fluxes (all in  $\text{W}/\text{m}^2$ ),  $T_o$  is the aerodynamic surface temperature,  $e_s[T_o]$ ,  $e_o$  and  $e$  are the vapour pressures within the leaf stomata, at the leaf surface and of the bulk atmosphere respectively,  $r_s$  is the surface resistance and  $r_a$  the aerodynamic resistance.  $\rho$  is the density of the air,  $c_p$  is the specific heat of air, and  $\gamma$  is the psychrometric constant. The soil, or ground heat flux component of the energy balance ( $G$ ), has been represented in TOPUP as a function of the net radiation following studies by Clothier et al. (1986) who revealed that  $G$  follows a diurnal pattern closely matched to variations in the net radiation. The sub-model describing this process in TOPUP is relatively simple, demanding the specification of only one additional parameter, which restricts any unnecessary increase in the dimensions of the parameter space.

TOPUP requires standard meteorological forcing including net radiation, wind speed, air temperature, specific humidity and rainfall. Further details on the model and a more comprehensive review of the underlying physics and rationale can be found in Franks and Beven (1997, 1999). A list of the required model parameters and the values used in this study is shown in Table 1.

## 2.2 Incorporating GLUE into a land surface model

While some land surface model parameters can be measured directly, many others serve as conceptual representations of a physical process and are not known precisely. One approach that has been proposed to address the problem of ill-defined process knowledge and model parameter uncertainty is the Generalised Likelihood Uncertainty Estimation (GLUE) methodology (Beven and Binley, 1992), based on the concept of Generalised Sensitivity Analysis (GSA) (Spear and Hornberger, 1980). Whilst the aim of deterministic modelling approaches is to identify an optimum parameter set, GLUE recognises that many competing parameter combinations can adequately, if not equally well, reproduce the time series of specific model output – a concept known as equifinality. Although there are a number of subjective elements incorporated into the GLUE framework (such as the prior choice of parameter ranges, selection of an appropriate likelihood measure and in the specification of acceptability thresholds), GLUE does force these options to be made explicit.

When parameters cannot be measured directly, which is the rule rather than the exception, broad ranges encompassing expected parameter values can be identified, hence characterising the relative uncertainty in parameter measurements. The specification of feasible ranges for each model parameter recognizes the uncertainty inherent in land surface representations across a variety of scales. Multiple parameter sets can be constructed using Monte-Carlo sampling to

randomly extract parameter sets from the pre-defined ranges. Once parameter sets have been constructed (typically tens of thousands; in this investigation 20 000), the model is run with each set in turn. Assuming that some confidence in the model exists, it is reasonable to assume that within these multiple simulations are a number of model realisations that reflect the actual land surface observations. The issue then becomes one of how to distinguish those model outcomes that reflect what is actually occurring, from those that do not.

GLUE uses a simple likelihood measure to subjectively discriminate those model predictions and parameter sets that most closely reproduce observed variables. In essence, the process describes an evaluation of modelled data against available observations, employing a simple least squares error analysis as the likelihood estimator. The choice of the least squares objective function was based on a number of studies that have employed it under the assumption of an error model based on zero bias with normally distributed errors (e.g. Kuczera, 1983). Using the least squares approach does not eliminate the risk of introducing bias in model identification. After each parameter set is run, a likelihood value is calculated for each model realisation, by comparing with calibration/evaluation data. GLUE (like GSA) allows a threshold level on the least square estimator (or any other performance measure) to define behavioural/non-behavioural parameter sets. In this application, the best 200 (or 1%) of model simulations are identified as the best performing realisations and discriminated for further analysis. More detailed descriptions of the GLUE methodology are provided in Franks and Beven (1997), Beven and Freer (2001) and specific to this investigation, in McCabe et al. (2005a).

### 2.3 Study area, ground based measurements and remote sensing data

The study area in this investigation is located within the Tomago sand beds, a series of unconfined groundwater aquifers located on the mid-north coast of New South Wales, Australia, and encompassing an area of approximately 275 km<sup>2</sup>. Figure 2 details the aquifer extent, and identifies adjacent water bodies. Vegetation communities within the Tomago region are varied and range from open forests and woodlands, to scrub, heath and mangrove communities. There are also a number of wetlands and extensive areas of grasslands, making this both an ecologically diverse and water sensitive environment. While many plant species in the area rely predominantly on the local water table for moisture supply, the ecology, environmental dynamics and continued viability of the system demands that the vegetation be both resilient and tolerant to periods of drought. There have been few investigations into the effect that prolonged lowering of the water table would have on plant communities, an issue made pertinent by planned commercial groundwater exploitation. Following the Koeppen classification system (Koeppen, 1931), the climate of the region was



**Fig. 2.** Map of the Tomago sandbeds, a series of unconfined aquifers on the mid-north coast of New South Wales, Australia. The circled region identifies the location of the in-situ measurements and the central field site. The field site has approximate dimensions of 2 km by 1.5 km and is located near coordinates 151.5° longitude and 33.5° latitude.

characterised as warm-humid-temperate, with rainfall spread evenly throughout the year and a mean annual precipitation varying between 1089 mm and 1257 mm. As is typical of much of Australia, pan evaporation exceeds rainfall for most of the year.

