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# Observations of the temperature dependent response of ozone to $NO_x$ reductions in the Sacramento, CA urban plume

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Abstract. Observations of NO<sub>x</sub> in the Sacramento, CA region show that mixing ratios decreased by 30% between 2001 and 2008. Here we use an observation-based method to quantify net ozone (O<sub>3</sub>) production rates in the outflow from the Sacramento metropolitan region and examine the O<sub>3</sub> decrease resulting from reductions in NO<sub>x</sub> emissions. This observational method does not rely on assumptions about detailed chemistry of ozone production, rather it is an independent means to verify and test these assumptions. We use an instantaneous steady-state model as well as a detailed 1-D plume model to aid in interpretation of the ozone production inferred from observations. In agreement with the models, the observations show that early in the plume, the  $NO_x$  dependence for  $O_x$  ( $O_x = O_3 + NO_2$ ) production is strongly coupled with temperature, suggesting that temperature-dependent biogenic VOC emissions and other temperature-related effects can drive O<sub>x</sub> production between NO<sub>x</sub>-limited and NO<sub>x</sub>-suppressed regimes. As a result, NO<sub>x</sub> reductions were found to be most effective at higher temperatures over the 7 year period. We show that violations of the California 1-h O<sub>3</sub> standard (90 ppb) in the region have been decreasing linearly with decreases in NO<sub>x</sub> (at a given temperature) and predict that reductions of NO<sub>x</sub> concentrations (and presumably emissions) by an additional 30% (relative to 2007 levels) will eliminate violations of the state 1 h standard in the region. If current trends continue, a 30 % decrease in NO<sub>x</sub> is expected by 2012, and an end to violations of the 1 h standard in the Sacramento region appears to be imminent.



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#### 1 Introduction

Many populated regions worldwide have chronically high levels of summertime ozone produced during the photochemical oxidation of carbon monoxide (CO) and volatile organic compounds (VOCs) in the presence of  $NO_x$  ( $NO_x = NO + NO_2$ ). Protecting human health (Uysal and Schapira, 2003; Trasande and Thurston, 2005; McClellan et al., 2009; Yang and Omaye, 2009) and agriculture (Morgan et al., 2003; Ashmore, 2005; Feng and Kobayashi, 2009; Fuhrer, 2009) has required local regulation aimed at reducing VOC and  $NO_x$  levels.

Two informative examples of this are Mexico City (Zavala et al., 2009) and Beijing (Tang et al., 2009), which have been subject to two contrasting emission control strategies. In Mexico City, VOC emissions decreased significantly between 1992 and 2006 while  $NO_x$  emissions stayed relatively constant;  $O_3$  concentrations decreased during this time at a rate close to 3 ppb yr $^{-1}$ . In contrast, in Beijing,  $O_3$  concentrations increased over a 5 year period, from 2001 to 2006, in response to controls on  $NO_x$  with no corresponding reduction in VOC emissions. The results observed in both cities are in line with what would be predicted, in a qualitative sense, from the chemical mechanisms of  $O_3$  production in a VOC-limited atmosphere, typical of polluted cities.

The contrasting examples of Mexico City and Beijing show that the way in which emission controls are implemented can result in varying levels of success, or in some cases, detriment, in controlling  $O_3$  concentrations. Complicating matters, however, is that the  $O_3$  response to identical control strategies is not always the same in different regions. For example, in Los Angeles, combined VOC and  $NO_x$  controls resulted in a nearly 50 % decrease in peak 1 h

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O<sub>3</sub> concentrations from 1990 (310 ppb) to 2007 (159 ppb), however the San Joaquin Valley in Central California, which was subject to essentially the same controls, showed only a marginal decrease in peak 1 h O<sub>3</sub> (164 ppb to 142 ppb) over this time frame (Cox et al., 2009).

While we have an adequate qualitative understanding of the response of  $O_3$  to  $NO_x$  and/or VOC reductions, a quantitative analysis remains elusive (e.g. Thornton et al., 2002), presumably because of our incomplete knowledge of the relevant emissions and photochemistry and our inability to represent the meteorology with sufficient accuracy. As it is unclear which aspects of our chemical understanding need improvement, direct tests of the mechanisms by comparison to observations in the ambient atmosphere are needed.

One of the primary difficulties with such an analysis is that controlled experiments where a single parameter is varied while all others are held constant are almost never realized in the atmosphere. Day-of-week effects on ozone are a close approximation to a control experiment, because NO<sub>x</sub> emissions typically decrease significantly on weekends with relatively small changes in VOC emissions. Still, in most locations, meteorology varies too much to directly compare weekends and weekdays in a given year, and long term decreases in NO<sub>x</sub> and VOCs, along with changes in land use, makes year to year comparisons subject to the difficulties of interpreting an experiment where many things have changed at once.

