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# Emission factor ratios, SOA mass yields, and the impact of vehicular emissions on SOA formation

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Abstract. The underprediction of ambient secondary organic aerosol (SOA) levels by current atmospheric models in urban areas is well established, yet the cause of this underprediction remains elusive. Likewise, the relative contribution of emissions from gasoline- and diesel-fueled vehicles to the formation of SOA is generally unresolved. We investigate the source of these two discrepancies using data from the 2010 CalNex experiment carried out in the Los Angeles Basin (Ryerson et al., 2013). Specifically, we use gasphase organic mass (GPOM) and CO emission factors in conjunction with measured enhancements in oxygenated organic aerosol (OOA) relative to CO to quantify the significant lack of closure between expected and observed organic aerosol concentrations attributable to fossil-fuel emissions. Two possible conclusions emerge from the analysis to yield consistency with the ambient data: (1) vehicular emissions are not a dominant source of anthropogenic fossil SOA in the Los Angeles Basin, or (2) the ambient SOA mass yields used to determine the SOA formation potential of vehicular emissions are substantially higher than those derived from laboratory chamber studies.

# 1 Introduction

Emissions in California have significantly decreased over time (Warneke et al., 2012). However, two important issues concerning the sources of organic aerosol in urban areas remain generally unresolved: (1) what is the relative impact of emissions from gasoline- and diesel-fueled vehicles on the formation of secondary organic aerosol (SOA) (Bahreini et al., 2012; Gentner et al., 2012; Hayes et al., 2013); and (2) what is the cause of the significant underprediction of SOA levels by existing atmospheric models in urban areas (de Gouw et al., 2005; Volkamer et al., 2006; Johnson et al., 2006; de Gouw et al., 2008; Kleinman et al., 2008; Matsui et al., 2009)? We investigate the source of these two issues based on a detailed analysis of data in the Los Angeles

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atmosphere; the procedures we use to analyze these issues are likely to be applicable to major urban areas worldwide. Based on the highly resolved speciation profiles of gasoline and diesel fuel, Gentner et al. (2012) estimated that diesel exhaust is responsible for 2–7 times more SOA than gasoline exhaust in California. However, from measurements of the weekday-weekend cycle of organic aerosol, black carbon, single-ring aromatic hydrocarbons, CO, and oxides of nitrogen  $(NO_x = NO + NO_2)$  in the Los Angeles (L.A.) Basin, Bahreini et al. (2012) and Hayes et al. (2013) conclude that emissions from gasoline-fueled vehicles dominate the SOA budget. Notably, the conclusions of Bahreini et al. (2012) and Hayes et al. (2013) are based on the observation that diesel activity has a clear weekday-weekend cycle, whereas measured CO mixing ratios and the enhancement of SOA with respect to CO exhibit virtually no weekday-weekend cycle when segregated by photochemical age. Nevertheless, as acknowledged by Hayes et al. (2013), the conclusions of Bahreini et al. (2012) and Hayes et al. (2013) presume that vehicular emissions are the dominant source of anthropogenic fossil SOA in the L.A. Basin.

#### 2 Ambient measurements

Ambient data (CO, NO<sub>x</sub>, NO<sub>y</sub>, O<sub>3</sub>, OH, VOCs, submicron nonrefractory (nrPM1) organic aerosol) at the Pasadena ground site were collected during the 2010 CalNex experiment (Ryerson et al., 2013). The CalNex Pasadena ground site was located 18 km northeast of downtown Los Angeles on the California Institute of Technology (Caltech) campus in Pasadena, California (34.1406° N, 118.1225° W, 236 m a.m.s.l.). The measurement period was 15 May 2010, 00:00–16 June 2010, 00:00 (local time). The prevailing wind direction during daytime in Pasadena was from the southwest due to the sea breeze, which brought air masses from the Pacific Ocean across central Los Angeles to Pasadena.

CO concentrations were measured by two vacuum-UV resonance fluorescence instruments (AL5001 & AL5002, Aerolaser) (Gerbig et al., 1999). CO emissions in Los Angeles are attributable almost exclusively to vehicular emissions (Griffin et al., 2007, http://www.arb.ca.gov/ app/emsinv/emssumcat.php), with minor contributions from cooking and oxidation of biogenic emissions (Hayes et al., 2013; Allan et al., 2010). A Fluorescence Assay by Gas Expansion (FAGE) instrument was utilized to determine the OH concentration (Dusanter et al., 2009). The concentration of O<sub>3</sub> was measured by UV differential absorption (49c Ozone Analyzer, Thermo Scientific). An in situ Gas Chromatography Mass Spectrometry (GC-MS) instrument provided the mixing ratios for a variety of VOCs (Gilman et al., 2009). NO<sub>x</sub> and NO<sub>y</sub> concentrations were measured using chemiluminescence (42i- TL with Mo converter, Thermo Scientific), and NO2 was measured with Cavity Enhanced Differential Optical Absorption Spectroscopy (CE-DOAS) (Thalman and

Volkamer, 2010). Concentrations of submicron nonrefractory (nrPM1) organic aerosol particles were measured using an Aerodyne high resolution time-of-flight aerosol mass spectrometer (hereinafter referred to as AMS ) (DeCarlo et al., 2006). The OA mass spectral matrix was deconvolved into components using PMF, a receptor-based factorization model (Paatero et al., 1994). The OA (organic aerosol) components from the PMF (positive matrix factorization) analysis were identified by their mass spectra, diurnal cycles, and elemental composition, as well as by the concentration ratios and correlations of their time series with tracers. These components are (1) hydrocarbon-like organic aerosol (HOA), (2) cooking- influenced organic aerosol (CIOA), (3) local organic aerosol (LOA), (4) semi-volatile oxygenated organic aerosol (SV-OOA), and (5) low-volatility oxygenated organic aerosol (LV-OOA). The HOA component has been previously described as a surrogate for primary combustion OA, and the SV-OOA and LV-OOA components as surrogates for "fresher" and "aged" SOA, respectively. (Zhang et al., 2007; Aiken et al., 2008; Jimenez et al., 2009; Ulbrich et al., 2009). As discussed in Hayes et al. (2013), the LOA component exhibits high frequency fluctuations most likely resulting from local sources in close proximity to the Pasadena ground site. However, since LOA represents only  $\sim 5\%$  of the total OA budget, this factor is not considered further.

Figure 1 shows measured PMF factor concentrations normalized by CO enhancement ( $\Delta$ CO is the difference between the ambient CO and the estimated background CO, 105 ppb) as functions of photochemical age (see Hayes et al. (2013) for a detailed description of how this figure was constructed). The photochemical age of the air mass over the Pasadena site was calculated by two methods: (1) from the ratio of 1,2,4trimethylbenzene to benzene concentrations, as described in Parrish et al. (2007); and (2) by defining the photochemical age as  $-\log_{10}(NO_x/NO_y)$  similar to Kleinman et al. (2008). Both methods give very similar results, and all photochemical ages were calculated for reference using an average OH radical concentration of  $1.5 \times 10^6$  molecules cm<sup>-3</sup>. For reference, the daily (day and night) OH radical concentration averaged over the entire campaign at the Pasadena site was  $1.3 \times 10^6$  molecules cm<sup>-3</sup>. Note that the OH exposure, which is fully constrained by the measured evolution of the benzene / trimethylbenzene ratio, is the only quantity needed for calculating the fraction of VOC that has reacted (e.g., frac =  $(1 - \exp(-k \times OH\text{-exposure}))$ ). Therefore, choosing a different OH radical concentration will not influence our results because the OH exposures remain the same. Owing to the formation of SOA, the OOA factors are enhanced (increased) with respect to  $\Delta CO$  as the photochemical age of the air mass increases. As shown in Fig. 1b, the enhancement of OOA (SV-OOA + LV-OOA) relative to  $\Delta CO$  after 0.45 days of photochemical processing is  $48 \mu g OOA \text{ sm}^{-3} (ppmv CO)^{-1}$  (48 is the difference between 58, which occurs at 0.45 days, and 10, which occurs at 0 days), whereas the ratio of POA (HOA + CIOA) to

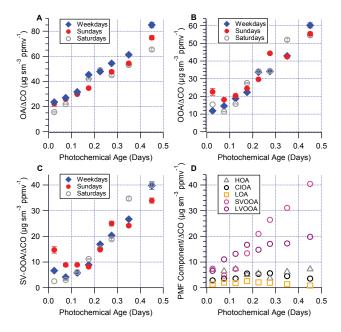


Fig. 1. Measured AMS PMF factor concentrations normalized by CO enhancement ( $\Delta$ CO is the ambient CO minus the estimated background CO, 105 ppb) as functions of photochemical age (see Hayes et al., 2013 for a detailed description of how this figure was constructed). (A) The evolution of OA/ $\Delta$ CO vs. photochemical age for Pasadena during CalNex separated by day of the week. Error bars indicate the standard errors. Photochemical age is determined using the method of Parrish et al. (2007). Also shown are the analogous plots for (B) OOA and (C) SV-OOA. (D) Evolution of the PMF component concentrations normalized to  $\Delta$ CO vs. photochemical age.

