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Modeling the vertical soil organic matter profile using Bayesian parameter estimation

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Abstract. The vertical distribution of soil organic matter (SOM) in the profile may constitute an important factor for soil carbon cycling. However, the formation of the SOM profile is currently poorly understood due to equifinality, caused by the entanglement of several processes: input from roots, mixing due to bioturbation, and organic matter leaching. In this study we quantified the contribution of these three processes using Bayesian parameter estimation for the mechanistic SOM profile model SOMPROF. Based on organic carbon measurements, 13 parameters related to decomposition and transport of organic matter were estimated for two temperate forest soils: an Arenosol with a mor humus form (Loobos, the Netherlands), and a Cambisol with mull-type humus (Hainich, Germany). Furthermore, the use of the radioisotope ²¹⁰Pb_{ex} as tracer for vertical SOM transport was studied. For Loobos, the calibration results demonstrate the importance of organic matter transport with the liquid phase for shaping the vertical SOM profile, while the effects of bioturbation are generally negligible. These results are in good agreement with expectations given in situ conditions. For Hainich, the calibration offered three distinct explanations for the observations (three modes in the posterior distribution). With the addition of ²¹⁰Pb_{ex} data and prior knowledge, as well as additional information about in situ conditions, we were able to identify the most likely explanation, which indicated that root litter input is a dominant process for the SOM profile. For both sites the organic matter appears to comprise mainly adsorbed but potentially leachable material, pointing to the importance of organo-mineral interactions. Furthermore, or-

ganic matter in the mineral soil appears to be mainly derived from root litter, supporting previous studies that highlighted the importance of root input for soil carbon sequestration. The ²¹⁰Pb_{ex} measurements added only slight additional constraint on the estimated parameters. However, with sufficient replicate measurements and possibly in combination with other tracers, this isotope may still hold value as tracer for SOM transport.

1 Introduction

The current lack of understanding of the soil system forms an important contribution to the uncertainty of terrestrial carbon cycle predictions (Heimann and Reichstein, 2008; Trumbore, 2009). To improve simulation of soil carbon cycling, it is necessary to move beyond the simple description of organic matter decomposition that is currently being applied in most large-scale models (Reichstein and Beer, 2008). Increasing evidence indicates that decomposition and stabilization are controlled by a range of mechanisms that depend on physical, chemical, and biological factors (von Lützow et al., 2006). These factors vary laterally at landscape scale in relation to climate, vegetation and soil type. In the vertical dimension, however, they change on a scale of centimeters to meters, since most drivers (e.g., wetting, heating, organic matter input) are exerted on the soil at or near the surface, propagating downwards. Consequently, the conditions that determine soil carbon cycling are highly depth-dependent and different

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mechanisms may be operating in different layers within one profile (Rumpel et al., 2002; Salomé et al., 2010; Rumpel and Kögel-Knabner, 2011). Therefore, aggregation of processes and soil properties over the profile, or downward extrapolation of topsoil organic carbon, as used in many soil organic matter (SOM) models (e.g., Parton et al., 1987; Tuomi et al., 2009), is likely an oversimplification, inadequate to support new parameterizations of relevant processes.

Awareness of this problem has spurred recent efforts to develop models that predict the vertical distribution of SOM, based on explicit descriptions of carbon deposition processes in the profile (Jenkinson and Coleman, 2008; Koven et al., 2009; Braakhekke et al., 2011). In most soils there are three mechanisms by which organic carbon can be input at any given depth: (i) organic matter may be deposited in situ by root exudation, sloughing off of root tissue and root turnover. (ii) Organic matter is transferred within the profile due to movement with the liquid phase. This type of transport is of an advective nature, and affects only fractions that are potentially mobile: mainly dissolved, and to a lesser degree colloidal organic matter. (iii) Downward dispersal of organic matter occurs due to mixing of the soil matrix. Soil mixing is mostly caused by bioturbation – the reworking activity of soil animals and plant roots – and its effects on organic matter may be simulated mathematically as diffusion, provided the time and space scale of the model are sufficiently large (Boudreau, 1986; Braakhekke et al., 2011).

The processes involved in SOM deposition in the profile – root input, liquid phase transport, and bioturbation – are fundamentally different, not only in a physical and mathematical sense, but also in terms of their relationship with environmental factors. Therefore, in order for a SOM profile model to be robust over different ecosystems and soil types, and over changing environmental conditions, the relevant processes should be explicitly represented. Furthermore, the distribution of organic matter over particulate and potentially mobile fractions needs to be accounted for.

Unfortunately, the different processes have been poorly quantified to this date. Published results are inconsistent, and past studies have generally focused on a single mechanism, rather than comparing all three (Rasse et al., 2005; Kaiser and Guggenberger, 2000; Tonneijck and Jongmans, 2008). Their extremely low rates, as well as practical problems, impede direct measurements of these processes in the field. Furthermore, the fact that the mechanisms are acting simultaneously complicates inference from SOM profile measurements. Diffusion and advection of decaying compounds, such as organic matter, can produce very similar concentration profiles, despite the different natures of these processes. Moreover, root input closely follows the root biomass distribution, which often strongly resembles the SOM profile. Hence, it is generally not possible to derive the rate of each process from the organic carbon profile alone, unless strong assumptions are made. A model that includes all relevant processes may be able to explain an observed soil carbon profile by several different mechanisms – a problem referred to as equifinality (Beven and Freer, 2001).

Thus, additional information is required in order to parameterize dynamic SOM profile models. In past studies, ¹³C and ¹⁴C have been used as tracers for this purpose (Elzein and Balesdent, 1995; Freier et al., 2010; Baisden et al., 2002). Although these isotopes are particularly useful for constraining organic matter turnover times and carbon pathways, their precise information content with respect to the processes involved in SOM profile formation is less clear, since root input leads to direct input of ¹³C and ¹⁴C at depth. In this context, fallout radio-isotopes (e.g., ¹³⁷Cs, ¹³⁴Cs, ²¹⁰Pb_{ex}, ⁷Be) may be more effective. Such tracers have two major advantages over carbon isotopes: (i) loss occurs only due to radioactive decay, which is constant and exactly known; and (ii) input occurs only at the soil surface – direct input at depth is negligible. These points imply that the vertical transport rate of such isotopes can be directly inferred from their concentration profiles (Kaste et al., 2007; He and Walling, 1997). Since many radio-isotopes sorb strongly to organic matter molecules, they offer an effective alternative or complement to carbon isotopes for inferring organic matter transport rates in soils (Dörr and Münnich, 1989, 1991). Particularly ²¹⁰Pb_{ex} (²¹⁰Pb in excess of the in situ produced fraction) is a valuable tracer due to its strong adsorption to soil particles, and relatively constant fallout rate (Walling and He, 1999). Past studies have mostly used radio-isotopes for determining erosion and deposition rates (Mabit et al., 2009; Wakiyama et al., 2010), while their use for inferring vertical transport at stable sites has received little attention (Dörr and Münnich, 1989; Kaste et al., 2007; Arai and Tokuchi, 2010; Yoo et al., 2011).

The aim of this study is to examine SOM profile formation with model inversion. We used ²¹⁰Pb_{ex} concentration profiles, in addition to soil carbon measurements, to calibrate the model SOMPROF (Braakhekke et al., 2011) for two forest sites with contrasting SOM profiles. SOMPROF is a vertically explicit SOM model that simulates the distribution of organic matter over the mineral soil profile and surface organic layers. The aim of the model is to represent SOM profile formation over time scales of years to centuries. It includes simple but explicit representations of the relevant processes: bioturbation, liquid phase transport, root litter input, and decomposition. SOMPROF was developed with largescale application in an earth system model in mind. It was shown to be able to produce SOM profiles that compare well to observations (Braakhekke et al., 2011), but parameter sets for different soils and ecosystems have hitherto not been derived.

For both sites, 13 SOMPROF parameters were estimated. We focused on unmeasurable parameters such as decomposition rate coefficients and organic matter transport rates. The model inversion was performed in a Bayesian framework, allowing prior knowledge of the model parameters to be included and to estimate their posterior uncertainty. In view of the limited understanding of the SOM profile, the aim of this

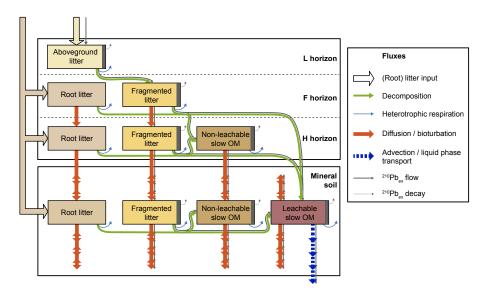


Fig. 1. Overview of the SOMPROF model and the ²¹⁰Pb_{ex} module. The dark gray rectangles indicate ²¹⁰Pb_{ex} associated with the organic matter pools.

study went beyond simply reducing the uncertainty ranges of the parameters. We also sought to gain qualitative understanding of the model's behavior, specifically its potential ability to explain observations by different mechanisms, and the value of ²¹⁰Pb_{ex} data and prior knowledge to improve parameter identification. This work also represents a first step towards testing the validity of SOMPROF for different soils and ecosystems.

We aim to answer the following questions: (i) what is the relative importance of the different processes involved in SOM profile formation? (ii) How much organic matter is present as material potentially transportable with the liquid phase, as compared to immobile particulate material? And, (iii) are ²¹⁰Pb_{ex} profile measurements useful for constraining the model parameters?

2 Methods

2.1 The SOMPROF model

Here a brief overview of the SOMPROF model is presented. We focus specifically on the model equations in which the estimated parameters are applied, and the ²¹⁰Pb_{ex} module. A more exhaustive description and the rationale behind the model structure is presented in Braakhekke et al. (2011).

In SOMPROF the soil profile is divided into the mineral soil and the surface organic layer, which is assumed to contain no mineral material and is further subdivided into three horizons: L, F and H (Fig. 1). These organic horizons are simulated as homogeneous connected reservoirs of organic matter (OM). Decomposition products of litter generally flow from the L to the F horizon and from the F to the H hori-

zon. Additionally, material may be transported downward between the organic horizons and into the mineral soil by bioturbation. For the mineral soil, which comprises both organic matter and mineral material, the model simulates the vertical distribution of the organic matter pools, with a diffusionadvection model.

