



# Biases in modeled surface snow BC mixing ratios in prescribed-aerosol climate model runs

S. J. Doherty<sup>1</sup>, C. M. Bitz<sup>2</sup>, and M. G. Flanner<sup>3</sup>

<sup>1</sup>Joint Institute for the Study of the Atmosphere and Ocean, University of Washington,  
3737 Brooklyn Ave. NE, Seattle, WA 98195, USA

<sup>2</sup>Department of Atmospheric Sciences, 408 ATG, Box 351640, University of Washington,  
Seattle, WA 98195, USA

<sup>3</sup>Dept. of Atmospheric, Oceanic and Space Sciences, University of Michigan,  
2455 Hayward St., Ann Arbor, MI 48109-2143, USA

Correspondence to: S. J. Doherty (sarahd@atmos.washington.edu)

Received: 14 April 2014 – Published in Atmos. Chem. Phys. Discuss.: 22 May 2014

Revised: 25 August 2014 – Accepted: 30 September 2014 – Published: 7 November 2014

**Abstract.** Black carbon (BC) in snow lowers its albedo, increasing the absorption of sunlight, leading to positive radiative forcing, climate warming and earlier snowmelt. A series of recent studies have used prescribed-aerosol deposition flux fields in climate model runs to assess the forcing by black carbon in snow. In these studies, the prescribed mass deposition flux of BC to surface snow is decoupled from the mass deposition flux of snow water to the surface. Here we compare prognostic- and prescribed-aerosol runs and use a series of offline calculations to show that the prescribed-aerosol approach results, on average, in a factor of about 1.5–2.5 high bias in annual-mean surface snow BC mixing ratios in three key regions for snow albedo forcing by BC: Greenland, Eurasia and North America. These biases will propagate directly to positive biases in snow and surface albedo reduction by BC. The bias is shown to be due to coupling snowfall that varies on meteorological timescales (daily or shorter) with prescribed BC mass deposition fluxes that are more temporally and spatially smooth. The result is physically non-realistic mixing ratios of BC in surface snow. We suggest that an alternative approach would be to prescribe BC mass mixing ratios in snowfall, rather than BC mass fluxes, and we show that this produces more physically realistic BC mixing ratios in snowfall and in the surface snow layer.

## 1 Introduction

Model studies indicate that black carbon (BC) deposited on snow and sea ice produces climatically significant radiative forcing at both global and regional scales by reducing surface albedo (“BC albedo forcing”) (e.g., Warren and Wiscombe, 1980; Hansen and Nazarenko, 2004; Jacobson et al., 2004; Flanner et al., 2007). Global, annual average radiative forcing by BC in snow has been assessed as  $+0.04 \text{ Wm}^{-2}$  using model estimates adjusted to observed snow concentrations (Bond et al., 2013; Boucher et al., 2013). BC snow albedo forcing has been cited in particular as a possible contributor to warming in the Arctic (e.g., Flanner et al., 2007; Koch et al., 2009), reduced springtime Eurasian snow cover (Flanner et al., 2009), melting of glaciers on the Tibetan Plateau and Himalayas (Xu et al., 2009; Kopacz et al., 2011), and changes in the Asian hydrological cycle (Qian et al., 2011). Estimates of this BC albedo forcing and the resulting climate impacts rely on modeling and therefore on accurate model representation of surface snow BC concentrations.

A critical difference between forcing by BC in the atmosphere and BC in snow is that forcing by BC in the atmosphere scales with the vertically resolved *burden* of BC (e.g., kilograms per square meter of air column), while forcing by BC in snow scales with the *mixing ratio* of BC (e.g., kilograms of BC per kilogram of snow) in the surface snow layer. This difference is because snow is a highly scattering medium so incident sunlight only penetrates to

~ 10 cm depth, depending on the snow density, grain size and the mixing ratio of absorbing impurities. Therefore, BC deeper in the snowpack does not produce significant forcing. Surface snow BC mixing ratios are determined by the mixing ratio of BC in snowfall (wet deposition), the settling of atmospheric BC onto the snow surface (dry deposition) and in-snow processes that reduce the amount of snow (melting, sublimation) or that reduce the amount of BC (washout of BC with snow meltwater). It is perhaps unsurprising that sublimation is effective at raising surface snow BC mixing ratios. Empirical evidence has shown that when snow melts, the meltwater washes down through the snowpack more efficiently than do particulate impurities, also leading to enhanced BC concentrations at the snow surface (Conway et al., 1996; Xu et al., 2012; Doherty et al., 2013; Forsström et al., 2013). For models to accurately represent snow BC mixing ratios, they must simulate all of these processes with fidelity.

To date, the Community Earth System Model version 1 (CESM1) is the only global climate model that accounts for all of these processes, through the SNow, ICe, and Aerosol Radiative model (SNICAR; Flanner et al., 2007) in the land component (known as the Community Land Model version 4, CLM4; Lawrence et al., 2012), which accounts for snow on land, among other things. A more simplified treatment of BC in snow that is on sea ice and in the sea ice itself is also included in the most recent version of the CESM1 sea ice model component, CICE4 (Holland et al., 2012). In addition to treating processes that determine snow BC mixing ratios, SNICAR captures both fast and slow feedbacks that amplify the radiative forcing by BC in snow: surface snow warmed by BC absorption generally transforms to larger snow grain sizes, which further reduces snow albedo. In addition, the reduction in albedo for a given mixing ratio of BC is greater for larger-grained snow (Fig. 3 of Flanner et al., 2007). These feedbacks further accelerate warming and lead to earlier snowmelt, which in turn leads to higher BC mixing ratios in surface snow as described above. Eventually this also leads to earlier exposure of the underlying surface, further reducing surface albedo (i.e., the classic “snow albedo feedback”) (Flanner et al., 2007, 2009; Fig. 29 of Bond et al., 2013).

This comprehensive treatment in CESM1 made possible the recent Atmospheric Chemistry and Climate Model Intercomparison Project (ACCMIP) studies where BC albedo forcing was estimated for surface deposition fields derived from a suite of climate models (Lee et al., 2013). This forcing was included in an overall assessment of modeled radiative forcing under ACCMIP (Shindell et al., 2013). In the Lee et al. (2013) study, each participating ACCMIP model calculated BC atmospheric abundances and deposition rates using a common set of emissions. The resulting deposition fields (e.g., grams of BC deposited per square meter per second in each grid box/day) were then used in CESM1 to calculate snowpack BC mixing ratios. Estimated BC albedo forcing

for the different models’ aerosol fields covered a wide range, reflective of differences in BC transport and deposition rates. Comparisons of the modeled snow BC mixing ratios with observed mixing ratios across the Arctic and Canadian sub-Arctic showed significant positive model biases for Greenland (a factor of 4–8), a factor of 2–5 low biases over the Arctic Ocean, and agreement to within a factor of 2–3 elsewhere, though, with the exception of one model (CESM1-CAM5, which has version 5 of the Community Atmosphere Model), the BC mixing ratio biases in the remaining regions were more often positive than negative (see Lee et al., 2013; Table 6).

Goldenson et al. (2012) also used CESM1 with prescribed atmospheric aerosol concentrations and deposition fluxes to compute the climate impacts of BC in snow on both land and sea ice and BC in sea ice. They found significant impacts on surface warming and snowmelt timing due to changes in BC deposition in year 2000 versus year 1850. They also found that forcing by BC in snow on land surrounding the Arctic had a larger impact on Arctic surface temperatures and sea ice loss than did BC deposited on sea ice within the Arctic. On sea ice, Goldenson et al. (2012) found poor spatial correlation between modeled and observationally estimated BC concentrations (see their Fig. 3), though the range of concentration is similar; on land, the two are better correlated but the model concentrations tend to be higher, by roughly a factor of 2 (Goldenson et al., 2012; Fig. 4).

Jiao et al. (2014) applied CESM1 to simulate BC in snow on land and sea ice using deposition fields from the Aerosol Comparisons between Observations and Models (AeroCom) suite of global simulations. In comparison with measurements of BC in Arctic snow and sea ice (Doherty et al., 2011), they found that models generally simulate too little BC in northern Russia and Norway, while simulating too much BC in snow elsewhere in the Arctic. As with Goldenson et al. (2012), they found poor spatial correlation between modeled and measured BC-in-snow concentrations, though the multimodel means, subsampled over the measurement domain, were within 25 % of the observational mean.

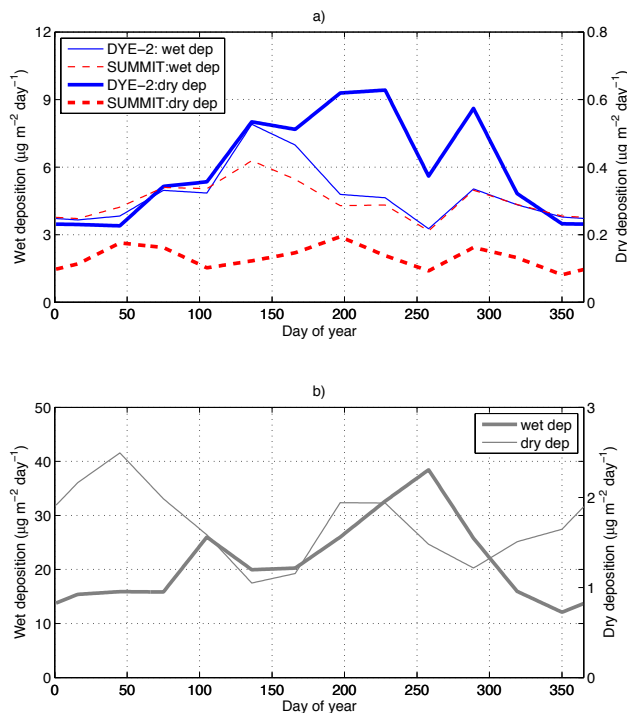
Here we test whether the use of prescribed BC mass deposition rates in CESM1, as was done in the Goldenson et al. (2012), Holland et al. (2012), Lawrence et al. (2012), Lee et al. (2013) and Jiao et al. (2014) studies, produces a bias in surface snow BC mixing ratios, and therefore a bias in snow albedo. The bias being investigated would result from the fact that BC deposition fluxes in CESM1 prescribed-aerosol runs are decoupled from snow deposition rates, combined with the fact that the model’s top snow layer has a fixed maximum thickness and is divided when it exceeds this thickness. Note that the bias being tested for here is independent of any biases due to errors in input emissions or in modeled transport and scavenging rates; it is purely a result of the mathematical approach taken in the model to estimate surface snow BC mixing ratios.

