



The quantitative reconstruction of the palaeoclimate between 5200 and 4300 cal yr BP in the Tianshui Basin, NW China

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Abstract. The quantitative reconstruction of the palaeoclimate is a prerequisite for understanding climate processes at time scales of centuries and millennia. Here, the coexistence approach (CA) was applied to reconstruct climatic factors quantitatively based on the fossil charcoal records between 5200 and 4300 cal yr BP in the Tianshui Basin, NW China. The CA analysis showed that the climate of the Tianshui Basin belonged to the northern subtropical zone between 5200 and 4300 cal yr BP. The mean annual temperature (MAT) was approximately 13.2 °C, and the mean annual precipitation (MAP) was approximately 778 mm between 5200 and 4900 cal yr BP. The MAT was approximately 13.2 °C, and the MAP was approximately 688 mm between 4800 and 4300 cal yr BP. The MAT was approximately 2.2 °C higher than today, and the MAP was approximately 280 mm higher than today from 5200 to 4900 cal yr BP. The MAT was also approximately 2.2 °C higher than today from 4800 to 4300 cal yr BP, while the MAP was approximately 196 mm higher than today. No abrupt cold event occurred between 5200 and 4300 cal yr BP; however, a drought tendency appeared after around 4800 cal yr BP.

Wang et al., 2005). However, the Holocene Megathermal Maximum occurred asynchronously in different regions (An et al., 2000). It appears earlier in the north and west and later in the south of China and the climate showed a cold-dry tendency after 3000 cal yr BP (Shi et al., 1993; An et al., 2000).

Based on the records of pollen, soil, lake, ice-core, archaeological record, sea level, and so on, Shi et al. (1993) suggested that the warming extent during the Holocene Megathermal Maximum varied throughout China. Comparing the modern climate data, the temperature increased approximately 1 °C in southern China, 2 °C in the Yangtze River Valley, and 3 °C in northern China, northeastern China, and northwestern China. The largest extent of increasing temperature could reach about 4–5 °C in the southern Tibetan Plateau.

The climate during the Holocene Megathermal showed strong geographic differences in monsoonal China. The Loess Plateau is located in the transition zone of semi-arid and semi-humid and forest and grassland or desert and farming-pastoral ecotone (Fu, 1994), which is sensitive to Asian monsoon climate and has long been the key area for palaeoclimate research (An et al., 1991; Ding et al., 1995). Many studies of loess-palaeosol sequences have been carried out to reconstruct the palaeomonsoon variations (An and Porter, 1997; Ding et al., 1995; Guo et al., 2002).

To date, a few palaeoclimate reconstructions have been carried out using transfer function from the geological and biological records in the Loess Plateau (Wu et al., 1994; Porter et al., 2001; Lu et al., 2006), which is the popular method to reconstruct the palaeoclimate quantitatively (Webb and Bryson, 1972; Bartlein et al., 1986; Farquar et

1 Introduction

The Holocene witnessed significant periods in societal developments, and the climate has undergone several fluctuations (Mayewski et al., 2004). The Holocene Megathermal was a much warmer phase in the East Asian monsoon areas, which could be regarded as a palaeo-analogue for future climate predicting (Shi, 1992; Sun et al., 1999; An et al., 2000;

al., 1989; Maher and Roy, 1995). The phytolith records show that the mean annual temperature was 14–16 °C (1–3 °C higher than today), and the mean annual precipitation was 700–800 mm (100–200 mm higher than today) during the Holocene Megathermal at Baoji in the southern Loess Plateau (Lu et al., 1996). The ^{10}Be record show that the peak value of the precipitation during the Holocene Megathermal Maximum in Luochuan was almost 800 mm (Zhou et al., 2007). The records of organic carbon isotopes indicate that the precipitation reached a peak value of 850 mm in interglacial and decreased to a minimum value of 350 mm in the last glacial for the past 130 kyr in Weinan (Ning et al., 2008).

However, the quantitative results are uncertain because of the limitation between the proxies and the climate mechanism (Ning, 2010). The transfer functions still include randomness in the implementation of the process. Even the best regression model based on the F-test still lacks sufficient scientific evidence (Zhang, 1988). Therefore, the reliability and effectiveness of the proxy and the method are crucial for quantitative reconstruction of the climate.

Plant growth and vegetation types are controlled by the climatic environment. The botanical records are relatively direct proxies that can be used to reconstruct the climatic factors quantitatively. Forest vegetation is sensitive to the water-heat conditions, especially in the arid and semi-arid areas of northern China. Temperature and precipitation control the formation and succession of the natural forest, the extent of the forest zone, and the height of the vertical vegetation belt (Li and Wang, 1988).

The coexistence approach (CA) is an important method for quantitative reconstruction of the palaeoclimate (Mosbrugger and Utescher, 1997). The reliability of the CA has been validated by previous studies (Li et al., 2003; Yang et al., 2007). One of the most important preconditions is that the climatic tolerance of the fossil plants is similar to the nearest living relative species. The Holocene plants are the result of long-term natural evolution, and their ecological amplitude and climatic tolerance are the same as modern plant types; thus, the CA is well suited for obtaining the quantitative information of climate.

Fossil charcoal comes from the incomplete burning of wood, and the anatomical characters of the original wood are retained (McGinnes et al., 1974). This raises the possibility of much greater precision in the level of taxonomic identification, overcoming the limitation of some plant microfossils (Shackleton and Prins, 1992). Although the fossil charcoal is hard to identify the herbaceous plant and to reflect regional grass vegetation, however, in this way, the fossil charcoal is a good indicator of the wood types, and has the significant potential for reconstructing the local vegetation history and the climatic factors (Shackleton and Prins, 1992; Li et al., 2012), which should be the foundation for reconstructing the regional vegetation and the climate. Some studies of fossil charcoal have been published in China. Most of them

focused on the wood types used by the prehistoric people (Wang et al., 2007; Jiang et al., 2009) and few of them discussed the vegetation history (Cui et al., 2002). Until now the quantitative reconstructions of climate from the fossil charcoal records have not been reported on in China.