In view of the low rates of the relevant processes, and lack of knowledge of initial conditions at the sites, the SOM-PROF simulations in this study covered the complete period of SOM profile formation, starting without any organic carbon in the profile. The model was run with a time step length of one month (1/12 yr), for a specified maximum number of years, depending on the age and history of the site, and was driven by repeated annual cycles of measured or estimated soil temperature, moisture and (root) litter production. The main reason for considering temperature and moisture was to remove effects of local climate from the estimated decomposition rate coefficients, which thus are more intrinsic quantities, influenced mostly by local soil and vegetation properties. Furthermore, seasonal fluctuations of the forcing variables were accounted for since the timing of oscillations may have effects on long time scales due to non-linear interactions in the model. We limited the forcing cycle to one year, because inter-annual variability is expected to be small compared to seasonal fluctuations and the available measurements were not sufficient to derive longer cycles.

2.1.1 Organic matter pools and decomposition

Organic matter simulated in SOMPROF comprises five pools (Fig. 1), representing fractions that differ with respect to decomposability, transport behavior and mechanism of input: aboveground litter (AGL), fragmented litter (FL), root litter

(RL), non-leachable slow organic matter (NLS), and leachable slow organic matter (LS). Aboveground and root litter receive external input; fragmented litter and leachable and non-leachable slow OM are formed by decomposition. LS is absent in the organic horizons since the adsorptive capacity there is assumed to be negligible compared to that of the mineral soil.

Organic matter decomposition is simulated as a first-order decay flux, corrected for soil temperature and moisture. For any organic matter pool i, the decomposition flux L_i is defined as:

$$L_i = f(T) g(W) k_i C_i, \qquad (1)$$

where C_i is the concentration (kg m⁻³, for the mineral soil) or the stock (kg m⁻², for the organic horizons), k_i the decomposition rate coefficient (yr⁻¹) at 10 °C and optimal soil moisture, and f(T) and g(W) the response functions for soil temperature and moisture (see Braakhekke et al., 2011). To avoid errors due to smoothing of the temperature and moisture data to monthly values, the response factors were calculated for the unsmoothed, daily measurements. These response factors were subsequently averaged to monthly values, and several years of data were averaged to an average annual cycle, which was used to calculate the decomposition fluxes.

The formation of fragmented litter, non-leachable, and leachable slow OM is defined according to a transformation fraction $(\alpha_{i \to j})$ that determines the decomposition flux $F_{i \to j}$ from donor pool i to the receiving pool j:

$$F_{i \to j} = \alpha_{i \to j} L_i. \tag{2}$$

The organic matter that does not flow to other pools is assumed to be lost as CO_2 .

For the calibration measured organic carbon amounts were always compared to total simulated organic carbon, summed over all pools. Mass fraction in the mineral soil layers was calculated as the organic carbon mass divided by the total mass (mineral plus organic) in each layer. Effective decomposition rate coefficients were determined by dividing the total simulated heterotrophic respiration by the total organic matter stock of the respective layers.

2.1.2 Organic matter transport

All organic matter pools except aboveground litter are transported by bioturbation at equal rate. Conversely, only the leachable slow organic matter pool is transported by liquid phase transport. All transport parameters are assumed constant and independent of depth, although the diffusivity of organic matter may vary with depth due to bulk density variations (see Eq. 4).

For the organic layer, organic matter transport due to bioturbation is determined by the bioturbation rate B (kg m⁻² yr⁻¹), which represents the mixing activity of the

soil fauna, i.e., the amount of material being displaced per unit area and unit time. *B* is the maximum flux of organic matter that can be moved to the next horizon. In case the potential bioturbation flux for one time step exceeds the amount of organic matter in a horizon, it is adjusted downward. For the mineral soil, a diffusion model is applied to simulate transport due to bioturbation:

$$\left. \frac{\partial C_i}{\partial t} \right|_{\text{RT}} = D_{\text{BT}} \frac{\partial^2 C_i}{\partial z^2},\tag{3}$$

where C_i is the local concentration of organic matter pool i (kg m⁻³), z depth in the mineral soil (m, positive downward; z = 0 at the top of the mineral soil), and t time (yr). $D_{\rm BT}$ is the diffusivity (m² yr⁻¹), which is derived from the bioturbation rate according to mixing length theory, as follows (Braakhekke et al., 2011):

$$D_{\rm BT} = \frac{1}{2} \frac{B}{\rho^{\rm MS}} l_{\rm m},\tag{4}$$

where is $\rho^{\rm MS}$ is the local bulk density (kg m⁻³), which is depth dependent and can either be set to measured values or calculated by the model. $l_{\rm m}$ is the mixing length (m), which links the bioturbation rate to the diffusivity. The upper boundary condition, at the top of the mineral soil, is determined by the flux of material coming from the H horizon.

Dissolved organic matter (DOM) is not explicitly represented in SOMPROF. Instead, the combined effects of adand desorption and water flow on the concentration profile of the leachable slow organic matter pool are simulated as an effective advection process:

$$\left. \frac{\partial C_{\rm LS}}{\partial t} \right|_{\rm LPT} = -v \, \frac{\partial C_{\rm LS}}{\partial z},\tag{5}$$

where v is the effective organic matter advection rate $(m \, yr^{-1})$. Note that the LS pool represents potentially leachable material; the bulk of this organic matter is in fact immobile due to adsorption to the mineral phase. Hence, the LS pool is also transportable by bioturbation.

The upper boundary condition for LS is determined by the total production in the organic layer. For all pools a zero-gradient condition is used for the lower boundary. Hence, only advection of LS can lead to a loss of organic matter by transport.

2.1.3 ²¹⁰Pb_{ex} simulation

²¹⁰Pb is a radiogenic isotope that is input into the soil due to both atmospheric deposition and in situ formation within the profile. The fallout fraction (²¹⁰Pb_{ex}) is typically estimated as the difference between the total ²¹⁰Pb activity and the activity of ²²⁶Ra, one of its precursors (Appleby and Oldfield, 1978).

A module has been added to SOMPROF in order to use measurements of $^{210}\text{Pb}_{\text{ex}}$ as a tracer for SOM transport

(Fig. 1). The modeled ²¹⁰Pb_{ex} concentration profile is controlled by atmospheric input, radioactive decay, and organic matter input, decomposition and transport. The ²¹⁰Pb_{ex} module is based on the following assumptions: (i) variations in time of the atmospheric ²¹⁰Pb_{ex} input are negligible; (ii) ²¹⁰Pb_{ex} is input only into the L horizon; (iii) once in the soil, ²¹⁰Pb_{ex} binds immediately and irreversibly to any organic matter pool; (iv) ²¹⁰Pb_{ex} "follows" the organic matter to which it is bound through the decomposition and transport processes; and (v) aside from transport, ²¹⁰Pb_{ex} is lost only due to radioactive decay, at a fixed rate of 0.0311 yr⁻¹.

Since ²¹⁰Pb_{ex} is only input into the L horizon, which contains no root litter, no ²¹⁰Pb_{ex} is associated with this pool. Furthermore, external input of organic matter as litter has a diluting effect on ²¹⁰Pb_{ex}, while loss of organic matter as CO₂ leads to an increase of mass fraction. For the organic horizons, the ²¹⁰Pb_{ex} fluxes due to organic matter flow (either by transport or transformation to another pool) are calculated by multiplying the flux from a pool by its ²¹⁰Pb_{ex} mass fraction. For the mineral soil the transport equations are solved separately for ²¹⁰Pb_{ex} associated with the FL, NLS and LS pools.

Since the atmospheric deposition rate of $^{210}\text{Pb}_{\text{ex}}$ is not generally known, the $^{210}\text{Pb}_{\text{ex}}$ fractions were normalized relative to the fractions at the mineral soil surface for comparison with observations (see Sect. 2.3.2). Thus, the exact input rate is trivial, and was set to 1. Mineral soil $^{210}\text{Pb}_{\text{ex}}$ mass fractions, used for comparing with measurements, were calculated as the total $^{210}\text{Pb}_{\text{ex}}$ amount, summed over all organic matter pools, divided by the total mass (mineral plus organic).

2.2 Site descriptions

2.2.1 Loobos

Loobos is a Scots pine (Pinus sylvestris) forest on a well-drained, sandy soil in the Netherlands (52°10′0″ N, 5°44′38″ E). The climate is temperate/oceanic with an average annual precipitation of 966 mm and an average temperature of 10 °C (WUR, Alterra, 2011). The area, which was originally covered by shifting sands, was planted with pine trees in the early 20th century. Currently, the forest floor is covered with a dense understorey of wavy hair grass (Deschampsia flexuosa) that roots primarily in the organic layer. Due to its young age, the soil is classified as Cambic or Haplic Arenosol (IUSS Working Group WRB, 2007; Smit, 1999) but shows clear signs of the onset of podzolization. Because of the high content of quartzitic sand (> 94 %), the soil is very poor, which is reflected by a low pH (3–4) and nutrient concentrations, and a virtual absence of soil fauna (Emmer, 1995; Smit, 1999). Organic matter is comprised mostly of mor humus in a thick organic layer of circa 11 cm, and organic carbon fractions in the mineral soil are very low.

Table 1. Model driving data and not-estimated parameters.

Variable/Parameter	Loobos	Hainich	Units
Annual aboveground litter input	0.310	0.314 ^b	$kg C m^{-2} yr^{-1}$
Canopy	0.255	0.277 ^b	
Understory	0.055^{c}	0.037 ^b	
Total annual root litter input	0.543	0.178^{b}	$kg C m^{-2} yr^{-1}$
Canopy	0.118	0.148^{b}	
Understory	0.425 ^c	0.03	
Root litter distribution param.	see text	7	m^{-1}
Soil temperature resp. param.	308.56 ^d	308.56 ^d	K
Soil moisture resp. param. ae	1	1	_
Soil moisture resp. param. be	20	20	_
Soil temperature	a	a	K
Relative soil moisture content	a	a	_
Bulk density L layer	50	50	${\rm kg}{\rm m}^{-3}$
Bulk density F layer	100	100	${\rm kg}{\rm m}^{-3}$
Bulk density H layer	150	150	${\rm kg}{\rm m}^{-3}$
Bulk density mineral soil	1400	a	${\rm kg}{\rm m}^{-3}$
Simulation period	95	1000	yr
Depth of bottom boundary	2	0.7	m

^a Variable in depth and/or time; ^b Kutsch et al. (2010); W. Kutsch (personal communication, 2009); ^c Smit and Kooijman (2001); ^d Lloyd and Taylor (1994); ^e Soil moisture response function: $g(W) = \exp(-\exp(a - bW))$.