 $\Delta CO$  is relatively constant (i.e., no enhancement) at  $9.6\,\mu g$  (HOA + CIOA) sm<sup>-3</sup> (ppmv CO)<sup>-1</sup>. Note that the average OOA enhancement corresponds to an average OH exposure of  $58.3 \times 10^9$  molec cm<sup>-3</sup> s ( $\sim 0.45$  days), and that the average POA/ $\Delta CO$  value is very similar to the value of  $9.4\,\mu g$  POA sm<sup>-3</sup> (ppmv CO)<sup>-1</sup> assumed by both Bahreini et al. (2012) and Gentner et al. (2012).

In this study, we are primarily interested in the fraction of OOA attributable to anthropogenic fossil activity. Based on the  $^{14}\mathrm{C}$  analysis presented in Zotter et al. (2014), 70 % of the SV-OOA enhancement corresponds to the fraction of OOA that is attributable to anthropogenic fossil-fuel activity. Some anthropogenic SOA, such as from cooking emissions, will be nonfossil. Therefore, we note that at 0.45 days of photochemical processing, 70 % of the SV-OOA enhancement is equal to  $\sim25\pm9\,\mu\mathrm{g}\,\mathrm{SV-OOA}\,\mathrm{sm}^{-3}\,(\mathrm{ppmv}\,\mathrm{CO})^{-1}$  (Fig. 1c), where  $\pm9\,\mu\mathrm{g}\,\mathrm{SV-OOA}\,\mathrm{sm}^{-3}\,(\mathrm{ppmv}\,\mathrm{CO})^{-1}$  is the propagated uncertainty associated with the OOA and CO measurements.

#### 3 Results and discussion

# 3.1 Emission ratios and required SOA yields

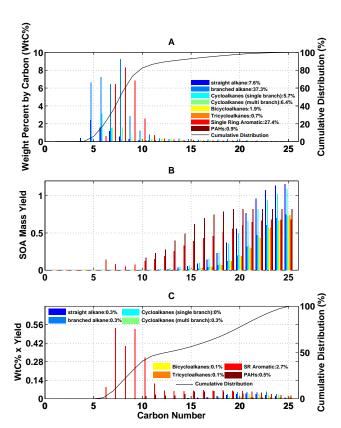
Fuel-sales data reported by the California Department of Transportation (http://www.dot.ca.gov/hq/tsip/otfa/tab/documents/mvstaff/mvstaff08.pdf) indicate that diesel and gasoline fuel sales in all California counties upwind of Pasadena during 2010 represented approximately 13 % and 87 % of total fuel sales (county-wide) by volume, respectively. Therefore, on average, for every liter of fuel combusted on-road and upwind of Pasadena in 2010, the following can be assumed:

$$[L_{\text{gas}}] = 0.87 \times [L_{\text{fuel}}],\tag{1}$$

$$[L_{\text{dies}}] = 0.13 \times [L_{\text{fuel}}]. \tag{2}$$

Figure 2a shows the chemical speciation profile and the compound-specific SOA mass yields  $(Y = \Delta SOA / \Delta Hydrocarbon)$ for a composite comprising 13% diesel fuel and 87% gasoline fuel (by volume), based on detailed chemical-speciation profiles (see Tables S5, S6, and S8 of Gentner et al., 2012). As shown in Fig. 2a. the 2010 composite fuel composition is dominated by species with fewer than 12 carbon atoms, with the largest contributions coming from branched alkanes and single-ring aromatics. Note that the percentages listed in the legend of Fig. 2a sum up to  $\sim 90\%$ , which corresponds to the unprecedented level of mass closure Gentner et al. (2012) obtained in characterizing gasoline and diesel fuel. Gentner et al. (2012) estimated the SOA mass yields for pure gasoline and pure diesel fuel using a combination of measured SOA mass yields derived from laboratory-chamber experiments and approximate SOA mass yields based on box modeling. Based on the level of oxidation effectively constrained by experimental measurements, the SOA mass yields reported by Gentner et al. (2012) are expected to be representative of the first several generations of photochemical oxidation. The compound-specific SOA mass yields reported by Gentner et al. (2012) are given in Fig. 2b, and Fig. 2c shows the product of the estimated yields and the weight percent (by carbon) of the individual species in liquid fuel. In contrast to the cumulative distribution shown in Fig. 2a, roughly 50% of the expected SOA mass is attributable to species with fewer than 12 carbons and 50% is attributable to species with more than 12 carbons. Note that single-ring aromatics are predicted to make the most significant contribution to the SOA budget (Fig. 2c). The analysis in the present study implicitly assumes that the SOA yields from Gentner et al. (2012), which were mostly determined based on chamber experiments with individual compounds, apply to the complex L.A. atmosphere, consistent with the limited evidence available for complex precursor mixtures (Odum et al., 1997, 1996).

Vehicular exhaust emissions include water, CO,  $CO_2$ ,  $NO_x$ , and partially combusted hydrocarbons, as well as a



**Fig. 2.** Distribution of mass by chemical class based on California fuel-sale data comprising 13 % diesel and 87 % gasoline, by volume (top panel). Distribution of compound specific SOA mass yields (middle panel). Relative contributions of each group of species to predicted SOA, calculated as the yields multiplied by weight percent (by carbon) in liquid fuel (bottom panel). Data are from Tables S5, S6, and S8 of Gentner et al. (2012).

large contribution from unburned fuel that escapes combustion. Gentner et al. (2012) argue that unburned fuel in exhaust emissions is the dominant source of newly formed SOA attributable to vehicular activity. Emission factors reported by Gentner et al. (2012), which are based on CalNex 2010 measurements at the Caldecott Tunnel in Oakland, CA, for CO and for noncombusted gas-phase organic mass (GPOM) emitted in the exhaust of gasoline (gas) and diesel (dies) engines are

$$EF_{CO,gas} = 14.7 \pm 5.88 \text{ g CO } L_{gas}^{-1},$$
 (3)

$$EF_{CO,dies} = 4.5 \pm 1.80 \text{ g CO} (L_{dies})^{-1},$$
 (4)

$$EF_{GPOM,gas} = 0.45 \pm 0.18 \text{ g GPOM } L_{gas}^{-1},$$
 (5)

$$EF_{GPOM,dies} = 1.01 \pm 0.40 \text{ g GPOM } (L_{dies})^{-1},$$
 (6)

where the uncertainties are assumed to be  $\pm 40\%$  based on average values reported in Tables S5 and S6 of McDonald et al. (2013). Therefore, the total amount of noncombusted

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GPOM and CO emitted per liter of combusted fuel is

$$GPOM = EF_{GPOM,gas} \times [L_{gas}] + EF_{GPOM,dies} \times [L_{dies}]$$
 (7)

$$CO = EF_{CO,gas} \times [L_{gas}] + EF_{CO,dies} \times [L_{dies}].$$
 (8)

Substituting Eqs. (1) and (2) into Eqs. (7) and (8) and dividing gives the amount of GPOM that is emitted per unit of CO mass emitted (defined here as EF<sub>GPOM,CO</sub>):

$$EF_{GPOM,CO} = \frac{[GPOM]}{[CO]} = \frac{EF_{GPOM,gas} \times 0.87 + EF_{GPOM,diesel} \times 0.13}{EF_{CO,gas} \times 0.87 + EF_{CO,diesel} \times 0.13},$$
(9)

$$EF_{GPOM,CO} = 0.039 \pm 0.019 \text{ g GPOM (g CO)}^{-1}.$$
 (10)