The Sacramento, California (38.58° N, 121.49° W) urban plume offers a rare opportunity for evaluating effects of changes in a single parameter, NO<sub>x</sub> concentrations, on atmospheric chemistry using ambient measurements. The local topography in the region results in an extremely stable wind field, with upslope flow during the daytime, and downslope flow at night, driven by heating and cooling in California's central valley (e.g. Carroll and Dixon, 2002; Dillon et al., 2002). The VOCs that control ozone production in the region are predominantly biogenic (Dreyfus et al., 2002; Cleary et al., 2005; Steiner et al., 2008). Variability in NO<sub>x</sub>, therefore, is completely decoupled from variability in VOCs in the region.

 $NO_x$  mixing ratios in the Greater Sacramento Area (as we will discuss below) and throughout Northern California (Ban-Weiss et al., 2008; Cox et al., 2009; Russell et al., 2010) have been in steady decline for over a decade, thus facilitating an atmospheric experiment in which only a single variable in the ozone production system has been changed (at a given temperature). Previous work (Murphy et al., 2006b, 2007) has investigated the effect of day-of-week changes in  $NO_x$  emissions on  $O_3$  production in the region. Here, with variability in  $NO_x$  occurring on both day-of-week and interannual time-scales, we are able to compare the effects of  $NO_x$  reductions within a single year (weekdays vs. weekends) to the equivalent  $NO_x$  reductions on weekdays several years later.

In what follows, we will give a description of the Sacramento urban plume (Sect. 2), highlighting previous efforts to characterize its chemistry. We then describe our observation based method for inferring the production of ozone ( $P(O_3)$ ) in an urban plume (Sect. 3) and describe the correlations of the inferred  $P(O_3)$  with temperature and  $NO_x$  (Sect. 4). We will compare our observations to results from a steady-state model and a time-dependent Lagrangian plume model (Sect. 5) and discuss the implications of our results for air quality in the region (Sect. 6).

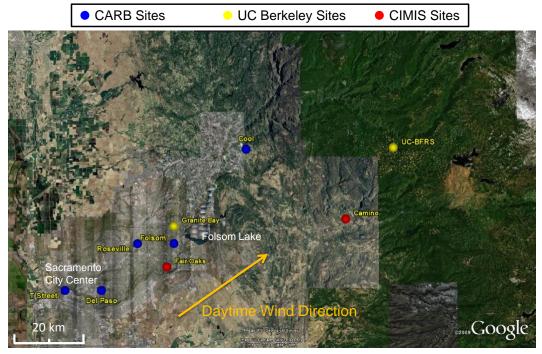
#### 2 The Sacramento urban plume

Due to its extremely regular, topographically-driven wind patterns, the Sacramento region can be represented as a simple flow reactor with dilution (Carroll and Dixon, 2002; Dillon et al., 2002; Pérez et al., 2009). This Lagrangian representation holds for a significant portion of the year and greatly facilitates the understanding of changes in photochemical conditions over diurnal (Murphy et al., 2006a; Day et al., 2009), weekly (Murphy et al., 2007), seasonal (Murphy et al., 2006a; Day et al., 2008; Farmer and Cohen, 2008), and, as in the present study, inter-annual timescales.

A map of the Greater Sacramento Area (GSA) and the downwind regions influenced by the urban plume is shown in Fig. 1. We will refer to three different sub-regions in this discussion: the urban core, the suburbs, and the foothills. A number of monitoring sites operated by the California Air Resources Board (CARB) are located within the study region, as shown in Fig. 1, as are two UC Berkeley research sites. Exceedances of both the 1 h and 8 h CA standard are yearly occurrences during the so-called ozone season, typically from May to September.

In the GSA, biogenic VOCs are the main source of VOC reactivity that leads to ozone production. In all phases of the plume evolution, biogenic VOC emissions dominate VOC reactivity (Cleary et al., 2005; Steiner et al., 2008). Isoprene emissions are strong in the metropolitan region and peak within a roughly 10 km wide band of oak forest that runs north-south along the western edge of the Sierra Nevada foothills (Dreyfus et al., 2002; Spaulding et al., 2003). Isoprene and its oxidation products represent the majority of VOC reactivity throughout the plume. East of the oak band methyl but-2-ene-3-ol (MBO), and terpenoid species are added to the mix and become a larger fraction of the reactivity (Lamanna and Goldstein, 1999; Schade et al., 2000; Bouvier-Brown et al., 2009).

Early studies of the plume found that ozone concentrations peak some 50 km downwind of the city center and decrease significantly over the next 15 km of travel (Carroll and Dixon, 2002). These authors were among the first to characterize the plume as a Lagrangian air parcel that migrates from the urban core to the sparsely populated Sierra Nevada Mountains. Using a suite of VOC measurements



**Fig. 1.** Map of the Greater Sacramento Area indicating the location of monitoring stations used in this analysis. Yellow circles are UC Berkeley research sites, blue circles are CARB monitoring sites, and red circles are CIMIS weather stations. Map created using Google Earth.

at Granite Bay, in the suburbs to the southwest of Folsom Lake, and downwind at UC Blodgett Forest Research Station (UC-BFRS), Dillon et al. (2002) took advantage of the Lagrangian nature of the plume in order to characterize the average mixing and oxidation characteristics of the plume. More recently, Pérez et al. (2009) built on this conceptual framework for plume transport and incorporated a detailed chemical model in order to test the mechanistic understanding of  $NO_x$  chemistry as it pertains to ozone production.