In order to gather information on the catchment for modelling purposes, an intensive data collection campaign was undertaken between December 2000 and March 2001. This collection period was preceded by an extended dry spell, with the first significant rainfall in a number of months occurring in late January. Following this, the remainder of the field campaign was characterised by sporadic rainfall events of varying intensity, interspersed with clear sky conditions, creating a hydrologically informative wetting-up/drying-down dynamic. Additional data required for model forcing such as wind speed, net radiation, dry and wet-bulb temperature and rainfall, were obtained from measurements at a field site in the centre of the study region combined with regional monitoring at a nearby Australian Bureau of Meteorology climate station. To determine model agreement after calibration against observed variables, estimates of the latent heat flux were collected using a Bowen ratio system, located in a central location within the study region (see Fig. 2). These data provide an independent means of assessing the level of consistency between model results.

Remotely sensed surface temperatures were obtained from NOAA-12 and NOAA-14 AVHRR brightness temperature data at a resolution of 1 km, supplied by the Commonwealth Scientific and Industrial Research Organization (CSIRO) Division of Marine Research. Following techniques documented by Prata and Cechet (1999), surface temperatures in the Tomago region were calculated from this imagery using a simple split-window equation (McMillin and Crosby, 1984) and coefficients derived from multiple linear regressions of

the AVHRR data against a ground based infrared thermometer located within the study region. The infrared thermometer samples radiation in a single window in the region 8–12  $\mu\text{m}$ . Due to the limited field of view of these types of instruments (0.15 rad), the thermometer was mounted on a tower approximately 10 m above the ground surface, increasing the field of view to a diameter of 1.5 m at nadir configuration. As the thermometer was installed to allow comparison with diurnal trends extracted from a geostationary satellite, the instrument housing was aligned to a viewing angle of 50°. Land surface temperatures calculated in this way were observed to have root mean square accuracies within 3 K. Further details of this analysis are offered below in Sect. 2.4.

#### 2.4 Developing a calibration record using surface temperatures

Land surface model predictions are more commonly evaluated against observations of surface heat fluxes. At regional and larger scales however, such data are rarely available at the pixel, let alone the regional scale, making model evaluation a difficult process. Calibration (or evaluation) of predictions with non-commensurate, or non-equivalent data, facilitates the use of alternative sources of information such as land surface temperatures into the model assessment framework. Surface temperature measurements have the potential to yield significant insight into the surface dynamics when included within a modelling framework (e.g. Crow et al., 2004; McCabe et al., 2005a) as they are strongly coupled with a number of hydro-ecological processes. The use of temperature differences to gain insight into the surface condition has its origins in thermal inertia studies, in which the time rate of change in the surface temperature is used to infer variations in surface energy storage and to soil moisture status. The thermal inertia concept has been used in a deterministic manner to derive surface flux predictions (Wetzel et al., 1984; Diak and Whipple, 1995; Norman et al., 2000) and also to offer insight into soil moisture dynamics (McVicar and Jupp, 2002).

In order to implement a temperature difference approach to examine the spatial patterns of evapotranspiration across the study region, discrete temperature signatures were required. McCabe et al. (2005a) used the difference between temperature observations at 1.5 and 5.5 h after sunrise, as suggested by Kustas and Humes (1996), who observed that this combination offers significant predictive insight into flux behaviour. The present study utilises NOAA-AVHRR data, limiting the capacity to calculate temperature differences to the 05:30 a.m. and 03:30 p.m. overpasses. While these are not the most ideal temperature pairs, it is expected that they should still offer some insight into flux behaviour. AVHRR temperature pairs at these times were discriminated for useable imagery. Following quality control criteria outlined in Prata and Cechet (1999) and employed in McCabe et al. (2005b), which consider surface and atmospheric influ-

ences, low sensor angles and cloud affected imagery, ten brightness temperature pairs throughout January and February were identified and extracted. Surface temperature estimates were then calculated using regressions to in situ measurements to parameterise the split-window equation. These techniques use combinations of a number of infrared channels to determine surface temperatures from space, but require physically based coefficients in order to transform the satellite brightness temperature to a surface skin temperature. Given the level of data availability in the study area, the theoretically derived split-window approach represents the most suitable technique to determine the land surface temperature.

Although there were a number of afternoon overpasses throughout the field campaign which were cloud free, some difficulties were encountered in obtaining early morning cloud free observations on the relevant days. The problem of morning cloud contamination was the primary limitation to using a greater number of image pairs. For larger scale applications, not affected by land-surface/ocean boundaries as present here, the possibility of using geostationary platforms, such as in the work of Norman et al. (2003), should offer a more extensive and continuous source of calibration data.

#### 2.5 Predictions of evapotranspiration and calculation of surface resistance

The NOAA derived temperature pairs represent precisely 300 1 km<sup>2</sup> land surface pixels, distributed across the spatial domain of the study region (see Fig. 2). In effect, this procedure generates three hundred unique calibration records, each containing ten clear sky surface temperature differences against which model output can be compared. Instead of analysing a single record of temperature differences, as would be done for a traditional point-scale evaluation exercise, all responses derived from the AVHRR temperature records were used as evaluation data, each describing a unique pixel within the region. In this way, numerous temporal records of observations (i.e. surface temperatures) are used to extract from the patch based land surface model a spatially distributed hydrological response (i.e. evapotranspiration).

In order to capture the range of hydrological behaviour expected at the regional scale, the TOPUP model was run numerous times using available meteorological forcing, with required model parameters sourced from the ranges specified as part of the GLUE process. In this application, 20 000 unique parameter sets were constructed using Monte-Carlo random sampling from within the pre-defined parameter ranges (see Table 1) for the 51 days (or 2448 half-hourly time steps) of the field experiment. In order to compare model predictions with observation data, TOPUP-based temperature differences were calculated for each of the 20 000 model runs corresponding to the times of the NOAA-AVHRR overpass. While the modelled aerodynamic temperature and the observed radiometric temperature are not the same variable,



the difference between the two is expected to maintain some temporal consistency (Huband and Monteith, 1986; Bastiaanssen et al., 1998). Moran et al. (1997) indicate that these differences tend to be non-linear for non-vegetated surface. Over the region studied here, there are comparatively few such areas, increasing the confidence in the correlation of these variables.