Half-hourly measurements of soil moisture and temperature were performed continuously at five depths (5, 13, 30, 60, 110 cm). Data for the period 1 May 2005 to 31 December 2008 were used to derive an average annual cycle of soil temperature and moisture, which was used for the simulations. Additionally, aboveground litter fall measurements on a two to four weeks basis for the period 2000 to 2008 were used to derive an average annual cycle for aboveground litter input. Since the carbon content of the litter was not determined, we used a fixed C fraction of 50 %. Bulk density was calculated by the model according to a function from Federer et al. (1993), based on hypothetical bulk densities of pure mineral and pure organic soil (set to 1400 and 150 kg m⁻³, respectively).

Annual root litter input for the understorey was taken from Smit and Kooijman (2001) (Table 1), who estimated root turnover in the same forest using root ingrowth cores. To account for seasonal fluctuations of the grass layer, the annual input of both above- and belowground grass litter was distributed over the year using a function based on data taken from Veresoglou and Fitter (1984), which peaks around early June. The vertical distribution of understorey root litter input was set such that approximately 95 % occurs in the organic layer (Supplement Fig. 1), which corresponds to in situ observations of root biomass (A. Smit, personal communication, 2009). For the root litter input from the pine trees (Table 1), we used data from a forest in Belgium (Brasschaat) with a similar vegetation composition, soil type, and age (Janssens et al., 2002). The root litter input for Loobos was derived by scaling the estimate from the Janssens et al. (2002) study according to net primary productivity estimates of both sites, which were taken from Luyssaert et al. (2007). The root litter input from the canopy vegetation was held constant throughout the simulation. Its vertical distribution was also derived from information from Janssens et al. (2002), as well as personal communication from J. Elbers and I. Janssens (2009). At both the Brasschaat and Loobos sites, it is observed that the root biomass starts at the top of the H horizon and peaks at the mineral soil surface. Therefore, we chose a distribution function that increases linearly with depth from the top to the bottom of the H horizon. From there it decreases with depth according to a two-term exponential function: $f(z) = \exp(-20.00z) +$ $0.0384 \exp(-0.886z)$ (see Supplement Fig. 1). By this function we accounted for deep soil input from pine roots, which may be important for the vertical SOM profile. Since the thickness of the H horizon is variable, the total distribution function was normalized at every time step.

The simulation length was set to 95 yr, which is the approximate time between the forest plantation and the sampling date. To account for the time needed for vegetation to develop, litter input was reduced in the initial stage, by multiplying with a function linearly increasing from 0, at the start of the simulation, to 1, after 60 yr (Emmer, 1995).

2.2.2 Hainich

This site is located in the Hainich national park in Central Germany, (51°4′45.36″ N, 10°27′7.20″ E). The forest, which has been unmanaged for the last 60–70 yr, is dominated by beech (*Fagus Sylvatica*, 65 %) and ash (*Fraxinus excelsior*, 25 %) (Kutsch et al., 2010). The forest floor is covered by herbaceous vegetation (*Allium ursinum*, *Mercurialis perennis*, *Anemone nemorosa*), which peaks before canopy bud break. The climate is temperate suboceanic/subcontinental with an average annual precipitation of 800 mm and an average temperature of 7–8 °C.

The soil is classified as Luvisol or Cambisol (IUSS Working Group WRB, 2007; Kutsch et al., 2010). It has formed in limestone overlain by a layer of loess, and is characterized by a high clay content (60 %) and a pH of H₂O of 5.9 to 7.8 (T. Persson, personal communication, 2011). The favorable soil properties support a high biological activity (Cesarz et al., 2007), corroborated by a thin organic layer and a well-developed A horizon. About 90 % of the root biomass occurs above 40 cm depth. A similar distribution was used for the root litter input (Supplement Fig. 1).

The oldest trees at Hainich are approximately 250 yr old, but presumably the site has been covered by similar vegetation for much longer. Thus, we assumed that the soil is close to steady state. Hence, a 1000yr simulation was used. For further information on the setup of the Hainich simulation, refer to the description of the reference simulation in Braakhekke et al. (2011). The model inputs that were not included in the calibration are listed in Table 1.

2.3 Observations used for the calibration

2.3.1 Organic carbon measurements

For Loobos, measured carbon stocks in the L, F and H horizons and the mineral soil, and carbon mass fractions at 3 depths in the mineral profile were used in the calibration. Several profiles were affected by wind erosion; when this was the case, the affected measurements were omitted. In 2005 the soil was sampled in a regular quadratic grid at 25 points spaced 40 m apart. Organic layers were removed with a square metal frame with a side length of 25 cm. The mineral soil was sampled horizon-wise with a Pürckhauer auger, 2-3 cm wide and 1 m long. Soil samples were sieved to < 2 mmand ground. Carbon stocks in the organic layers were analyzed with a CN analyser Vario EL (Elementar Analysensysteme GmbH, Hanau, Germany); carbon fractions in the mineral soil were measured with a CN Analyser VarioMax (Elementar Analysensysteme GmbH, Hanau, Germany). For the calibration the mineral soil carbon fractions from different samplings were interpolated to three fixed depth levels.

For Hainich, measured stocks in the L and F/H horizon (the individual F and H horizons could not be identified) and in the mineral soil were used, as well as mass fraction measurements at 8 depths in the mineral profile. In addition, we used measured effective decomposition rate coefficients at $15\,^{\circ}$ C and soil moisture at $60\,\%$ of water holding capacity in the L and F/H horizon, and at 7 depths in the mineral profile. The sampling procedure and organic carbon measurements are described in Schrumpf et al. (2011). The decomposition rate coefficients were calculated from measurements of respiration rates measured during lab incubation of soil samples, which is described in Kutsch et al. (2010). By dividing the average respiration rate of each sample by its organic carbon content, we obtained effective decomposition rate coefficients. All measurements are listed in Supplement Table 1.

Simulated organic carbon fractions and effective decomposition rate coefficients were interpolated to the measurement depths for comparing with measurements using piecewise Hermitian interpolation (Burden, 2004). Because organic carbon stocks and mass fractions cannot be less than zero and typically have large spatial variance, the measurements from replicate samplings can be assumed to have right-skewed distributions. We assumed that this is also the case for the effective decomposition rate measurements. Therefore, all measurements (and their corresponding model results) were log transformed for the calibration to bring the distributions closer to normal. This also reduced heteroscedasticity for the mineral soil organic carbon fractions.

2.3.2 ²¹⁰Pb_{ex} measurements

Since local ²¹⁰Pb_{ex} measurements were not available for Loobos, we used two activity profiles from Kaste et al. (2007), for a site in the Hubbard Brook Experimental Forest,

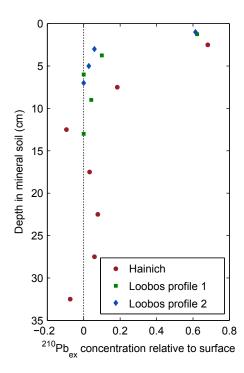


Fig. 2. Measured $^{210}\text{Pb}_{\text{ex}}$ concentrations used for the calibration. Concentrations are relative to the values at the surface. Note that the $^{210}\text{Pb}_{\text{ex}}$ measurements for Loobos are taken from an equivalent site (Kaste et al., 2007).

New Hampshire, USA. This site has conditions similar to those at Loobos in terms of vegetation, soil texture, soil pH, and soil biological activity (Bormann and Likens, 1994). Furthermore, pedological processes related to podzol formation are occurring at both sites. The two sites differ with respect to age, since the Loobos soil is very young. However, in view of the relatively fast decay rate of ²¹⁰Pb, and the shallow distribution of the ²¹⁰Pb_{ex} profile (Fig. 2), we assume that it is close to steady state at both sites.

Local ²¹⁰Pb_{ex} measurements at Hainich were performed for a study by Fujiyoshi and Sawamura (2004) (R. Fujiyoshi, personal communication, 2008). Although these measurements were corrected for in situ formed ²¹⁰Pb by subtracting the ²²⁶Ra activity (R. Fujiyoshi, personal communication, 2008), the activity profile did not approach zero with depth. Hence, this method did presumably not account for all supported ²¹⁰Pb. Therefore, we assumed that the ²¹⁰Pb_{ex} concentration is zero from approximately 12.5 cm downwards. The supported ²¹⁰Pb activity was estimated as the average below this depth, and all data were corrected by subtracting this average. (Note that in several cases this produced negative concentrations.)

Only mineral soil ²¹⁰Pb_{ex} measurements were used in the calibration (Fig. 2 and Supplement Table 1). The profiles of both sites, as well as those predicted by the model, were normalized by dividing them by the ²¹⁰Pb_{ex} activity at the sur-

face of the mineral soil, which was estimated using piecewise Hermitian extrapolation. Simulated ²¹⁰Pb_{ex} fractions and effective decomposition rate coefficients were interpolated to the measurement depths also using Hermitian interpolation, for comparing with measurements. Because of the negative observed values for Hainich, no log-transformations were used for the ²¹⁰Pb_{ex} data.