Murphy et al. (2006b, 2007) analyzed the day-of-week patterns of several species related to photochemical ozone production at various points along the plume transect and identified a change in the NO<sub>x</sub> dependence for ozone production as the plume migrates downwind. In addition to an analysis of day-of-week differences in NO<sub>x</sub>, O<sub>3</sub>, and isoprene concentrations, observations of the NO<sub>z</sub>/NO<sub>x</sub> ratio  $(NO_z = NO_v - NO_x)$  at two different locations in the Sacramento urban plume, one at Granite Bay, in the suburbs, and one at UC-BFRS, showed different day-of-week behavior, suggestive of NO<sub>x</sub>-suppressed (VOC limited) ozone production in the urban core and suburbs and NO<sub>x</sub>-limited ozone production in the foothills. As a result, there was higher observed ozone in the urban core on weekends than on weekdays while the reverse holds at the remote downwind site, during the years 1998–2002 (Murphy et al., 2007).

Our current understanding of the behavior of the Sacramento urban plume is summarized as follows:

- The regular meteorology in the region allows for a simplistic representation of the plume as an air parcel moving in a Lagrangian sense to the northeast.
- Dilution of chemical species in the plume occurs at a predictable rate as the plume migrates away from the urban core and mixes with the regional and global background.
- Anthropogenic emissions of NO<sub>x</sub> in the plume exhibit strong weekday/weekend differences and have been decreasing over longer time scales, presumably due to replacement of older vehicles with newer ones that have better emission controls.
- VOC reactivity in the plume, even in the urban core, is controlled primarily by biogenic emissions, which vary with temperature and solar radiation, leading to diurnal, seasonal, and synoptic variability.
- $O_3$  production is VOC-limited in the urban core and transitions to  $NO_x$ -limited as the plume is transported into the foothills and away from  $NO_x$  sources.

# 3 Estimating odd-oxygen production rates from observations

As an urban plume evolves, it is influenced by both chemical and physical processes. The rate of change in concentration

Table 1. Measurement summary.

Site	ARB Number	Location	Measurements	Uncertainty	Detection Limit
T Street	34 305	38.57, -121.49	NO <sub>y</sub>	See Sect. 3	
Del Paso	34 295	38.61, -121.37	NO <sup>b</sup> NO <sup>a</sup> y O <sup>c</sup> <sub>3</sub>	10 % See Sect. 3 <1 %	0.4 ppb 0.4 ppb 0.6 ppb
Roseville	31 822	38.75, -121.26	NO <sup>b</sup> NO <sup>a</sup> y O <sup>c</sup> <sub>3</sub>	10 % See Sect. 3 <1 %	0.4 ppb 0.4 ppb 0.6 ppb
Folsom	34 311	38.68, -121.16	$NO_y^a$	See Sect. 3	0.4 ppb
Cool	9693	38.89, -121.00	$O_3^c$	<1%	0.6 ppb
UC-BFRS	N/A	38.90, -120.63	$NO_2^d$ $O_3^e$	10 % <1 %	10–20 ppt 0.6 ppb

<sup>&</sup>lt;sup>a</sup> Chemiluminescence following catalytic conversion to NO (Model: API 200E). <sup>b</sup> Chemiluminescence (Model: API 200E). <sup>c</sup> UV photometry (Model: API 400A).

for a given species in the plume, represented by a box moving with the local winds, is given by:

$$\frac{\mathrm{d}[X]}{\mathrm{d}t} = P + E - D - L - M \tag{1}$$

where P and L are the rates of chemical production and loss, respectively, E is the emission rate, D is the rate of deposition to the surface, and M is the mixing or entrainment rate of the plume with its surroundings.

For a chemically inert tracer (P = L = 0) that has negligible emission sources and does not deposit (E = D = 0), its concentration is influenced only by the mixing/entrainment rate M, which we represent as follows:

$$M = k_{\text{mix}} \left( [X] - [X]_{\text{bkg}} \right) \tag{2}$$

where  $k_{\text{mix}}$  is an empirically determined constant and  $[X]_{\text{bkg}}$  is the concentration of species X in the background or surrounding air.