Converting grams to micrograms and normalizing the numerator and denominator by air volume at standard conditions (273 K and 1 atm), Eq. (10) can be written as

$$EF_{GPOM,CO} = 0.039 \pm 0.019 \,\mu g \,GPOM \,sm^{-3} (\mu g \,CO \,sm^{-3})^{-1} \,(11)$$

The CO emission units  $\mu g \, CO \, sm^{-3}$  in Eq. (11) can be converted to ppmv CO by using the following conversion factor, which is applicable at 273 K and 1 atm:

EF<sub>GPOM,CO</sub> = 
$$0.039 \pm 0.019 \,\mu g \, GPOM \, sm^{-3}$$
  
(μg CO sm<sup>-3</sup>)<sup>-1</sup> × 1250 μg CO sm<sup>-3</sup>(ppmv CO)<sup>-1</sup>, (12)  
EF<sub>GPOM,CO</sub> =  $48.9 \pm 24.3 \,\mu g \, GPOM \, sm^{-3}$ (ppmv CO)<sup>-1</sup> (13)

We assume that EF<sub>GPOM,CO</sub> given by Eq. (13) is representative of the average vehicle fleet, and that the 70% of the SV-OOA concentrations that are comprised of fossil carbon at the Pasadena ground site are attributable to vehicular emissions (Bahreini et al., 2012; Hayes et al., 2013). Using EF<sub>GPOM,CO</sub> and 70% of the SV-OOA enhancement  $(25\pm9\,\mu\text{g}\,\text{OOA}\,\text{sm}^{-3}\,\text{(ppmv}\,\text{CO)}^{-1})$  given in Fig. 1b, the average aggregate SOA mass yield required to obtain mass closure at the Pasadena ground site,  $Y_{\text{req}}$ , can be determined as follows:

$$Y_{\text{req}} = \frac{\Delta \text{SOA}}{\Delta \text{GPOM}} = \frac{25 \pm 9 \,\mu\text{g SOA sm}^{-3} (\text{ppmv CO})^{-1}}{48.9 \pm 24.3 \,\mu\text{g GPOM sm}^{-3} (\text{ppmv CO})^{-1}}$$
  
= 51.1 ± 31.4%. (14)

This required overall SOA mass yield is to be compared with the estimated yields reported in Gentner et al. (2012) (Fig. 2) for pure gasoline fuel and pure diesel fuel, which are  $2.3 \pm 0.7$ % and  $15 \pm 5$ %, respectively. Based on the estimated yields for pure liquid gasoline and diesel fuel, the predicted SOA mass yield for a fuel comprising 87% gasoline and 13% diesel is 5.5%. Note that the required SOA mass yield is a lower bound because it is based on the assumption that 100% of the GPOM reacts within 0.45 days (OH exposure =  $\sim 58.3 \times 10^9$  molec cm<sup>-3</sup> s) of being emitted. As shown in Table 1, the fraction of hydrocarbon reacted

Table 1. Fraction of hydrocarbon reacted for an OH exposure of  $58.3 \times 10^9$  molec cm<sup>-3</sup> s at 298 K and 1 atm. Hydrocarbons shown are abundant in a typical mixture of liquid gasoline and diesel fuel. Fraction reacted =  $1 - \exp(-k_{OH} \times [OH] \times t)$ .

Hydrocarbon	Fraction reacted	OH reaction-rate constant (cm <sup>3</sup> molec <sup>-1</sup> s <sup>-1</sup> )
benzene <sup>a</sup>	0.069	$1.22 \times 10^{-12}$
toluene <sup>a</sup>	0.280	$5.63 \times 10^{-12}$
m-xylene <sup>a</sup>	0.740	$2.31 \times 10^{-11}$
<i>n</i> -hexane <sup>b</sup>	0.272	$5.45 \times 10^{-12}$
<i>n</i> -octane <sup>b</sup>	0.398	$8.71 \times 10^{-12}$
<i>n</i> -dodecane <sup>b</sup>	0.555	$1.39 \times 10^{-11}$

<sup>&</sup>lt;sup>a</sup> Reaction rate constants from Calvert et al. (2002).

for an OH-exposure of  $58.3 \times 10^9$  molec cm<sup>-3</sup> s is between 0.07 and 0.74 for several hydrocarbons abundant in gasoline and diesel fuel. To account for partial reaction of the emitted hydrocarbons, we reduce each chemical constituent of the emitted GPOM (Fig. 2a) by the fraction that would react after 0.45 days of photochemical aging. The partially reacted EF<sub>GPOM,CO</sub> (Eq. 13) is then determined by summing over all partially reacted GPOM components. The total fraction of GPOM reacted after 0.45 days of photochemical aging ranges from 0.66 at 100 % diesel to 0.43 at 100 % gasoline, and is 0.47 for fuel usage of 13% diesel and 87% gasoline (by volume). Reducing the EFGPOM.CO by a factor of 0.47 increases the required yield by a factor of 2.13  $(Y_{\text{req}} = 2.13 \times 51.1 \pm 31.4 \% = 108.7 \pm 66.9 \%).$ 

The analysis thus far is based on the county-specific fuel usage of 13 % diesel and 87 % gasoline (by volume). However, the dependence of the required overall SOA mass yield on any fractional fuel usage  $(f_{gas} + f_{dies} = 1)$  is calculated as

$$\begin{aligned} & \text{EF}_{\text{GPOM,CO}}(f_{\text{gas}}, f_{\text{dies}}) = \\ & \frac{\text{EF}_{\text{GPOM,gas}} \times f_{\text{gas}} + \text{EF}_{\text{GPOM,dies}} \times f_{\text{dies}}}{\text{EF}_{\text{CO,gas}} \times f_{\text{gas}} + \text{EF}_{\text{CO,dies}} \times f_{\text{dies}}} \times \text{FR}(f_{\text{gas}}, f_{\text{dies}}), (15) \\ & Y_{\text{req}} = \frac{25 \pm 9 \ \mu\text{g OOA sm}^{-3}(\text{ppmv CO})^{-1}}{\text{EF}_{\text{GPOM,CO}}(f_{\text{gas}}, f_{\text{dies}})}, \end{aligned}$$
(16)

$$Y_{\text{req}} = \frac{25 \pm 9 \,\mu\text{g OOA sm}^{-3}(\text{ppmv CO})^{-1}}{\text{EF}_{\text{GPOM,CO}}(f_{\text{gas}}, f_{\text{dies}})},\tag{16}$$

where  $FR(f_{gas}, f_{dies})$  is the fraction of GPOM reacted (FR, fraction reacted) after 0.45 days of photochemical aging for a given fractional fuel usage. The predictions of Eq. (16) are shown in Fig. 3a. Note that, as a result of gasoline having a higher EF<sub>CO</sub> and a lower EF<sub>GPOM</sub> than its diesel counterpart, the required overall SOA mass yield increases as the fraction of gasoline increases. In other words, the emission ratio EF<sub>GPOM</sub> / EF<sub>CO</sub> decreases as the fraction of gasoline use increases, thereby requiring a greater fraction of the emitted GPOM to be converted to SOA to match observations at the Pasadena ground site. Also shown in Fig. 3a are the SOA mass yields predicted,  $Y_{pred}$ , based on the values reported by

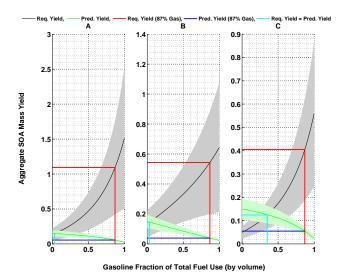


Fig. 3. Vehicular SOA mass yields compared to ambient SV-OOA mass yields assuming all fossil SV-OOA is attributable to vehicular emissions. (A) Black line: aggregate SOA mass yield required to match observations at the Pasadena ground site assuming all fossil SOA is attributable to vehicular emissions. Green line: SOA mass vield of unburned fuel (gasoline/diesel) components reported by Gentner et al. (2012). Red line: yield required for 87 % gasoline and 13% diesel fuel (state-average). Blue line: SOA mass yield of liquid fuel for 87 % gasoline and 13 % diesel fuel (state-average). Cyan line: point at which the black line crosses the green line. (B) Same as (A) except EF<sub>GPOM,gas</sub> have been increased by a factor of 2.35. (C) Same as (A) except EF<sub>GPOM,gas</sub> and EF<sub>GPOM,dies</sub> have both been increased by a factor of 2.7 (McDonald et al., 2013). Error bars correspond to propagated uncertainties, and all plots have been adjusted to account for partial reaction of hydrocarbons at 0.45 days of photochemical aging. Required yields are based on SV-OOA measurements and <sup>14</sup>C measurements reported in Zotter et al. (2014); Hayes et al. (2013). All quantities are plotted as functions of gasoline and diesel fuel sales (by volume).