## 2 Model runs and offline calculations

Prescribed-aerosol fields are derived from prognostic-aerosol model runs, where the resulting atmospheric concentrations and dry and wet mass deposition fluxes are saved as model output. This is used as input to the prescribed runs. In prognostic model runs, aerosols are emitted directly or formed from aerosol precursors in the atmosphere. Aerosols and their precursors are transported, dry-deposited to the surface, and scavenged in rain and snowfall according to the modeled meteorology. In prognostic-aerosol models, wet deposition of BC occurs only when there is rain or snowfall. The mass of wet-deposited BC depends on the amount of precipitation, the ambient BC concentration, and the hygroscopicity of the BC, with these dependencies varying from model to model.

When prescribed, atmospheric aerosol concentrations and deposition fluxes are typically independent of the meteorological fields in the model, as is the case in CESM1; the meteorological fields themselves in these runs may be either prescribed or prognostic. Furthermore, the input aerosol fields are often interpolated in time from monthly means. Therefore the episodic nature of aerosol deposition in reality (owing to wet deposition) is generally absent in prescribed-aerosol fields. This was the case for the prescribed-aerosol studies of Goldenson et al. (2012), Lawrence et al. (2012), and Holland et al. (2012), and for all integrations of CCSM4 (i.e., CESM1-CAM4) that were submitted to CMIP5 (Climate Model Intercomparison Project Phase 5) and used in the Lee et al. (2013) and Jiao et al. (2014) studies. In the Lee et al. (2013) and Jiao et al. (2014) studies, these BC deposition fields were then coupled with prescribed meteorology from the Climatic Research Unit (CRU)/National Center for Environmental Prediction (NCEP) reanalysis data for the 1996–2000 (Lee et al., 2013) or 2004–2009 period (Jiao et al., 2014) to calculate surface snow mixing ratios of BC. The CRU/NCEP data set is described at [ftp://nacp.ornl.gov/synthesis/2009/frescati/model\\_driver/cru\\_ncep/analysis/readme.htm](ftp://nacp.ornl.gov/synthesis/2009/frescati/model_driver/cru_ncep/analysis/readme.htm).

To test the effect of using decoupled BC mass and snow mass deposition rates on surface snow BC mixing ratios, we first compare ensembles of prescribed-aerosol and prognostic-aerosol runs of CESM1/CAM4. The prescribed-aerosol runs use the same monthly-resolved, year 2000 BC aerosol mass deposition rates that were used in the 20th century integrations of CCSM4 that were submitted to CMIP5. These deposition fluxes themselves come from a separate prognostic model simulation (Lamarque et al., 2010) and are interpolated from monthly-input fields (as shown in Fig. 1 for two model grid boxes in Greenland corresponding to research camps where BC in snow has been measured in snow pits and ice cores). CESM1/CAM4/CLM4 prescribed-aerosol runs were done for 10 years at  $2^\circ$  spatial resolution and at daily temporal resolution using repeating year 2000 prescribed aerosols and year 2000 greenhouse gases. The prognostic-aerosol runs are from the



**Figure 1.** Examples of prescribed wet (left axis) and dry (right axis) BC mass deposition fluxes in CAM4 for year 2000 for (a) two model grid boxes in Greenland containing the Dye-2 ( $69.2^\circ \text{ N}$ ,  $315.0^\circ \text{ E}$ ) and Summit research stations ( $72.3^\circ \text{ N}$ ,  $321.7^\circ \text{ E}$ ), and (b) a single model grid box in northern Eurasia ( $71.1^\circ \text{ N}$ ,  $85.0^\circ \text{ E}$ ).

CESM1/CAM5/CLM4 Large Ensemble Community Project (Kay et al., 2014; [www2.cesm.ucar.edu/models/experiments/LENS](http://www2.cesm.ucar.edu/models/experiments/LENS)). Under this project, 30 realizations of CESM1 were run at  $1^\circ$  resolution from 1920–2100 with small initialization differences for each run (Kay et al., 2014). Aerosol and aerosol precursor emissions for year 2000 of these runs were the same as those used by Lamarque et al. (2010) to generate the aerosol deposition fields used in our prescribed-aerosol runs. In both the prescribed- and prognostic-aerosol runs, in-snow processes such as melting and sublimation also affect snowpack BC mixing ratios, and feedbacks amplify these effects. The output of aerosol and precipitation variables from the prognostic-aerosol runs is provided at monthly-average resolution only; so, for this comparison we use the monthly means for year 2000 from all 30 members and compare them with the monthly means of the prescribed-aerosol run.

Below we compare surface snow BC mixing ratios from CESM1 prescribed-aerosol and prognostic-aerosol runs to see if there is a systematic difference between the two, despite the fact that the aerosols are derived from the same emissions year and nearly the same emissions database. In the model, the mixing ratio of BC in the surface snow layer ( $\text{MR}_{\text{BC}}$ ) at each time step  $n$  is determined by the addition of BC through dry deposition ( $\text{BC}_{\text{dep,dry}}$ ) and wet deposition ( $\text{BC}_{\text{dep,wet}}$ ) and by the addition of new snowfall to

the surface snow layer ( $SWE_{\text{snowfall}}$ ). In the “real world”, wet-deposited BC is added only with new snowfall, in the form of the mixing ratio of BC in snowfall ( $MR_{\text{BC},\text{snowfall}}$ ). The prognostic-aerosol runs are much like in the real world, while in the prescribed-aerosol run,  $BC_{\text{dep,wet}}$  is decoupled from  $SWE_{\text{snowfall}}$ . Since the sum of a series of ratios ( $MR_{\text{BC},\text{snowfall}}$ ) does not equal the ratio of a series of sums (total  $BC_{\text{dep,wet}}$  and total  $SWE_{\text{snowfall}}$ ), we expect this decoupling of deposition and snowfall will lead to errors in  $MR_{\text{BC}}$ . In addition, if there is a large amount of new snowfall,  $MR_{\text{BC},\text{snowfall}}$  will be anomalously low, but much of this low-mixing-ratio snow will be buried in the snowpack where less (or no) sunlight interacts with it. In contrast, if there is only a small amount of new snowfall,  $MR_{\text{BC},\text{snowfall}}$  will be anomalously high, and this high-mixing-ratio snow will be near the snow surface and interact with sunlight. In a model with multiple snow layers that are divided with snow accumulation, the mixing ratio in the topmost model snow layer will thus be biased high. The magnitude of the high bias will depend on the model’s top snow layer thickness. In this way, low snowfall/high  $MR_{\text{BC},\text{snowfall}}$  precipitation events will have a greater influence on time-averaged snow albedo than high snowfall/low  $MR_{\text{BC},\text{snowfall}}$  precipitation events.

In addition to differences deriving from coupled versus uncoupled  $BC_{\text{dep,wet}}$  and  $SWE_{\text{snowfall}}$ , the comparison of prescribed-aerosol and prognostic-aerosol runs will be affected by other model differences, such as the simulated geographic and temporal distribution of snow cover and BC transport and scavenging in CAM5 (prognostic-aerosol runs) vs. CAM4 (prescribed-aerosol runs). Positive feedbacks (e.g., consolidation of BC in surface snow during snowmelt) are included in both runs, so any resulting differences in surface snow BC mixing ratios will be amplified. Therefore, we also conducted a series of offline calculations to isolate the effect of BC deposition being decoupled from snowfall rates in the prescribed runs (Table 1).