2.4 Bayesian calibration

We performed Bayesian estimation of 13 model parameters: five decomposition rate coefficients, five transformation fractions, and three transport parameters (Table 2). Bayesian calibration is aimed at deriving the posterior probability distribution $p(\theta|O)$ of the model parameters θ based on the misfit between the model results and the observations O, and the a priori probability distribution of the parameters (Mosegaard and Sambridge, 2002). According to Bayes' theorem, the posterior distribution is defined as:

$$p(\boldsymbol{\theta}|\boldsymbol{O}) = c \ p(\boldsymbol{\theta}) \ p(\boldsymbol{O}|\boldsymbol{\theta}), \tag{6}$$

where $p(\theta)$ is the prior probability distribution, expressing our knowledge of the parameters prior to the calibration, and c is a normalization constant, ensuring that the integral over the distribution equals 1. $p(\mathbf{O}|\theta)$ is a likelihood function that expresses the probability of the observations \mathbf{O} , given the parameters $\boldsymbol{\theta}$ (Gelman et al., 2004, Chap. 1).

The calibrations were performed in three setups, in which $^{210}\text{Pb}_{\text{ex}}$ data and prior knowledge were stepwise added, in order to investigate the information content of each source of information. For both sites, we ran calibrations in the following setups:

- 1. excluding ²¹⁰Pb_{ex} data and with weak priors;
- 2. including ²¹⁰Pb_{ex} data and with weak priors;
- 3. including ²¹⁰Pb_{ex} data and with strong priors.

Calibration setup 3 represents our best estimate of the model parameters.

2.4.1 Likelihood function

As discussed in Sect. 2.3, different types of observed variables were used in the calibration, referred to as "data streams". For any data stream (i), the observations (O_i) may be seen as the sum of the model prediction $(M_i(\theta))$ plus a stochastic residual term (ϵ_i) :

$$O_i = M_i(\boldsymbol{\theta}) + \epsilon_i, \quad i = 1, 2 \dots I.$$
 (7)

Note that for all data streams except $^{210}\text{Pb}_{\text{ex}}$ the model prediction and measurements were log-transformed. We assumed that the residuals are normally distributed with variance (σ_i) , which may be different for each data stream. The

Table 2. The model parameters estimated in the calibration. Note that the prior distributions were only used for calibration setup 3. The lower bound for all parameters is zero; the upper bound is given in the table.

Parameter	Symbol	Units	Prior distribution in calibr. setup 3	Upper bound
Decompo	osition rate co	efficients a	t 10 °C and optimal soil mo	isture
Aboveground litter	$k_{ m AGL}$	yr ⁻¹	$Log-\mathcal{N}(-0.23, 0.74)$	3
Root litter	$k_{ m RL}$	yr ⁻¹	$Log-\mathcal{N}(-0.23, 0.74)$	3
Fragmented litter	$k_{ m FL}$	yr ⁻¹	$Log-\mathcal{N}(-0.23, 0.74)$	3
Non-leachable slow organic matter	$k_{ m NLS}$	yr ^{−1}	$Log-\mathcal{N}(-2.23, 1.00)$	3
Leachable slow organic matter	$k_{ m LS}$	yr ⁻¹	$Log-\mathcal{N}(-2.23, 1.00)$	3
	Ti	ransformat	ion fractions	
Aboveground litter – fragmented litter	$\alpha_{\text{AGL} \rightarrow \text{FL}}$	_	$\text{Logit-}\mathcal{N}(0.43, 0.95)$	1
Fragmented litter – non-leachable slow	$\alpha_{\text{FL} o \text{NLS}}$	-	$Logit-\mathcal{N}(-0.93, 0.98)$	$1, (1 - \alpha_{\text{FL} \to \text{LS}})$
Fragmented litter – leachable slow	$\alpha_{\text{FL} \to \text{LS}}$	-	$Logit - \mathcal{N}(-0.93, 0.98)$	$1, (1 - \alpha_{\text{FL} \to \text{NLS}})$
Root litter – non- leachable slow	$\alpha_{\text{RL} \rightarrow \text{NLS}}$	-	$Logit - \mathcal{N}(-0.93, 0.98)$	$1, (1 - \alpha_{\text{RL} \to \text{LS}})$
Root litter – leachable slow	$\alpha_{\text{RL}\to\text{LS}}$	-	$Logit - \mathcal{N}(-0.93, 0.98)$	$1, (1 - \alpha_{\text{RL} \to \text{NLS}})$
		Transport _J	parameters	
Bioturbation rate	В	$\begin{array}{c} \text{kg m}^{-2} \\ \text{yr}^{-1} \end{array}$	uniform	3
Mixing length	l_{m}	m	uniform	3
Liquid phase transport (advection) rate	v	${ m myr^{-1}}$	uniform	0.1

conditional likelihood function for a given σ_i is defined by the joint distribution of the residuals of all data streams:

$$p(\boldsymbol{O}|\boldsymbol{\theta}, \boldsymbol{\sigma^2}) \propto \prod_{i=1}^{I} \sigma_i^{-N_i} \exp\left(-\frac{1}{2\sigma_i^2} SS_i(\boldsymbol{\theta})\right).$$
 (8)

Note that we did not consider correlations between the different variables. $SS_i(\theta)$ is the sum of squared residuals for data stream (i) over all (N_i) data points:

$$SS_i(\theta) = \sum_{n=1}^{N_i} (O_{i,n} - M_{i,n}(\theta))^2.$$
 (9)

Multiple replicate measurements, if available, were all individually included in $S_i(\theta)$, meaning a single model prediction was compared to multiple observations. For the mineral soil profile, measurements from all depth levels were considered to be part of the same data stream; i.e., the residuals were assumed to have the same distribution.

The variance of the residuals (σ_i^2) is usually determined by both model-related errors (deficiencies in the model struc-

ture, errors in forcing data) as well as observational uncertainty (spatial heterogeneity, measurement errors). In some cases it may be estimated a priori based on knowledge of the model and the measurement uncertainty (Knorr and Kattge, 2005), but in general it must be considered unknown. For certain prior distributions σ_i can be analytically integrated out of the joint likelihood function $p(\boldsymbol{O}, \sigma^2 | \boldsymbol{\theta})$, yielding the marginal distribution $(p(\boldsymbol{O}|\boldsymbol{\theta});$ Gelman et al., 2004, Chap. 3). We use the uninformative prior $p(\sigma_i) \propto 1/\sigma_i$, which yields the following formulation of the likelihood function:

$$p(\boldsymbol{O}|\boldsymbol{\theta}) \propto \prod_{i=1}^{I} SS_i(\boldsymbol{\theta})^{-N_i/2}.$$
 (10)

2.4.2 Prior parameter distributions

We performed calibration with both strong and with weak prior distributions. For the runs with weak priors, the prior probability $P(\theta)$ was simply omitted from the posterior probability definition (Eq. 6), which resulted in a multivariate uniform distribution, within the sampling region.

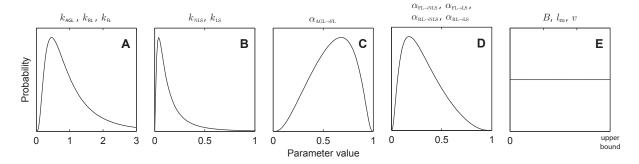


Fig. 3. Prior probability distributions of the model parameters used for calibration setup 3. See Table 2 for the parameters of the distribution functions. All distributions have a lower bound at zero and an upper bound which is given in Table 2.

For the runs with strong priors, the distributions were based on knowledge from previously published studies (Braakhekke et al., 2011). The same distributions were used for both sites. Since decomposition rate coefficients cannot be negative or zero, we chose a log-normal distribution. For the litter pools (k_{AGL} , k_{RL} and k_{FL}), we used the same distributions (mode at $0.46 \,\mathrm{yr}^{-1}$; Fig. 3a). It is likely that the decomposition rate coefficient of leachable slow organic matter (k_{LS}) is lower than that of non-leachable slow organic matter (k_{NLS}), since the former is comprised mostly of material adsorbed to the mineral phase. Nevertheless, since we aimed to test this hypothesis with the measurements, we used the same prior distributions for the decomposition rate coefficient of both pools (mode at $0.04 \,\mathrm{yr}^{-1}$; Fig. 3b).

We used logit-normal prior distributions for the transformation fractions. This distribution is similar to the beta distribution and is bounded between 0 and 1 (Mead, 1965). For $\alpha_{AGL \to FL}$ a distribution with the mode at 0.68 was used (Fig. 3c), while for the other conversion fractions ($\alpha_{RL \to NLS}$, $\alpha_{RL \to LS}$, $\alpha_{FL \to NLS}$, and $\alpha_{FL \to LS}$) the same prior was used with the mode at 0.18 (Fig. 3d). Since relatively little a priori information about the SOM transport parameters (B, $l_{\rm m}$, and v) is available, we used uniform priors for all calibrations (Fig. 3e).

For all calibration setups, the sampling was constrained to a bounded region in parameter space. This constraint was included since preliminary runs showed that some parameters may be unconstrained at the upper bound by the data, due to over-parameterization. The lower bounds for all parameters were set to zero; the upper bounds are listed in Table 2. Additionally, since decomposition must not lead to a net formation of material, the sum of transformation fraction for root litter ($\alpha_{RL \to NLS} + \alpha_{RL \to LS}$) and fragmented litter ($\alpha_{FL \to NLS} + \alpha_{FL \to LS}$) pools was bounded to 1.

2.4.3 Monte Carlo simulations

The complexity of SOMPROF precludes analytical model inversion or expression of the normalizing constant in Eq. (6). Therefore, we approximated the posterior distribution using

a Markov chain Monte Carlo algorithm. Such algorithms obtain a sample of the posterior distribution by performing a random walk through parameter space. They are increasingly used for calibrating ecosystem models against eddy-covariance measurements and satellite data (Knorr and Kattge, 2005; Fox et al., 2009) and have been applied to calibrate soil carbon models as well (Yeluripati et al., 2009; Scharnagl et al., 2010; de Bruijn and Butterbach-Bahl, 2010). We used the Metropolis algorithm DREAM(ZS) (Laloy and Vrugt, 2012), a successor to DREAM (Vrugt et al., 2009), which has been shown to perform well for complex, multimodal distributions. Further information concerning the calibration setup can be found in Appendix A1.

Additionally, we performed forward Monte Carlo simulations based on the posterior distributions. Five thousand simulations were made with parameter sets selected at regular intervals from the posterior sample. For these simulations, the non-leachable slow (NLS) and leachable slow (LS) organic matter pools were split into fractions originating from fragmented litter (FL) and root litter (RL), in order to trace the source of organic matter. Otherwise, the setup of the simulations was the same as those made for the calibration runs.