For reasons that will be described in Sect. 5, we are interested in the rate of change for odd oxygen  $(O_x = O_3 + NO_2)$ . We assume  $O_x$  has no direct emission sources (E = 0), and its time derivative can be expressed as:

$$\frac{\mathrm{d}\left[\mathrm{O}_{\mathrm{x}}\right]}{\mathrm{d}t} = P - L - D - M. \tag{3}$$

If  $O_x$  measurements are made at two locations in the plume, Eq. (3) can be rearranged and the derivative evaluated at those two points to solve for the mean net chemical production (P-L-D) or  $\Delta[O_x]_{chem}/\Delta t$  between the two locations as follows:

$$\frac{\Delta [O_{\rm x}]_{\rm chem}}{\Delta t} = P - L - D = \frac{\Delta [O_{\rm x}]_{\rm obs}}{\Delta t} + M. \tag{4}$$

In Eq. (4),  $\Delta[O_x]_{obs}/\Delta t$  is the observable parameter, calculated from the difference in  $[O_x]_{obs}$  at two adjacent locations in the plume and the time it takes for the air mass to travel between the two sites. We then calculate a time-dependent mixing rate using Eq. (5), and solve for  $\Delta[O_x]_{chem}/\Delta t$ .

$$M = \frac{\Delta \left[ O_{x} \right]_{\text{mix}}}{\Delta t} = \frac{\int_{t_{0}}^{t_{1}} k_{\text{mix}} \left( \left[ O_{x} \right](t) - \left[ O_{x} \right]_{\text{bkg}} \right) dt}{\Delta t}.$$
 (5)

Other than entrainment, the loss pathways for O<sub>x</sub> in the plume are deposition, reaction with VOCs, reaction with HO<sub>2</sub>, photolysis followed by the reaction of O<sup>1</sup>D atom with  $H_2O$ , and reaction of OH with  $NO_2$ . The lifetime of  $O_x$  with respect to chemical losses and deposition in the PBL is on the order of 20–30 h, an order of magnitude longer than the time differences ( $\Delta t$ ) used in our analysis. Thus, variability in the O<sub>x</sub> removal rates will have little effect on the observational calculation of  $\Delta[O_x]_{chem}/\Delta t$ . Variability in production rates, which often reach 10-30 ppb/h in urban areas, corresponding to O<sub>x</sub> lifetimes with respect to production of 2-6 hours, dominate the variability in  $\Delta[O_x]_{chem}/\Delta t$ . We derive our estimate for O<sub>x</sub> losses based on previously published observations of O<sub>3</sub> and NO<sub>2</sub> fluxes over Blodgett Forest (Kurpius and Goldstein, 2003; Farmer and Cohen, 2008) and observations of VOCs at Granite Bay and at Blodgett Forest (Dillon et al., 2002; Cleary et al., 2005).

Seven years of  $NO_x$  and  $O_3$  data were compiled from three CARB sites (Del Paso, Roseville, and Cool) (CARB, 2009) and from UC Berkeley measurements at UC-BFRS between 2001 and 2007. Table 1 summarizes the observations used from each site and the methods of detection. These four sites fall roughly along the center line of the plume and split the

<sup>&</sup>lt;sup>d</sup> Laser induced fluorescence (Thornton et al., 2000). <sup>e</sup> UV photometry (Model: Dasabi 1008-RS).

Table 2a All Data (Full Year).

	# Days	Wind Direction (deg.)		Wind Speed (m s <sup>-1</sup> )		[NO <sub>y</sub>	] (ppbv)	Roseville O <sub>3</sub>		Cool O <sub>3</sub>		Blodgett O <sub>3</sub>	
Year		Mean	Std. Err.	Mean	Std. Err.	Mean	Std. Err.	Exc. Days	# Days	Exc. Days	# Days	Exc. Days	# Days
Hot													
2001	64	247.5	2.5	3.5	0.1	17.2	1.3	14	64	32	64	17	63
2002	49	263.4	3.5	3.2	0.1	19.2	1.4	15	49	31	49	18	49
2003	66	260.8	2.6	3.0	0.0	17.2	1.3	13	66	26	66	18	66
2004	53	261.8	2.0	3.4	0.0	14.2	0.8	6	53	12	51	13	51
2005	56	263.3	2.4	2.6	0.1	15.2	0.9	16	56	27	56	9	56
2006	58	255.1	2.6	3.2	0.1	14.3	1.0	20	58	30	58	10	38
2007	49	255.9	2.0	3.3	0.1	12.3	0.8	4	49	6	47	1	14
Mediu	m												
2001	58	248.8	2.4	3.5	0.1	14.1	1.1	1	58	7	54	3	56
2002	62	252.3	2.7	3.4	0.1	15.0	1.0	7	62	21	61	4	62
2003	45	251.9	5.0	3.1	0.1	14.9	1.4	1	45	2	45	2	45
2004	55	249.5	4.1	3.5	0.1	13.5	1.0	1	55	2	50	2	55
2005	47	258.9	3.3	3.0	0.1	13.3	1.2	2	47	4	47	1	41
2006	58	253.6	3.3	3.4	0.1	11.8	0.6	0	58	9	55	1	38
2007	56	248.3	3.6	3.4	0.1	9.1	0.5	1	56	2	55	0	15
Cold													
2001	237	220.6	3.3	2.9	0.1	22.9	1.4	0	237	0	56	0	222
2002	251	235.3	2.9	3.0	0.1	23.7	1.3	0	251	5	71	4	248
2003	250	235.8	2.7	2.7	0.1	21.7	1.2	1	250	3	69	0	234
2004	224	236.9	3.6	3.0	0.1	23.0	1.4	0	224	2	62	0	203
2005	259	236.0	2.9	2.7	0.1	20.9	1.1	0	259	0	78	0	132
2006	242	229.8	3.0	2.6	0.1	19.6	1.1	0	242	1	65	1	74
2007	254	238.5	2.9	2.7	0.1	18.0	1.1	0	249	0	75	0	26