In CESM1, at each time step,  $n$ , surface snow BC mixing ratios,  $[MR_{\text{BC}}^n]_{\text{model}}$  ( $\text{ng g}^{-1}$ ) are determined by the dry- and wet-deposited masses of BC ( $BC_{\text{dep,dry}}$  and  $BC_{\text{dep,wet}}$ ;  $\text{ng m}^{-2}$ ), the mass of snow in the surface snow layer ( $SWE_{\text{surf}}^n$ ;  $\text{g m}^{-2}$ ), the mixing ratio of BC from the previous time step  $[MR_{\text{BC}}^{n-1}]_{\text{model}}$ ; ( $\text{ng g}^{-1}$ ), the fraction of the surface snow layer that is replaced by new snowfall,  $f_n$ , (once the surface snow layer has reached its maximum thickness), and the combined effects of melt and sublimation on BC and snow-water masses in the surface layer, which we will simply denote here as  $X$  ( $\text{ng g}^{-1}$ ):

$$[MR_{\text{BC}}^n]_{\text{model}} = \frac{BC_{\text{dep,dry}}^n}{SWE_{\text{surf}}^n} + \frac{BC_{\text{dep,wet}}^n}{SWE_{\text{surf}}^n} + (1 - f_n) \times [MR_{\text{BC}}^{n-1}]_{\text{model}} + X, \quad (1)$$

where

$$f_n = SWE_{\text{snowfall}}^n / SWE_{\text{surf}}^n. \quad (2)$$

In Eq. (1), the surface snow BC mixing ratio at time-step  $n$  equals the sum of, respectively, dry-deposited BC during time-step  $n$ , the addition of wet-deposited BC during time-step  $n$ , the mass of BC and snow water remaining in the surface layer at time-step  $n$  from time-step  $n - 1$ , and the impact of melt and sublimation on BC and snow-water content. By definition, in prognostic-aerosol runs  $BC_{\text{dep,wet}}^n$  is zero if there is no precipitation ( $f_n = 0$ ), so the second term in Eq. (1) is zero. However, in prescribed-aerosol runs there is both dry- and wet-BC deposition at every time step (e.g., see Fig. 1), even when there is no precipitation. Effectively this means that in prescribed-aerosol runs the mixing ratio of BC in snowfall,  $MR_{\text{BC},\text{snowfall}}^n$ , approaches infinity as snowfall approaches zero since

$$MR_{\text{BC},\text{snowfall}}^n = BC_{\text{dep,wet}}^n / SWE_{\text{snowfall}}^n. \quad (3)$$

In our offline calculations we diagnose the BC mixing ratio both in snowfall ( $MR_{\text{BC},\text{snowfall}}^n$ ) and in our model’s surface snow layer ( $MR_{\text{BC}}^n$ ). In CLM4, the surface snow layer is of variable thickness but always between 1 and 3 cm and is 1–2 cm thick when snow depth exceeds 3 cm (Oleson et al., 2010). In our calculations we set the surface snow layer BC mixing ratio on day 1 to that from day 1 in the prescribed-aerosol CESM1/CAM4/CLM4 run. The surface snow layer BC mixing ratios for all subsequent days in the year are then calculated offline. Values of  $BC_{\text{dep,dry}}^n$ ,  $BC_{\text{dep,wet}}^n$ ,  $SWE_{\text{surf}}^n$  and  $SWE_{\text{snowfall}}^n$  for each time step and grid box are taken directly from the prescribed-aerosol run of CESM1/CAM4. In our first set of offline calculations, we calculate surface snow mixing ratios that are equivalent to those from the prescribed-aerosol run, minus the effects of melting and sublimation:

$$[MR_{\text{BC}}^n]_d = \frac{BC_{\text{dep,dry}}^n}{SWE_{\text{surf}}^n} + \frac{BC_{\text{dep,wet}}^n}{SWE_{\text{surf}}^n} + (1 - f_n) \times [MR_{\text{BC}}^{n-1}]_d. \quad (4)$$

If  $f_n$  is greater than 1.0, the surface snow layer from time-step  $n - 1$  will be buried to the second (or deeper) layer and will play no role in determining the surface snow layer BC mixing ratio. Thus, if  $f_n$  is greater than 1.0 we simply set  $f_n = 1.0$ . All calculations are done at daily resolution. By not including the effects encompassed by  $X$  (Eq. 1) in our offline calculations we are isolating how dry and wet deposition only affect  $MR_{\text{BC}}$ . While the focus here is on BC, the same conclusions would apply for deposition/surface snow mixing ratios of dust and organic aerosols.

While Eqs. (1) and (4) allow for wet deposition of BC even in the absence of snowfall, a more physically realistic calculation of surface snow BC mixing ratios (minus the influence of in-snow processes) is given by

$$MR_{\text{BC}}^n = \frac{BC_{\text{dep,dry}}^n}{SWE_{\text{surf}}^n} + f_n \times MR_{\text{BC},\text{snowfall}}^n + (1 - f_n) \times MR_{\text{BC}}^{n-1}. \quad (5)$$

**Table 1.** Overview of the model runs and offline calculations compared herein. All are based on the same year 2000 aerosol and aerosol precursor emissions data set (Lamarque et al., 2010).

Model run/ calculation type	Ensemble members	Surf snow BC mixing ratio	Snowfall used for [MR <sub>BC</sub> ] <sub>snowfall</sub> and $f_n$
CESM1/CAM5/CLM4, prognostic	30	[MR <sub>BC</sub> ] <sub>model,prognost</sub>	modeled snowfall rates
CESM1/CAM4/CLM4, prescribed	10	[MR <sub>BC</sub> ] <sub>model,prescr</sub>	modeled snowfall rates (i.e., “CESMmet”)
offline	10	[MR <sub>BC</sub> ] <sub>d</sub> , Eq. (4)	CESMmet
offline	10	[MR <sub>BC</sub> ] <sub>m</sub> , Eq. (5)	CESMmet
offline	10	[MR <sub>BC</sub> ] <sub>y</sub> , Eq. (5)	CESMmet
offline	6	[MR <sub>BC</sub> ] <sub>d</sub> , Eq. (4)	CRUNCEPmet
offline	6	[MR <sub>BC</sub> ] <sub>m</sub> , Eq. (5)	CRUNCEPmet
offline	6	[MR <sub>BC</sub> ] <sub>y</sub> , Eq. (5)	CRUNCEPmet

In this calculation, the contribution of wet deposition to  $MR_{BC}^n$  is through the mixing ratio of BC in snowfall ( $MR_{BC,snowfall}^n$ ), and this contribution goes to zero when the snowfall ( $f_n$ ) goes to zero. However, we cannot use in Eq. (5)  $MR_{BC,snowfall}^n$  as calculated directly from  $BCdep_{wet}^n$  and  $SWE_{snowfall}^n$  from the prescribed-aerosol run, since, as noted above, this sometimes yields infinite values of  $MR_{BC,snowfall}^n$ . Therefore, we recalculate  $MR_{BC,snowfall}^n$  by assuming that total BC mass deposition flux scales with total snowfall (in snow-water equivalent) within each month and grid box, yielding the smoothed values  $[MR_{BC,snowfall}]_m$  and  $[MR_{BC,snowfall}]_y$ , which are calculated as follows.

$[MR_{BC,snowfall}]_m$ : within each month of the multi-year model run,  $SWE_{snowfall}$  and  $BCdep_{wet}$  from the prescribed-aerosol model run are summed. Monthly values of  $MR_{BC,snowfall}$  are calculated from the ratio of the monthly-total  $BCdep_{wet}$  and monthly-total  $SWE_{snowfall}$ .

$[MR_{BC,snowfall}]_y$ : a monthly climatology of monthly-total  $SWE_{snowfall}$  is computed. Monthly values of  $MR_{BC,snowfall}$  are calculated from the ratio of the monthly-total  $BCdep_{wet}$  and the monthly climatology of  $SWE_{snowfall}$ .

These smoothed snowfall BC mixing ratios are compared to those given by using the prescribed-aerosol model values directly.

$[MR_{BC,snowfall}]_d$ : each day  $MR_{BC,snowfall}$  is calculated as the ratio of the prescribed daily  $BCdep_{wet}$  (e.g., Fig. 1) and daily  $SWE_{snowfall}$ .

The wet and dry BC mass deposition rates used to calculate all values of  $MR_{BC,snowfall}^n$  are exactly those used in the prescribed-aerosol runs. The total BC mass and total snow mass deposited to the surface within a given month and grid box, averaged across all years, is the same across all three sets of these calculations, so the only difference in how they affect surface snow BC mixing ratios is through changes in the relative timing of when BC is deposited to the surface versus when snow is deposited to the surface.

Surface snow BC mixing ratios  $[MR_{BC}]_d$  for each grid box/day are then calculated using Eq. (4), and corresponding values of  $[MR_{BC}]_m$  and  $[MR_{BC}]_y$  are calculated using Eq. (5) with  $[MR_{BC,snowfall}]_m$  and  $[MR_{BC,snowfall}]_y$ , re-

spectively (Table 1). We again emphasize that the values  $[MR_{BC}]_d$  are analogous to those in CESM1 when aerosol deposition fluxes are prescribed, minus the effects of melt and sublimation; i.e., time-averaged, smoothed prescribed  $BCdep_{wet}$  is paired with daily-varying  $SWE_{snowfall}$ , and wet deposition is present even when there is zero new snowfall. In contrast,  $[MR_{BC,snowfall}]_m$  and  $[MR_{BC,snowfall}]_y$  use  $SWE_{snowfall}$  values that have been time-averaged over increasing temporal scales, and so are more physically consistent with  $BCdep_{wet}$ , which is the product of averaging across multiple years of prognostic model runs using the same BC emissions. Furthermore,  $[MR_{BC}]_m$  and  $[MR_{BC}]_y$  are only affected by wet deposition when there is new snowfall.