Gentner et al. (2012) as a function of fractional fuel usage, which are calculated as

$$Y_{\text{pred}} = \frac{Y_{\text{gas}} \times \text{EF}_{\text{GPOM,gas}} \times f_{\text{gas}} + Y_{\text{dies}} \times \text{EF}_{\text{GPOM,dies}} \times f_{\text{dies}}}{\text{EF}_{\text{GPOM,gas}} \times f_{\text{gas}} + \text{EF}_{\text{GPOM,dies}} \times f_{\text{dies}}}, \quad (17)$$

where  $Y_{\text{gas}} = 0.023 \pm 0.007$  and  $Y_{\text{dies}} = 0.15 \pm 0.05$ . As shown in Fig. 3a, the required and predicted SOA yields match if the fuel usage is 3 % gasoline and 97 % diesel, and the propagated error bars intersect when the fuel usage is 40 % gasoline and 60 % diesel, both of which are far from the reported fuel usage of 87% gasoline and 13% diesel. For reference, the closest any county in California comes to the required fuel usage is Glenn County (northern California) which had fuel sales that were 58 % gasoline and 42 % diesel.

<sup>&</sup>lt;sup>b</sup> Reaction rate constants from Atkinson (1997).

Date Temperature **EFCO EFNMHC** EF<sub>NMHC</sub> / EF<sub>CO</sub>  $EF_{NMHC} / EF_{CO}$  $\mu$ g NMHC sm<sup>-3</sup> values from Fujita et al. (2012) g CO g NMHC g NHMC  $(kg \, fuel)^{-1}$  $(ppmv CO)^{-1}$  $(kg fuel)^{-1}$  $(g CO)^{-1}$ 21 Aug, Sat p.m. 95 23.0 1.59 0.069 86.3 25.4 22 Aug, Sun p.m. 92 1.98 0.078 97.5 24 Aug, Tue a.m. 92 16.7 1.40 0.084 105 24 Aug, Tue p.m. 101 19.1 2.51 0.131 164 25 Aug, Wed a.m. 92 88.8 18.9 1.35 0.071 25 Aug, Wed p.m. 102 30.4 3.05 0.100 125 28 Aug, Sat a.m. 72 25.9 1.09 0.042 52.5 70 29 Aug, Sun a.m. 10.7 0.51 0.048 60.0 97.5 Mean 21.3 1.69 0.078 Median 21.1 1.50 93.8 0.075 Values from Gentner et al. (2012) 0.039 48.8

**Table 2.** Measured fleet-averaged fuel-based CO and NMHC emission factors (g kg<sup>-1</sup> of fuel) reported by Fujita et al. (2012); Gentner et al. (2012). Numerical values in the right-most column are calculated using the conversion factor  $1250 \,\mu g \, \text{CO sm}^{-3}$  (ppmv CO)<sup>-1</sup>.

#### 3.2 Potential explanations

#### 3.2.1 Emission factor uncertainty

Given the discrepancy between predictions and observations of aggregate SOA mass yields shown in Fig. 3a, one deduces that for SOA predictions and observations to match (i.e., for the black and green lines in Fig. 3a to cross at  $f_{gas} = 0.87$ ), (1) the predicted aggregate SOA mass yield (green line) must be higher, or (2) the required SOA mass yield (black line) must be lower, or both (1) and (2) are true. One way by which the required composite SOA mass yield decreases is via an overall increase in the ratio of EF<sub>GPOM</sub> / EF<sub>CO</sub>, either by reducing EFCO and/or increasing EFGPOM. To assess the accuracy of the emission factors reported in Gentner et al. (2012), we consider those reported in Fujita et al. (2012) given in Table 2. During August 2010, Fujita et al. (2012) measured emission factors for CO and total (products of incomplete combustion + noncombusted hydrocarbons + evaporative emissions) nonmethane hydrocarbons (NMHC) obtained from tunnel measurements in Van Nuys, California, which is  $\sim$  32 km west of the Pasadena ground site. Based on the results presented in Fujita et al. (2012) (Table 2), emission ratios measured in the Van Nuys tunnel range from 52.5 to  $164 \,\mu g \, NMHC \, sm^{-3} \, (ppmvCO)^{-1}$ , with an average value of 97.5  $\mu$ g NMHC sm<sup>-3</sup> (ppmvCO)<sup>-1</sup>. Similarly to Gentner et al. (2012), Fujita et al. (2012) derived these fleet-average emission factors from vehicles traveling through a tunnel at near-constant speeds of approximately 40 mph, and excluded cold-start emissions, idle emissions, and diurnal and hotsoak evaporative hydrocarbon emissions. The Gentner et al. (2012) value is consistent with the lower end of the values reported in Fujita et al. (2012). The spread of values reported by Fujita et al. (2012) is most likely attributable to the fact that the emission factors derived include products of incomplete combustion and evaporative emissions during stabilized running conditions.

We examine the sensitivity of the required composite SOA mass yield by increasing the EFGPOM, gas reported by Gentner et al. (2012) by a factor of 2.35, which increases the total EF<sub>GPOM,CO</sub> given by Eq. (13) by a factor of 2 (increasing EF<sub>GPOM.CO</sub> from 48.9 to 98.3 µg GPOM sm<sup>3</sup> (ppmv CO)<sup>-1</sup> at 87 % gasoline and 13 % diesel) to match the mean value reported by Fujita et al. (2012) (Fig. 3b). As shown in Fig. 3b, increasing EF<sub>GPOM,gas</sub> by a factor of 2.35 reduces the required SOA mass yields. However, this also reduces the predicted yields, since the SOA yield from pure gasoline is lower and since the gasoline terms in Eq. (17) have a larger impact than the diesel terms. The net result is that the required and predicted yields still match if the fuel usage is 3 % gasoline and 97 % diesel, and the propagated error bars still intersect when the fuel usage is 40 % gasoline and 60 % diesel. Note that if the EF<sub>GPOM.gas</sub> were increased even further, the predicted yield (Eq. 17) would asymptotically approach  $Y_{gas}$  and the required yield would approach zero (Eq. 16). In this analysis, we have assumed the evaporative emissions and products of incomplete combustion have the same SOA mass yield as the tail-pipe exhaust emissions. However, evaporative emissions will be enriched in small alkanes under ambient conditions. According to Fig. 2 of Gentner et al. (2012), the SOA mass yield of evaporative emissions is expected to be lower than tail-pipe emissions by a factor of  $\sim 10$ . Therefore, this analysis represents a conservative upper limit since evaporative emissions are not expected to contribute substantially to the SOA budget. The SOA formation potential of products of incomplete combustion and incomplete catalytic converter oxidation are examined more thoroughly in Sect. 3.2.4.

McDonald et al. (2013) recently assessed long-term trends (1990–2010) in EF<sub>GPOM,CO</sub> emission ratios for several US

**Table 3.** Gasoline vehicle-specific emission ratios,  $EF_{NMHC} / EF_{CO}$ , predicted by EMFAC2011 (http://www.arb.ca.gov/emfac/) for the South Coast Air Basin in summer 2010. Emission ratios are based on daily CO and NMHC emission rates calculated by EMFAC2011. Emission ratios include all drive-cycle components (i.e., running, idle, start, diurnal evaporative, hot-soak evaporative, running evaporative, and resting evaporative). Rows are ordered in descending population. Numerical values in  $\mu g$  NMHC m<sup>-3</sup> (ppmv CO)<sup>-1</sup> columns are calculated using the conversion factor 1250  $\mu g$  CO sm<sup>-3</sup> (ppmv CO)<sup>-1</sup>. Note that the values predicted by EMFAC are higher than what is reported by Gentner et al. (2012) because they include products of incomplete combustion, evaporative emissions, and start emissions.