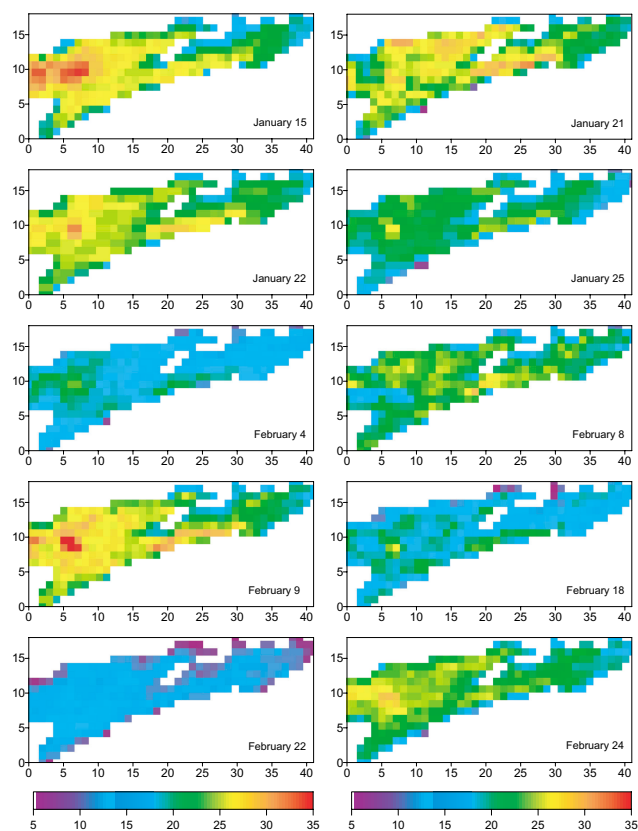
After producing a temporally coincident series of model and observation outcomes, each of the 300 individual NOAA-AVHRR based evaluation records were compared to the 20 000 modelled simulations. A least squares likelihood function was used to discriminate those parameter sets that best reproduced the observed temperature differences from all possible outcomes. From these likelihood values, the best 200 (or 1%) of parameter sets were identified. This process was repeated for each of the 300 evaluation responses, thereby associating each pixel throughout the catchment with the best 200 parameter sets identified from the calibration process. From these sets, the mean cumulative ET and standard deviations for each pixel over both the entire study period and for individual weeks throughout the campaign were determined. The following section presents the results of the spatial patterns of cumulative evapotranspiration derived from the temperature difference records, extending the analysis to include an examination of another model output – the surface resistance patterns observed throughout the study region.

### 3 Results

#### 3.1 Spatial patterns of time changes in land surface temperature

The spatial variation of clear sky temperature differences across the region for the ten clear days discriminated during the experimental period (Fig. 3) illustrate that there is a level of spatial structure evident throughout the region which is related to the underlying surface vegetative conditions or land use. For instance, the regions towards the bottom-middle of the catchment (indicated by pixel coordinates  $x, y=5, 10$ ) exhibit greater surface temperatures differences than surrounding areas in the 15 January image. These pixels correspond to the relatively limited urban (built up) areas located within the catchment. The urban effects can be compared with the relatively lower temperature differences at the top end of the catchment ( $x, y=35, 13$ ), where the surface is dominated by more established vegetation communities. The coastline, following the lower edge of the study region, exhibits an increased temperature range than surrounding pixels due to the influence of sand dunes, which in some areas extend hundreds of metres from the shoreline.

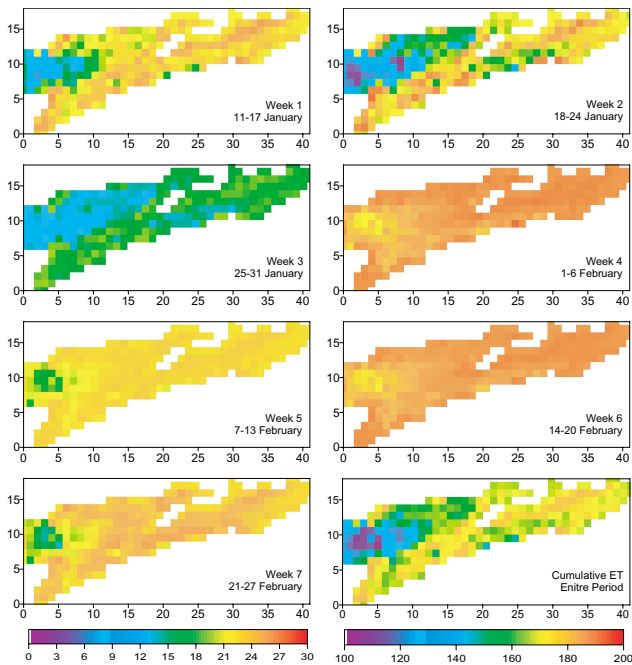
The influence of variations in the moisture status of the catchment can also be observed. The images from the predominantly hotter and drier January period contrast well with



**Fig. 3.** Spatial distribution of surface temperature changes ( $^{\circ}\text{C}$ ) calculated as the difference between early morning (05:30 a.m.) and afternoon (03:30 p.m.) NOAA-AVHRR overpasses.  $x$  and  $y$  units are in kilometers and the images have a pixel scale of 1 km.

the generally cooler and wetter conditions prevalent during much of February. For instance, the images for 4, 18 and 22 February, correspond to periods after significant precipitation events across the catchment (17 mm, 13 mm and 27 mm, respectively). It is this feedback between the surface moisture status and the surface temperature response which forms much of the basis for the concept of thermal inertia.

