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Review article "Geo-neutrinos"

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Abstract. Geo-neutrinos, electron anti-neutrinos produced in β -decays of naturally occurring radioactive isotopes in the Earth, are a unique direct probe of our planet's interior. After a brief introduction about the Earth (mostly for physicists) and the very basics about the neutrinos and anti-neutrinos (mostly for geologists), I describe the geo-neutrino properties and the main aims of their study. An overview of the latest experimental results obtained by KamLAND and Borexino experiments is provided. A short overview of future perspectives of this new inter-disciplinary field is given.

1 Introduction

The introduction is divided into three parts. First, the structure, composition, and sources of information about the Earth are described. It is a short summary meant mostly for physicists. Secondly, the basic physics of neutrinos and antineutrinos, relevant for the geo-neutrino studies, is described. This part is meant mostly for geologists. The last part of the introduction finally describes the geo-neutrinos, their properties, and the importance of their study.

1.1 The Earth (mostly for physicists)

It is assumed that the Earth was created via accretion as an homogeneous object. The metallic core (3500 km radius) was the first to separate from the silicate primordial mantle, which further differentiated into the current mantle (3000 km thickness) and the crust (5 to 75 km). The Fe-Ni metallic core, with up to $\sim 10\,\%$ admixture of lighter elements, has a temperature range from 4100 to 5800 K. Its central part, inner core with the radius $\sim 1300\,\rm km$, is solid due to high pressure. The 2200-km-thick outer core is liquid and has a key

role in the geodynamo process generating the Earth's magnetic field. The D" layer is a core-mantle boundary, a 200km-thick seismic discontinuity of unclear origin. The lower mantle (2000 km) with a temperature gradient from 600 to 3700 K is solid, but viscose on long time scales. It is involved in the convection driving the movement of tectonic plates with a speed of few centimeters per year. A transition zone in the depth of 400-600 km is a seismic discontinuity due to mineral recrystallization. The upper mantle contains viscose asthenosphere, on which are floating the lithospheric tectonic plates. These comprise the uppermost, rigid part of the mantle and the crust of two types: oceanic and continental. The continental crust (30 km average thickness) has the most complex history being the most differentiated and heterogeneous, consisting of igneous, metamorphic, and sedimentary rocks. The oceanic crust (5-10 km) is created along the mid-oceanic ridges where the basaltic magma differentiates from the partially melting mantle. A schematic profile of the Earth's structure can be found in Fig. 1.

Information about the Earth's interior composition has insofar come exclusively from indirect probes. Geophysics, studying the propagation of mechanical waves through the Earth, constrains the density profile and the phase state. Geochemistry has limited sources of direct data as well and develops models of the Earth's bulk composition based on indirect information. The deepest drill hole ever made (12 km, Kola, Russia) represents enormous technical difficulties, but is negligible with respect to the Earth's radius of $\sim 6400 \, \rm km$. Some geological processes bring the deep rocks to the surface (volcanism, obduction, xenolith...), but their chemical composition can be altered during the transport and the deep mantle is completely unreachable. Similar trends of relative abundances of chemical elements in meteorites and in the

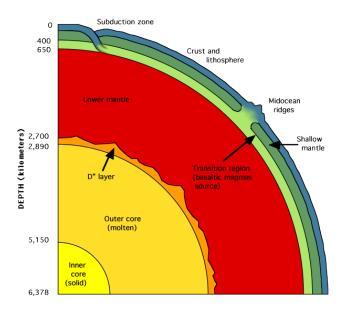


Fig. 1. A schematic profile of the Earth's structure (available at: http://www.homepages.ucl.ac.uk/~ucfbdxa/resint.htm). Details in the text.