We conduct two full sets of offline calculations of  $[MR_{BC,snowfall}]_d$ ,  $[MR_{BC,snowfall}]_m$ ,  $[MR_{BC,snowfall}]_y$  and  $[MR_{BC}]_d$ ,  $[MR_{BC}]_m$ ,  $[MR_{BC}]_y$  (Table 1). In one set of offline calculations,  $MR_{BC,snowfall}^n$  and  $f_n$  are calculated using  $SWE_{snowfall}$  taken directly from our prescribed-aerosol model runs; we will refer to these as the “CESMmet” (CESM meteorology) calculations. In a second set of calculations, model snowfall rates were replaced with CRU/NCEP reanalysis daily precipitation for the years 2004–2009 in order to mimic the runs reported by Jiao et al. (2014); we will refer to these as the “CRUNCEPmet” calculations. The CRU/NCEP data set specifies precipitation rates but not whether it is rain or snow, so we made the simple assumption that when the reported surface air temperature was 0 °C or lower the precipitation was snowfall. In both cases, snow cover – specifically, the snow-water equivalent in the surface snow layer for each day and grid box – is the average across the 10 model years of the year 2000 CESM1-CAM4 run. Calculations are done for all variables for either 10 years, using  $SWE_{snowfall}$  values from the model (CESMmet; repeating year 2000 meteorology), or 6 years, using  $SWE_{snowfall}$  from the CRU/NCEP reanalysis data set (CRUNCEPmet; years 2004–2009 meteorology).

Note that while averaged values of  $SWE_{snowfall}$  were used to calculate  $[MR_{BC,snowfall}]_m$  and  $[MR_{BC,snowfall}]_y$ , the fraction of surface snow replaced by new snowfall ( $f_n$ ) is always calculated using the daily-varying value of  $SWE_{snowfall}$

from either CESM1-CAM4 (CESMmet) or the CRU/NCEP reanalysis data set (CRUNCEPmet). In other words, the rate of snowfall varies daily according to the model (CESMmet) or reanalysis (CRUNCEPmet) meteorology in all offline calculations, but the BC mixing ratio in that snowfall is either  $[\text{MR}_{\text{BC,snowfall}}]_d$ ,  $[\text{MR}_{\text{BC,snowfall}}]_m$  or  $[\text{MR}_{\text{BC,snowfall}}]_y$ . This allows for realistic evolution of the snowpack water mass while testing the effect of using different estimates of the mass mixing ratio of BC in snowfall.

We compare the results of the prognostic-aerosol runs versus the prescribed-aerosol runs and across our six sets of offline calculations (Table 1) for three geographic regions where forcing by BC in snow on land is climatically important: Greenland (60–85° N, 290–340° W), North America (50–80° N, 190–300° W) and Eurasia (60–75° N, 30–180° W). Only those grid boxes containing snow on land are included in the statistics presented below; snowfall on sea ice and BC in snow on sea ice are not considered here.

### 3 Results

#### 3.1 Prescribed runs vs. prognostic runs

Differences in the meteorology and in aerosol transport and scavenging rates between the prognostic-aerosol and prescribed-aerosol runs lead to differences in the average mass of deposited BC ( $\text{BC}_{\text{dep,wet}} + \text{BC}_{\text{dep,dry}}$ ) and in the average snowfall snow-water mass ( $\text{SWE}_{\text{snowfall}}$ ) within each region (Table 2). The BC deposition fluxes and mixing ratios in the surface snow are considerably higher in the prescribed runs compared to the prognostic runs. However, the greater values of  $\text{MR}_{\text{BC}}$  in each region for the prognostic-aerosol runs exceed a simple estimate of how  $\text{MR}_{\text{BC}}$  is expected to change based on scaling the relative changes in  $\text{BC}_{\text{dep,wet}} + \text{BC}_{\text{dep,dry}}$  by the relative changes in  $\text{SWE}_{\text{snowfall}}$ . This indicates that  $\text{MR}_{\text{BC}}$  is exaggerated in the prescribed run by other model differences. Scaling for the relative changes in BC and snow-water deposition, we estimate that  $\text{MR}_{\text{BC}}$  is a factor of 3.1, 1.7 and 1.6 higher in Greenland, Eurasia and North America, respectively, in the prescribed-aerosol runs than in the prognostic-aerosol runs due to model differences other than changes in BC deposition and snowfall rates. Both runs include the effects of melt and sublimation, so their differences in  $\text{MR}_{\text{BC}}$  have been amplified, since these processes have positive feedbacks to  $\text{MR}_{\text{BC}}$ . While we have scaled to account for differences in total BC deposition and snowfall between the two models, the spatial and temporal distributions of deposited BC and snowfall, and how the two correlate, will also likely differ, with impacts on both  $\text{MR}_{\text{BC,snowfall}}$  and  $\text{MR}_{\text{BC}}$ . Ideally we would be able to compare daily BC deposition and snowfall (and therefore  $\text{MR}_{\text{BC,snowfall}}$ ) within each grid box from both the prescribed-aerosol and prognostic-aerosol runs. Unfortunately, BC wet deposition in snow and rain are not distin-

guished in the output of the prognostic run ensembles. Thus, we are unable to further isolate the source of the differences in the prescribed- and prognostic-aerosol surface snow BC mixing ratios.

A similar comparison between paired prescribed-aerosol and prognostic-aerosol CESM1 runs was described briefly by Jiao et al. (2014), and our analysis of their runs provides additional confirmation of a systematic difference between prescribed- and prognostic-aerosol runs. One simulation involved CAM4 and CLM4 coupled with prognostic-aerosol deposition, i.e., with self-consistent meteorology and deposition. The other simulation was conducted with CLM in stand-alone mode, driven with 6-hourly CRU/NCEP meteorology and with monthly-averaged, prescribed-BC deposition fluxes from the first run. We analyzed the Jiao et al. runs and found that the annual Northern Hemisphere average concentration of BC in the surface snow layer was larger by a factor of 2.0 in the prescribed-aerosol simulation, weighted by snow-covered area in each month and averaged over the same domains, despite the fact that time-averaged BC deposition fluxes were identical in both simulations. Our analysis of the Jiao et al. runs therefore supports the main conclusions drawn earlier from comparing prescribed- and prognostic-aerosol runs above. Our offline calculations provide further support to our hypothesis that the prescribed-aerosol runs will have a high bias in surface snow BC mixing ratios due to the fact that BC and snow-water deposition to the surface are decoupled in the prescribed runs.

#### 3.2 Offline calculations

Our offline-calculated snowfall BC mixing ratio,  $[\text{MR}_{\text{BC,snowfall}}]_d$ , which simulates the mixing ratio of BC in snowfall in the prescribed-aerosol runs, is extremely variable (Fig. 2a) because  $\text{BC}_{\text{dep,wet}}$  is smoothly varying (Fig. 1) but snowfall is episodic.  $[\text{MR}_{\text{BC,snowfall}}]_d$  computed with snowfall from the CRUNCEPmet data (not shown) is similarly variable. If snowfall on a particular day approaches zero,  $[\text{MR}_{\text{BC,snowfall}}]_d$  approaches infinity (i.e., why we are unable to provide a mean in Table 3), though  $f_n$  simultaneously approaches zero. Conversely, heavier snowfall events are associated with anomalously low values of  $[\text{MR}_{\text{BC,snowfall}}]_d$ .  $[\text{MR}_{\text{BC,snowfall}}]_m$  is dramatically lower and less variable but still covers a significant range (Fig. 2b). When the smooth values of  $\text{BC}_{\text{dep,wet}}$  (Fig. 1) are combined with a 10-year monthly-snowfall climatology, the mixing ratios of BC in snowfall,  $[\text{MR}_{\text{BC,snowfall}}]_y$  (Fig. 2c), become much less variable and, importantly, systematically lower.

As noted above, our offline calculations of  $[\text{MR}_{\text{BC}}]_d$  are intended to approximate the CESM1-CAM4 prescribed-aerosol model runs, minus the effects of sublimation and snowmelt on  $\text{MR}_{\text{BC}}$ . In Fig. 3 we show that the difference in the offline-calculated  $[\text{MR}_{\text{BC}}]_d$  values and the CESM1-CAM4 values of the surface snow BC mixing ratio,  $[\text{MR}_{\text{BC}}]_{\text{prescr}}$ , are small relative to the overall variability in

**Table 2.** Annual means, medians and standard deviations (SDs) of monthly-average BC mass deposition ( $\text{ng m}^{-2} \text{day}^{-1}$ ), snowfall in snow-water equivalent ( $\text{g m}^{-2} \text{day}^{-1}$ ) and surface snow BC mixing ratios ( $\text{ng g}^{-1}$ ) for all grid boxes in each of the three study regions, for the prognostic-aerosol and prescribed-aerosol model runs. Also shown are the ratios of the means and medians of each.

		Prognostic	Prescribed	Ratio of means, prescribed : prognostic
Greenland				
$\text{BC}_{\text{dep,wet}} + \text{BC}_{\text{dep,dry}}$	mean	1.50	7.2	4.80
	median	0.55	4.9	8.91
	SD	2.30	6.3	–
$\text{SWE}_{\text{snowfall}}$	mean	0.66	1.10	1.67
	median	0.42	0.77	1.83
	SD	0.92	0.83	–
$\text{MR}_{\text{BC}}$	mean	2.40	21.1	8.79
	median	0.76	12.0	17.11
	SD	4.40	21.1	–
North America				
$\text{BC}_{\text{dep,wet}} + \text{BC}_{\text{dep,dry}}$	mean	11.1	19.5	1.76
	median	4.3	13.8	3.21
	SD	15.0	17.2	–
$\text{SWE}_{\text{snowfall}}$	mean	0.45	0.57	1.27
	median	0.28	0.56	2.00
	SD	0.72	0.46	–
$\text{MR}_{\text{BC}}$	mean	9.90	23.1	2.33
	median	3.10	12.7	4.10
	SD	21.2	30.6	–
Eurasia				
$\text{BC}_{\text{dep,wet}} + \text{BC}_{\text{dep,dry}}$	mean	20.9	35.9	1.72
	median	11.6	29.1	2.51
	SD	24.7	28.8	–
$\text{SWE}_{\text{snowfall}}$	mean	0.54	0.63	1.17
	median	0.45	0.63	1.40
	SD	0.50	0.45	–
$\text{MR}_{\text{BC}}$	mean	20.8	48.8	2.35
	median	8.8	34.3	3.90
	SD	34.2	54.0	–

$\text{MR}_{\text{BC}}$ , except when there is surface snowmelt (e.g., percolation and ablation zones of glaciers such as the Greenland site shown in Fig. 3a, and during the spring for seasonal snow, such as around day 150 for the Eurasian grid box shown in Fig. 3b). The small differences outside of the melt season indicate that we can use our offline values of  $[\text{MR}_{\text{BC}}]_d$  as a proxy for  $[\text{MR}_{\text{BC}}]_{\text{prescr}}$  in comparisons to  $[\text{MR}_{\text{BC}}]_m$  and  $[\text{MR}_{\text{BC}}]_y$  in order to understand the effects on  $\text{MR}_{\text{BC}}$  of using decoupled BC and snowfall deposition.