Here, based on the fossil charcoal records, the coexistence approach (CA) was applied to reconstruct the climatic factors quantitatively, intending to find out the warming magnitude in the Loess Plateau during the Holocene Megathermal, and then to provide convincing data to predict the climate change in the future.

2 Study area

The Tianshui Basin is located on the western Loess Plateau and adjacent to the northern Qinling Mountains in NW China, which belongs to the semi-humid region. The mean annual temperature is 11.6 °C, the mean annual precipitation is 491.6 mm, and the growing days is about 248 days per year (Surface Meteorological Data of China, 1971–2000, <http://cdc.cma.gov.cn/>). The Tianshui Basin developed the loess-palaeosol deposits during the mid-Holocene broadly (Porter and Zhou, 2006). The vegetation was dominated by the sparse-wooded grasslands and grassland (Shang and Li, 2010), but the mixed coniferous and deciduous forest developed in the valley area (Shang and Li, 2010; Li et al., 2012). However, it has been greatly altered because of the long and intense human activities. Today the common natural woody plants are members of the beech, birch, pine, willow, elm, maple, rose and basswood trees. The main herbaceous plants are Grass, Composite, Pea and Buttercup (Wu and Wang, 1983).

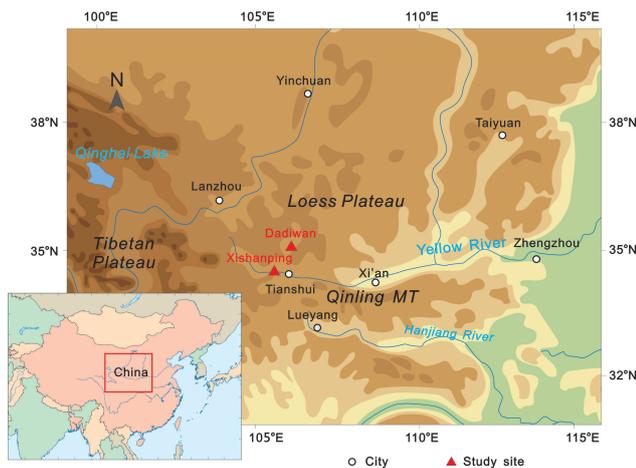
The Tianshui Basin is one of the Neolithic centers of northern China where the Neolithic cultures of Yangshao, Majiayao and Qijia developed (Institute of Archaeology of CASS, 1999; Xie, 1985). The Dadiwan and Xishanping sites in the Tianshui Basin are famous for the most complete cultural sequence that preserved numerous Neolithic archaeological remains.

The Xishanping site (34°33'50" N, 105°32'41" E, 330 m a.s.l.) is located on a terrace on the southern bank of the Xihe River, and it is approximately 50 m above the river bed (Fig. 1). The site covers an area of 204 800 m², and the stoneware and pottery are mainly from the Majiayao and Qijia cultures of the Late Neolithic Period (Institute of Archaeology of CASS, 1999; An et al., 2005). Archaeobotanical evidence from the Xishanping site indicates the broadening of early agriculture during the mid-late Neolithic Period (Li et al., 2007a,b).

The Dadiwan site (35°0'29.40" N, 105°54'40.70" E, 1500 m a.s.l.) is located on the I and II terraces of the southern bank of the Qingshuihe River to the north of the Tianshui Basin (Fig. 1). Extensive excavation projects have been conducted at the Dadiwan site (Gansu Provincial Institute

Table 1. Accelerator mass spectrometry (AMS) ^{14}C dates from the Xishanping and Dadiwan sites.

Sample location	Depth (cm)	Lab. No	Sample type	AMS age (yr BP)	Calibrated age (cal yr BP, 2σ)
XXP-1	60 cm	TKal3882	Charcoal	3900 ± 35	4236 ~ 4419
XXP-2	130 cm	TKal3883	Charcoal	2785 ± 30	2839 ~ 2949
XXP-3	345 cm	TKal3884	Charcoal	4430 ± 35	4870 ~ 5069
XXP-4	490 cm	TKal3885	Charcoal	4855 ± 35	5579 ~ 5655
XXP-5	560 cm	TKal3886	Charcoal	4360 ± 35	4845 ~ 4983
XXP-6	570 cm	TKal3887	Charcoal	4400 ± 35	4859 ~ 5051
XXP-7	585 cm	TKal3888	Charred seed	4430 ± 100	4833 ~ 5312
XXP-8	620 cm	TKal3889	Charred seed	4490 ± 35	5035 ~ 5295
DDW-3	420 cm	OZK647	Charcoal	4470 ± 60	4960 ~ 5306
DDW-4	500 cm	OZK648	Charcoal	4485 ± 50	5028 ~ 5303
DDW-5	640 cm	OZK649	Charcoal	4370 ± 50	4842 ~ 5055
DDW-6	760 cm	OZK650	Charcoal	4445 ± 50	4950 ~ 5288
DDW-7	810 cm	OZK651	Charcoal	4555 ± 50	5048 ~ 5324

**Fig. 1.** Location of the study area.

of Archaeology, 2006), which is supposed to be the location of the most developed and continuous cultures: the Pre-Yangshao culture (8000–7000 yr BP), the Yangshao culture (7000–5000 yr BP), the Majiayao culture (5000–4100 yr BP), and the early phase of the Qijia culture (4100–3800 yr BP).

3 Methods

3.1 Age-depth relationship

A 650 cm section of continuous and undisturbed cultural sediment on the northern Xishanping site was selected, and the records of the pollen, phytoliths, and seeds have been published (Li et al., 2007a,b). Eight accelerator mass spectrometry (AMS) radiocarbon dates, including six charcoal samples and two charred seeds, were calculated at the University of

Tokyo. The calendar ages were estimated using the radiocarbon calibration program (Reimer et al., 2004). The chronological framework was established by Li et al. (2007a), in which the age-depth model was based on five AMS dates that have the consistent linear relationship. The whole section (650 cm) covers about a 1000-year episode between 5250 and 4300 cal yr BP and the ages of each sample were linearly interpolated (Fig. 2, Table 1).