Sun's photosphere indicate that the solar system developed from a chemically homogeneous nebula. Assuming these trends for the primordial Earth as well as rock melting trends, which produced the current rocks, the Bulk Silicate Earth (BSE) model was developed, describing the composition of the primitive mantle. The BSE model therefore describes the composition of the silicate Earth, the mean composition of the Earth after the metallic core separation and before the crust-mantle differentiation. Several authors developed such models, e.g. Turcotte and Schubert (2002); Anderson (2007); Palme and O'Neill (2003); Allegre et al. (1995); Mc-Donough and Sun (1995); Lyubetskaya and Korenaga (2007) and Javoy et al. (2010). The predictions of the absolute abundances of the long-lived radioactive elements producing geoneutrinos differ up to a factor of 2-3, while the predictions of their relative proportions are in a much better agreement within 10%.

The total terrestrial surface heat flux is deduced from the temperature-gradient measurements along $\sim 40\,000$ drill holes distributed around the world. It is important to note that these drill holes are not distributed homogeneously; they are concentrated mostly on the continental crust, while almost missing in the hottest regions along the mid-ocean ridges. Using these temperature-gradient data, geophysical models typically conclude that the present surface heat flux is $47\pm2\,\mathrm{TW}$ (Davies and Davies, 2010). This conventional view has been challenged by an alternative flux estimate of $31\pm1\,\mathrm{TW}$ (Hofmeister and Criss, 2004), and other authors predict $44\,\mathrm{TW}$ (Pollack et al., 1993). Such big discrepancies indicate that some systematic errors or model assumptions are out of the control.

There are several possible sources contributing to the total terrestrial surface heat flux, but the radiogenic heat is generally considered as the main contribution to the total heat budget. The main long-lived radioactive elements producing this radiogenic heat are ²³⁸U. ²³²Th. and ⁴⁰K. The range of the BSE models predicting the Th, U, and K abundances translates into radiogenic heat contributions of 12-30 TW (crust + mantle). Typically, based on geophysical calculations, parameterized convection models of the mantle require higher radiogenic heat contributions ($\sim 70\%$ of the total heat flux) in order to describe the Earth's cooling history in terms of a balance of forces between thermal dissipation and mantle viscosity. Consequently, a geochemist's view of the Earth predicts that its budget of heat producing elements in the BSE are up to a factor of ~ 3 lower than the models predicted by geophysicists (Wurm et al., 2012). Thus, the relative contribution of the radioactive power to the total planetary heat flux is poorly known. No contribution is expected from the core. Thorium and uranium are refractory lithophile elements and contribute equally $\sim 80\%$ of the total radiogenic heat production of the Earth, while the remaining fraction is due to ⁴⁰K, a volatile element (assuming Th/U \sim 4 and K/U \sim 10 000) (Wurm et al., 2012). During mantle melting and because of their chemistry and size, K, Th, and U are quantitatively partitioned into the melt and depleted from the mantle. Thus, the continental crust has, over geologic time, been enriched in these elements and has a sizable fraction (about half) of the planet's inventory, producing radiogenic power of $7.3 \pm 1.2 \, \text{TW}$ (Rudnick and Gao, 2003). The main unknowns remain the abundances of the long-lived radioactive elements and the radiogenic heat produced in the

It is possible that additional heat sources contribute to the total surface heat flux (estimated based on the temperature gradient measurements). Such additional heat might originate from accretion, gravitational contraction, latent heat from phase transitions, or from a (rather exotic) nuclear reactor in the core/core—mantle boundary (Herndon, 1996) or presence of ⁴⁰K in the metallic core (Murthy et al., 2003). It can be concluded that systematic errors in both geochemical and geophysical models are not very well known and the validity of several assumptions on which they are based is not proven. Therefore, any new, independent sources of information about the Earth's heat sources are needed.