To study the importance of root litter input, bioturbation, and liquid phase transport for the formation of the SOM profile, the contribution of these processes was quantified. We estimated the amount of organic carbon that would be derived from these three processes for the steady state, giving an indication of their importance for long time scales. Note that the organic carbon derived from root litter input also includes material that is transformed from root litter to the slow pools, NLS and LS. Furthermore, bioturbation and liquid phase transport can lead to a net loss of organic matter at a given depth, as opposed to root litter input, which only leads to gain. Thus, the amount of organic carbon derived from the transport processes may be negative for certain depths. However, the sum of three organic carbon fractions must be positive. Further description of these calculations is given in Appendix B.

3 Results

3.1 Loobos

Figure 4 depicts the marginal posterior distributions for the three calibrations for Loobos (see also Supplement Table 2).

For calibration setups 1 and 2, several parameters have wide distributions compared to the sampling range, which shows they are poorly constrained by the observations. Furthermore, for some of the parameters ($k_{\rm RL}$, $\alpha_{\rm FL \to LS}$, $\alpha_{\rm RL \to NLS}$, $l_{\rm m}$, and v) the highest density point appears to lie at or near the upper or lower bound. Adding $^{210}{\rm Pb_{ex}}$ improved the constraint of the bioturbation-related parameters (B and $l_{\rm m}$) but had otherwise no major effect on the marginal distributions. Inclusion of prior knowledge reduced uncertainty, particularly for the parameters that are poorly constrained by the data.

The results of the forward simulations (Fig. 5a, additional results shown in Supplement Fig. 4) indicate that leachable slow organic matter (LS) is the most abundant pool, followed by non-leachable slow organic matter (NLS). LS particularly dominates the mineral soil, being virtually the only pool below 20 cm. Figure 5b shows that most organic matter in the mineral soil is derived from root litter, but aboveground-derived SOM is present up to great depths, due to fast downward migration by liquid phase transport. Figure 6a shows the organic matter transport fluxes in the mineral soil. Clearly, transport due to bioturbation plays almost no role; virtually all transport occurs by movement with the liquid phase. Figure 6b, which depicts the amount of organic carbon in the steady state derived from the three processes, corroborates the importance of liquid phase transport. The negative concentrations for this process indicate it causes organic matter from near the surface - mainly root litter derived – to be moved downward to greater depths, where it is the dominant mechanism of input.

3.2 Hainich

For Hainich, the posterior distribution is multi-modal for all calibration setups, comprising three distinct optima. For analysis, the modes were sampled individually in separate calibration runs. An additional calibration run was performed in which all modes were sampled simultaneously to assure that the multi-modality is not an artifact of the sampling (see Supplement Fig. 3). The marginal distributions for all calibration setups and all modes are depicted in Fig. 7 (see also Supplement Table 2). While the distributions of most parameters differ between the modes, the most prominent differences can be seen for the decomposition rate coefficients of root litter (k_{RL}), non-leachable slow (k_{NLS}), and leachable slow (k_{LS}) organic matter. For each of the modes, one of these three parameters is tightly constrained at the low end of the range, while the other two have wide distributions at higher values.

Addition of $^{210}\text{Pb}_{\text{ex}}$ to the observations caused shifts and reduction of uncertainty for some parameters (e.g., v for mode A, l_{m} for mode B), but had in general no major effects on the posterior. Changing from weak to strong priors reduced uncertainty for parameters that are poorly constrained by the observations.

The comparative probability of the modes cannot be inferred from Fig. 7, since the distributions are scaled to the same height. To compare the modes we introduce the "misfit" $S(\theta)$ as the negative logarithm of the unnormalized posterior density (Mosegaard and Sambridge, 2002):

$$S(\boldsymbol{\theta}) = -\ln(p(\boldsymbol{\theta}) \ p(\boldsymbol{O}|\boldsymbol{\theta})) = -\ln(p(\boldsymbol{\theta})) - \sum_{i=1}^{I} \ln(SS_i(\boldsymbol{\theta})), \quad (11)$$

where $SS_i(\theta)$ is defined according to Eq. (9). A lower misfit indicates a higher posterior density and a better fit to the observations and priors. Note that the contribution of a single data stream to $S(\theta)$ may be negative for a high fit and/or small N_i . The modes are compared according to the lowest misfit in the calibration samples (Table 3). This shows that the three calibrations setups differ notably in terms of the comparative probability of the modes. In calibration 1 the three modes have similar misfit. Introduction of $^{210}\text{Pb}_{\text{ex}}$ and prior information to the calibration caused the misfit of mode C to increase markedly compared to A and B, which is explained by a somewhat poorer fit to the $^{210}\text{Pb}_{\text{ex}}$ measurements (results not shown), as well as the very low root litter decomposition rate coefficient, which conflicts with prior knowledge.

Figure 8a depicts the simulated organic matter stocks and fractions of the three modes for calibration setup 3 (additional results are shown in Supplement Figs. 4 and 5). The different parameter values for the three modes give rise to quite different model results, despite the fact that the quantities of total organic matter are very similar and match the observations well. In each of the three modes, a different pool dominates the total stocks: non-leachable slow OM for mode A; leachable slow OM for mode B; and root litter for mode C. These contrasts are mainly explained by the differing decomposition rate coefficients of these three pools. Figure 8b shows that modes A and B have very similar contributions of above- and belowground litter, whereas for mode C the root litter derived organic carbon is considerably larger. The differences between the modes are further demonstrated by the different organic matter transport fluxes (Fig. 9a). Interestingly, modes A and C, which have the lowest amounts of the leachable slow organic matter pool, show the highest liquid phase transport fluxes, which is explained by the high advection rates. Figure 9b shows that for all modes root litter input is an important process for long-term organic matter storage. For modes A and B most organic carbon is present as the slow pools NLS and LS derived from root litter, while for mode C RL itself is stable. The effects of the transport processes are generally smaller than those of root litter input.

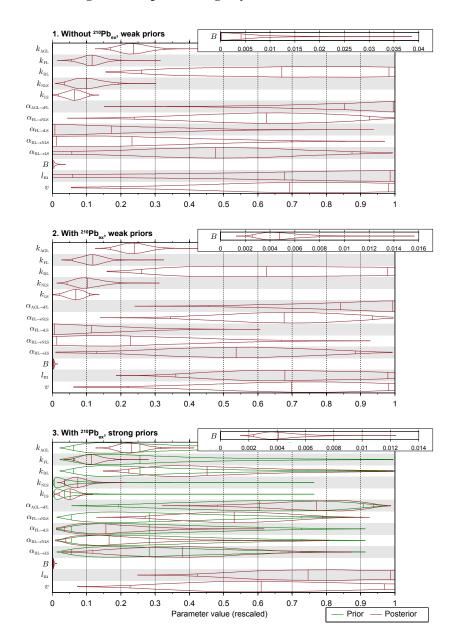


Fig. 4. Posterior distributions for the three setups for Loobos. The "violins" depict the marginal distribution for each parameter. The three vertical lines inside the violins indicate the median and the 95 % confidence bounds. The parameters are normalized to the sampling ranges (see Table 2).

However, they represent an important mechanism for moving organic matter from shallow levels to deeper layers, as indicated by the negative values near the surface.

4 Discussion

4.1 Loobos

The calibration results for Loobos suggest that leachable slow (LS) OM is the most abundant organic matter fraction. Its transport with the liquid phase, representing dissolved or-

ganic matter leaching, is largely responsible for downward SOM movement and formation of the vertical SOM profile in general. Although the decomposition rate coefficient of this pool (k_{LS}) is the lowest, its distribution tends to quite high values (optimum approximately 0.189 yr⁻¹ in calibration setup 3; Fig. 4c; Supplement Table 2). Particularly considering that LS is the only pool in the deep soil, where decomposition is slow, we would expect a lower value for k_{LS} . The prior distribution of this parameter used in calibration setup 3, which tends to lower values, caused only a slight downward shift in the posterior. Due to its large variance, the

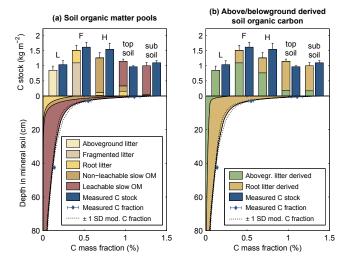


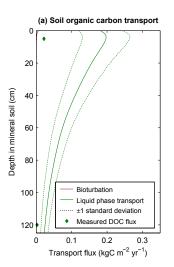
Fig. 5. Organic carbon measurements and corresponding model results of forward Monte Carlo simulations for Loobos, based on posterior distribution of calibration setup 3. (a) Stocks and fractions of the model pools; (b) above- vs. belowground derived organic matter. L, F, and H refer to the organic horizons (see Sect. 2.1); topsoil: 0–30 cm; subsoil: > 30 cm; OM: organic matter. All model results are averages over the Monte Carlo ensemble; error bars denote one standard error of the mean for the measurements and one standard deviation (SD) for the model results.

Table 3. Minimum misfit value (see Eq. 11) in the posterior sample for each of the modes for Hainich for the three calibration setups. Note that the misfit values of calibration setup 2 are lower than those of calibration setup 1. This is caused by the fact that the misfit values for the ²¹⁰Pbex are negative due to the small number of data points (cf. Eq. 10).

Calibration setup	Mode A	Mode B	Mode C
1: Excl. ²¹⁰ Pb _{ex} ; weak priors	140.14	140.31	141.87
2: Incl. ²¹⁰ Pb _{ex} ; weak priors	131.27	129.43	135.04
3: Incl. ²¹⁰ Pb _{ex} ; strong priors	146.56	142.9	157.57

posterior distribution of k_{LS} does allow for somewhat lower, more realistic values. Furthermore, there are quite strong correlations between parameters related to the LS pool (Supplement Fig. 6), which indicate that a decrease of the formation of LS (determined by $\alpha_{FL \to LS}$ and $\alpha_{RL \to LS}$) can be compensated by a decrease of the liquid phase transport rate v or the decomposition rate coefficient k_{LS} , both controlling the loss of this pool.