Vehicle Class*	g NHMC (g CO) <sup>-1</sup>	μg NMHC sm <sup>-3</sup> (ppmv CO) <sup>-1</sup>	Population
Values from	0.031	38.3	Caldecott
Gentner et al. (2012)			Tunnel
LDA	0.116	145	5 566 383
LDT2	0.093	116	1806334
MDV	0.081	101	1 474 925
LDT1	0.112	140	655 343
LHD1	0.115	144	257 882
MCY	0.161	201	213 296
MH	0.035	43.8	58 258
LHD2	0.112	140	27 933
T6TS	0.096	120	22 177
OBUS	0.088	110	7278
UBUS	0.100	125	1766
T7IS	0.051	63.8	1501
SBUS	0.068	85.0	1491

<sup>\*</sup> See http://www.arb.ca.gov/msei/emfac2011-pl-users-guide-122112.pdf for a detailed description of each vehicle class.

urban areas. As shown in Fig. 3b of McDonald et al. (2013), owing to differences in driving conditions and engine loads, the EF<sub>GPOM,CO</sub> emission ratios derived from tunnel measurements such as those of Gentner et al. (2012) and Fujita et al. (2012) may be lower than those derived from on-road studies in Los Angeles by a factor of 2.7. Therefore, to determine the upper limit of EF<sub>GPOM,CO</sub> that should be used in this analysis, we increase the overall (gas + diesel) EF<sub>GPOM,CO</sub> (Eq. 13) by a factor of 2.7. Doing so reduces the required yield (Eq. 14) by a factor of 0.37 ( $Y_{\text{req}} = 0.37 \times 108.7\% = 40.2\%$ ). As shown in Fig. 3c, when the overall EF<sub>GPOM,CO</sub> is increased by a factor of 2.7, the predicted and required yields match if the fuel usage is 35% gasoline and 65% diesel, and the propagated uncertainties intersect if the fuel usage is 65% gasoline and 35% diesel.

Given the lack of agreement between predicted and required SOA mass yields (Fig. 3) when using the emission ratios from Fujita et al. (2012), Gentner et al. (2012), and McDonald et al. (2013), if the SV-OOA /  $\Delta$ CO enhancements shown in Fig. 1c are primarily attributable to vehicular emissions, at least one of the following must be true: (1) vehicular emission rates of gas-phase organic mass (relative to CO) are substantially larger than those recently measured; or (2) the SOA mass yields of pure gasoline and pure diesel exhaust are substantially (i.e., a factor of  $\sim$  3–16) higher than what has been measured previously. In the next section, we explore possibility (1) in the context of drive-cycle phases (e.g., cold-start emissions, idle emissions, hot-soak evapora-

tive emissions, diurnal evaporative emissions, etc.) that were not the focus of the analysis by Gentner et al. (2012), but are assessed more closely in this study.

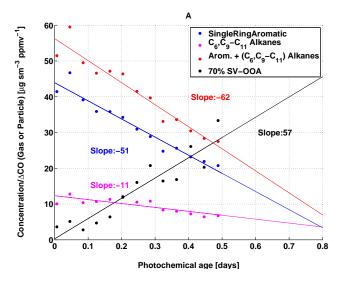
# 3.2.2 Emission ratios from other drive-cycle phases

By sampling emissions within urban tunnels for sufficient periods of time, Fujita et al. (2012) and Gentner et al. (2012) estimated average emission factors. However, neither study included emissions from drive-cycle phases other than stabilized running in the emission factors used in this study. To estimate the impact of drive-cycle phase on emission-factor ratio, we use the California EMission FACtor model (EMFAC2011, http://www.arb.ca.gov/emfac/) combined with summer 2010 data for the South Coast Air Basin (SoCAB) of California. Emission factors are weighted and aggregated by vehicle-year populations and speed distributions, and include all drive-cycle components (i.e., running, idle, start, diurnal evaporative, hot-soak evaporative, running evaporative, and resting evaporative). Emission factor ratios, based on daily-average emission rates, for all EMFAC2011 gasoline and diesel vehicle types are given in Tables 3 and 4, respectively. As shown in Table 3, EMFAC2011 predicts gasoline emission-factor ratios that are generally consistent with the values reported by Fujita et al. (2012) and are  $\sim$  2–3.5 times higher than the value reported by Gentner et al. (2012). Based on the results shown in Fig. 3b, increasing the gasoline emission-factor ratio by  $\sim 2.5$  reduces both the predicted and required SOA mass yields, which does not improve agreement. As shown in Table 4, the diesel emission-factor ratios predicted by EMFAC2011 are very similar to the value reported by Gentner et al. (2012). These results show that the required and predicted yields do not match even if all drive-cycle phases are accounted for. Therefore, one concludes that either the SOA mass yields for gasoline and diesel exhaust are significantly higher than what has been previously reported, or nonvehicular source categories contribute significantly to the anthropogenic fossil OOA budget measured at the Pasadena ground site. Both of these possibilities are explored in the next section.

# 3.2.3 Ambient NMHC / $\Delta$ CO ratios

The analysis up to this point has been based on measured and predicted NMHC / CO vehicular emission ratios and measured ambient OOA /  $\Delta$ CO ratios at the Pasadena ground site. This analysis is now extended to include all upwind NMHC source categories (vehicular and nonvehicular) by comparing measured ambient NMHC /  $\Delta$ CO ratios to measured ambient OOA /  $\Delta$ CO at the Pasadena ground site. The four main source categories of NMHC in southern California, not including trans-Pacific transport, which is thought to be unimportant for SOA formation in the L.A. Basin due to long transport times and intense dilution, are stationary, area-wide, mobile, and natural (nonfossil). Based on the 2009 Almanac Emission Projection Data reported by the CARB (http://www.arb.ca.gov/app/emsinv/emssumcat.php), the 2010 annual emissions of reactive organic gas (ROG) and CO from each source are given in Table 5. Note that CARB reports ROG emission rates, which are similar to NMHC but do not include several low-reactive organic compounds such as ethane, acetone, CFCs, and HCFCs. As shown in Table 5, on-road motor vehicles are reported to contribute  $\sim$  27–29 % of all ROG emissions in the South Coast Air Basin and Los Angeles County. Mobile sources other than on-road vehicles (e.g., aircraft, trains, ocean-going vessels, and off-road equipment such as forklifts) are reported to contribute  $\sim$  21 % of the ROG emissions.

Figure 4 shows two lumped NMHC concentrations (e.g., single-ring aromatics and small alkanes), normalized by  $\Delta$ CO, as functions of photochemical age. See Table 6 for a list of all compounds included in Fig. 4. As shown in Fig. 4, similarly to the roughly linear increases in OOA /  $\Delta$ CO with increasing photochemical age, gas-phase alkane (C<sub>6</sub>,C<sub>9</sub>–C<sub>11</sub>) and single-ring aromatic concentrations both exhibit roughly linear decreases with increasing photochemical age. Note that adding the normalized alkanes and single-ring aromatic concentrations at zero photochemical age suggests an emission ratio of  $\sim$  55 µg GPOM sm<sup>-3</sup> (ppmv CO)<sup>-1</sup>, which is similar to the estimated emission ratio given by Eq. (13). Although this is not proof, the linear decrease in normalized NMHC concentrations with photochemical age, and the similarity between estimated emission ratios are both con-



**Fig. 4.** Measured PMF OOA factor concentrations normalized by CO enhancement ( $\Delta$ CO is the ambient CO minus the estimated background CO, 105 ppb) as functions of photochemical age. Also shown are lumped gas-phase VOC concentrations normalized by  $\Delta$ CO. See Table 6 for the chemical speciation of each lumped species.

sistent with vehicular exhaust being the dominant source of these compounds. Furthermore, in contrast to the numbers given in Table 5, Borbon et al. (2013) found that emissions from gasoline-powered vehicles dominated the urban anthropogenic NMHC budget during CalNex.