Surface snow BC mixing ratios become smaller as the wet deposition flux of BC varies in a more physically consistent way with snowfall, i.e., going from  $[\text{MR}_{\text{BC}}]_d$  to  $[\text{MR}_{\text{BC}}]_m$  to  $[\text{MR}_{\text{BC}}]_y$  (Table 3; Figs. 3–5), even though

the total mass of BC and snow deposited does not change. The values in Fig. 3 are examples for just one grid box each in Greenland and Eurasia, two regions that account for a large fraction of Arctic spring and summer forcing by BC in snow in CESM1/CAM4/CLM4 runs (see Fig. 5 of Goldenson et al., 2012). Table 3 gives annual averages, medians and standard deviations of  $[\text{MR}_{\text{BC}}]_d$ ,  $[\text{MR}_{\text{BC}}]_m$ , and  $[\text{MR}_{\text{BC}}]_y$  for all grid boxes/days in our three study regions, as well as the median and snowfall-weighted mean of  $[\text{MR}_{\text{BC,snowfall}}]_d$ ,  $[\text{MR}_{\text{BC,snowfall}}]_m$ , and  $[\text{MR}_{\text{BC,snowfall}}]_y$ . The median of  $[\text{MR}_{\text{BC,snowfall}}]_d$  is much higher than the median of  $[\text{MR}_{\text{BC,snowfall}}]_m$  and  $[\text{MR}_{\text{BC,snowfall}}]_y$  because, as noted above, as snowfall approaches zero  $[\text{MR}_{\text{BC,snowfall}}]_d$

**Table 3.** Means, medians and standard deviations of BC mixing ratios in snowfall ( $\text{MR}_{\text{BC,snowfall}}$ ;  $\text{ng g}^{-1}$ ) and in the surface snow layer ( $\text{MR}_{\text{BC}}$ ;  $\text{ng g}^{-1}$ ) from offline calculations using CESMmet, as described in the text. Also shown is the mean of  $\text{MR}_{\text{BC,snowfall}}$  after weighting by the snowfall amount in snow-water equivalent. The arithmetic mean and standard deviation of  $[\text{MR}_{\text{BC,snowfall}}]_d$  are not given because it includes infinite mixing ratios (i.e., when snowfall is zero) and so these are not finite values.

		$[\text{MR}_{\text{BC,snowfall}}]_d$ and $[\text{MR}_{\text{BC}}]_d$	$[\text{MR}_{\text{BC,snowfall}}]_m$ and $[\text{MR}_{\text{BC}}]_m$	$[\text{MR}_{\text{BC,snowfall}}]_y$ and $[\text{MR}_{\text{BC}}]_y$
Greenland				
$[\text{MR}_{\text{BC,snowfall}}]_{d,m,y}$	median	48.1	7.4	5.2
	snowfall-weighted mean	7.2	8.3	8.3
$[\text{MR}_{\text{BC}}]_{d,m,y}$	mean	11.5	6.5	4.5
	median	8.4	6.2	4.3
	SD	7.8	4.3	1.9
North America				
$[\text{MR}_{\text{BC,snowfall}}]_{d,m,y}$	median	156.5	19.3	15.7
	snowfall-weighted mean	22.5	31.0	31.1
$[\text{MR}_{\text{BC}}]_{d,m,y}$	mean	12.4	7.3	6.1
	median	8.3	5.6	4.8
	SD	11.9	5.5	4.4
Eurasia				
$[\text{MR}_{\text{BC,snowfall}}]_{d,m,y}$	median	116.3	29.1	21.7
	snowfall-weighted mean	38.3	48.8	48.9
$[\text{MR}_{\text{BC}}]_{d,m,y}$	mean	27.9	20.0	22.4
	median	17.4	14.4	16.6
	SD	22.4	12.4	12.8

approaches infinity. Weighting  $\text{MR}_{\text{BC,snowfall}}$  by snowfall amount provides a better metric for its influence on surface snow BC mixing ratios. In the weighted averages,  $[\text{MR}_{\text{BC,snowfall}}]_d$  is actually lower than  $[\text{MR}_{\text{BC,snowfall}}]_m$ , and  $[\text{MR}_{\text{BC,snowfall}}]_y$ . This is because the mass of BC wet-deposited on days with zero snowfall (when  $[\text{MR}_{\text{BC,snowfall}}]_d$  is infinity) is not counted in the snowfall-weighted mean. However, this mass does contribute to  $[\text{MR}_{\text{BC}}]_d$ , since in this calculation the BC mass flux to the surface is independent of snowfall and, as argued above, the high- $\text{MR}_{\text{BC,snowfall}}$ /low- $\text{SWE}_{\text{snowfall}}$  events have a greater impact on the surface snow layer BC mixing ratios than do the low- $\text{MR}_{\text{BC,snowfall}}$ /high- $\text{SWE}_{\text{snowfall}}$  events. The net result is that the mean and median of  $[\text{MR}_{\text{BC}}]_d$  is higher than  $[\text{MR}_{\text{BC}}]_m$  and  $[\text{MR}_{\text{BC}}]_y$  in all three regions (Table 3).

Figures 4 and 5 show histograms of the ratio  $[\text{MR}_{\text{BC}}]_d : [\text{MR}_{\text{BC}}]_y$  for winter, spring and (Greenland only) summer from all grid boxes in Greenland, Eurasia and North America. These ratios are shown using both CESMmet (Fig. 4) and CRUNECpmet (Fig. 5). Maps of the seasonal averages of these ratios using CESMmet are shown in Supplement Figs. S1–S3. It is apparent that decoupling BC deposition and the snowfall that should be driving that deposition leads to high biases in surface snow BC mixing

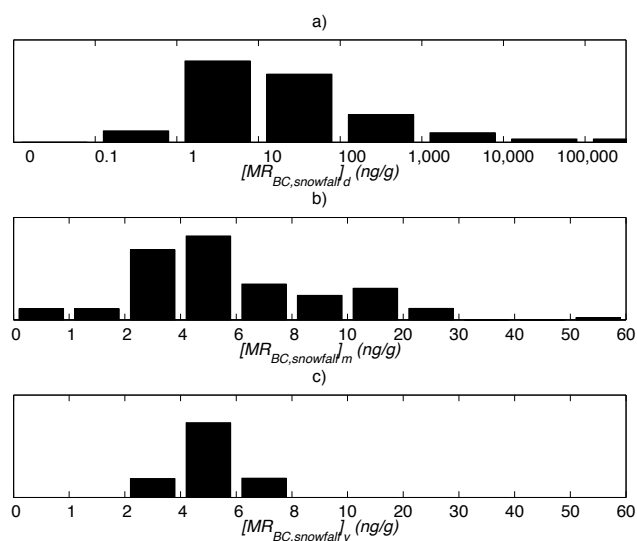
ratios of, on average, a factor of 1.5–1.6 in N. America and Eurasia and 2.2–2.5 in Greenland (Table 4). In other words, when CESM1 is run in prescribed-aerosol mode, the seasonally averaged daily surface snow BC mixing ratios will, on average, be on the order of 1.5–2.5 times higher than they would be if BC deposition was scaled with snowfall. This difference is notably consistent with the finding above that regionally averaged surface snow BC mixing ratios in the prescribed-aerosol runs were a factor of 1.6–3.0 higher than in the prognostic-aerosol runs. The somewhat higher difference in the model runs may be due to the fact that they include the effects of melt and sublimation, since the positive feedbacks between  $\text{MR}_{\text{BC}}$  and snowmelt and sublimation would lead to amplification of any high biases. While our emphasis is on the annual-average bias over broad regions, within a given day or grid box the biases can be lower (in some cases  $< 1.0$ ) or higher than this, with significant implications for comparisons of observed and modeled  $\text{MR}_{\text{BC}}$  at given locations/times.

As noted earlier, prescribed-aerosol wet deposition fluxes are based on prognostic model runs and so are influenced by the prognostic model's precipitation rates. Biases in the prognostic model's precipitation rates at a given location will therefore translate directly to biases in the aerosol mass de-



**Table 4.** Medians of the ratios,  $[\text{MR}_{\text{BC}}]_d : [\text{MR}_{\text{BC}}]_y$ , shown in Figs. 4 and 5 and S1–S3 for our three study regions, using CESMmet and CRUNCEPmet. Means and standard deviations are not given because infinite mixing ratios in a few model grid boxes yield non-meaningful values.