1.2 Neutrinos and anti-neutrinos (mostly for geologists)

Neutrinos (ν) and their anti-particles, anti-neutrinos $(\bar{\nu})$, are the fundamental elementary particles belonging to the family of leptons. In contrast to charged leptons (electron, muon, and tau particles with negative electric charge and their positively charged anti-particles), they have no electric charge, so they do not interact via electromagnetic forces. All leptons do not interact via strong interactions. Neutrinos and anti-neutrinos, being neutral leptons, can interact only via weak

interactions, making the probability of their interaction along their passage through matter very rare. The so-called cross-section of their weak interactions with matter is of the order of $10^{-44} \, \mathrm{cm^2}$ to $10^{-43} \, \mathrm{cm^2}$. It means that neutrinos and antineutrinos, once detected, can bring to the observer undisturbed information about the region of their production. The same low probability of interaction makes these particles extremely difficult to detect, and often huge multi-ton detectors placed in underground laboratories in order to shield them from the cosmic radiation are required for (anti-)neutrino detection.

Both neutrinos and anti-neutrinos exist in three types, socalled flavors: electron, muon, and tau. Each flavor is a linear combination of 3 so-called mass eigenstates, labeled simply 1, 2, and 3, each flavor in characteristic proportions of 3 mass eigenstates. The dominant neutrino flux on the Earth comes from the neutrinos produced in electron flavor in the nuclear reactions powering the Sun: the flux of about 10¹¹ cm⁻² s⁻¹ with energies mostly below 15 MeV. Neutrinos are usually detected via elastic scattering off electrons. The dominant sources of electron anti-neutrino fluxes on the Earth's surface are the Earth itself (geo-neutrinos with energies below 3.5 MeV) and the nuclear power plants producing anti-neutrinos with energies up to 10 MeV. Geo-neutrinos are electron flavor anti-neutrinos, produced in β -decays of 40 K and of several nuclides in the chains of long-lived radioactive isotopes ²³⁸U and ²³²Th, which are naturally present in the Earth. Their typical flux on the Earth's surface is about $10^6 \,\mathrm{cm}^{-2} \,\mathrm{s}^{-1}$. Electron flavor anti-neutrinos are detected via the inverse neutron β -decay reaction,

$$\bar{\nu}_e + p \to e^+ + n,\tag{1}$$

with a kinematic threshold of 1.806 MeV.

Both neutrinos and anti-neutrinos are very light particles, and therefore they propagate with the velocity very close to that of light. During their passage, the neutrino and/or anti-neutrino is represented as a mixture of 3-mass eigenstates with periodically evolving proportions. Therefore, the (anti-)neutrino, which was produced in an interaction characterizing it as being of a certain flavor, can be later on detected as an (anti-)neutrino of the same or of a different flavor. For electron anti-neutrino, the probability to be detected again as electron anti-neutrino oscillates according to

$$P_{ee} = \cos^4 \theta_{13} (1 - \sin^2 (2\theta_{12}) \sin^2 (\Delta m_{12}^2 L/4E)) + \sin^4 \theta_{13},$$
 (2)

where θ_{12} and θ_{13} are the mixing parameters, so-called mixing angles, Δm_{12}^2 a difference of the squares of masses of mass eigenstates 1 and 2, while E is the anti-neutrino energy and L is the source-detectors distance. As we can see, for an anti-neutrino of a certain energy, the P_{ee} changes with distance L. For a 3-MeV anti-neutrino (about the end-point of the geo-neutrino spectrum and the peak energy of reactor neutrinos), the oscillation length is of $\sim 100 \, \mathrm{km}$. Therefore, for geo-neutrinos originating from a continuous source

of few thousands of kilometers (the Earth's mantle and crust), the oscillation pattern cannot be distinguished on the energy spectrum of detected geo-neutrinos and one can consider only the reduction of the total flux by $1-P_{ee}$ with $P_{ee}=0.551\pm0.015$ (Fiorentini et al., 2012). For considering the spectral shape of reactor anti-neutrinos, the oscillation pattern has to be taken into account.