The sediment above 40 cm had been disturbed by modern agriculture. The fossil charcoals below 450 cm depth are rare and small, which makes them difficult to identify reliably. Therefore, seven samples were collected from the cultural sediment between 40 and 450 cm depth with abundant fossil charcoal, corresponding to the period between 4800 and 4300 cal yr BP.

The Dadiwan section is located on the second terrace of the south Qingshuihe River. The total thickness is 820 cm, and the Neolithic culture layer occurs between 400 and 820 cm. Five charcoal AMS radiocarbon dates were calculated at the Australian Nuclear Science and Technology Organisation (ANSTO), and the calendar ages were estimated (Reimer et al., 2004). The sediment between 400 and 820 cm depth was deposited between 5200 and 4900 cal yr BP, and it belongs to the late Yangshao and the early Majiayao cultures (Fig. 2, Table 1).

3.2 Analysis

The fossil charcoal was recovered using the floatation method (Tsuyuzaki, 1994). Sufficient charcoal fragments were selected for identification and counting. Typically, the number of taxa present in a sample increases sharply while the first few charcoal specimens are examined and then settles down as more fragments are identified (Keepax, 1988; Smart and Hoffman, 1988). Keepax (1988) suggested that

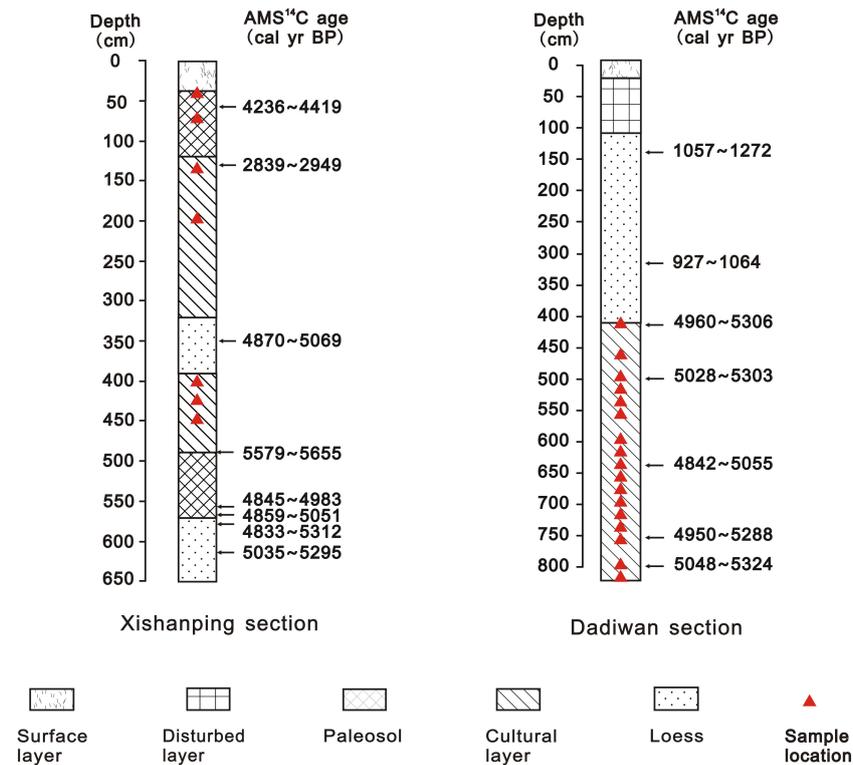


Fig. 2. Stratigraphic sections from the Xishanping and Dadiwan sites and their radiocarbon results.

a minimum of 100 charcoal fragments per sample should be examined in temperate regions to provide a good representation of the types of wood present. Also, the similar examination of fossil charcoal was carried out in the Dadiwan site (including more woody taxa). 127 pieces of fossil charcoal were checked and the saturation curve shows that almost no more new taxa showed up when examining fragments over 100 pieces (Fig. 3). So about 100 pieces of fossil charcoal examined for each sample is suitable for the study area.

At least 100 pieces of fossil charcoal were examined and identified from the Xishanping and Dadiwan sites following the standard procedures. First, pressure fractured charcoal was prepared with a razor blade to produce fresh, clean surfaces to show the transverse, radial and tangential sections (Leney and Casteel, 1975). Then, these charcoal samples were examined under a stereomicroscope and categorized, and one or two samples from each type were photographed under a scanning electron microscope (SEM). The identification of the taxa was carried out according to the reference of wood anatomy atlases.

3.3 Quantitative study

The coexistence approach (CA) is used for quantitative study in this paper. The CA finds the nearest living relative species of the fossils and superimposes the climatic tolerance range of each nearest living relative species, the overlap of which

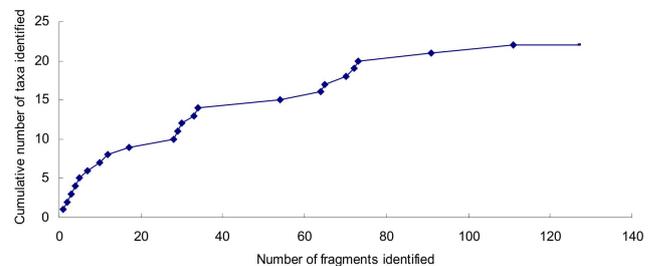


Fig. 3. Identification saturation curve of fossil charcoal from the Dadiwan site, China.

can reflect the palaeoclimate. Generally, the closer the relation between the plant species and fossils, the more taxa identified, which will lead to the higher resolution and accuracy of the climatic data (Mosbrugger and Utescher, 1997).