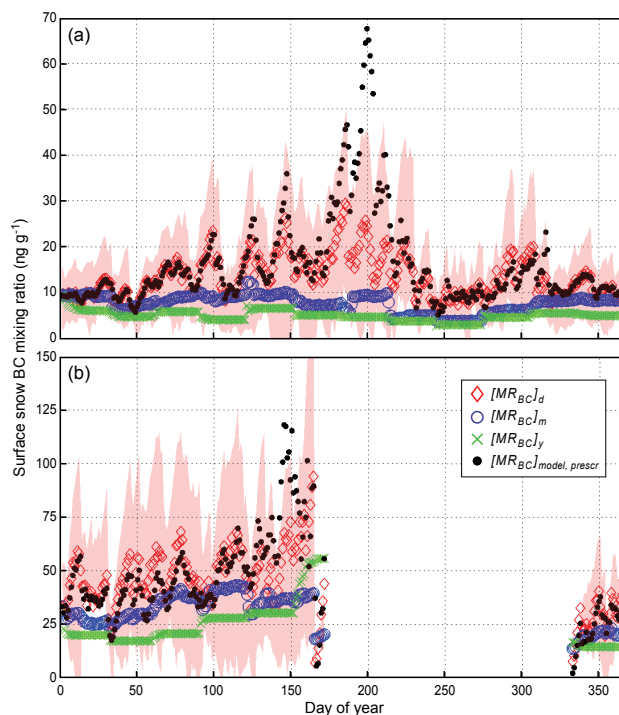
Greenland				North America			Eurasia		
DJF	MAM	JJA	Annual	DJF	MAM	Annual	DJF	MAM	Annual
CESMmet									
2.24	2.51	2.33	2.34	1.64	1.58	1.57	1.60	1.54	1.53
CRUNCEPmet									
2.14	1.97	2.36	2.17	1.53	1.46	1.47	1.66	1.37	1.46



**Figure 2.** Relative frequency distributions of daily mixing ratios of BC in snowfall calculated using three different pairings of BC mass deposition fluxes and snowfall rates, as described in the text: (a)  $[\text{MR}_{\text{BC,snowfall}}]_d$ , (b)  $[\text{MR}_{\text{BC,snowfall}}]_m$  and (c)  $[\text{MR}_{\text{BC,snowfall}}]_y$ . Note the differences in scale in (a) versus in (b) and (c). Data shown are for model snowfall rates for year 2000 (CESMmet runs) and for the dye-2 Greenland grid box as shown in Fig. 1a.

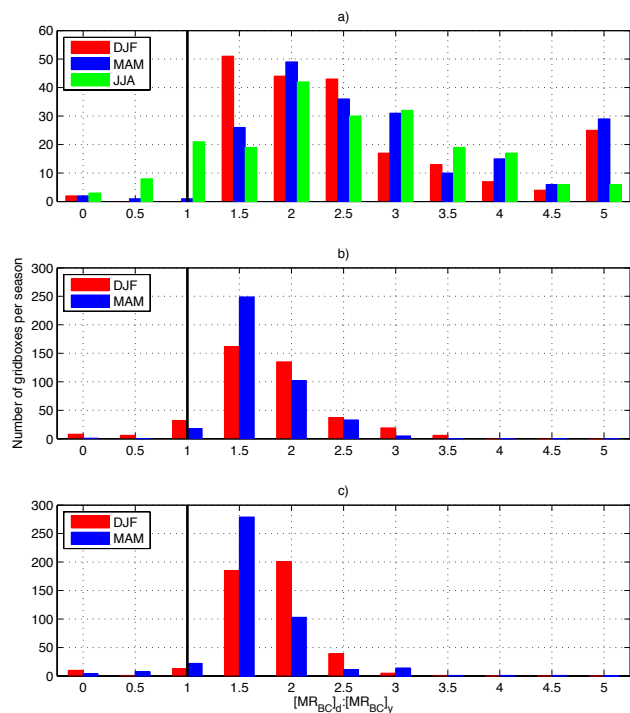
position rates. Coupling these model-derived BC mass deposition rates with observed precipitation rates can therefore produce unrealistic values of  $\text{MR}_{\text{BC}}$  both (1) where there are systematic biases in the prognostic model's snowfall and (2) where the interannual variability in the model is decoupled from the observed snowfall rates used in the prescribed-aerosol run or offline calculation (i.e., here, year 2000 of a prognostic-aerosol model vs. 2004–2009 of CRU/NCEP used in Jiao et al., 2014). Thus, using reanalysis data for snowfall rates in offline estimates of BC albedo forcing may introduce an additional source of bias in  $\text{MR}_{\text{BC}}$ .

Our offline values of  $[\text{MR}_{\text{BC}}]_d$  calculated using the CRUNCEPmet snowfall rates are analogous to those in the “NCAR-CAM3.5” year 2000 results of Lee et al. (2013;



**Figure 3.** Surface snow BC mixing ratios ( $\text{MR}_{\text{BC}}$ ) for (a) the Dye-2 grid box shown in Fig. 1a and Fig. 2 and (b) the same northern Eurasia grid box shown in Fig. 1b. Shown are the average (red diamonds) and standard deviation (red shaded area) across 10 years of  $[\text{MR}_{\text{BC}}]_d$  from the offline computation using CESMmet and 10-year averages of  $\text{MR}_{\text{BC}}$  values from CESM-CAM4 runs using prescribed-aerosol deposition fields,  $[\text{MR}_{\text{BC}}]_{\text{model,prescr}}$  (black dots). The CESM-CAM4 values (black dots) include the effects of snow-water loss to sublimation and melting, whereas the offline calculations (red) do not. Also shown are  $[\text{MR}_{\text{BC}}]_m$  (blue circles) and  $[\text{MR}_{\text{BC}}]_y$  (green x) from the offline calculation, again using CESMmet.

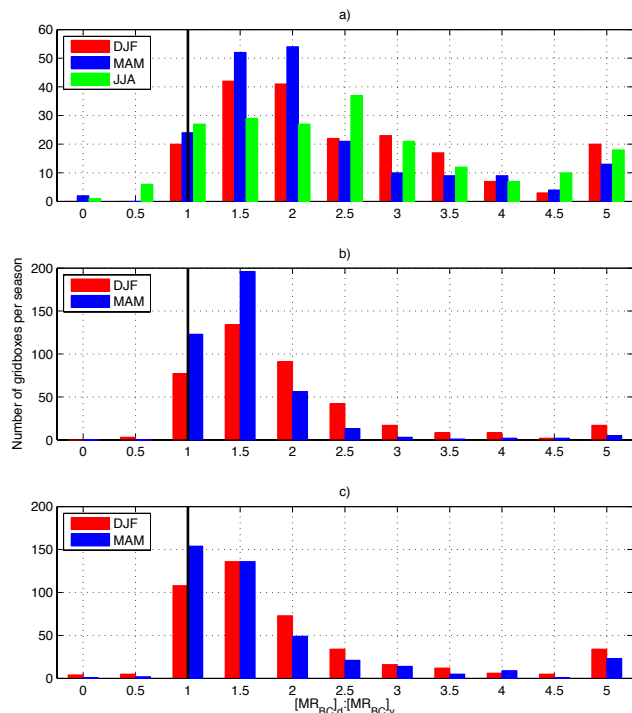
see their Table 1), as both use year 2000 prescribed-BC mass deposition fluxes as described by Lamarque et al. (2013) and year 2004–2009 CRU/NCEP reanalysis precipitation. In Table 4 we show the seasonally averaged ratios  $[\text{MR}_{\text{BC}}]_d : [\text{MR}_{\text{BC}}]_y$  for the CRUNCEPmet calcula-



**Figure 4.** Histograms of the ratios  $[\text{MR}_{\text{BC}}]_d : [\text{MR}_{\text{BC}}]_y$  for all grid boxes in the regions around (a) Greenland, (b) Eurasia and (c) North America. Shown are seasonal averages for winter (DJF), spring (MAM) and summer (JJA; Greenland only) of daily values when the offline calculations use CESMmet. The ratios  $[\text{MR}_{\text{BC}}]_d : [\text{MR}_{\text{BC}}]_y > 5.0$  are allocated to the 5.0 bin (see Fig. S1–S3 for maps of the seasonal averages of  $[\text{MR}_{\text{BC}}]_d : [\text{MR}_{\text{BC}}]_y$  in each model grid box in these three regions).

tions. These ratios include the effects of using the physically inconsistent daily BC deposition and snowfall rates (i.e.,  $[\text{MR}_{\text{BC},\text{snowfall}}]_d$ ) versus using the more physically consistent “climatological” BC deposition and snowfall rates (i.e.,  $[\text{MR}_{\text{BC},\text{snowfall}}]_y$ ) and they include the effect of any differences between the model year 2000 snowfall and reanalysis 2004–2009 snowfall. The net effect is that the ratios  $[\text{MR}_{\text{BC}}]_d : [\text{MR}_{\text{BC}}]_y$  are somewhat lower (Table 4) when using reanalysis snowfall (CRUNCEPmet) than when using model snowfall (CESMmet), indicating that differences in model vs. reanalysis snowfall are compensating for some of the bias seen in the ratios from the CESMmet calculations. However, ratios are also much more variable (i.e., Fig. 5 vs. Fig. 4). Again, this has implications for comparisons of prescribed-aerosol model  $\text{MR}_{\text{BC}}$  values with observed surface snow BC mixing ratios from specific locations and time periods, as was done by Goldenson et al. (2012) and Jiao et al. (2014).