Although SOMPROF was not developed to simulate dissolved organic matter transport, the modeled liquid phase transport fluxes should represent the average movement of



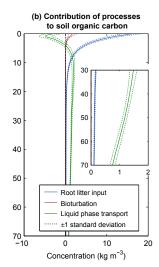


Fig. 6. Simulated organic carbon fluxes from forward Monte Carlo simulations for Loobos, based on posterior distribution of calibration setup 3 (note the different scales on the y-axes). All quantities are averages over the last simulation year and the Monte Carlo ensemble. **(a)** Organic carbon transport fluxes and measured dissolved organic carbon (DOC) fluxes (Kindler et al., 2011; not used in the calibration). Note the indistinct bioturbation flux in the upper left corner. **(b)** Contributions of the different processes to soil organic matter profile in mineral soil (see Sect. 2.4.3).

dissolved organic carbon (DOC) over long timescales¹. Figure 6a shows that simulated liquid phase transport fluxes are an order of magnitude higher than DOC fluxes measured by Kindler et al. (2011), which points to a too high value of the advection rate v. However, the high uncertainty of both the rate and fluxes of liquid phase transport shows that the observations used in the calibration can also be explained with somewhat lower values. A lower value for v would be accompanied by a lower decomposition rate coefficient of LS, since the two parameters are strongly correlated (Supplement Fig. 6). Thus, it is likely that additional observations constraining the deep soil decomposition rate coefficient, such as radiocarbon measurements, would lead to a more realistic estimate of liquid phase transport rate.

Notwithstanding the over-estimated liquid phase transport fluxes, the relative importance of organic matter leaching over bioturbation is in good agreement with the soil conditions and humus form at Loobos. Soil fauna is virtually absent, and the high concentration of sand supports fast water infiltration and has a low adsorptive capacity, thus allowing high dissolved organic matter fluxes.

¹While the LS pool represents mostly material adsorbed to the mineral phase, the transport of this pool occurs only by the small fraction that is mobile and thus corresponds to DOC fluxes.

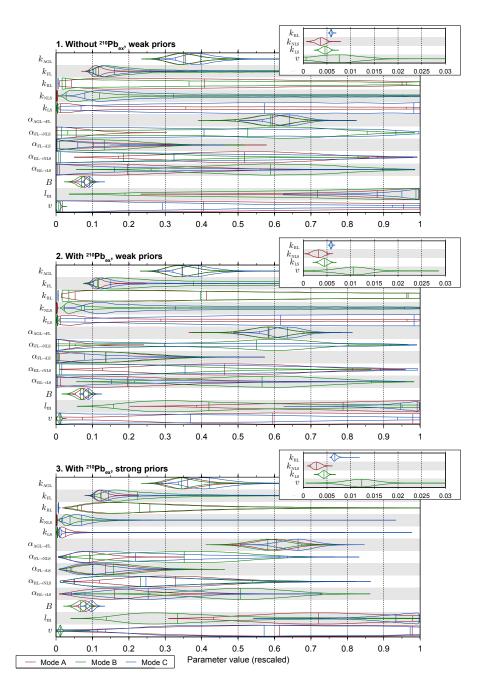


Fig. 7. Posterior distributions for the three calibration setups for Hainich. The "violins" depict the marginal distribution for each parameter. The three posterior modes are plotted separately in the same graph. The three vertical lines inside the violins indicate the median and the 95 % confidence bounds. The parameters are normalized to the sampling ranges (see Table 2).

4.2 Hainich

The presence of multiple modes in the posterior distributions for Hainich is illustrative of the equifinality problem discussed in the introduction. Since the modes represent separate isolated regions in parameter space, they may be seen as distinct explanations for the observations, in terms of the processes represented in the model. In calibration setup 1 the three modes have similar misfits (Table 3). The addition of ²¹⁰Pb_{ex} to the calibration leads to a shift in the comparative misfit, causing mode B to become dominant. Switching to strong priors further increased these differences. Based on these results we can discard mode C with some certainty. The difference between modes A and B, however, is relatively small. Hence, in view of unconsidered uncertainties

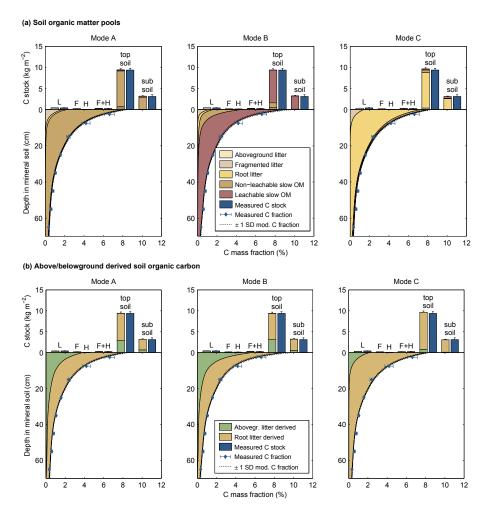


Fig. 8. Organic carbon measurements and corresponding model results of forward Monte Carlo simulations for Hainich, based on the three posterior modes of calibration setup 3. (a) Stocks and fractions of the model pools; (b) above- vs. belowground derived organic matter. L, F, and H refer to the organic horizons (see Sect. 2.1); topsoil: 0–30 cm; subsoil: > 30 cm; OM: organic matter. All model results are averages over the Monte Carlo ensemble; error bars denote one standard error of the mean for the measurements and one standard deviation (SD) for the model results.

(see Sect. 4.6) we cannot fully ignore mode A as possible explanation for the observations.

Figure 9a shows that for all modes the modeled advective flux is substantially larger than the DOC fluxes measured by Kindler et al. (2011). However, for mode B the overestimation is less pronounced, particularly in the subsoil. For modes A and C, modeled advective flux and its uncertainty are very high. Contrastingly, the contribution of advection to input in the profile is very small and well constrained for both modes (Fig. 9b). The reason is that the advective fluxes have relatively small vertical gradients. This also explains the high uncertainty of the advective flow (and the advection rate *v*) for these modes: as long as its gradient does not change, the actual flux can vary relatively freely.

The abundance of LS and the low rate of liquid phase transport for mode B agrees well with expectations based on the soil texture at Hainich. The high clay content im-

pedes water infiltration, while favoring adsorption of organic matter, slowing down both dissolved organic matter leaching and decomposition of organic matter. This is corroborated by organic matter density fractionation measurements at the site (Schrumpf, 2011). These indicate that 81–93% of the organic matter is present in the heavy fraction, which is known to comprise mostly material in organo-mineral complexes (Golchin et al., 1994). Although the model pools can presumably not be compared directly to the measured density fractions, this is clearly in support of mode B, since leachable slow OM represents mostly material adsorbed to the mineral phase (Sect. 2.1.2; Braakhekke et al., 2011). Based on these arguments, we conclude that mode B represents the most likely explanation for the observations at Hainich.

The results of the forward simulations for mode B (Figs. 8 and 9) suggest that root input is the most important process at Hainich. Although root litter itself represents only a small

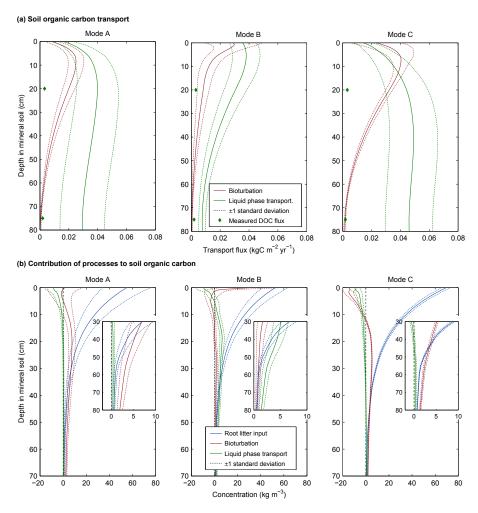


Fig. 9. Simulated organic carbon fluxes from forward Monte Carlo simulations for Hainich, based on the three modes of the posterior distribution of calibration setup 3 (note the different scales on the y-axes). All quantities are averages over the last simulation year and the Monte Carlo ensemble. (a) Organic carbon transport fluxes and measured dissolved organic carbon (DOC) fluxes (Kindler et al., 2011; not used in the calibration); (b) contribution of the different processes to soil organic matter profile in mineral soil (see Sect. 2.4.3).

fraction, its decomposition products (mainly LS) constitute the bulk of the total SOM. The effects of the transport processes are generally small compared to material derived from root litter input. However, particularly advection causes loss of material near the surface, and input into deeper layers. The relative importance of root-derived SOM agrees well with recent findings by Tefs and Gleixner (2012), who found, based on ¹⁴C profile measurements, that soil organic carbon dynamics at Hainich are mainly determined by root input.

4.3 Comparison between sites

It is difficult to explain why the posterior distributions for Loobos do not display multi-modality, like the distributions for Hainich. One possible explanation is the fact that the observed mineral soil C profile for Loobos clearly consists of two zones: one with a fast decrease with depth between 0 and 10 cm, and one below this, with a much slower decrease. It is

conceivable that such a profile can only be explained by a situation where diffusion (bioturbation) operates only near the surface, while advection (liquid phase transport) acts in the complete profile. For Hainich, on the other hand, the C profile is smoother, thus allowing it to be explained by different mechanisms.

In the following discussion we will only consider mode B for Hainich. When comparing the marginal parameter distributions for both sites (see Supplement Fig. 7), it is apparent that the decomposition rates of the AGL and FL pools are higher for Hainich than for Loobos, while the reverse is true for RL, NLS, and LS. This agrees well with expectations: the low pH of the coniferous litter at Loobos may slow decomposition in the organic layer; hence we can expect the pools that are important there to have low decomposition rates. On the other hand, the high clay content at Hainich likely stabilizes organic matter in the mineral soil, leading to lower

decomposition rates of the pools that dominate there. Comparison further shows that the decomposition rate coefficient of the main pool LS is markedly lower for Hainich, and much less uncertain. This is presumably explained by the observations of the effective decomposition rate coefficients. For the deep soil these data directly constrain the decomposition rate coefficient of LS since this is virtually the only pool there (see also Supplement Fig. 5). In view of the considerable effort involved with such measurements, a study into the value of such data for inferring SOMPROF parameters would be valuable. However, in general care must be taken when using lab measurements to infer parameters for field conditions. Furthermore, for the decomposition rate coefficients of the slow pools, very long incubation times may be required (Scharnagl et al., 2010).