1.3 Geo-neutrinos

Geo-neutrinos (geo- $\bar{\nu}_e$), electron anti-neutrinos ($\bar{\nu}_e$), are produced in β -decays of ⁴⁰K and of several nuclides in the chains of long-lived radioactive isotopes ²³⁸U and ²³²Th, which are naturally present in the Earth:

$$^{238}\text{U} \rightarrow ^{206}\text{Pb} + 8\alpha + 6e^{-} + 6\bar{\nu}_{e} + 51.7 \text{ MeV};$$
 (3)

$$^{232}\text{Th} \rightarrow ^{208}\text{Pb} + 6\alpha + 4e^{-} + 4\bar{\nu}_{e} + 42.8 \text{ MeV};$$
 (4)

$$^{40}\text{K} \rightarrow ^{40}\text{Ca} + e^- + \bar{\nu}_e + 1.32 \text{ MeV}.$$
 (5)

The Earth shines in geo-neutrinos with a flux of about $10^6\,\mathrm{cm}^{-2}\,\mathrm{s}^{-1}$. It is important to note that the ratio of the released radiogenic heat and the geo-neutrino flux is in a well-fixed and known ratio. Therefore, it is in principle possible to determine the amount of the radiogenic heat contributing to the total terrestrial surface heat flux (Urey ratio) by measuring the geo-neutrino flux. By measuring the geo-neutrino flux at different locations through the globe, in different geo-logical settings and/or by being able to identify the incoming direction of detected geo-neutrinos, it might be possible to

- study the distribution of radioactive elements within the Earth, to determine their abundances in the crust and in the mantle;
- determine if there are radioactive elements in the Earth's core;
- understand if the mantle composition is homogeneous or not;
- test, validate, and discriminate among different BSE models;
- exclude or confirm the presence of the geo-reactor in the core;
- determine the so-called Urey ratio by measuring the radiogenic heat flux, an important parameter for both geochemistry and geophysics;
- to study the bulk U and Th ratio in the silicate Earth, an important parameter for geochemistry that could shed light on the process of the Earth's formation.

We can see that geo-neutrinos can be used as a unique direct probe of the Earth's interior, not accessible by any other means. All this information could be important data used as inputs for many geological, geophysical, and geochemical models describing such complex processes as the mantle convection, movement of tectonic plates, geodynamo (the process of the generation of the Earth's magnetic field), and the process of the Earth's formation, etc.

The energy spectrum of geo-neutrinos extends to about 3.3 MeV. They are detected via the inverse neutron β -decay reaction described above; see Eq. (1) which has a kinematic threshold of 1.806 MeV. The cross section of this interaction as a function of anti-neutrino energy is well known and can be found in Strumia and Vissani (2003). Unfortunately, all geo-neutrinos produced in the decay of ⁴⁰K are below this threshold and we are able to detect only the high-energy tail of the ²³⁸U and ²³²Th geo-neutrinos. Geo-neutrinos from the ²³²Th chain have the end point of their energy spectrum at about 2.25 MeV while those from the ²³⁸U chain extend up to 3.3 MeV. Ideally, this spectral feature could be used in order to measure the U and Th ratio in the Earth. It is important to recall that the relative proportions of the elements abundances are much better known than their absolute abundances. Therefore, by measuring the absolute abundances of ²³⁸U and ²³²Th, the absolute abundance of ⁴⁰K can be deduced with a better precision.

2 Latest geo-neutrino experimental results

There are only two running experiments able to measure geo-neutrinos: Borexino located at Laboratori Nazionali del Gran Sasso in central Italy and KamLAND in Kamioka mine in Japan. Both experiments are large volume scintillator detectors placed in the underground in order to shield from cosmic rays. Both experiments detect geo-neutrinos via the inverse beta decay reaction; see Eq. (1) in which anti-neutrino interacts with a free proton (hydrogen nucleus) and a positron and a neutron are the reaction products. The positron promptly comes to rest and annihilates emitting two 511 keV γ -rays, yielding a prompt event, with a visible energy E_{prompt} directly correlated with the energy of incident anti-neutrino $E_{\bar{\nu}_e}$:

$$E_{\text{prompt}} = E_{\bar{\nu}_e} - 0.784 \text{ MeV}. \tag{6}$$

The free neutron emitted is typically captured on protons with a mean time of $\tau \sim 200--250\,\mu s$, resulting in the emission of a 2.22-MeV de-excitation γ -ray, which provides a coincident delayed event. The characteristic time and spatial coincidence of prompt and delayed events offers a clean signature of $\bar{\nu}_e$ detection.