Since the prescribed BC mass deposition fluxes used in the model runs are spatially smoothed climatologies, we consider coupling these deposition fluxes with climatological snowfall rates to provide a more realistic estimate of how



**Figure 5.** As in Fig. 4, but for offline calculations using the CRU/NCEP reanalysis  $\text{SWE}_{\text{snowfall}}$  data to calculate  $\text{MR}_{\text{BC},\text{snowfall}}$  and therefore  $[\text{MR}_{\text{BC}}]_d : [\text{MR}_{\text{BC}}]_y$ .

BC wet deposition affects time-averaged surface snow BC mixing ratios. Furthermore, we have shown that doing so yields lower surface snow BC mixing ratios, and therefore assert that prescribed-aerosol runs of CESM1 include a high bias. The ratios  $[\text{MR}_{\text{BC}}]_d : [\text{MR}_{\text{BC}}]_y$  provide a first-order estimate of this bias. Note that this bias is in addition to any other inherent model biases, e.g., in emissions, transport and scavenging rates, some of which may offset each other. Thus, correcting for this bias may not yield a better agreement with observations; if this is the case, this simply means there are other sources of bias that must also be corrected.

#### 4 Discussion and conclusions

We argue that prescribing temporally and geographically smoothed surface BC deposition fluxes in a model where snowfall varies on typical meteorological timescales (i.e., daily or faster) will produce high biases in time-averaged surface snow BC mixing ratios. Using comparisons of prescribed-aerosol and prognostic-aerosol model runs and offline calculations, we have demonstrated that (a) prescribed-aerosol runs have higher surface snow BC mixing ratios than prognostic-aerosol runs, by a factor of about 1.6–3.0, despite being based on the same BC emissions and accounting to first order for differences in total BC and snow deposited to the surface; and that (b) decoupling of

BC wet deposition fluxes and snowfall rates leads to surface snow BC mixing ratios of a factor of about 1.5–2.5 higher than if the same mass of BC was wet-deposited in proportion to the snowfall snow mass. Both of these biases are significant at daily, seasonal and annual timescales.

Black carbon mass deposition fluxes in snowfall depend on ambient BC concentrations, the scavenging efficiency of BC in snow, and snowfall rates. Thus, while BC deposition fluxes do not depend solely on precipitation rates, removing any dependence on snowfall leads to biases in the mixing ratio of BC in snowfall,  $MR_{BC,snowfall}$ . If BC deposition rates and snowfall rates are fully decoupled,  $MR_{BC,snowfall}$  will be biased high on days of lower snowfall, when the fractional contribution to surface snow ( $f_n$ ) is lower than average. Conversely,  $MR_{BC,snowfall}$  will be biased low on days when  $f_n$  is higher than average. As our offline calculations have shown, low and high biases in  $MR_{BC,snowfall}$  do not have offsetting effects on surface snow BC mixing ratios ( $MR_{BC}$ ). This is because the cases of high-biased  $MR_{BC,snowfall}$  remain near the snow surface and therefore have a strong influence on  $MR_{BC}$ . Conversely, cases of low-biased  $MR_{BC,snowfall}$  may contribute to snow deeper in the snowpack and so have less influence on the surface snow BC mixing ratio.

We estimate that prescribed-aerosol model runs of CESM1 have approximately a high-bias factor of 1.5–2.5 in surface snow BC mixing ratios due to the use of climatological/smoothed BC mass deposition fluxes coupled with modeled, daily-varying snowfall. In CESM1 (i.e., in the SNICAR component of CLM) the surface snow layer is 1–3 cm deep. Sunlight usually can penetrate > 10 cm into the snowpack, depending on snow density (Warren and Wiscombe, 1980), so mixing ratios over this full depth are relevant for albedo reduction and BC albedo forcing. SNICAR accounts for this, with albedo being determined by  $MR_{BC}$  in as many snow layers as is reached by sunlight (typically the top 2 or 3 layers). We expect the bias in surface snow BC mixing ratios will decrease as the depth of the top snow layer increases, becoming zero as the depth of the surface layer approaches the total snowpack depth. When multiple layers are represented, the high biases in BC mixing ratios in the surface layer will be accompanied by low biases in BC mixing ratios in deeper snow layers. However, since the amount of sunlight drops off rapidly with snow depth, the  $MR_{BC}$  in the top few centimeters of the snowpack has the strongest influence on albedo. Most absorption of sunlight by BC will occur in the top few centimeters of the snowpack, i.e., the surface snow layer in SNICAR. It is beyond the scope of this study to calculate the exact impact on modeled albedo for snow of different densities and therefore different sunlight penetration depths. It is sufficient to point out the following:

- a. Using climatological, prescribed mass deposition fluxes coupled with daily-precipitation rates produces a large positive bias in surface snow  $MR_{BC}$  that is significant across daily, seasonal and annual-average timescales

and from a grid box to broad regional (and therefore also global) geographic scales.

- b. Existing studies using CESM1 and prescribed aerosols to study BC albedo forcing (e.g., Goldenson et al., 2012; Holland et al., 2012; Lawrence et al., 2012; Lee et al., 2013; and Jiao et al., 2014; and all CMIP5 integrations with CCSM4) are biased by this effect.
- c. An alternate approach should be used in CESM to calculate surface snow mixing ratios of BC and other particulate absorbers. This also applies to any other model using or planning to use prescribed wet deposition fluxes to study the climate impact of albedo forcing.

While the examples shown here are all for higher-latitude northern regions, BC albedo forcing has also been hypothesized to have a significant effect on climate and snow cover in the Himalayas and Tibetan Plateau (e.g., Xu et al., 2009; Qian et al., 2011; Xu et al., 2012). Accurate representation of snowfall rates in this region are particularly challenging for climate models; e.g., see Fig. 2 of Qian et al., 2011, which shows a significant positive bias in snow cover over the Tibetan Plateau when using CAM3.1. These biases in modeled snow cover directly affect modeled BC albedo forcing, including in model runs with prognostic aerosols, since this forcing is zero anywhere with no snow. In addition, if modeled snowfall in this region is systematically biased high, as it appears likely to be the case in CESM1 for the Tibetan Plateau, prescribed BC wet deposition mass fluxes based on prognostic runs of this model may also be biased high. When coupled with more realistic snowfall rates such as from re-analysis data (e.g., as done by Lee et al., 2013; Jiao et al., 2014), this will produce overall high biases in  $MR_{BC}$  in this region.

We suggest that, for wet deposition, one option is that instead of prescribing mass deposition fluxes (e.g.,  $\text{kg m}^{-2} \text{s}^{-1}$  BC deposition) the model could instead prescribe mass mixing ratios in snowfall (e.g., nanograms BC per gram snowfall SWE, or parts per billion BC per snowfall water). These prescribed mass mixing ratios could be a climatology from a multiyear integration of a prognostic-aerosol model. The appropriate number of model run years would need to be determined by testing how both the mean and variability in snow mixing ratios change with number of years averaged. Aerosol dry deposition will need to continue to be prescribed as a mass flux since it does not scale with snowfall. The value of  $MR_{BC}$  at time step  $n$  could then be calculated directly as given in Eq. (5), as used here in our offline calculations of  $[MR_{BC}]_m$  and  $[MR_{BC}]_y$ . This approach will produce an inconsistency in the mass balance of BC within the prescribed-aerosol model runs in that the change in the mass of BC in the atmosphere between time steps will not equal the mass of BC deposited to the surface. However, both the atmospheric BC concentrations and surface snow BC mixing ratios in the model calculation will be physically more

realistic. This is preferable to maintaining the mass balance within the prescribed-aerosol run since both the atmospheric concentrations and deposition rates are anyhow prescribed, and the climatically important variable in studies of albedo forcing is the surface snow BC mixing ratio.

**The Supplement related to this article is available online at doi:10.5194/acp-14-11697-2014-supplement.**

*Acknowledgements.* This study was supported by the National Science Foundation grant ARC-1049002. We thank C. Jiao for helpful analysis of model simulations. We also thank two reviewers for suggestions that lead to a significant improvement of the paper.