The tolerance range varies in different plant taxa and can be obtained through the following steps: (1) confirming the distribution range of the plant species (<http://frps.plantphoto.cn/>; <http://www.cvh.org.cn/cms/>); (2) using the meteorological data from meteorological stations in the distribution range. Generally one of the meteorological stations is selected from the north, south, east, west border of the distribution range, respectively, and also the one from the highest and lowest elevation, respectively. So, the meteorological data used for each taxa are almost from about six meteorological

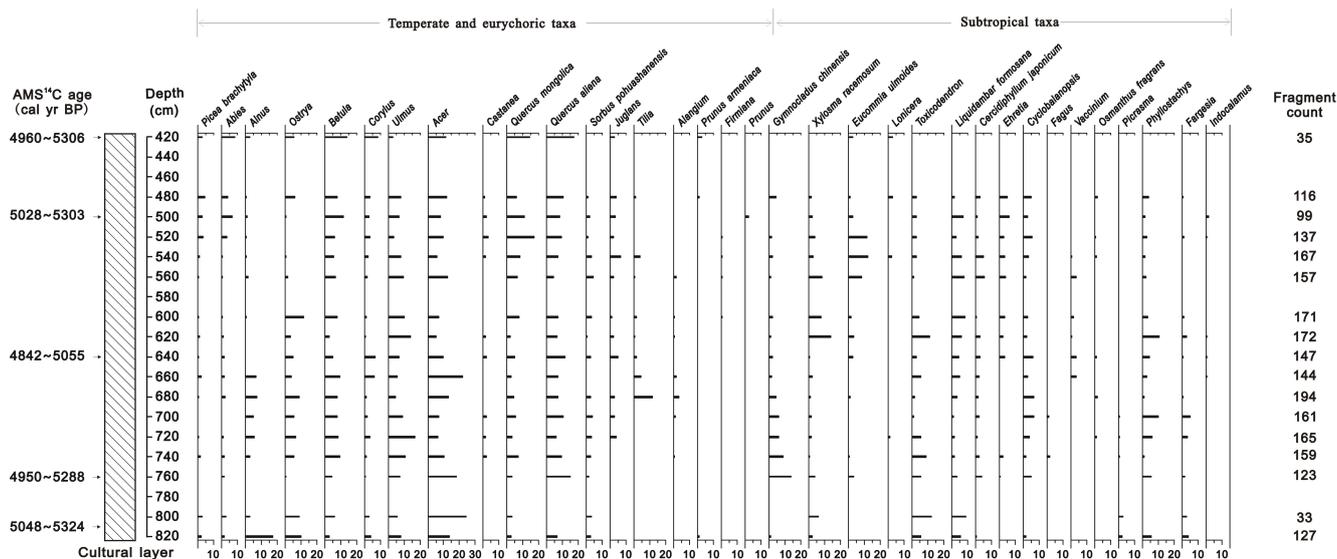


Fig. 4. The abundance ratio of fossil charcoal from the Dadiwan section.

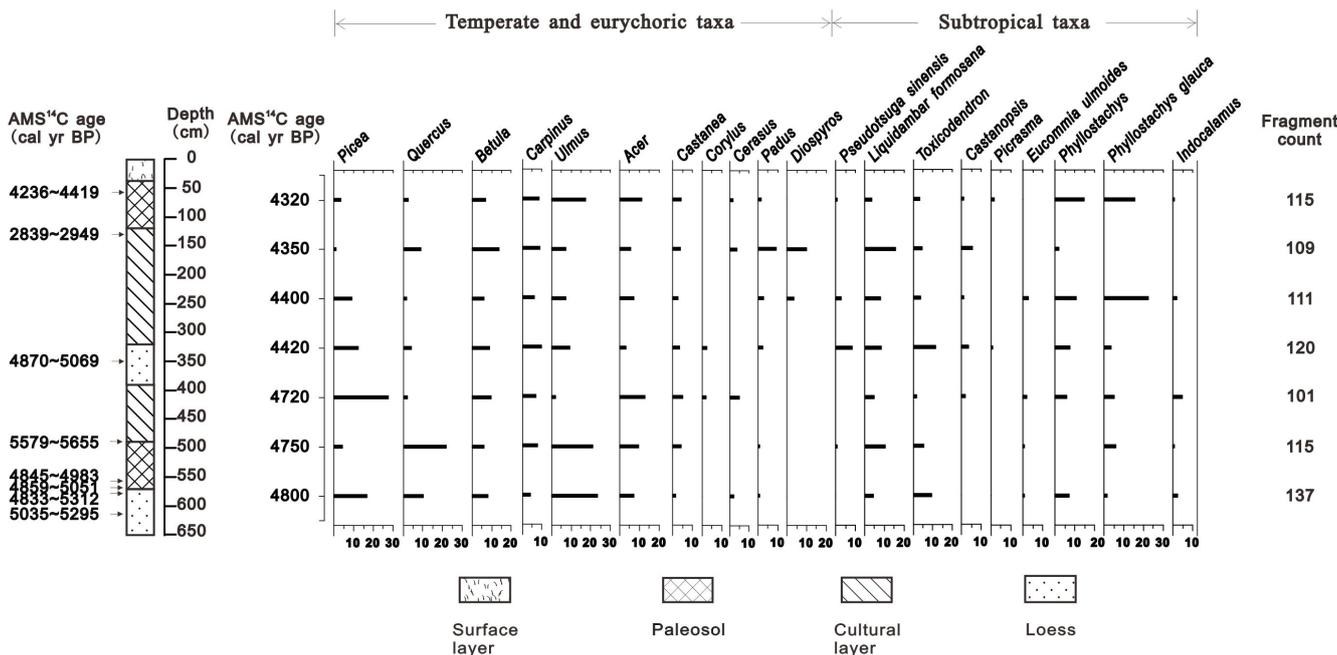


Fig. 5. The abundance ratio of fossil charcoal from the Xishanping section.

stations; and (3) defining the maximum and minimum value of the meteorological data as the plant tolerance range of the climate. Here, three main climatic factors are calculated including mean annual temperature (MAT), mean annual precipitation (MAP) and mean annual relative humidity (RH). The modern climatic factors come from the Surface Meteorological Data of China (1971–2000) (<http://cdc.cma.gov.cn/>).