The two sites differ strongly with respect to the organic matter transport parameters, with Hainich having a higher bioturbation rate, and Loobos having a higher liquid phase transport rate. This is in good agreement with the differences between the two sites in terms of biological activity and soil texture.

4.4 Implications for soil organic matter cycling

The fact that leachable slow organic matter pool constitutes the bulk of SOM for both sites emphasizes the importance of organo-mineral interactions for soil carbon cycling. However, this interpretation relies on the assumption that mineralassociated organic matter is correctly represented by the LS pool. Mathematically, the only difference between the NLS and LS pools lies in the transport behavior: diffusion-only versus diffusion and advection. The question is whether this distinction correctly represents the differences between stable particulate and adsorbed organic matter in reality. The good agreement of our results with density fractionation measurements at Hainich, as well as the environmental conditions at both sites, suggests that an explanation where LS dominates might indeed be appropriate. Furthermore, many studies have demonstrated the importance of mineral associations for long-term carbon preservation (Eusterhues et al., 2003; Mikutta et al., 2006; Kögel-Knabner et al., 2008; Kalbitz and Kaiser, 2008). In contrast, others have indicated the presence of root-derived particulate material in podzol B horizons, and questioned the relevance of mineral-associated material for mineral soil organic matter fractions (Nierop, 1998; Nierop and Buurman, 1999; Buurman and Jongmans, 2005).

The predominance of root-derived material predicted for both sites (Figs. 5 and 8, mode B) underlines the importance of roots for organic matter input in the mineral soil, which is in agreement with previous studies (Kong and Six, 2010; Rasse et al., 2005). For Hainich, the root input also strongly determines the vertical distribution of SOM (Fig. 9), whereas for Loobos also redistribution of organic material by liquid phase transport is a major factor (Fig. 6). Based on analy-

sis of a large database of SOM profiles, Jobbagy and Jackson (2000) found that root/shoot allocation, together with the root biomass distribution, explains the vertical SOM profile in the upper part of the soil while clay content was found to be more important at greater depths. The effects of texture are not considered in this study, but Figs. 6b and 9b show that the relative importance of liquid phase transport becomes greater with depth. This supports the findings of Jobbagy and Jackson (2000) since this mechanism is likely strongly controlled by soil texture.

4.5 The use of ²¹⁰Pb_{ex} measurements

The addition of ²¹⁰Pb_{ex} to the calibration had no major effects on the posterior distributions. For Loobos, the ²¹⁰Pb_{ex} measurements improved the constraint of the parameters related to bioturbation, while for Hainich they improved constraint of the mixing length for mode B, and caused an increase of the misfit of mode B and C relative to mode A. The fact that the ²¹⁰Pb_{ex} data influenced only parameters related to bioturbation may be explained by the fact that the profiles used here are quite shallow, due to the relatively fast decay rate of the isotope (cf. Fig. 2). These measurements are therefore presumably most informative for the topsoil, where bioturbation is more important.

For both sites, the measured ²¹⁰Pb_{ex} profile was already well matched by the model in calibration setup 1, in which these measurements were not included. This indicates that these observations can be explained well in conjunction with the organic carbon measurements, which supports the model structure. It also suggests that the ²¹⁰Pb_{ex} data from Kaste et al. (2007) are consistent with the conditions at Loobos.

The use of ²¹⁰Pb_{ex} as a tracer for SOM transport relies on the assumption that Pb adsorbs strongly to organic matter, both particulate and in solution. Based on ²¹⁰Pb_{ex} and ¹⁴C profiles, Dörr and Münnich (1989) found that transport rates of ²¹⁰Pb_{ex} were very close to those of organic matter, suggesting that the two are indeed strongly linked. Although Pb is known to occur also in association with the mineral phase and inorganic complexes (Schroth et al., 2008), the affinity of Pb to particulate organic matter is well established, in view of its strong retention in organic layers and topsoils over short timescales (Kaste et al., 2003; Kylander et al., 2008; Schroth et al., 2008), as well as by adsorption studies (Logan et al., 1997; Sauve et al., 2000). The effect of DOM movement on Pb migration is less clear, because it is difficult to predict the behavior of Pb adsorbed to the organic matter that is transformed to the dissolved fraction. Several researchers have indicated the importance of DOM and colloidal organic matter for Pb movement in soil (Miller and Friedland, 1994; Wang and Benoit, 1997; Urban et al., 1990; Friedland et al., 1992). Furthermore, adsorption studies have found that Pb adsorbs readily to humic and fulvic acids (Logan et al., 1997; Turner et al., 1986),

while movement of dissolved Pb²⁺ was found to be unimportant (Wang and Benoit, 1997).

In summary, further study on this topic is needed, but we believe that use of $^{210}\text{Pb}_{\text{ex}}$ as a tracer for SOM transport is well defendable. Despite the limited constraint gained in this study, this isotope can be useful as a tracer for SOM transport, provided that more replicate measurements are available to reduce uncertainty. Particularly in combination with other tracers, such as ^{14}C or ^{137}Cs , $^{210}\text{Pb}_{\text{ex}}$ may be quite informative.

4.6 Methodological constraints and model validity

For both sites, many strong correlations exist between different combinations of model parameters (Supplement Fig. 6), which indicates that the model is over-parameterized with respect to the available data. Furthermore, for all calibration setups there is at least one decomposition rate coefficient for which high values are not constrained by the observations (Figs. 4 and 7). Since the predicted stock of a pool is inversely proportional to its decomposition rate coefficient, these pools are present in very small amounts, which shows that SOMPROF has at least one redundant organic matter pool, given the available data. This is further demonstrated by a strong negative correlation between decomposition rate coefficient of FL and RL for Loobos (Supplement Fig. 6), indicating that these pools are essentially "competing" as explanation for the observed carbon stocks and fractions. In order to obtain better constraint, additional observations are needed. Obvious candidates for such data are carbon isotopes (13C or 14C) measurements, of both organic matter and heterotrophic respiration.

There are numerous uncertainties that were not considered in the calibration. In view of practical limitations on the number of parameters that can be estimated simultaneously, we focused on the inherently unmeasurable parameters, on which little prior information was available. Many other model inputs, with varying degrees of uncertainty, were held fixed, including the temperature and moisture data, the litter input rates, and the temperature and moisture response parameters. Another source of uncertainty is associated with site history. The sites included in this study were selected for having a relatively well-known and constant history, but particularly for Hainich there have undoubtedly been past fluctuations in the forcing that were not considered. Finally, considerable uncertainty is related to the model structure, specifically to the simple representations of organic matter decomposition and transport in SOMPROF as well as the behavior of ²¹⁰Pb_{ex}. These unconsidered variabilities call for care when interpreting the results. Further, it may be advisable to inflate the variance of the posterior distributions when using them as priors for a follow-up study, or for predictive simulations. Nevertheless, we believe that the parameters that were estimated constitute the most important uncertainties.

The good fit to the observations indicates that SOMPROF is able to reproduce widely different SOM profiles, based on realistic parameter values. Furthermore, the consistency of the results with site conditions and the good fit to the ²¹⁰Pb_{ex} measurements (even when they are not included in the calibration) are encouraging and support the validity of SOM-PROF for temperate forests. The validity for other ecosystems such as grasslands and tropical and boreal forests is yet to be established. Also, comparison to other types of measurements is needed, both to improve constraint of the processes, and to further evaluate the model. Examples of such data include carbon isotopes, heterotrophic respiration rates, and chronosequence measurements. The strong overestimation of advective flux compared measured DOC flux rates suggests the need for modifications to the transport scheme. Addition of the DOC measurements to the calibration should reveal if the model can reproduce these data with acceptable loss of fit for the other observations. If not, it may be necessary to introduce depth dependence of the advection rate, for example by linking to average water fluxes and soil texture. Finally, further study should explore whether simplification of the model by removal of organic matter pools is warranted. If so, a possible modification would involve merging the root litter and fragmented litter pools, which are functionally very similar.

5 Concluding remarks

In order to study the processes involved in SOM profile formation, we performed Bayesian estimation of SOMPROF model parameters for Loobos and Hainich, based on organic carbon and ²¹⁰Pb_{ex} measurements as well as prior knowledge. The final calibration yielded a multi-modal posterior distribution for Hainich, with two dominant modes corresponding to two distinct explanations for the observations. One mode was found to be most realistic in light of ancillary measurements, and in situ soil conditions. For Loobos, the posterior distribution is unimodal.

For both Loobos and the most probable mode for Hainich, most of the organic matter is comprised of the leachable slow organic matter pool, which represents material that is mostly adsorbed, but potentially leachable. The results further indicate that for both sites most organic matter in the mineral soil is derived from root inputs. For Hainich, root input also determines the vertical distribution of SOM, whereas for Loobos downward advective movement of SOM, representing liquid phase transport, represents a major control. These results agree well with other measurements and in situ conditions.

The ²¹⁰Pb_{ex} measurements improved constraint of the parameters related to bioturbation and reduced the probability of one of the modes for Hainich, but had otherwise no major influence on the posterior distributions. Nevertheless, since the ²¹⁰Pb_{ex} observations could be reproduced well together

with the organic carbon measurements, we believe this isotope holds value as a SOM tracer.

Our study illustrates the difficulties with explaining the vertical SOM profile caused by the convolution of several mechanisms. Soil carbon profile measurements are necessary but in general not sufficient for resolving the processes. Ancillary measurements such as respiration rates or tracers are needed, and even then the model may remain over-parameterized. Bayesian calibration using Markov chain Monte Carlo is an invaluable tool for such problems since it helps to identify (non-linear) parameter correlations and the existence of multiple modes, which with traditional calibration tools could easily have gone unnoticed. Furthermore, inclusion of prior knowledge mitigates the adverse effects of over-parameterization.