The degree of spatial variation in the surface temperature differences on any one day is revealing, especially when spatial variability in model forcing data of air temperature (e.g. Prihodko and Goward, 1997) or net radiation (see Anthoni et al., 2000) is not routinely considered in land surface schemes. Clearly, there are correlations between the surface temperature and the underlying surface condition, which also impact on air temperature and net radiation. Even at the catchment scale studied here, it would be expected that through feedbacks within the surface temperature results alone, these forcing variables should also vary. Unfortunately, while assumptions of constant forcing data may increase uncertainty in predictions, there is generally little information available to spatially interpolate these variables from regionally sparse meteorological networks.

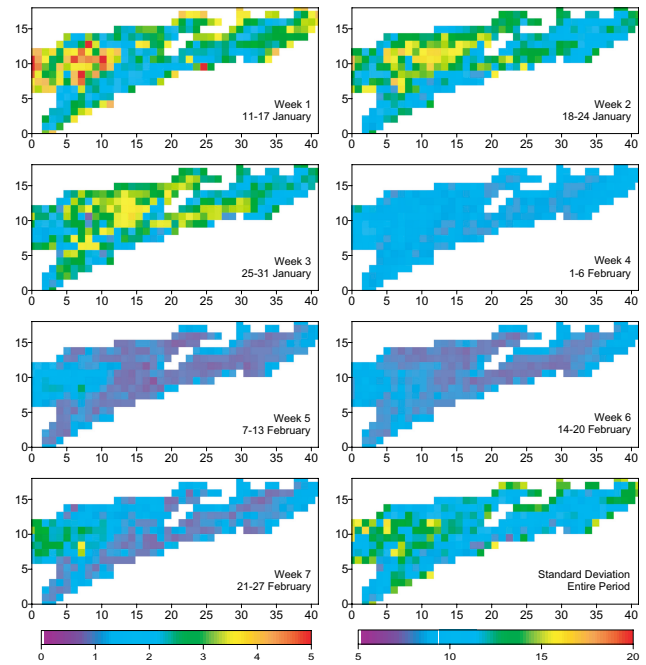


**Fig. 4.** Spatial distribution of cumulative evapotranspiration (mm) for individual weeks (left colour bar) and for the entire study period (right colour bar).  $x$  and  $y$  units are in kilometers and the images have a pixel scale of 1 km.

### 3.2 Spatial patterns of evapotranspiration

Spatially distributed maps of TOPUP calculated cumulative evapotranspiration are presented in Fig. 4, illustrating the patterns of the mean evapotranspiration over the two months of measurements and the individual weeks comprising this period. It is important to realise that actual pixel values represent the mean of the two hundred cumulative ET values identified as a result of the calibration process, based in this instance, on comparing modelled temperature differences with the satellite retrieved surface temperature differences. To understand the variability within the pixel responses, Fig. 5 presents the corresponding standard deviations. The cumulative evapotranspiration for the entire study period encompasses the range 107–185 mm. During particular periods evaporative patterns are more closely linked to the underlying surface conditions, with the lowest evaporative rates occurring in the urban area. Such a result is entirely a function of the higher surface temperatures produced in this region, as no unique parameterisation of urban surface types was incorporated into the TOPUP model.

The weekly evapotranspiration totals offer useful insight into the spatial and temporal variations across the watershed. As can be seen, the first three weeks indicate a degree of spatial variation that is not evident in the latter portion of the field campaign. In fact, the cumulative weekly evapotranspiration in Week 1 is perhaps higher than would be expected



**Fig. 5.** Spatial distribution of the standard deviation of the mean cumulative evaporation (mm) for individual weeks (left colour bar) and for the entire study period (right colour bar). The range of the standard deviation for Week 2 varies between 0 and 10.  $x$  and  $y$  units are in kilometers and the images have a pixel scale of 1 km.

given it is in the middle of a dry spell. The most likely explanation for this is the effect of a model parameter (INSR) that defines the fractional moisture of the initial root zone storage (SRMAX). INSR varies between zero for depleted root zone soil moisture and 1.0 for root zone soil moisture at capacity. Analysis of parameter sensitivity to various calibration records indicated that when calibrating model predictions to temperature differences, INSR shows some bias towards the upper range of the a priori parameter distribution. Thus, model runs would be initialised with an INSR value approaching unity, resulting in the higher evapotranspiration values evidenced in the results for Week 1. Knowledge of the antecedent conditions, such as soil moisture distribution from a satellite, could potentially be used to condition model outcomes. Alternatively, such information could be used in a multi-objective framework to co-condition model responses (see McCabe et al., 2005a).