4 Results

A total of 2307 charcoal fragments were identified, and 34 different taxa were identified in the 17 samples from the Dadiwan section (Fig. 4; Table 2). *Betula*, *Corylus*, *Ulmus*, *Quercus mongolica* and *Acer* were present in all 17 samples, whereas *Picea brachytyla*, *Abies*, *Ostrya*, *Quercus aliena*, *Xylosma racemosum*, *Toxicodendron* and *Liquidambar formosana* were present in 16 samples. *Alnus*, *Sorbus pohnuashanensis*, *Juglans*, *Gymnocladus chinensis*,

Table 2. The taxa of fossil charcoal and their relative frequencies and abundance ratio from the Dadiwan site.

Taxa	Absolute fragment count	Abundance ratio (%)	Ubiquity	Frequency (%)
<i>Abies</i> sp.	46	1.99	16	94.12
<i>Acer</i> sp.	246	10.66	17	100.00
<i>Alangium</i> sp.	15	0.65	7	41.18
<i>Alnus</i> sp.	78	3.38	14	82.35
<i>Betula</i> sp.	166	7.20	17	100.00
<i>Castanea</i> sp.	22	0.95	9	52.94
<i>Cercidiphyllum japonicum</i>	55	2.38	15	88.24
<i>Corylus</i> sp.	65	2.82	17	100.00
<i>Cyclobalanopsis</i> sp.	90	3.90	15	88.24
<i>Ehretia</i> sp.	48	2.08	10	58.82
<i>Eucommia ulmoides</i>	74	3.21	12	70.59
<i>Fagus</i> sp.	5	0.22	2	11.76
<i>Fargesia</i> sp.	34	1.47	11	64.71
<i>Firmiana</i> sp.	4	0.17	4	23.53
<i>Gymnocladus chinensis</i>	84	3.64	14	82.35
<i>Indocalamus</i> sp.	6	0.26	5	29.41
<i>Juglans</i> sp.	53	2.30	12	70.59
<i>Liquidambar formosana</i>	103	4.46	16	94.12
<i>Lonicera</i> sp.	10	0.43	4	23.53
<i>Osmanthus fragrans</i>	12	0.52	6	35.29
<i>Ostrya</i> sp.	118	5.11	16	94.12
<i>Phyllostachys</i> sp.	97	4.20	15	88.24
<i>Picea brachytyla</i> sp.	33	1.43	16	94.12
<i>Picrasma</i> sp.	7	0.30	5	29.41
<i>Prunus</i> sp.	2	0.09	1	5.88
<i>Prunus armeniaca</i>	3	0.13	3	17.65
<i>Quercus aliena</i>	190	8.24	16	94.12
<i>Quercus mongolica</i>	138	5.98	17	100.00
<i>Sorbus pohnuashanensis</i>	52	2.25	15	88.24
<i>Tilia</i> sp.	42	1.82	8	47.06
<i>Toxicodendron</i> sp.	102	4.42	16	94.12
<i>Ulmus</i> sp.	200	8.67	17	100.00
<i>Vaccinium</i> sp.	20	0.87	6	35.29
<i>Xylosma racemosum</i>	87	3.77	16	94.12
Total	2307	100	17	100

Eucommia ulmoides, *Ehretia* and *Fargesia* appeared in more than 10 samples. Figure 4 shows that the abundance of *Ostrya*, *Alnus*, *Gymnocladus chinensis*, *Toxicodendron*, *Tilia* and Bambusoideae was high (over 11.3 %) between 5200 and 5100 cal yr BP, and after 5100 cal yr BP the abundance of these taxa reduced generally. While the abundance of *Picea* and *Abies* was relatively low before 5100 cal yr BP, it increased after 5100 cal yr BP.

A total of 808 pieces of charcoal were identified, and 20 different taxa were identified from the samples in the Xishanping section (Fig. 5; Table 3). The most abundant taxa were *Picea*, *Castanea*, *Betula*, *Ulmus*, *Quercus*, *Carpinus*, *Toxicodendron*, *Acer*, *Liquidambar formosana* and Bambusoideae, which were present in all samples. *Padus*, *Castanopsis*, *Pseudotsuga sinensis*, *Cerasus* and *Eucommia*

ulmoides appeared in 4 samples, and *Corylus*, *Picrasma* and *Diospyros* were only present in two samples. Figure 5 shows that the abundance of *Picea*, *Quercus* and *Ulmus* is high (over 20 %), while the values of Bambusoideae are low with a range from 1 % to 7 % during 4800 ~ 4600 cal yr BP. After 4600 cal yr BP, *Picea* values decreased from a peak value of 28 % to below 5 %, *Ulmus* decreased to about 7 %, while Bambusoideae increased significantly to a peak value of 23 %. The abundance of *Carpinus*, *Betula*, *Toxicodendron* and *Acer* was relatively stable the whole time.

The charcoal assemblage at the Dadiwan and Xishanping sites include warm temperate taxa such as *Picea*, *Betula*, *Acer*, *Ulmus*, *Carpinus* and *Quercus*; subtropical evergreen broad-leaved taxa such as *Castanopsis* and Bambusoideae; and subtropical deciduous taxa such as *Liquidambar*