The known $\bar{\nu}_e$ sources are geo- $\bar{\nu}_e$ and reactor $\bar{\nu}_e$, while atmospheric and supernova relic $\bar{\nu}_e$ s give a negligible contribution. A detailed analysis of the expected reactor anti-neutrino rate is necessary for both experiments. The determination of

the expected signal from reactor \bar{v}_e s requires the collection of the detailed information on the time profiles of the thermal power and nuclear fuel composition for nearby reactors. In Japan there are many nuclear power plants, and in addition KamLAND detector was constructed to measure reactor anti-neutrinos, so it is placed close to the reactor. Therefore, the reactor anti-neutrino background for geo-neutrino measurement was quite high in this experiment. To the contrary, in Italy there are no nuclear power plants (the mean reactor distance is of approximately 1000 km), so the reactor antineutrino flux in Borexino is up to a factor of 7 lower than in KamLAND. In addition, since neutrinos are detected via elastic scattering off electrons of the scintillator, there is no coincidence tag to possibly distinguish neutrino interactions from the signals due to the natural radioactivity background. Therefore, the extreme radio-purity is a must for Borexino, which in fact succeeded to decrease the internal radioactivity background to unprecedented low levels. Therefore, the other important backgrounds for geo-neutrino measurements, random coincidences and (α, n) interactions, in which αs are mostly from the ²¹⁰Po decay, are strongly suppressed in Borexino. To the contrary, KamLAND has an advantage of bigger target mass since it features about 1000 t of liquid scintillator while Borexino "only" 280 t. For both detectors the scintillator is placed in the very core of the detector and it is shielded by a layer of the buffer liquid (mineral oil in Kam-LAND and quenched scintillator in Borexino). The scintillator volume is viewed by an array of about 2000 photomultipliers. The scintillation light isotropically propagates from the interaction point outwards. The number of hit photomultipliers is a measure of energy deposited in the detector. The position of the interaction point can be determined via the time-of-flight measurement of detected scintillation photons. The time of each trigger is flagged by a GPS timestamp. In both detectors the scintillator and buffer containing vessels are further surrounded by a tank filled with ultra-pure water, called Outer Detector. This medium serves both as a passive shield against external gammas and neutrons as well as an active muon Cherenkov detector equipped with about 200 photomultipliers detecting Cherenkov light produced by cosmic muons traversing water. The schemes of the Borexino and KamLAND detectors are shown in Figs. 2 and 3, respectively.

Borexino and KamLAND are located in very different geological environments and are also very far from each other. Borexino is located on a continental crust, while KamLAND around a boundary of continental and oceanic crusts. The measurements from both experiments are therefore complementary and probing different geological settings, and they could shed light on the hypothesis of a homogeneous vs. heterogeneous mantle.

The first experimental indication of a geo-neutrino measurement ($\sim 2.5\sigma$ confidence level was reported by the Kam-LAND collaboration (Araki et al., 2005; Abe et al., 2008). The observation of geo-neutrinos at 99.997 % C.L. was then

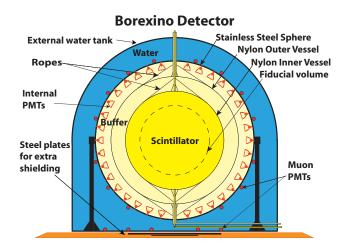


Fig. 2. Schematic view of the Borexino detector (Alimonti et al., 2009).