Overall, results during the first three weeks reflect the hydrometeorological conditions characteristic for this hot and dry period, with weekly evaporative totals ranging between 20–26 mm/week (generally less than 3 mm/day). Figure 4 indicates that there is a reduction in the average weekly evaporative totals between Week 1 and Week 3 of approximately 6–10 mm/week (or 0.85–1.45 mm/day), identifying the expected dry-down occurring during this period, confirmed through comparison with in situ measured

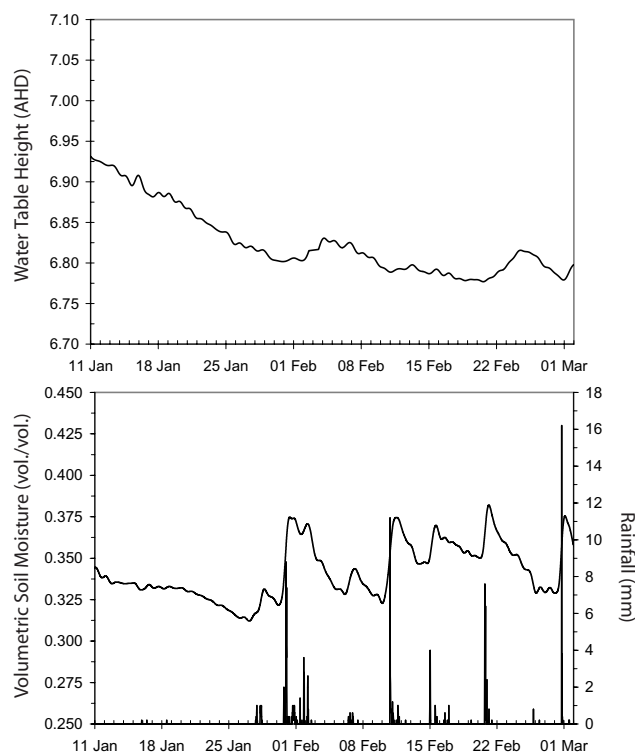


groundwater records (Fig. 6a). The average daily cumulative evapotranspiration estimated from Fig. 4 in the area encompassing the location of the central field site (marked by the circle in Fig. 2) varies between 2.8 mm/day in Week 1 to 4 mm/day in Week 6. Measurements from the Bowen ratio system installed here offer some intermittent comparisons with these weekly values. Data collected from the system offered 21 days with which to compare model responses, with an average of 3 measurements per week. No in situ flux data was available for the first week and a half due to equipment related problems.

Independently measured flux data for Weeks 2 and 3 reflect the low levels of evapotranspiration occurring during this period, with measurements indicating a high of 1.48 mm/day (25 January) and an average of 1.14 mm/day over this 2 week period. These values compare relatively well with results in Fig. 4, which indicate weekly evapotranspiration estimates in the range 10–15 mm (1.4–2.2 mm/day) at the field site. These results should be considered in light of accuracies typical of the Bowen ratio technique, which are often in the range of 20% (Kanemasu et al., 1992) depending on field conditions. Field based measurements during February reflect the increased rates of evapotranspiration occurring in this period. Of the nine in situ measurements during Weeks 4 to 6, only 2 have values less than 3 mm/day, with the majority indicating totals greater than 4 mm. These correspond well to the TOPUP results, with estimates in the range 24–29 mm/week (3.4–4.2 mm/day). The drying down of the moisture stores is reflected in the data for Week 7, with an average value of 2.6 mm/day from the Bowen ratio measurements. This suggest a drop of nearly 10 mm/week compared to preceding weeks – a value which is supported by comparison with the overall spatial distributions evident in Fig. 4.

Overall, comparisons with available flux measurements indicate that the model is correctly capturing the observed hydrological dynamics evident throughout the study period. While Bowen ratio measurements were not available for Week 1, a trend consistent with both the observed hydrometeorology and surface temperature maps (Fig. 3) was evident in the variation of the evaporative fraction (measured latent heat divided by the observed available energy) over the course of the investigation. For most of January, the average evaporative fraction did not exceed 0.2, highlighting the dominance of sensible heat flux across the catchment, and the corresponding rise in observed surface temperatures. After the rainfall events of late January, the evaporative fraction reached a maximum value of 0.78. The varying dynamics occurring during February were reflected in the changing evaporative fraction, which oscillated between 0.35 and 0.75 for the rest of the month, but generally exceeded 0.6.

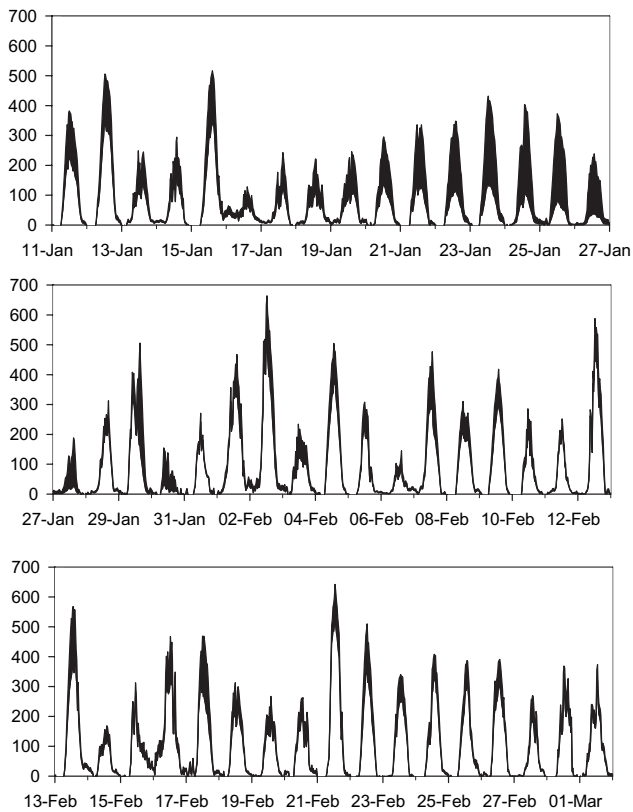
Weeks 4–6 present a relatively uniform areal distribution of evapotranspiration as a result of the sporadic rainfall events of varying intensity and the subsequent increase in soil moisture status throughout the catchment. These trends are