transect into three segments that will be referred to as Segments A (Del Paso to Roseville), B (Roseville to Cool), and C (Cool to UC-BFRS). Temperature and solar radiation measurements from the California Irrigation Management Information System site at Fair Oaks, located roughly in between Del Paso and Roseville, and wind speed and wind direction from the Camino CIMIS site located to the southwest of UC-BFRS (CIMIS, 2009). Table 2a summarizes the full 7 year data set.

The method for NO<sub>x</sub> detection at the CARB sites is the catalytic conversion of NO<sub>2</sub> to NO, followed by detection of total NO (ambient plus converted) by chemiluminescence. Subtraction of the NO signal detected in ambient air from the NO<sub>x</sub> signal gives the response, labeled "NO<sub>2</sub>". There are known positive artifacts to this measurement (Winer et al., 1974; Grosjean and Harrison, 1985; Dunlea et al., 2007; Steinbacher et al., 2007) as a result of the accompanying conversion of peroxy nitrates (PNs), alkyl and multifunctional alkyl nitrates (ANs), and nitric acid (HNO<sub>3</sub>) over the molybdenum oxide (MoO) catalytic converter. In this study, we will assume that these higher oxides are detected with 100 % efficiency and refer to observations reported as "NO<sub>x</sub>" by CARB, instead, as NO<sub>y</sub>.

For the purpose of understanding the role of  $NO_x$  in  $O_x$  chemistry, we estimate  $NO_x$  mixing ratios from the observations of  $NO_y$ . To do this we assume that the sum of the higher oxides of nitrogen are present at the monitoring stations in an amount equal to the  $NO_2$  at those stations (a ratio roughly

consistent with observations of  $NO_2$ , PNs, ANs and  $HNO_3$  at the Granite Bay site; Cleary et al., 2005, 2007). Thus, we define  $NO_2$  at these sites as 50 % of the reported " $NO_2$ ". Calculations using the observed NO and  $O_3$ , assuming standard parameters for the  $NO-NO_2-O_3-HO_x$  steady-state relationship, indicate that  $NO_2$  is 65–70 % of the observed " $NO_2$ ". These two scenarios bracket our uncertainty of the true  $NO_2$  at between 50 and 70 % of the observed " $NO_2$ ".

Based on the average daily wind speed, the Lagrangian plume age, relative to an arbitrary start time ( $t_0$ ), can be approximated for each measurement site along the transect. Selecting Del Paso as the plume start point and a  $t_0$  of 1000 PST, the plume passes over Roseville, Cool, and UC-BFRS at 1200 PST, 1500 PST, and 1700 PST respectively. Daily values for each available measurement were obtained from 2 h averages about these times.

Equation (4) is solved for  $\Delta[O_x]_{chem}/\Delta t$  for each plume segment using a constant  $O_3$  background of 53 ppb (NO<sub>2</sub> background is negligible for consideration of odd-oxygen) and  $k_{mix} = 0.31 \, h^{-1}$  (see Appendix B for details). Since there are no nitrogen oxide measurements available at the Cool site, we estimate NO<sub>y</sub> (and NO<sub>x</sub>) based on an exponential decay from Roseville with a lifetime of 2 h and a transit time of 3 h. The resulting NO<sub>x</sub> is consistent with observations of NO<sub>x</sub> further downwind at UC-BFRS and amounts to NO<sub>2</sub> levels of at most 3 % of O<sub>x</sub> at the site.

The analysis is applied to the months of May through September and uses days when wind speeds are between 3 and  $4 \,\mathrm{m\,s^{-1}}$  (at the Camino site), wind direction is W or SW (between 200 and 260°, at Camino), and solar radiation is within 10% of a 30 day running mean (at Fair Oaks) to ensure clear sky conditions. About half of the observations from May through September survive this filter. There is no significant correlation of wind speed or wind direction with temperature or NO<sub>y</sub> in this data set ( $R^2 < 0.04$ ). The filtered data used in this analysis is summarized in Table 2c.