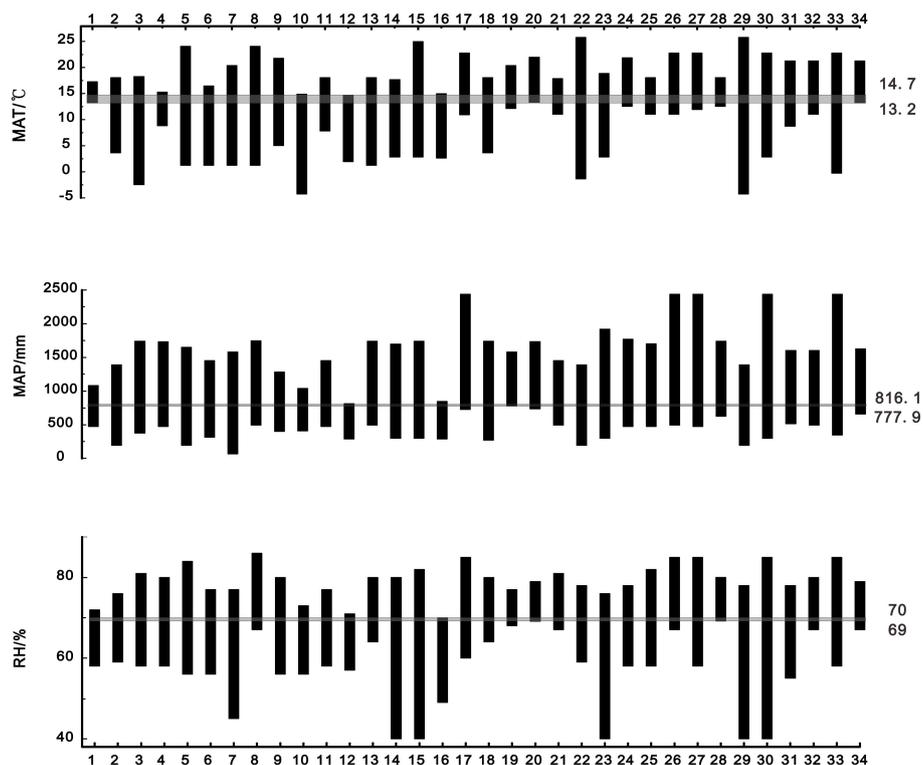


Fig. 6. Coexistence intervals for the Dadiwan section. 1. *Picea brachytyla*; 2. *Abies* sp.; 3. *Alnus* sp.; 4. *Ostrya* sp.; 5. *Betula* sp.; 6. *Corylus* sp.; 7. *Ulmus* sp.; 8. *Acer* sp.; 9. *Castanea* sp.; 10. *Quercus mongolica*; 11. *Quercus aliena*; 12. *Sorbus pohnuashanensis*; 13. *Juglans* sp.; 14. *Tilia* sp.; 15. *Alangium* sp.; 16. *Armeniaca sibirica*; 17. *Firmiana* sp.; 18. *Prunus* sp.; 19. *Gymnocladus chinensis*; 20. *Xylosma racemosum*; 21. *Eucommia ulmoides*; 22. *Lonicera* sp.; 23. *Toxicodendron* sp.; 24. *Liquidambar formosana*; 25. *Cercidiphyllum japonicum*; 26. *Ehretia* sp.; 27. *Cyclobalanopsis* sp.; 28. *Fagus* sp.; 29. *Vaccinium* sp.; 30. *Osmanthus fragrans*; 31. *Picrasma* sp.; 32. *Phyllostachys* sp.; 33. *Fargesia* sp.; 34. *Indocalamus* sp.

formosana and *Toxicodendron*. Thus, the assemblages of fossil charcoal at the Dadiwan and the Xishanping sites reflect the vegetation type of the evergreen broadleaved and the mixed conifer-broadleaved forests between 5200 and 4300 cal yr BP, which indicates a warmer and wetter climate for the subtropical zone in the Tianshui Basin.

The climatic factors of the Tianshui Basin from 5200 to 4900 cal yr BP were obtained by applying the CA to 34 fossil charcoal taxa from the Dadiwan site (Table 4). The CA results show that the MAT was 13.2–14.7 °C, the MAP was 778–816 mm, and the RH was 69–70 % (Fig. 6). The climatic factors of the Tianshui Basin from 4800 to 4300 cal yr BP were obtained from the CA analysis based on 20 fossil charcoal taxa from the Xishanping site (Table 4). The CA results show that the MAT was 13.2–16.5 °C, the MAP was 688–1147.8 mm, and the RH was 67–70 % (Fig. 7).

5 Discussion

Plant distribution is mainly controlled by climate (Good, 1974). The existence of the plant indicates that the plant's growth can adapt to the climate conditions (Gribbin, 1978).

Vegetation can be formed only if the climate is suitable for each plant species of the community (Wang, 1992). Site catchment analysis indicates that the activity range of prehistoric farming groups was limited to around 5 km or 1 h walking distance (Renfrew and Bahn, 1991; Qin et al., 2010); thus, the assemblages of fossil charcoals originated from nearby woody plants, considering there was little evidence of woody plant domestication in the early period, so the fossil charcoal is representative of the natural vegetation. Therefore the fossil charcoal from the archaeological sites is an ideal proxy for reconstructing the local vegetation.

The Xishanping and Dadiwan sites are located on a highland of terrace and have not been disturbed by rivers. In the meantime the selected fossil charcoals are the big size pieces that are hard to be disturbed by wind. Therefore, the assemblages of fossil charcoal from the two sections are efficient to reconstruct the local vegetation and climatic factors.

Here, we examined the distribution range of all the plant taxa present in the Dadiwan and Xishanping section; the climatic range of each taxa was decided from at least six meteorological stations inside the distribution range, which covers almost the whole range of the climatic variable and, therefore, it provided reliable data for this research.

Table 3. The taxa of fossil charcoal and their relative frequencies and abundance ratio from the Xishanping site.