For future large-scale application of SOMPROF, sets of characteristic parameter values for different soils and ecosystems are required. With results of the current study and future calibrations, progressively stronger prior distributions can be derived, which can be used for sites where fewer observations are available.

Appendix A

Markov chain Monte Carlo scheme

A1 The Metropolis algorithm

The Metropolis algorithm (Metropolis et al., 1953) samples the posterior distribution by means of a Markov chain, which performs a random walk in parameter space. At each iteration i proposals of the parameters θ^* are generated by taking a (semi-)random step from the current position θ^i . The model is run with the proposed parameter set, and the unnormalized posterior probability density $(p(\theta)p(O|\theta))$ of the proposal is evaluated. The proposal is subsequently accepted or rejected according to the Metropolis rule, which defines the chance for acceptance as:

$$s = \min \left\{ \frac{p(\boldsymbol{O}|\boldsymbol{\theta}^*)p(\boldsymbol{\theta}^*)\lambda(\boldsymbol{\theta}^*)}{p(\boldsymbol{O}|\boldsymbol{\theta}^i)p(\boldsymbol{\theta}^i)\lambda(\boldsymbol{\theta}^i)}, 1 \right\},$$
(A1)

where $\lambda(\theta)$ is a factor that may be included to remove the effects of sampling in transformed parameter space (see Sect. A2). The decision for acceptance or rejection is made using a random number from a uniform distribution on the unit interval. In case of acceptance, the chain moves to the position of the proposal; in case of rejection the chain stays at the current position, which is thus sampled again.

We used the DREAM(ZS) algorithm (Laloy and Vrugt, 2012), an adaptation of the DREAM (DiffeRential Evolution Adaptive Metropolis) algorithm that uses multiple chains in parallel and automatically adapts the scale and orientation of the proposal distribution.

A2 Parameter transformations

Since calibration algorithms generally perform better for distributions that are close to Gaussian, the random walk performed was in transformed parameter space for all calibration setups. For the decomposition rate coefficients (k_i) and transport rates $(B, l_{\rm m}, v)$, a log transformation was applied:

$$\theta' = \ln(\theta),\tag{A2}$$

and for the transformation fractions $\alpha_{i \to j}$ a logit transformation was used:

$$\theta' = \text{logit}(\theta) = \ln\left(\frac{\theta}{1 - \theta}\right),$$
 (A3)

where θ' is the transformed parameter value and θ is the untransformed parameter value, used as input for SOMPROF.

From the rules for change of variables for probability density functions, it follows that performing the random walk in transformed space affects the sampled distribution. Suppose we apply Monte Carlo sampling according to some density function $(f(\theta))$ and our samples (θ') are generated in transformed space according to $\theta' = g(\theta)$. Then our sampled target distribution will be:

$$\tau(\theta) \propto \left| \frac{\mathrm{d}}{\mathrm{d}\theta} g(\theta) \right| f(\theta).$$
 (A4)

The factor in vertical bars is the Jacobian of the transformation or inverse transformation, depending on what is the scale of interest. This effect was removed by multiplying the posterior density by the reciprocal of the Jacobian, which is the factor $(\lambda(\theta))$ in Eq. (A1). For a log transformation:

$$\lambda(\theta) = \theta,\tag{A5}$$

and for a logit transformation:

$$\lambda(\theta) = \theta - \theta^2. \tag{A6}$$

A3 Calibration setup

For each calibration first an exploratory run was performed, intended to search for different posterior modes. For this run, at least 20 chains were run in parallel, with starting points widely dispersed in the sampling region using Latin hypercube sampling. Furthermore, the posterior cost was reduced using a cost-reduction factor of 0.1, multiplied with the log posterior density. This effectively "flattens" the posterior, allowing the chains to escape from local modes and to take bigger steps, thus covering more area. After all modes of interest were identified in the exploratory run, secondary runs without cost reduction were performed with eight chains, started near each mode.

The convergence of the chains was evaluated using the Gelman–Rubin index (Gelman et al., 2004, Chap. 11), which

is proportional to the ratio of the between-chain variance and the within-chain variance, and declines to 1 when different chains converge on the same distribution. All chains were run until the convergence index was ≤ 1.01 for all parameters, with at least $100\,000$ iterations per chain.

After the secondary runs, a variable number of iterations was removed from the start of each chain (the burn-in). Next, the remaining chains for each mode were merged and thinned to 10 000 iterations for analysis by selecting iterations in regular intervals. The continuous posterior distributions depicted in the Figs. 4 and 7 were derived using kernel density estimation.

Appendix B

Calculation of process contributions to the SOM profile

In SOMPROF there are five processes that cause input or redistribution of the organic matter pools in the mineral soil (see Fig. 1): root litter input (RLI), formation due to fragmented litter decomposition (FLdec), formation due to root litter decomposition (RLdec), bioturbation (BT), and liquid phase transport (LPT). Obviously, not every organic matter pool is influenced by each process. The average fluxes (in kg C m⁻³ yr⁻¹) over the last simulation year of these processes are calculated by SOMPROF in the forward Monte Carlo runs, yielding the following flux rates: $F_{\rm FL}^{\rm BT}$, $F_{\rm RL}^{\rm RL}$, $F_{\rm NLS}^{\rm FL}$, $F_{\rm NLS}^{\rm FL}$, $F_{\rm NLS}^{\rm FL}$, $F_{\rm NLS}^{\rm FL}$, $F_{\rm LS}^{\rm FL}$, $F_{\rm LS}^{\rm FL}$, and $F_{\rm LS}^{\rm LPT}$. Note that the net input/output of organic matter due to bioturbation/diffusion and liquid phase transport/advection are not equal to the flux rates of these processes (as depicted in Figs. 6a and 9a), but are defined as the vertical derivative of the transport fluxes. For a system with discrete layers, this means the difference between the flux at the top and at the bottom of a layer. The relative importance of each of these fluxes for long-term SOM storage may be estimated by dividing them by the decomposition rate coefficient of the respective pools, yielding an organic carbon concentration for the steady state (kg $C m^{-3}$):

$$C_i^j = \frac{F_i^j}{k_i},\tag{B1}$$

for any pool i and process j. Since root litter at any depth may come from either root litter input or bioturbation, also the decomposition products of root litter (NLS and LS) may be split into fractions that come from these two sources:

$$C_{\text{NLS}}^{\text{RLdec,RLI}} = \frac{C_{\text{RL}}^{\text{RLI}}}{C_{\text{RL}}^{\text{RLI}} + C_{\text{RL}}^{\text{BT}}} C_{\text{NLS}}^{\text{RLdec}}, \tag{B2a}$$

$$C_{\text{NLS}}^{\text{RLdec,BT}} = \frac{C_{\text{RL}}^{\text{BT}}}{C_{\text{RL}}^{\text{RLI}} + C_{\text{RL}}^{\text{BT}}} C_{\text{NLS}}^{\text{RLdec}},$$
(B2b)

$$C_{\rm LS}^{\rm RLdec,RLI} = \frac{C_{\rm RL}^{\rm RLI}}{C_{\rm RI}^{\rm RLI} + C_{\rm RI}^{\rm BT}} C_{\rm LS}^{\rm RLdec},$$
 (B2c)

$$C_{\rm LS}^{\rm RLdec,BT} = \frac{C_{\rm RL}^{\rm BT}}{C_{\rm RL}^{\rm RLI} + C_{\rm RL}^{\rm BT}} C_{\rm LS}^{\rm RLdec}.$$
 (B2d)

The calculations above yield 12 carbon concentrations: $C_{\rm FL}^{\rm BT}$, $C_{\rm RL}^{\rm RLI}$, $C_{\rm RL}^{\rm BT}$, $C_{\rm NLS}^{\rm FLdec}$, $C_{\rm NLS}^{\rm RLdec,RLI}$, $C_{\rm NLS}^{\rm RLdec,BT}$, $C_{\rm NLS}^{\rm BT}$, $C_{\rm LS}^{\rm ELdec,BT}$, $C_{\rm LS}^{\rm ELdec,BT}$, $C_{\rm LS}^{\rm ELdec,BT}$, $C_{\rm LS}^{\rm ELdec,BT}$, and $C_{\rm LS}^{\rm ELT}$. Note that the sum of these concentrations is not necessarily equal to the simulated total concentration, because (i) the simulated SOM profile may not be in steady state, and (ii) the effects of soil temperature and moisture are not accounted for when estimating the steady-state concentration. However, since all the pools respond equally to soil temperature and moisture, the relative distribution of the organic matter over the pools is correct for the steady state.

To quantify the importance of the three processes root litter input, bioturbation and liquid phase transport, the organic carbon concentrations are summed as follows:

$$C^{\text{RLI}} = C_{\text{RL}}^{\text{RLI}} + C_{\text{NLS}}^{\text{RLdec,RLI}} + C_{\text{LS}}^{\text{RLdec,RLI}}, \tag{B3a}$$

$$C^{\mathrm{BT}} = C_{\mathrm{FL}}^{\mathrm{BT}} + C_{\mathrm{RL}}^{\mathrm{BT}} + C_{\mathrm{NLS}}^{\mathrm{BT}} + C_{\mathrm{NLS}}^{\mathrm{FLdec}} + C_{\mathrm{NLS}}^{\mathrm{RLdec,BT}}$$

$$+ C_{\mathrm{LS}}^{\mathrm{RLdec,BT}} + C_{\mathrm{LS}}^{\mathrm{FLdec}} + C_{\mathrm{LS}}^{\mathrm{BT}},$$
(B3b)

$$C^{\text{LPT}} = C_{\text{LS}}^{\text{LPT.}} \tag{B3c}$$

Since the transport processes may also cause loss of organic matter at a given depth, their contributions to the total organic carbon may also be negative. However, the sum over all contributions must be positive and equal to the total steady-state organic carbon concentration for a simulation with temperature and moisture constant at 15 °C and optimal soil moisture.

Supplementary material related to this article is available online at: http://www.biogeosciences.net/10/399/2013/bg-10-399-2013-supplement.pdf.

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