Edited by: M. C. Facchini

## References

- Bond, T. C., Doherty, S. J., Fahey, D. W., Forster, P. M., Berntsen, T., DeAngelo, B. J., Flanner, M. G., Ghan, S., Kärcher, B., Koch, D., Kinne, S., Kondo, Y., Quinn, P. K., Sarofim, M. C., Schultz, M. G., Schulz, M., Venkataraman, C., Zhang, H., Zhang, S., Bellouin, N., Guttikunda, S. K., Hopke, P. K., Jacobson, M. Z., Kaiser, J. W., Klimont, Z., Lohmann, U., Schwarz, J. P., Shindell, D., Storelvmo, T., Warren, S. G., and Zender, C. S.: Bounding the role of black carbon in climate: a scientific assessment, *J. Geophys. Res.*, 118, 5380–5552, doi:10.1002/jgrd.50171, 2013.
- Boucher, O., Randall, D., Artaxo, P., Bretherton, C., Feingold, G., Forster, P., Kerminen, V.-M., Kondo, Y., Liao, H., Lohmann, U., Rasch, P., Satheesh, S. K., Sherwood, S., Stevens, B., and Zhang, X. Y.: Clouds and aerosols, in: *Climate Change 2013: The Physical Science Basis*, Contribution of Working Group I to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change, edited by: Stocker, T. F., Qin, D., Plattner, G.-K., Tignor, M., Allen, S. K., Boschung, J., Nauels, A., Xia, Y., Bex, V., and Midgley, P. M., Cambridge University Press, Cambridge, UK and New York, NY, USA, 571–657, 2013.
- Conway, H., Gades, A., and Raymond, C. F.: Albedo of dirty snow during conditions of melt, *Water Resour. Res.*, 32, 1713–1718, 1996.
- Doherty, S. J., Warren, S. G., Grenfell, T. C., Clarke, A. D., and Brandt, R. E.: Light-absorbing impurities in Arctic snow, *Atmos. Chem. Phys.*, 10, 11647–11680, doi:10.5194/acp-10-11647-2010, 2010.
- Doherty, S. J., Grenfell, T. C., Forsström, S., Hegg, D. L., Warren, S. G., and Brandt, R.: Observed vertical redistribution of black carbon and other light-absorbing particles in melting snow, *J. Geophys. Res.*, 118, 5553–5569, doi:10.1002/jgrd.50235, 2013.
- Flanner, M. G., Zender, C. S., Hess, P. G., Mahowald, N. M., Painter, T. H., Ramanathan, V., and Rasch, P. J.: Springtime warming and reduced snow cover from carbonaceous particles, *Atmos. Chem. Phys.*, 9, 2481–2497, doi:10.5194/acp-9-2481-2009, 2009.
- Flanner, M. G., Zender, C. S., Randerson, J. T., and Rasch, P. J.: Present-day climate forcing and response from black carbon in snow, *J. Geophys. Res.*, 112, D11202, doi:10.1029/2006JD008003, 2007.
- Forsström, S., Isaksson, E., Skeie, R. B., Ström, J., Pedersen, C. A., Hudson, S. R., Berntsen, T. K., Lihavainen, H., Godtliebsen, F., and Gerland, S.: Elemental carbon measurements in European Arctic snow packs, *J. Geophys. Res.*, 118, 13614–13627, doi:10.1022/2013JD019886, 2013.
- Goldenson, N., Doherty, S. J., Bitz, C. M., Holland, M. M., Light, B., and Conley, A. J.: Arctic climate response to forcing from light-absorbing particles in snow and sea ice in CESM, *Atmos. Chem. Phys.*, 12, 7903–7920, doi:10.5194/acp-12-7903-2012, 2012.
- Hansen, J. and Nazarenko, L.: Soot climate forcing via snow and ice albedos, *P. Natl. Acad. Sci. USA*, 101, 423–428, doi:10.1073/pnas.2237157100, 2004.
- Holland, M., Bailey, D. A., Briegleb, B. P., Light, B., and Hunke, E.: Improved sea ice shortwave radiation physics in CCSM4: the impact of melt ponds and aerosols on Arctic sea ice, *J. Climate*, 25, 1413–1430, doi:10.1175/JCLI-D-11-00078.1, 2012.
- Jacobson, M. Z.: Climate response of fossil fuel and bio-fuel soot, accounting for soot's feedback to snow and sea ice albedo and emissivity, *J. Geophys. Res.*, 109, D21201, doi:10.1029/2004JD004945, 2004.
- Jiao, C., Flanner, M. G., Balkanski, Y., Bauer, S. E., Bellouin, N., Berntsen, T. K., Bian, H., Carslaw, K. S., Chin, M., De Luca, N., Diehl, T., Ghan, S. J., Iversen, T., Kirkevåg, A., Koch, D., Liu, X., Mann, G. W., Penner, J. E., Pitari, G., Schulz, M., Seland, Ø., Skeie, R. B., Steenrod, S. D., Stier, P., Takemura, T., Tsigaridis, K., van Noije, T., Yun, Y., and Zhang, K.: An AeroCom assessment of black carbon in Arctic snow and sea ice, *Atmos. Chem. Phys.*, 14, 2399–2417, doi:10.5194/acp-14-2399-2014, 2014.
- Kay, J. E., Deser, C., Phillips, A., Mai, A., Hannay, C., Strand, G., Arblaster, J., Bates, S., Danabasoglu, G., Edwards, J., Holland, M., Kushner, P., Lamarque, J.-F., Lawrence, D., Lindsay, K., Middleton, A., Munoz, E., Neale, R., Oleson, K., Polvani, L., and Vertenstein, M.: The Community Earth System Model (CESM) Large Ensemble Project: A community resource for studying climate change in the presence of internal climate variability, *Bull. Amer. Met. Soc.*, in press, 2014.
- Koch, D., Menon, S., Del Genio, A., Ruedy, R., Alienov, I., and Schmidt, G. A.: Distinguishing aerosol impacts on climate over the past century, *J. Climate*, 22, 2659–2677, doi:10.1175/2008jcli2573.1, 2009.
- Kopacz, M., Mauzerall, D. L., Wang, J., Leibensperger, E. M., Henze, D. K., and Singh, K.: Origin and radiative forcing of black carbon transported to the Himalayas and Tibetan Plateau, *Atmos. Chem. Phys.*, 11, 2837–2852, doi:10.5194/acp-11-2837-2011, 2011.
- Lamarque, J.-F., Shindell, D. T., Josse, B., Young, P. J., Cionni, I., Eyring, V., Bergmann, D., Cameron-Smith, P., Collins, W. J., Doherty, R., Dalsoren, S., Faluvegi, G., Folberth, G., Ghan, S. J., Horowitz, L. W., Lee, Y. H., MacKenzie, I. A., Nagashima, T., Naik, V., Plummer, D., Righi, M., Rumbold, S. T., Schulz, M., Skeie, R. B., Stevenson, D. S., Strode, S., Sudo, K., Szopa, S.,

- Voulgarakis, A., and Zeng, G.: The Atmospheric Chemistry and Climate Model Intercomparison Project (ACCMIP): overview and description of models, simulations and climate diagnostics, *Geosci. Model Dev.*, 6, 179–206, doi:10.5194/gmd-6-179-2013, 2013.
- Lawrence, D. M., Oleson, K. W., Flanner, M. G., Fletcher, C. G., Lawrence, P. J., Levis, S., Swenson, S. C., and Bonan, G. B.: The CCSM4 land simulation, 1850–2005: assessment of surface climate and new capabilities, *J. Climate*, 25, 2240–2260, doi:10.1175/JCLI-D-11-00103.1, 2012.
- Lee, Y. H., Lamarque, J.-F., Flanner, M. G., Jiao, C., Shindell, D. T., Bernsten, T., Bisiaux, M. M., Cao, J., Collins, W. J., Curran, M., Edwards, R., Faluvegi, G., Ghan, S., Horowitz, L. W., McConnell, J. R., Ming, J., Myhre, G., Nagashima, T., Naik, V., Rumbold, S. T., Skeie, R. B., Sudo, K., Takemura, T., Thevenon, F., Xu, B., and Yoon, J.-H.: Evaluation of preindustrial to present-day black carbon and its albedo forcing from Atmospheric Chemistry and Climate Model Intercomparison Project (ACCMIP), *Atmos. Chem. Phys.*, 13, 2607–2634, doi:10.5194/acp-13-2607-2013, 2013.
- Oleson, K. W., Lawrence, D. M., Bonan, G. B., Flanner, M. G., Kluzek, E., Lawrence, P. J., Levis, S., Swenson, S. C., and Thornton, P. E.: Technical Description of version 4.0 of the Community Land Model (CLM), NCAR Technical Note NCAR/TN-478+STR, Natl. Cent. for Atmos. Res., Boulder, CO, 2010.
- Qian, Y., Flanner, M. G., Leung, L. R., and Wang, W.: Sensitivity studies on the impacts of Tibetan Plateau snowpack pollution on the Asian hydrological cycle and monsoon climate, *Atmos. Chem. Phys.*, 11, 1929–1948, doi:10.5194/acp-11-1929-2011, 2011.
- Shindell, D. T., Lamarque, J.-F., Schulz, M., Flanner, M., Jiao, C., Chin, M., Young, P. J., Lee, Y. H., Rotstayn, L., Mahowald, N., Milly, G., Faluvegi, G., Balkanski, Y., Collins, W. J., Conley, A. J., Dalsoren, S., Easter, R., Ghan, S., Horowitz, L., Liu, X., Myhre, G., Nagashima, T., Naik, V., Rumbold, S. T., Skeie, R., Sudo, K., Szopa, S., Takemura, T., Voulgarakis, A., Yoon, J.-H., and Lo, F.: Radiative forcing in the ACCMIP historical and future climate simulations, *Atmos. Chem. Phys.*, 13, 2939–2974, doi:10.5194/acp-13-2939-2013, 2013.
- Warren, S. G. and Wiscombe, W. J.: A model for the spectral albedo of snow. II: Snow containing atmospheric aerosols, *J. Atmos. Sci.*, 37, 2734–2745, 1980.
- Xu, B., Cao, J., Hansen, J., Yao, T., Joswita, D. R., Wang, N., Wu, G., Wang, M., Zhao, H., Yang, W., Liu, X., and He, J.: Black soot and the survival of Tibetan glaciers, *P. Natl. Acad. Sci. USA*, 106, 22114–22118, doi:10.1073/pnas.0910444106, 2009.
- Xu, B., Cao, J., Joswiak, D. R., Liu, X., Zhao, H., and He, J.: Post-depositional enrichment of black soot in snow-pack and accelerated melting of Tibetan glaciers, *Environ. Res. Lett.*, 7, 014022, doi:10.1088/1748-9326/7/1/014022, 2012.