**Fig. 6.** Water table depth measured from ground level (top) and daily rainfall and soil moisture trends (bottom) at the central field site. The water access tube for water table depth measurements has a surface datum at 8.40 m, from which measurements are taken relative to sea level (0.0 AHD).

reflected in Fig. 5b which illustrates both the rainfall events and soil moisture estimates determined from a probe located at the central field site. The results are consistent with observed increases in evaporative totals compared to earlier periods of the investigation, with areal averages approaching 26–28 mm/week (approx. 4 mm/day). The spatial patterns illustrated in Week 5 and Week 7 reveal some insight into the drying dynamics of the catchment. As expected, as moisture availability is increased, so too is the evapotranspiration rate. However, this increased rate rapidly returned to pre-rainfall levels, at least within the time scales examined here, indicating that incident rainfall is swiftly evaporated from the surface, or infiltrates to the watertable.

The intermittent wetting and drying dynamics of the catchment, particularly throughout February, are prevalent in Fig. 4. The degree of variation is demonstrated through reference to the standard deviations about the means shown in Fig. 5. Interestingly, the wetting and drying phases do not seem to significantly affect the variability in evaporative predictions, at least in instances where the catchment moisture stores have been replenished. The standard deviations are linked with the prevailing meteorological conditions of the time, with dry periods exhibiting greater variability than occurs in wetter conditions. This is highlighted by an increased



**Fig. 7.** Mean values of the 5% and 95% quantiles of evapotranspiration ( $\text{W/m}^2$ ) across the study region based on TOPUP simulations with 200 parameter sets at each pixel for each of the 2448 half-hourly time steps.

amount of standard deviation (relative to other periods) for Week 2. The range of the variation for this period is between 0 and 10 mm/week, as opposed to other periods which maintain deviations less than 5 mm/week. February in general displays minimal variation about the mean values, characteristic of the wetter catchment conditions, with standard deviations between 0.5–2 mm/week. In contrast, the drier January periods produce standard deviations approaching 4 mm/week. These results are consistent with the ability of land surface models and remote sensing based approaches to predict evaporative response. In general, the surface responses at limiting cases (i.e. soil controlled/atmospherically controlled) are easier to simulate than transitional periods, due primarily to uncertainties in partitioning the available energy.

Characterising prediction uncertainty is an often overlooked component of model prediction. In remote sensing based approaches for flux estimation,  $50 \text{ W/m}^2$  is often cited as the useful accuracy limit for flux prediction (Kustas and Norman, 2000). An uncertainty of  $50 \text{ W/m}^2$  maintained over a 12 h daylight period (as opposed to an instantaneous remote sensing based prediction) is equivalent to approximately 0.9 mm of evapotranspiration. Understanding the uncertainty inherent in model applications is facilitated by con-

sidering the range of possible parameter realisations, as undertaken here using the GLUE methodology. With appropriate calibration, it seems comparable accuracy can still be achieved using uncertainty based modelling approaches as in using remote sensing techniques.

### 3.3 Temporal changes in regional evapotranspiration

The uncertainty of regional scale estimates can be assessed through examination of the range of evaporative predictions throughout the catchment at an instant in time. Intuitively, it should be expected that when the moisture status of a catchment is high, the range of predicted evapotranspiration would be reduced, given that the surface evaporation approaches the potential rate. In contrast, a drier period should exhibit a greater degree of spatial variation and hence more areal uncertainty, as the influence of soil properties and vegetation dynamics exert greater control.

In the following analysis, uncertainty bounds are produced to describe the catchment response at each time step during the field campaign. At each of the 2448 half-hourly time steps, the range of evaporative predictions throughout the catchment is examined by considering the 200 model responses identified by the likelihood analysis, for all of the 300 pixels defining the study region. The 5% and 95% quantiles are determined at each of these, and mean responses for the entire region calculated by averaging the 300 pixels at these two intervals. As a result, the subsequent ranges of values for each time step represent the 5% and 95% spatial quantiles of the areal mean evapotranspiration. Figure 7 illustrates the results of this process for the 2448 half-hour time steps of the TOPUP model run.

The greatest degree of spatial variation occurs in the period from 11–27 January. The clear sky days from 20–27 January indicate an areal difference in evaporation across the region of up to  $300 \text{ W/m}^2$  at particular instances in time. This trend is consistent with the weekly spatial patterns evident in Week 2 and Week 3 (Fig. 4). As observed in Fig. 6, there was no rainfall before 27 January. After that date, intermittent precipitation occurred, replenishing the depleted moisture stores throughout the catchment and reducing the spatial variability evident in the pre-rainfall evapotranspiration maps of Fig. 4. During the wetter month of February, the range of evapotranspiration predictions throughout the catchment is reduced, with the difference between the 5% and 95% quantiles rarely exceeding  $200 \text{ W/m}^2$  at the diurnal peak, but less than this at other times. Indeed, most days have a range approaching  $100 \text{ W/m}^2$  which, considering the variability in land surface types and covers throughout the catchment, is not particularly large. Comparisons with the weekly evaporative trends (Fig. 4) and the standard deviations (Fig. 5), confirm the dynamics of the temporal patterns displayed in Fig. 7. The spatial variability evident during drier periods is a function of the different drying dynamics throughout the catchment, which