One particularly interesting feature of Fig. 4 is that even if all upwind sources of linear alkanes (C<sub>6</sub>,C<sub>9</sub>-C<sub>11</sub>) and singlering aromatics are accounted for, the required aggregate SOA mass yield is still  $\sim 92\%$  (92 = OOA /  $\Delta$ CO slope divided by negative NMHC/ $\Delta$ CO slope = 57/62). This required yield may be overestimated because only light straight-chain  $(C_6,C_9-C_{11})$  alkane and single-ring aromatic  $(< C_{12})$  concentration measurements are available, whereas the majority of alkanes in the ambient are expected to be branched (Fig. 2c). That being said, the required yield of 92 % is still inexplicably large considering that the single-ring aromatic component of vehicular exhaust is expected to produce  $\sim 2.5$ times more SOA than the alkane component (Fig. 2c). A similar correspondence between the magnitude of aromatic hydrocarbon decreases and SOA increases was observed by de Gouw et al. (2005) in the 2002 New England Air Quality Study. It is possible that alkanes and aromatics with 12 or more carbon atoms are contributing to the SOA budget. However, alkanes and aromatics ( $\geq C_{12}$ ) attributable to vehicular activity are abundant only in diesel exhaust, and not in gasoline exhaust. If alkanes ( $\geq C_{12}$ ) were contributing substantially to the L.A. SOA budget, one would expect to see a significant decrease in OOA concentrations on the weekends when diesel activity is reduced by  $\sim 50 \%$ . However, this possibility is not supported by the conclusions of

**Table 4.** Diesel vehicle-specific emission ratios,  $EF_{NMHC}/EF_{CO}$ , predicted by EMFAC2011 (http://www.arb.ca.gov/emfac/) for the South Coast Air Basin in summer 2010. Emission ratios are based on daily CO and NMHC emission rates calculated by EMFAC2011. Emission ratios include all drive-cycle components (i.e., running, idle, start, diurnal evaporative, hot-soak evaporative, running evaporative, and resting evaporative). Rows are ordered in descending population. Numerical values in  $\mu g$  NMHC  $m^{-3}$  (ppmv CO) $^{-1}$  columns are calculated using the conversion factor  $1250 \,\mu g$  CO sm $^{-3}$  (ppmv CO) $^{-1}$ .

Vehicle Class*	g NHMC (g CO) <sup>-1</sup>	$\mu$ g NMHC m <sup>-3</sup> (ppmv CO) <sup>-1</sup>	Population
Values from Gentner et al. (2012)	0.224	280.0	Caldecott Tunnel
LHD1	0.204	255	80 690
T6 instate small	0.256	320	37 131
LHD2	0.203	254	27 901
LDA	0.225	281	19 184
T6 instate heavy	0.275	344	15 303
T7 tractor	0.219	274	11 037
MH	0.261	326	10110
T7 POLA	0.198	248	9818
T7 Single	0.220	275	8951
UBUS	0.217	271	7084
T6 instate construction small	0.256	320	5410
T7 NNOOS	0.224	280	5372
T7 CAIRP	0.227	284	5325
T6 Public	0.272	340	5282
T7 SWCV	0.232	290	4839
SBUS	0.314	393	4388
T7 Public	0.267	334	3579
All Other Buses	0.278	348	3178
T7 single construction	0.220	275	3176
T7 tractor construction	0.221	276	2306
T6 instate construction heavy	0.275	344	2242
T7 NOOS	0.231	289	1939
MDV	0.205	256	1504
Motor coach	0.232	290	1313
LDT1	0.236	295	953
T6 utility	0.238	298	890
LDT2	0.245	306	861
T7 utility	0.243	304	423
T7 CAIRP construction	0.227	284	392
T7 Ag	0.217	271	231
T6 Ag	0.291	364	187
T6 CAIRP small	0.244	305	136
T6 OOS small	0.244	305	78
T6 CAIRP heavy	0.258	323	44
T6 OOS heavy	0.258	323	25

<sup>\*</sup> See http://www.arb.ca.gov/msei/emfac2011-pl-users-guide-122112.pdf for a detailed description of each vehicle class

Hayes et al. (2013) and Bahreini et al. (2012), or the emission ratio analysis presented in this study.

# 3.2.4 Incomplete combustion/catalytic converter oxidation products

The analysis presented thus far is based on the assumption that unburned fuel in exhaust emissions is the dominant source of newly formed SOA attributable to vehicular activity (Gentner et al., 2012). However, recent work suggests

that products of incomplete combustion and products of incomplete catalytic converter oxidation may be efficient SOA precursors. Specifically, Gordon et al. (2013) used a laboratory chamber to investigate SOA formation from photooxidation of tail-pipe emissions from 15 light-duty gasoline vehicles (LDGVs) spanning a wide range of types, model years and emission standards. The 15 LDGVs are grouped according to model year into three vehicle classes termed preLEV (LDGVs manufactured prior to 1995), LEV1 (LDGVs manufactured between 1995 and 2003), and LEV2 (LDGVs

Table 5. CARB 2010 estimated daily emission rates (annual average). Units are in metric-tons per day.

Source	Los Angeles County		South Coast Air Basin	
	CO	ROG*	СО	ROG*
Stationary sources				
Fuel combustion	24.1 (1.3 %)	4.3 (1.1 %)	34.1 (1.1 %)	5.8 (0.9 %)
Waste disposal	0.8 (0 %)	0.9 (0.2 %)	1.1 (0%)	9.1 (1.4%)
Cleaning and surface coatings	0.0 (0%)	25.8 (6.6 %)	0.1 (0%)	40.7 (6.1 %)
Petroleum production and marketing	8.9 (0.5 %)	25.1 (6.4 %)	8.9 (0.3 %)	33.2 (5.0 %)
Industrial processes	1.3 (0 %)	11.6 (3.0 %)	2.5 (0 %)	20.2 (3.0 %)
Total stationary sources	35.0 ( <b>1.9 %</b> )	67.7 ( <b>17.3</b> %)	46.8 (1.5 %)	109.0 ( <b>16.5</b> )
Area-wide sources				
Solvent evaporation	0 (0%)	82.7 (21.2 %)	0 (0 %)	129.4 (19.5 %)
Miscellaneous processes	51.2 (2.8 %)	5.4 (1.4 %)	112.3 (3.6%)	14.7 (2.2 %)
Total area-wide sources	51.2 ( <b>2.8 %</b> )	88.0 (22.5 %)	112.3 (3.6 %)	144.1 <b>(21.8 %)</b>
Mobile sources				
On-road motor vehicles	1096.3 (60.0 %)	113.1 (29.0 %)	1817.6 (58.4 %)	182.8 (27.6 %)
Other mobile sources	579.5 (31.7 %)	81.0 (20.7 %)	973.2 (31.3 %)	140.1 (21.1)
Total mobile sources	1675.8 <b>(91.7 %)</b>	194.1 ( <b>49.7</b> %)	2790.8 (89.6 %)	322.9 ( <b>48.7</b> %)
Natural (nonanthropogenic) sources				
Natural sources	65.0 (3.6 %)	40.5 (10.4 %)	164.2 (5.3 %)	86.5 (13.1 %)
Total natural sources	65.0 ( <b>3.6 %</b> )	40.5 ( <b>10.4</b> %)	164.2 (5.3 %)	86.5 (13.1 %)

(http://www.arb.ca.gov/app/emsinv/emssumcat.php)

Table 6. Chemical constituents of lumped species shown in Fig. 4

Alkanes (C <sub>6</sub> ,C <sub>9</sub> –C <sub>11</sub> )	Single-ring aromatics
<i>n</i> -hexane	benzene
<i>n</i> -nonane	toluene
<i>n</i> -decane	o-xylene
<i>n</i> -undecane	<i>m</i> -xylene
	<i>p</i> -xylene
	1-ethyl benzene
	styrene
	isopropyl benzene
	<i>n</i> -propyl benzene
	1-ethyl 2-methyl benzene
	1-ethyl 3-methyl benzene
	1-ethyl 4-methyl benzene
	1,2,3-trimethylbenzene
	1,2,4-trimethylbenzene
	1,3,5-trimethylbenzene

manufactured 2004 or later). For each vehicle class, Gordon et al. (2013) report median emission factors for CO, median emission factors for all nonmethane organic gases (NMOG), median emission factors for speciated and nonspeciated organic gases that are expected to be SOA precursors, and aggregate SOA mass yields required to obtain mass closure

for each chamber experiment ( $Y_{\text{veh.class}}^{\text{SOA}}$ ). These quantities include products of incomplete combustion and catalytic conversion, and are given in Table 7 for reference.