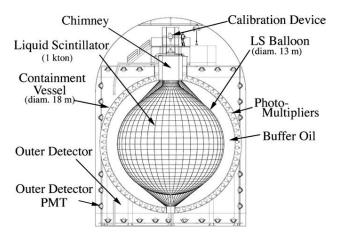


Fig. 3. Schematic view of the KamLAND detector (Eguchi et al., 2003).

achieved by both Borexino (Bellini et al., 2010) and Kam-LAND (Gando et al., 2011). The observed energy spectra of the prompt candidates are shown in Figs. 4 and 5, respectively.

Borexino detected in total 21 candidates in 537.2 days of live time and in the energy range up to the end point of the reactor anti-neutrino spectrum. The result of an unbinned maximum likelihood fit gives the number of detected geo-neutrinos $N_{\rm geo} = 9.9^{+4.1}_{-3.4}$ and the number of reactor anti-neutrinos $N_{\rm react} = 10.7^{+4.3}_{-3.4}$. The Th: U ratio was fixed to the chondritic value of 3.9. The contribution of other background results was negligible. Figure 6 shows the allowed regions for $N_{\rm geo}$ and $N_{\rm react}$ at 1, 2, and 3σ C.L. These results hint at a higher rate for geo- $\bar{\nu}_e$ than the BSE from Fiorentini et al. (2007) predicts, but the uncertainty prevents any conclusions. This Borexino measurement rejects the hypothesis of an active geo-reactor of composition as in Herndon (1996) in the Earth's core with a power above 3 TW at 95 % C.L.

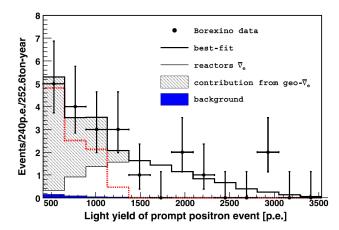


Fig. 4. Borexino data and fit (Bellini et al., 2010): light yield spectrum for the positron prompt events of the $21\ \bar{\nu}_e$ candidates and the best fit (solid thick line). The small filled area is the background. Thin solid black/dotted red lines: reactor- and geo- $\bar{\nu}_e$ signal from the fit, respectively. The darker area isolates the contribution of the geo- $\bar{\nu}_e$ in the total signal. The conversion from p.e. to energy is approximately 500 p.e./MeV.

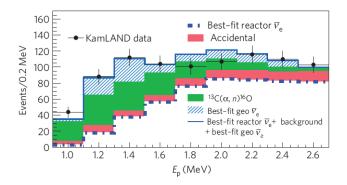


Fig. 5. KamLAND data and fit (Gando et al., 2011): prompt energy spectrum of low-energy anti-neutrino spectrum. The histograms indicate the backgrounds, whereas the best fit (including geo-neutrinos) is shown in blue.

KamLAND detected 841 anti-neutrino candidates in the geo-neutrino energy window between 0.9 MeV and 2.6 MeV. The best fit with the Th:U ratio fixed to the chondritic value of 3.9 results in the number of detected geo-neutrinos $N_{\rm geo} = 106^{+29}_{-28}$. The $\Delta\chi^2$ -profile from the fit to the total number of geo-neutrino events is shown in Fig. 7. The best fit is in agreement with the prediction of the BSE model from Enomoto et al. (2007), but other models cannot be firmly excluded yet.

KamLAND performed a combined analysis of the KamLAND and Borexino results, which are summarized in Fig. 8. They find that decay of 238 U and 232 Th together contributes $20.0^{+8.8}_{-8.6}$ TW to the Earth's surface heat flux. They adopt the estimation of the total heat flux from 40 K of 4 TW. They conclude that the radiogenic heat contributes about half to

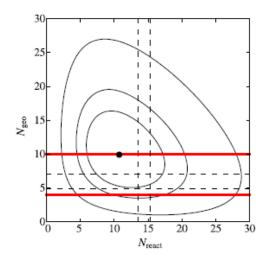


Fig. 6. Borexino contour plot (Bellini et al., 2010): allowed regions for $N_{\rm geo}$ and $N_{\rm react}$ at 68 %, 90 %, and 99.73 % C.L. Vertical dashed lines: 1σ range about the expected $N_{\rm react}$ (expected in presence of neutrino oscillations). Horizontal dashed lines: range for $N_{\rm geo}$ predictions based on the BSE model in Fiorentini et al. (2007). Horizontal solid red lines: predictions of the fully radiogenic and minimal radiogenic Earth (only the crust contribution considered) models.