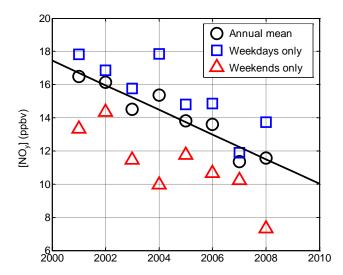
# 4 Observed $NO_x$ and temperature influence on $P(O_x)$

Figure 2 shows the annual average  $NO_y$  observed during the summer months (May–September, 2001–2008) from the average of 4 GSA monitoring sites (T Street, Del Paso, Roseville, and Folsom). Observations are shown for all days, weekdays only, and weekends only.  $NO_y$  decreased by 30 % from 2001–2008 at an approximate rate of 0.6–0.7 ppb yr $^{-1}$ . This long-term decrease is of the same magnitude as the mean observed weekday-weekend difference over the study period ( $\sim$ 5 ppb). The day-of-week effect in the Sacramento region has also been observed in the satellite record (Russell et al., 2010) and was found to have a significant effect on ozone production rates, as reported by Murphy et al. (2006b, 2007).

It is our expectation that the primary variables causing changes in ozone production rates are the  $NO_x$  and biogenic VOC concentrations. Since BVOCs are emitted as an exponential function of temperature, we use temperature as a surrogate to represent changes in VOC reactivity. Changes in radical propagating reaction rates and water vapor are expected to be correlated with changes in temperature and BVOC emissions. These factors also have an impact on ozone production rates although their effects are smaller than those of BVOCs (Steiner et al., 2006).

Figure 3a–c show  $\Delta[O_x]_{chem}/\Delta t$  for each segment (A, B, and C, respectively) vs  $NO_x$  estimated from measurements at the upwind site and in three different temperature regimes (low, medium, and high). In Fig. 3a–c, data points represent calculations of  $\Delta[O_x]_{chem}/\Delta t$  for individual days, solid lines represent the mean values binned by  $NO_x$ , and the error bars are the standard error of the mean for each bin.  $NO_x$  concentrations are from observations at the upwind site, except in Fig. 3c, where Cool  $[NO_x]$  is estimated from observations at Roseville, assuming a 2 h lifetime.

We assume that  $NO_x$  observed at the upwind site is well-correlated with  $NO_x$  across the entire plume segment. For Segment A (Fig. 3a), the region between Del Paso and Roseville,  $\Delta[O_x]_{chem}/\Delta t$  increases with both temperature and  $NO_x$ . The slope of  $\Delta[O_x]_{chem}/\Delta t$  vs.  $NO_x$  increases as temperature increases. Figure 3b shows the behavior of  $\Delta[O_x]_{chem}/\Delta t$  between Roseville and Cool (Segment B). As in Fig. 3a,  $O_x$  production rates are sensitive to both  $NO_x$  and temperature. At the low  $NO_x$  end, however, the temperature dependence is minimal. Additionally, the  $NO_x$  dependence is



**Fig. 2.** Annual mean [ $NO_y$ ] in the Sacramento metro region from 2001–2008. Values calculated from daily (10–1400 PST) means of 4 sites (T Street, Del Paso, Roseville, and Folsom) in each year of the study period.

a nonlinear function of  $NO_x$  with a steeper variation at lower  $NO_x$  concentrations, particularly for the medium and high temperature bins. The segment between Cool and UC-BFRS has much lower  $NO_x$  concentrations. The  $\Delta[O_x]_{chem}/\Delta t$  in this segment (Fig. 3c) shows a slight increase with  $NO_x$ , but no discernable temperature dependence, except at the highest  $NO_x$  concentrations. Net  $O_x$  production rates inferred from observations are lower here than in the two upwind segments.

# 5 Comparison of observed ozone production with two models

The qualitative patterns of  $P(O_x)$  as a function of  $NO_x$  and temperature shown in Fig. 3a–c are consistent with predictions based on standard photochemistry. Here we make use of equations describing instantaneous  $O_x$  production derived by Murphy et al. (2006b). Briefly,  $O_3$  participates in a null catalytic cycle with nitrogen radicals (NO and  $NO_2$ ). As a result,  $O_x$  is more conserved than either individually. This so called  $NO_x$  cycle is described by Reactions (R1) to (R3).

$$O_3 + NO \longrightarrow NO_2 + O_2$$
 (R1)

$$NO_2 + h\nu \longrightarrow O + NO$$
 (R2)

$$O + O_2 \longrightarrow O_3$$
 (R3)

Net  $O_x$  production occurs when some alternative oxidant facilitates the conversion of NO to  $NO_2$ . In continental regions, this is most often achieved through the oxidation of volatile organic compounds (VOCs). Reactions (R4)–(R7) outline the oxidation of VOCs by the hydroxyl radical (OH) and subsequent reaction of peroxy radicals with NO (Reactions R5