We first calculate a fleet-average LDGV NMOG emission factor based on the values reported by Gordon et al. (2013) (see Table 7):

$$\begin{split} \text{EF}_{\text{NMOG}}^{\text{fleet}} &= (\text{Fleet Fraction, preLEV}) \times \text{EF}_{\text{NMOG}}^{\text{preLEV}} \\ &+ (\text{Fleet Fraction, LEV1}) \times \text{EF}_{\text{NMOG}}^{\text{LEV1}} \\ &+ (\text{Fleet Fraction, LEV2}) \times \text{EF}_{\text{NMOG}}^{\text{LEV2}}, \\ &+ (\text{Fleet Fraction, LEV2}) \times \text{EF}_{\text{NMOG}}^{\text{LEV2}}, \\ \text{EF}_{\text{NMOG}}^{\text{fleet}} &= 0.07 \times 4.5 \text{ g NMOG } (L_{\text{gas}})^{-1} \\ &+ 0.36 \times 1.3 \text{ g NMOG } (L_{\text{gas}})^{-1} \\ &+ 0.57 \times 0.4 \text{ g NMOG } (L_{\text{gas}})^{-1}, \end{split} \tag{19}$$
 
$$\text{EF}_{\text{NMOG}}^{\text{fleet}} &= 1.01 \text{ g NMOG } (L_{\text{gas}})^{-1}. \tag{20}$$

The total NMOG emission factor for the LDGV fleet reported by Gordon et al. (2013) (Eq. 20) is similar to the value reported in McDonald et al. (2013), and is roughly a factor of  $\sim$  2 higher than that reported by Gentner et al. (2012). These differences in emission factors are most likely attributable to the differences in LDGV driving conditions in each study.

In a similar manner, we calculate a fleet-average LDGV CO emission factor based on the values reported by Gordon

<sup>\*</sup> CARB reports ROG emission rates, which are similar to NMHC but do not include several low-reactive organic compounds such as ethane, acetone, CFCs, and HCFCs.

**Table 7.** Median emission factors and SOA mass yields reported in Gordon et al. (2013). These values include products of incomplete combustion and products of incomplete catalytic converter oxidation.

	preLEV	LEV1	LEV2
Median $EF_{CO}$ (gCO $L_{gas}^{-1}$ )	210	25	9
Median $EF_{NMOG}$ (gNMOG $L_{gas}^{-1}$ )	4.5	1.3	0.40
LDGV-fleet fraction	0.07	0.36	0.57
Effective SOA mass yield* (g g <sup>-1</sup> )	0.008	0.03 - 0.17	0.07 - 0.25
	(0.008)	(0.10)	(0.16)

<sup>\*</sup> Values in parentheses are the arithmetic mean of the reported SOA mass yield range.

(25)

et al. (2013) (see Table 7):

$$EF_{CO}^{fleet} = 21.6 \text{ g CO} (L_{gas})^{-1}.$$
 (21)

The fleet-average CO emission factor given by Eq. (21) is  $\sim 50\%$  larger than the value reported by Gentner et al. (2012) (Eq. 3).

To facilitate a consistent comparison with the analysis presented in Gentner et al. (2012), the SOA mass yields presented in Gordon et al. (2013) have been rescaled based on the total NMOG tail-pipe emissions and not the fraction of NMOG emissions that is expected to be comprised of SOA precursors (Prec). Therefore, the SOA mass yields reported in Table 7 are roughly half as large as those reported in Fig. 7 of Gordon et al. (2013).

$$Y_{\text{preLEV}} = (2\%) \times (0.38 \text{ g SOA Prec } / \text{g NMOG}) = 0.8\%$$
 (22)

$$Y_{\text{LEV1}} = (6 - 33\%) \times (0.51 \text{ g SOA Prec /g NMOG})$$
  
= 10% ((3% + 17%)/2), (23)

$$Y_{\text{LEV2}} = (15 - 50\%) \times (0.49 \text{ g SOA Prec /g NMOG})$$

$$= 16\% ((7\% + 25\%)/2), \tag{24}$$

where the (SOA Prec/NMOG) conversion factors are taken directly from Fig. 3 of Gordon et al. (2013). Using these values, a fleet-average SOA emission factor can also be approximated:

$$\begin{split} \text{EF}_{\text{SOA}}^{\text{fleet}} &= (\text{Fleet} - \text{Fraction}, \text{preLEV}) \times \textit{Y}^{\text{SOA}_{\text{preLEV}}} \times \text{EF}_{\text{NMOG}}^{\text{preLEV}} \\ &+ (\text{Fleet} - \text{Fraction}, \text{LEV1}) \times \textit{Y}^{\text{SOA}_{\text{LEV1}}} \times \text{EF}_{\text{NMOG}}^{\text{LEV1}} \\ &+ (\text{Fleet} - \text{Fraction}, \text{LEV2}) \times \textit{Y}^{\text{SOA}_{\text{LEV2}}} \times \text{EF}_{\text{NMOG}}^{\text{LEV2}}, \end{split}$$

$$EF_{SOA}^{fleet} = 0.07 \times 4.5 \times 0.008 \times g \text{ NMOG } (L_{gas})^{-1}$$

$$+ 0.36 \times 1.3 \times 0.10 \times g \text{ NMOG } (L_{gas})^{-1}$$

$$+ 0.57 \times 0.4 \times 0.16 \times g \text{ NMOG } (L_{gas})^{-1}.$$
(26)

Dividing Eq. (26) by Eq. (20) gives an approximate, experimentally derived fleet-averaged SOA mass yield:

$$Y^{\text{SOA}_{\text{LDGV,fleet}}} = \text{EF}_{\text{SOA}}^{\text{fleet}} / \text{EF}_{\text{NMOG}}^{\text{fleet}} \times 100\%,$$
 (27)

$$Y^{\text{SOA}_{\text{LDGV,fleet}}} = 9\%. \tag{28}$$

The SOA mass yield given in Eq. (28) is  $\sim$  4 times larger than the yield for pure gasoline reported by Gentner et al. (2012) ( $Y_{\rm gas}=2.3\,\%$ ). With respect to diesel-fueled vehicle emissions, Jathar et al. (2013) showed that unburned diesel fuel and combustion tail-pipe exhaust from diesel-fueled vehicles have similar SOA formation potentials. As shown in Fig. 4 of Jathar et al. (2013), the experimentally derived aggregate SOA mass yields for diesel exhaust are very similar to the value reported by Gentner et al. (2012) ( $Y_{\rm dies}=15\,\%$ ), which suggests that this value is representative of diesel-fueled vehicles in California. However, in this analysis we reduce the EF<sub>NMOG,dies</sub> to 0.69 g NMOG (L – dies) $^{-1}$  to account for the fraction of nondiesel-particulate-filter-equipped heavyduty diesel vehicles in the South Coast Air Basin, based on discussions in May et al. (2014).

To determine the impact of partial combustion and incomplete catalytic conversion on ambient SOA formation, the analysis presented in Fig. 3a has been redone using the experimentally derived LDGV EF<sub>NMOG</sub>, EF<sub>CO</sub>, and the SOA mass yield given in Eqs. 20, 21, and 28, respectively (see Fig. 5a). As shown in Fig. 5a, using the values reported by Gordon et al. (2013) produces results that are qualitatively identical to those shown in Fig. 3. As discussed in the next paragraph, the impact of predicted yield uncertainty is demonstrated via sensitivity analyses. Therefore, the predicted-yield error bars are excluded from Fig. 5a.