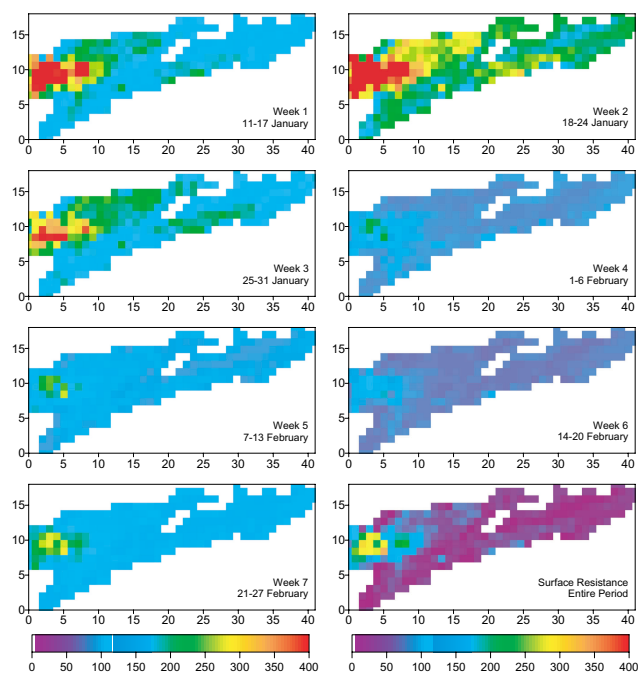
in turn is related to soil properties and vegetation characteristics.

### 3.4 Regional patterns in surface resistance to evapotranspiration

Following the same approach as for the evapotranspiration analysis described in Sect. 3.2, spatial maps of the surface resistance, a TOPUP model output, were produced for both the entire period and for weekly intervals throughout the field campaign. In order to graphically present the spatial variation, mean values were calculated over each time period examined. Because there were 200 associated responses for each pixel, an average pixel surface resistance was first calculated from within these responses, with results presented in Fig. 8.

While the response for the entire period is not particularly informative, the temporal development throughout the campaign exhibits some interesting trends. As reflected in the spatial evapotranspiration patterns, Week 1 shows a relatively even distribution of surface resistances, with values approaching 150 s/m and greater – likely a product of the calibrated models preference for an increased initial moisture storage (see discussion in Sect. 3.2). It should be expected that the relationship between soil moisture status and evapotranspiration would be strongly reflected in the surface resistance patterns. The dry conditions prevalent during much of January rapidly deplete the available soil moisture storage, allowing spatial patterns to become more evident during Weeks 2 and 3. In some parts of the catchment, values for the surface resistance approach 300 s/m during this drying period. The influence of the small amounts of precipitation that occurred at the end of January cause the spatial patterns displayed in Week 3 to reflect those in Week 1. During these first three weeks, the standard deviation of the modelled surface resistance – calculated from within the 200 samples for each pixel location – is generally within the range 25–50 s/m. Such a high value, relative to the mean surface resistance estimate presented in Fig. 8, highlights the uncertainty of determining the surface resistance during these water limited conditions.

In contrast, the responses for most of February demonstrate a more uniform distribution of resistance values, with an average of approximately 100 s/m, and varying between 50 s/m and 200 s/m over the 4 week period. The effect of the intermittent drying and wetting phases of the surface is reflected in the variation throughout the weekly spatial patterns. The impact on the standard deviation also reflects the influence of precipitation on the surface resistance pattern. Weeks 4–7 display a marked reduction in the standard deviation across the catchment, with values approaching 10 s/m. Considering the variability in the first three weeks of the field campaign, this represents a significant reduction in the spatial and temporal uncertainty. The feedback between the surface

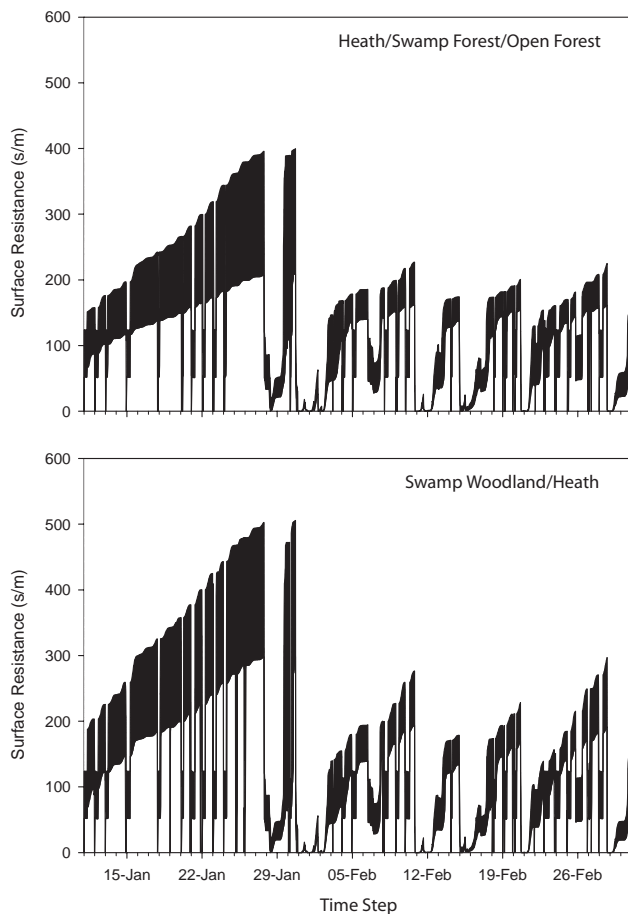


**Fig. 8.** Spatial distribution of the average surface resistance (s/m) for both weekly averages and for the entire study period (bottom right).  $x$  and  $y$  units are in kilometers and the images have a pixel scale of 1 km.

resistance and evapotranspiration is well represented in the corresponding evapotranspiration maps of Fig. 4.