the total Earth's heat flux and that other heat sources or the Earth's primordial heat is contributing to the total heat budget. The fully radiogenic, homogeneous hypothesis is therefore excluded at 97.2 % C.L.

3 Future perspectives

The two geo-neutrino measurements opened a door into a new field. It was proved that geo-neutrinos can be detected and that we, as mankind, have a new tool to learn new things about our planet. In order to find definitive answers to the questions correlated to the radiogenic heat and abundances of radiogenic elements, more data are needed. Both Borexino and KamLAND will continue to take data in the near future. The earthquake disaster in Japan in March 2012 caused the reactor power plants in Japan to be switched off, and some of them will be restarted after a thorough campaign of tests. The strong reduction of the reactor anti-neutrino background could help in improving the future geo-neutrino measurement of KamLAND. In addition it would be important to construct larger volume detectors in order to increase the number of detected geo-neutrinos and so improve the precision of the flux measurement. Results from different detector sites located at different geological settings are key for understanding, for example, if the Earth's mantle composition and heat distributions are homogeneous or not. Answers to questions like what is the bulk-Earth U versus Th ratio, and if it is the same like in meteorites, can help in better understanding of the process

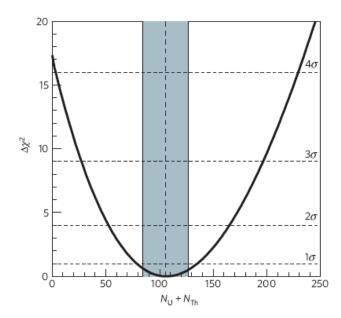


Fig. 7. KamLAND results (Gando et al., 2011): $\Delta \chi^2$ -profile from the fit to the total number of geo-neutrino events. The BSE model from Enomoto et al. (2007) prediction is represented by the shaded bend.

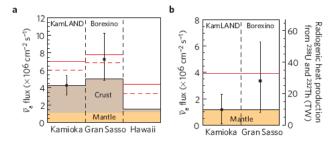


Fig. 8. Borexino and KamLAND combined analysis from Gando et al. (2011): (a) measured geo-neutrinos flux at KamLAND and Borexino sites, and expected fluxes at these sites and Hawaii. The red lines represent fully radiogenic models under different assumptions. (b) Measured geo-neutrinos flux after subtracting the estimated crustal contribution. No modeling uncertainties are shown. The solid red line indicates the fully radiogenic model.

of Earth's formation and the distribution of elements in the solar system. A new generation of experiments using liquid scintillators is either under design or even construction process. SNO+ at Sudbury mine in Canada (Chen, 2006), having 1000 t of target, is in an advanced construction phase. The site is located on an old continental crust, and the signal from reactor anti-neutrinos is about twice as the one at Gran Sasso. A new ambitious project to construct a 50 000-t detector is called LENA (Wurm et al., 2012). Among the most probable sites are Pyhäsalmi in Finland and Fréjus in France. This experiment could detect on the order of 1000 geo-neutrinos per year. A few percent precision of the total flux measurement could be reached within the first

couple of few years. The individual contribution of the U and Th geo-neutrino flux could be determined as well. An interesting project of about 10 000 t underwater experiment is HANOHANO (Learned et al., 2007) planned to be placed on the oceanic crust (Hawaii). Due to the thin oceanic crust, the mantle contribution to the total geo-neutrino flux should be dominant. Therefore, this measurement would provide the most direct information about the mantle. This forthcoming project together with the currently running experiments could be a starting point of a network useful to understand the Earth's heat distribution.

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