Taxa	Absolute fragment count	Abundance ratio(%)	Ubiquity	Frequency (%)
<i>Acer</i> sp.	65	8.04	7	100
<i>Betula</i> sp.	69	8.54	7	100
<i>Carpinus</i> sp.	61	7.55	7	100
<i>Castanea</i> sp.	28	3.47	7	100
<i>Castanopsis</i> sp.	14	1.73	5	71.43
<i>Cerasus</i> sp.	14	1.73	4	57.14
<i>Corylus</i> sp.	5	0.62	2	28.57
<i>Diospyros</i> sp.	15	1.86	2	28.57
<i>Eucommia ulmoides</i>	7	0.87	4	57.14
<i>Indocalamus</i> sp.	12	1.49	5	71.42
<i>Liquidambar formosana</i>	63	7.80	7	100
<i>Padus</i> sp.	20	2.48	6	85.71
<i>Phyllostachys</i> sp.	56	6.93	6	85.71
<i>Phyllostachys glauca</i>	61	7.55	6	85.71
<i>Picea</i> sp.	86	10.64	7	100
<i>Picrasma</i> sp.	3	0.37	2	28.57
<i>Pseudotsuga sinensis</i>	15	1.86	4	57.14
<i>Quercus</i> sp.	61	7.55	7	100
<i>Toxicodendron</i> sp.	48	5.94	7	100
<i>Ulmus</i> sp.	105	13.00	7	100
Total	808	100	7	100

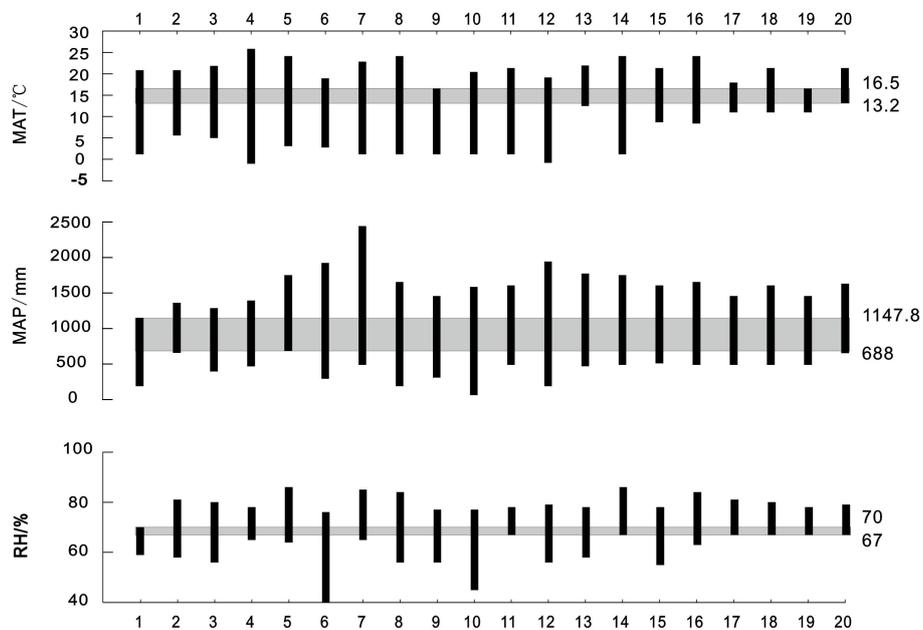
**Fig. 7.** Coexistence intervals for the Xishanping section. 1. *Picea* sp.; 2. *Pseudotsuga sinensis*; 3. *Castanea* sp.; 4. *Quercus* sp.; 5. *Castanopsis* sp.; 6. *Toxicodendron* sp.; 7. *Carpinus* sp.; 8. *Betula* sp.; 9. *Corylus* sp.; 10. *Ulmus* sp.; 11. *Cerasus* sp.; 12. *Padus* sp.; 13. *Liquidambar formosana*; 14. *Acer* sp.; 15. *Picrasma* sp.; 16. *Diospyros* sp.; 17. *Eucommia ulmoides*; 18. *Phyllostachys* sp.; 19. *Phyllostachys glauca*; 20. *Indocalamus* sp.

Table 4. The climatic range of the single plant taxa.

Taxa	MAT/°C		MAP/mm		RH/%	
	maximum	minimum	maximum	minimum	maximum	minimum
<i>Abies</i> sp.	18.1	3.6	1391.8	191.3	76	59
<i>Acer</i> sp.	24.1	1.2	1749.2	491.6	86	67
<i>Alangium</i> sp.	25	2.8	1742.4	295.8	82	40
<i>Alnus</i> sp.	18.3	-2.5	1742.8	373.7	81	58
<i>Betula</i> sp.	24.1	1.2	1651.9	191.3	84	56
<i>Carpinus</i> sp.	22.8	1.2	2439.2	491.6	85	65
<i>Castanea</i> sp.	21.8	5	1285.2	398.5	80	56
<i>Castanopsis</i> sp.	24.1	3.1	1749.2	688	86	64
<i>Cerasus</i> sp.	21.3	1.2	1604.5	491.6	78	67
<i>Cercidiphyllum japonicum</i>	18.1	11	1704.7	471.9	82	58
<i>Corylus</i> sp.	16.5	1.2	1454.6	311.7	77	56
<i>Cyclobalanopsis</i> sp.	22.8	11.9	2439.2	471.9	85	58
<i>Diospyros</i> sp.	24.1	8.4	1651.9	491.6	84	63
<i>Ehretia</i> sp.	22.8	11	2439.2	491.6	85	67
<i>Eucommia ulmoides</i>	17.9	11	1454.6	491.6	81	67
<i>Fagus</i> sp.	18.1	12.5	1742.4	622.3	80	69
<i>Fargesia</i> sp.	22.8	-0.3	2439.3	344.7	85	58
<i>Firmiana</i> sp.	22.8	10.9	2439.2	724.3	85	60
<i>Gymnocladus chinensis</i>	20.4	12.1	1583.5	777.9	77	68
<i>Indocalamus</i> sp.	21.3	13.2	1628.5	656.3	79	67
<i>Juglans</i> sp.	18.1	1.2	1742.4	491.6	80	64
<i>Liquidambar formosana</i>	21.9	12.5	1772	471.9	78	58
<i>Lonicera</i> sp.	25.8	-1.4	1392.1	191.3	78	59
<i>Osmanthus fragrans</i>	22.8	2.8	2439.2	295.8	85	40
<i>Ostrya</i> sp.	15.3	8.8	1734.8	471.9	80	58
<i>Padus</i> sp.	19.1	-0.8	1941	191.3	79	56
<i>Phyllostachys</i> sp.	21.3	11	1604.5	491.6	80	67
<i>Phyllostachys glauca</i>	16.5	11	1454.6	491.6	78	67
<i>Picea</i> sp.	20.8	1.2	1147.8	191.3	72	58
<i>Picea brachytyla</i>	17.3	13.2	1084.1	471.9	72	58
<i>Picrasma</i> sp.	21.3	8.7	1604.5	512	78	55
<i>Prunus armeniaca</i>	15	2.6	848.6	286.6	70	49
<i>Prunus</i> sp.	18.1	2.6	1742.4	268.9	80	49
<i>Pseudotsuga sinensis</i>	20.8	5.6	1359.4	659.7	81	58
<i>Quercus</i> sp.	25.8	-4.3	1454.6	407.1	78	56
<i>Quercus aliena</i>	18.1	7.8	1454.6	471.9	77	58
<i>Quercus mongolica</i>	14.9	-4.3	1042.8	407.1	73	56
<i>Sorbus pohnuashanensis</i>	14.7	1.9	816.1	286.6	71	57
<i>Tilia</i> sp.	17.7	2.8	1700.1	295.8	80	40
<i>Toxicodendron</i> sp.	18.9	2.8	1921.2	295.8	76	40
<i>Ulmus</i> sp.	20.4	1.2	1583.5	65.4	77	45
<i>Vaccinium</i> sp.	25.8	-4.3	1392.1	191.3	78	40
<i>Xylosma racemosum</i>	22	13.1	1736.1	763.1	79	69