The temporal variation of surface resistances can be examined in greater detail through discrimination of individual pixel responses. Examination of these temporal patterns also offers an opportunity to qualitatively assess the performance of the land surface model. It is expected that the vegetative states of the surface should influence the temporal development of the surface resistance, especially where drying of the moisture stores occurs. As such, the hydro-meteorological conditions experienced throughout the field campaign should be reflected in the temporal response of the surface resistance. To examine this further, Fig. 9 displays the temporal trends of the 5% and 95% quantiles of surface resistance computed for two different vegetated surfaces within the study region. The procedure employed here is the same as that for Fig. 7.

These two pixels were selected from a detailed land surface map of the dominant vegetation units throughout the region (Woolley et al., 1995) and correspond to a swamp woodland and heath and to a mixture of heath, swamp forest and open forest. As can be seen from Fig. 9, the more densely vegetated forested surface (bottom) displays a slower rise towards the peak surface resistance over the drying period from 11–28 January compared to that of the swamp woodland/heath surface (top). Not only is the gradient reduced for this response, but so too is the maximum surface resistance



**Fig. 9.** Time series of the 5% and 95% quantiles of the surface resistance (s/m) for the duration of the field campaign for distinct vegetation units characteristic of the catchment.

value, which peaks at approximately 400 s/m compared to 500 s/m. These same trends are reflected throughout the remainder of the study period, as the moisture status of the area is increased through precipitation during February and reduced during clear sky periods with strong surface drying between storm events.

Intuitively, these results are expected given the nature of the vegetation cover for each surface. The forested surface is likely to have deeper rooting depths than the swamp-heath land. As a consequence, the forest cover should be more tolerant to a reduction in the moisture status, as occurs throughout January and for periods in February. However, there is insufficient information to reach any definitive conclusions on these patterns. Depth to the water table for instance, may bias results if one surface has a shallower water table than the other. Also, without further information on the vegetation characteristics of each of the surfaces, it is difficult to obtain more detailed insight. From a qualitative perspective, the reduced bounds on the surface resistance during February are also reflected in the spatial patterns of Figs. 4 and 5. During

February, the spatial variability of the evapotranspiration is lower when compared to the drier January responses, which correspond to periods of greatest variability and uncertainty, in the surface resistance. This result was observed by Dolman (1992) who comments that: “areal evaporation from dry regions is more sensitive to the spatial variability (in surface resistance) than evaporation from wet regions”.

#### 4 Discussion and conclusion

Bastiaanssen et al. (1998) highlighted one of the major problems associated with regional scale estimation techniques: how can regional evaporation predicted by simulation models be validated with limited field data? They proposed that model verification can proceed through the use of in situ surface flux measurement, airborne flux measurement, soil moisture profiles in the field or through conventional hydrological modelling. However, the majority of these techniques are generally the result of intensive field campaigns rather than through routine measurement. In most instances, the paucity of distributed measurements means that required information is not generally available to validate model responses and hence most techniques remain unverified (e.g. Otle et al., 1989; Smith and Choudhury, 1990), particularly at large spatial scales where data is simply not available. Clearly, an alternative approach is required to allow the assessment of large scale flux behaviour in a more operational, or routine, capacity.

A methodology that can be validated at the field scale and applied to the regional scale would prove very useful for model assessment and evaluation purposes. An ability to assess surface flux predictions using remotely sensed temperatures offers much potential in land surface and in general climate modelling, where the scales at which processes are represented often preclude the actual measurement of surface fluxes for their validation. The development of evapotranspiration patterns through time is of particular interest, given that there is generally a lack of information describing both spatial and temporal evaporative patterns. While there are advances in providing increasingly accurate predictions of evapotranspiration directly from remote sensing variables (Norman et al., 2003; Su et al., 2005), one of the major shortcomings of such approaches is that they remain essentially instantaneous retrievals. An approach which makes use of intermittent snapshots of the surface condition to expand knowledge of the surface flux throughout time would prove particularly useful.

The results from this study provide some evidence for the utility of spatially distributed satellite data in constraining predictions within an uncertainty modelling framework. Distinct patterns of evapotranspiration were clearly observable throughout the study period, and insight into the close relationship of the surface response to precipitation events was identified. The drying and wetting patterns throughout the

catchment, as observed in the spatial plots, also offered some insight into the surface resistance patterns throughout the region. The dynamic response of the surface resistance to the catchment moisture status was evident through examination of the temporal plots and provided some corroboration for the model results throughout the duration of the field campaign.

The TOPUP land surface model represents a simple patch based approach, broadly parameterised to account for the range of responses expected from a heterogeneous surface. Through calibration against an observed record of surface temperatures, some insight into both the evapotranspiration patterns across the catchment, and also the temporal development of the surface resistance to evapotranspiration was obtained. The fact that TOPUP was able to characterise trends consistent with observed vegetation distributions, without having been explicitly spatially parameterised for these characteristics, is a significant result as it offers the possibility of parameterising models based on operationally available remote sensing information. Such an approach facilitates an increased opportunity to calibrate land surface models using a variety of remotely sensed hydrological variables, such as instantaneous evapotranspiration (e.g. Su et al., 2005), near surface soil moisture (e.g. McCabe et al., 2005c) or details on the land surface condition. Further investigations are in progress examining the utility of incorporating such remotely sensed information into a simplified representation of catchment processes to further our understanding of ungauged basins.

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