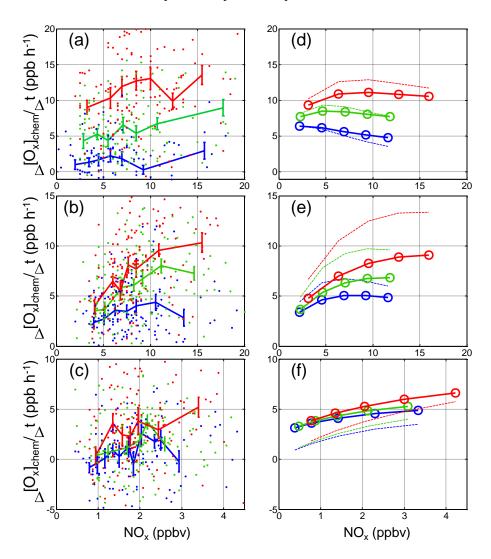


Fig. 3. Observed (a)–(c) and modeled (d)–(f) ozone production rates for three segments of Sacramento Urban Plume. Segment A, between Del Paso and Roseville, shown in (a) and (d); Segment B, between Roseville and Cool, shown in (b) and (e); Segment C, between Cool and UC-BFRS, shown in (c) and (f). In all figures, plots are color coded by temperature range: red = high, green = medium, blue = low. See Sect. 4 for additional details.

and R7), which, when coupled with  $NO_2$  photolysis (R2 and R3), leads to net production of  $O_3$  during the daytime. This series of reactions is radical propagating, with no net loss of  $NO_x$  or  $HO_x$  taking place. The rate of  $O_x$  production can be calculated as the combined rates of Reactions (R5) and (R7), or as approximately twice the rate of any single reaction in this cycle.

$$OH + VOC (+O_2) \longrightarrow RO_2 + H_2O$$
 (R4)

$$RO_2 + NO \longrightarrow NO_2 + RO$$
 (R5)

$$RO + O_2 \longrightarrow R'O + HO_2$$
 (R6)

$$HO_2 + NO \longrightarrow NO_2 + OH$$
 (R7)

Accompanying these propagating reactions are a series of radical terminating Reactions (R8)–(R12), which ultimately

limits  $P(O_x)$  through their influence on the concentrations of the reactants in Reactions (R4)–(R7). These terminating reactions are thought of in two categories, one involving self-reactions of peroxy radicals ( $HO_x$ - $HO_x$ , Reactions R8–R10), and one involving cross reactions between  $NO_x$  and  $HO_x$  radicals ( $NO_x$ - $HO_x$ , Reactions R11 and R12).

$$HO_2 + HO_2 \longrightarrow H_2 O_2 + O_2$$
 (R8)

$$HO_2 + RO_2 \longrightarrow ROOH + O_2$$
 (R9)

$$RO_2 + RO_2 \longrightarrow non-radical products$$
 (R10)

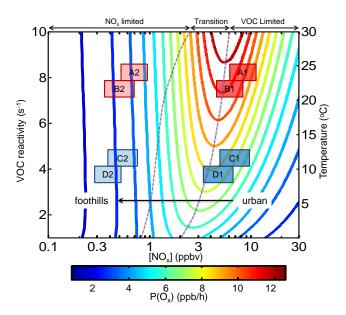
$$NO_2 + OH \longrightarrow HNO_3$$
 (R11)

$$NO + RO_2 \longrightarrow RONO_2$$
 (R12)

Competition between these two types of radical terminating reactions is what leads to different ozone production regimes, giving rise to  $NO_x$ -limited and  $NO_x$ -suppressed or VOC-limited behavior (e.g. Sillman et al., 1990; Sillman, 1995). Non-linearities arise in the relationship between ozone production and the primary ozone precursors,  $NO_x$  and VOCs, as a result of OH suppression by  $NO_2$  at high  $NO_x$  (Reaction R11) and peroxy radical self reactions that limit their abundance at low  $NO_x$  (Reactions R8–R10).