Today, the modern subtropical taxa are distributed in the southern area of the Qinling Mountains and the Yangtze River Valley. Therefore, the development of subtropical vegetation between 5200 and 4300 cal yr BP in the Tianshui Basin indicates warmer and wetter climate conditions than today. Comparing the modern plant community of typical subtropical vegetation, a few temperate taxa of *Sorbus*

pohnuashanensis and *Armeniaca sibirica*, which almost disappear in the subtropical area, occurred at a relatively high proportion at the Xishanping and Dadiwan sites. Thus, the vegetation in the Tianshui Basin between 5200 and 4300 cal yr BP should belong to the northern subtropical zone.

Table 5. The comparison of the climatic factors among Dadiwan, Xishanping, Tianshui and Lueyang.

Locality	Period (cal yr BP)	MAT/°C	MAP/mm	RH/%
Dadiwan	5200~4900	13.2	778	69
Xishanping	4800~4300	13.2	688	67
Tianshui	present	11	491.6	67
Lueyang	present	13.3	791.9	71

The Dadiwan site from 5200 to 4900 cal yr BP had the following climatic factors: the mean annual temperature (MAT) was between 13.2 and 14.7 °C, the mean annual precipitation (MAP) was between 778 and 816 mm, and the mean annual relative humidity (RH) was 69–70 %. The Xishanping site from 4800 to 4300 cal yr BP had the following climatic factors: the MAT was between 13.2 and 16.5 °C, the MAP was between 688 and 1147.8 mm, and the RH was 67–70 %. Because the climate of the Tianshui Basin belonged to the northern subtropical zone between 5200 and 4300 cal yr BP, the lower limit value can be regarded as the logical climatic factors to reflect the climate when the climatic tolerance ranges are selected. According to the Surface Meteorological Data of China (1971–2000), the climate between 5200 and 4300 cal yr BP in the Tianshui Basin was similar to the modern climate of the Lueyang Basin in the southern Qinling Mountains (Fig. 1; Table 5).

Lueyang (33°19′ N, 106°09′ E, 1200 m a.s.l.) is an intermountain basin in the southern Qinling Mountains that belongs to the northern border of the subtropical monsoon climate. Comparing the longitude and latitude between the Lueyang and the Tianshui Basin (34°33′50″ N, 105°32′41″ E), the difference of latitude is approximately 1.2°. Thus, we conclude that the subtropical vegetation zone expanded northward by approximately 1.2° and reached the northern Qinling Mountains between 5200 and 4300 cal yr BP.

Comparing the climatic factors from the CA to the modern meteorological data in the Tianshui Basin, the MAT was approximately 2.2 °C higher than today, and the MAP was approximately 280 mm higher than today from 5200 to 4900 cal yr BP. The MAT was also approximately 2.2 °C higher than today from 4800 to 4300 cal yr BP, while the MAP was approximately 196 mm higher than today, and the RH decreased approximately 2 % after 4800 cal yr BP. Therefore, the MAT of the Tianshui Basin was roughly constant, suggesting that no drastic climate event occurred from 5200 to 4300 cal yr BP. However, the MAP decreased approximately 80 mm and the RH decreased 2 % after 4800 cal yr BP. These correspond to many records in the same period, for example the low lake level in northeast and middle-eastern China (Xu et al., 1988; Cui et al., 1992),

decrease of arboreal and shrub pollen in Daihai Basin (Xu et al., 2004) and the western Loess Plateau (An et al., 2003). Also, pollen records from north China during this period show that the vegetation zone generally shifted southwards (Sun et al., 1999; Ni et al., 2010), all of which is consistent with the weakening of the East Asian monsoon that led to the precipitation decrease after 5000 yr BP (Wang et al., 2005; Fleitmann et al., 2007).

6 Conclusions

The palaeoclimatic factors in the Tianshui Basin were obtained by applying the CA method using fossil charcoal data. The results indicate that the climate between 5200 to 4300 cal yr BP was warmer than today by about 2.2 °C, while it was moister than today by some 280 mm yr⁻¹ from 5200 and 4900 cal yr BP, and some 190 mm yr⁻¹ from 4800 to 4300 cal yr BP. No abrupt cold event was found in the data for the period considered. These climate conditions are similar to modern climate around Lueyang in the southern Qinling Mountains. Taken together, these results suggest that higher temperatures could enhance the East Asian monsoon, and then bring increasing precipitation.

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