To account for the uncertainty associated with the SOA yield scaling technique used above, and to determine the upper limit of the SOA formation potential of gasoline vehicles, we have conducted similar analyses assuming  $Y_{\rm gas} = 16 \%$ and  $Y_{\rm gas} = 25$  %, which are the upper limits of the LEV1 and LEV2 vehicle classes, respectively, reported by Gordon et al. (2013). As shown in Fig. S1, although increasing  $Y_{gas}$  to its upper limit does improve agreement to some extent, the predicted and required yields still differ by more than a factor of 3 even when using the highest yields reported by Gordon et al. (2013). To account for the uncertainty associated with calculating the fraction of emitted SOA precursors that have undergone a chemical reaction after 0.45 days of photochemical aging, an additional sensitivity analysis was conducted in which 100% of the emitted NMOG is assumed to have reacted (see Fig. S2). As shown in Fig. S2, assuming 100 %

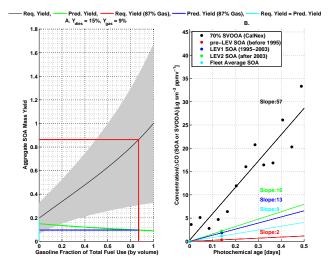


Fig. 5. Same as Fig. 3, except emission factors for gasoline-fueled vehicles and aggregate SOA mass yields are based on the experimentally derived values reported in Gordon et al. (2013). (A) Aggregate SOA mass yield for gasoline exhaust is 9 %, which is considered representative of the California LDGV fleet. (B) Measured PMF SV-OOA factor concentrations normalized by CO enhancement ( $\Delta$ CO is the ambient CO minus the estimated background CO, 105 ppb) as functions of photochemical age. Also shown are experimentally derived SOA/ $\Delta$ CO enhancements resulting from photoxidation of tail-pipe emissions from 15 LDGVs recruited from the California in-use fleet. All LDGV experiments were conducted in a portable chamber under urban-like conditions, and all LDGV data are taken directly from Gordon et al. (2013).

conversion of NMOG effectively reduces the required SOA mass yields by a factor of 2. The predicted yields shown in Fig. S2c are still lower than the required yields by a factor of  $\sim 1.7$ . We emphasize that there is a significant lack of closure between expected and observed organic aerosol concentrations attributable to fossil-fuel emissions even when assuming 100% NMOG conversion and an LDGV fleet-averaged SOA mass yield of 25%. Both assumptions are expected to be very unrepresentative of ambient conditions in California.

A more straightforward way to assess the impact of partial combustion and incomplete catalytic conversion on SOA formation from gasoline exhaust is to compare the SOA/ $\Delta$ CO enhancement ratios measured by Gordon et al. (2013) directly to the SV-OOA/ $\Delta$ CO enhancement ratios measured at the Pasadena ground site during the CalNex field campaign (see Fig. 5b). As shown in Fig. 5b, the SOA/ $\Delta$ CO enhancements for all three LDGV vehicle classes are lower than the CalNex measured value at 0.14 days of photochemical aging. Average SOA/ $\Delta$ CO enhancement slopes ( $\mu$ g m<sup>-3</sup> ppmvCO<sup>-1</sup> day<sup>-1</sup>) are calculated for each vehicle class by extending a straight line from the origin through the measured data points. As shown in Fig. 5b, the average SV-OOA/ $\Delta$ CO enhancement slope (57  $\mu$ g m<sup>-3</sup> ppmvCO<sup>-1</sup> day<sup>-1</sup>) is  $\sim$  7 times

larger than the fleet-average SOA /  $\Delta$ CO enhancement slope (8 µg m<sup>-3</sup> ppmvCO<sup>-1</sup> day<sup>-1</sup>), and  $\sim$  3.5 times larger than the LEV2 vehicle class slope. Note that the results presented in Fig. 5b are self consistent, and therefore are not influenced by the uncertainty associated with the emission factors and aggregate SOA mass yields reported by Gordon et al. (2013) and Gentner et al. (2012), but they are susceptible to other factors. For instance, Gordon et al. (2013) do not account for loss of organic vapors directly to chamber walls (Matsunaga and Ziemann, 2010). Although highly uncertain, as acknowledged by Gordon et al. (2013), accounting for vapor-phase wall loss would increase their estimated SOA production.

To our knowledge, there is currently no combination of published vehicular emission factors and SOA mass yields derived from laboratory experiments, or measured SOA /  $\Delta$ CO enhancements based on tail-pipe exhaust emissions that can explain the measurements presented in Fig. 1. Based on the analysis presented in this section, a robust conclusion is that either the SOA mass yields for vehicular tail-pipe exhaust are significantly higher than what has been recently reported, or nonvehicular source categories contribute significantly to the anthropogenic fossil OOA budget measured at the Pasadena ground site. For the latter possibility to be true, the nonvehicular fossil emissions must be comprised of compounds other than those listed in Table 6.

## 3.2.5 Off-road vehicular emissions

A large part of this analysis is based on on-road gasoline/diesel fuel sales, and accounting for off-road use of diesel may increase the fraction of total diesel fuel use by several percentage points. However, this is not expected to influence our conclusions because, as shown in Figs. 5, S1, and S2, significant discrepancies exist at virtually all gasoline/diesel fuel usage ratios. In addition, looking at the total mobile sources category in Table 5, which represents the sum of all on-road and off-road mobile emissions, we calculate the emission factor ratio for L.A. and SoCAB both to be  $\sim 145 \,\mu g \, ROG \, m^{-3} \, (ppmvCO)^{-1}$  (still using the 1250 µg CO sm<sup>-3</sup> (ppmv CO)<sup>-1</sup> conversion factor). Assuming that  $\sim 50\%$  of the ROG has reacted after 0.45 days of photochemical aging, and that the aggregate SOA mass yield is 10%, we calculate an SOA enhancement ratio of  $7.25 \,\mu g \, SOA \, m^{-3} \, (ppmvCO)^{-1}$ . This value is well below the  $25 \,\mu g \, SV - OOA \, m^{-3} \, (ppmvCO)^{-1}$  measured during CalNex (Fig. 1). Although this result is consistent with the other results presented in this study, there is considerable uncertainty associated with this calculation, and future work should focus on obtaining detailed speciation profiles and expected SOA mass yields for all major anthropogenic ROG sources in southern California.

## 4 Conclusions

Using the best available laboratory-derived SOA mass yields, the SV-OOA/ $\Delta$ CO enhancements attributable to anthropogenic fossil activity (Fig. 1) cannot be explained by the measured and predicted NMOG/CO vehicular emission ratios or the measured ambient NMHC/ $\Delta$ CO ratios. This conclusion is based on the following observations.

- Emission factors and estimated yields reported in Gentner et al. (2012), Fujita et al. (2012), McDonald et al. (2013), and calculated using EMFAC2011 significantly underpredict OOA / ΔCO enhancements when compared to CalNex observations.
- Accounting for emissions from all drive-cycle phases (e.g., start, idle, evaporative, running, etc.) does not improve agreement between predicted and required SOA mass yields significantly.
- Accounting for all upwind sources of single-ring aromatics and light alkanes (C<sub>6</sub>,C<sub>9</sub>-C<sub>11</sub>) does not improve agreement between predicted and required SOA mass yields significantly.
- Accounting for products of incomplete combustion and products of incomplete catalytic converter oxidation does not improve agreement between predicted and required SOA mass yields significantly.

With respect to the applicability of these results to other major urban areas, ratios of OOA/ $\Delta$ CO for Mexico City and the northeastern US are similar or smaller by about a factor of 2 than those observed in L.A., as reported by Hayes et al. (2013). Ratios of NMHC/ $\Delta$ CO for emissions in the northeastern United States are very similar to those in the L.A. area (Borbon et al., 2013), while those in Mexico City are higher by about a factor of 2 (Bon et al., 2011). Therefore similar qualitative discrepancies between predicted and required yields, albeit of somewhat lower magnitude, may exist in these urban areas as well.

We return to the question: is it more likely that (1) ambient SOA mass yields are substantially larger than what has been derived experimentally, or (2) vehicular emissions do not dominate SOA concentrations attributable to anthropogenic fossil activity in southern California? Neither possibility can be categorically ruled out; therefore, both options should be explored further, particularly since their implications for SOA control strategies are markedly different.

Supplementary material related to this article is available online at http://www.atmos-chem-phys.net/14/2383/2014/acp-14-2383-2014-supplement.pdf.

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