 $P(O_x)$  can be calculated over a range of  $[NO_x]$ , VOC reactivities, and temperature by simultaneously solving steadystate equations for OH, HO<sub>2</sub>, and RO<sub>2</sub> species at each input value (Kleinman et al., 1997; Thornton et al., 2002; Murphy et al., 2006b). Figure 4 shows the results from such a calculation, where P(O<sub>x</sub>) is plotted as a function of [NO<sub>x</sub>], and against two correlated variables: VOC reactivity  $(\Sigma k_{4i}[VOC]_i)$  on the left axis and temperature on the right axis. The VOC reactivity/temperature relationship is typical for the region, based on VOC measurements at Granite Bay (Dillon et al., 2002; Cleary et al., 2005). Direct OH reactivity measurements at UC-BFRS during BEARPEX 2007 showed similar behavior with temperature (W. H. Brune and co-workers, unpublished results). Additional reactions included in this particular calculation, not listed in the reaction series (Reactions R1-R12), are the radical propagating reactions of OH + CO and  $O_3 + HO_2$  and the photolysis of O<sub>3</sub> and formaldehyde. The relevant parameters for this calculation, tailored to observations in the Sacramento urban plume, are:  $NO_2/NO = 4.5$ ; [CO] = 140 ppbv;  $[O_3] = 53 \text{ ppbv}; P(OH) = 5 \times 10^6 \text{ molec cm}^{-3} \text{ s}^{-1}; P(HO_2) =$  $5 \times 10^6$  molec cm<sup>-3</sup> s<sup>-1</sup>; alkyl nitrate branching ratio ( $\alpha =$  $k_{12}/k_5$ ) 5%; [M] = 2.45 × 10<sup>19</sup> molec cm<sup>-3</sup>. As is well known, three different photochemical regimes can be identified in these non-linear equations, a NO<sub>x</sub> limited regime where O<sub>x</sub> production increases with increasing NO<sub>x</sub> and is insensitive to VOC, a NO<sub>x</sub> suppressed or VOC limited regime where O<sub>x</sub> production decreases with increases in NO<sub>x</sub> and increases with increasing VOC reactivity, and a transition regime where production is relatively insensitive to changes in either parameter.

Also shown in Fig. 4 are shaded boxes representing  $NO_x$  concentrations in the Sacramento urban plume. The boxes show the range (inter-quartile) of concentrations observed in the metropolitan Sacramento region (average of 4 sites, as in Fig. 2) and the resulting concentrations in the plume after 5 hours of aging, assuming a 2 h  $NO_x$  lifetime due to the combined effects of oxidation and dilution. The boxes represent average plume conditions in the years 2001 (A and C) and 2007 (B and D) under high (red) and low (blue) temperature scenarios. To the extent that this calculation, which represents perpetual noon, can simulate the time over which  $O_x$  is produced, we interpret Fig. 4 as a prediction for the response of  $P(O_x)$  to advection and dilution of the plume. In the early years of the study period, on both weekdays and weekends and at all temperatures, the urban initialization of



**Fig. 4.** Ozone production as a function of  $NO_X$ , VOC reactivity, and temperature. Contour lines represent theoretical ozone production rates over a range of  $NO_X$  and VOC reactivity phase space. See Sect. 5 for additional details.

the plume begins to the right of the ridgeline, clearly in the  $NO_x$  suppressed (VOC limited) regime. The plume always ends up well in the  $NO_x$  limited regime. By 2007, decreases in  $NO_x$  emissions have resulted in initial conditions that are essentially right at the ridgeline. The model also predicts that increases in temperature, at constant  $NO_x$  and with corresponding increases in VOC reactivity, will result in increased  $P(O_x)$  in metropolitan Sacramento and have little to no impact on  $P(O_x)$  in the foothills, as indicated by the nearly vertical contours on the left side of the figure.

We use Fig. 4 to interpret  $\Delta[O_x]_{chem}/\Delta t$  as shown in Fig. 3. In the early plume evolution (Segment A)  $\Delta$  $[O_x]_{chem}/\Delta t$  increases with temperature at all NO<sub>x</sub> values. In the low temperature range, there is not a significant trend with NO<sub>x</sub>, while there is a clear increase with NO<sub>x</sub> in the middle and high temperature bins. Comparing to the trends one would expect by integrating Fig. 4 during dilution (i.e. along the arrow shown), we interpret the observations as indicating that this region of the plume is NO<sub>x</sub> limited. The data show that changes in VOC influence ozone production rates in roughly the manner expected - we observe steep increases with increasing VOC. NO<sub>x</sub> increases result in small increases or almost no change in  $\Delta[O_x]_{chem}/\Delta t$ , perhaps an indication that the trajectory of the first segment moves the plume through the ridgeline, or perhaps an effect of added urban sources of VOC emissions that correlate with NO<sub>x</sub>.

In Segment B of the plume, where it moves from Roseville to Cool, our analysis (Fig. 3b) shows an increase in  $P(O_x)$  at low  $NO_x$  and a slow in the increase at the highest  $NO_x$ . We interpret this slower rate of increase in  $P(O_x)$  at

high  $NO_x$  in Segment B to indicate that this segment of the plume crosses over the transition region into  $NO_x$ -limited  $O_x$  production. The temperature dependence of  $\Delta[O_x]_{chem}/\Delta t$  is additional evidence that these first two segments are VOC limited. As seen in the model (Fig. 4) at fixed  $NO_x$ ,  $O_3$  production contours are a strong function of temperature. In contrast, further downwind, in Segment C, we observe a increase in  $\Delta[O_x]_{chem}/\Delta t$  with  $NO_x$  that is independent of temperature indicating this segment of the plume is  $NO_x$  limited.

The qualitative interpretation of  $\Delta[O_x]_{obs}/\Delta t$  as a function of temperature and NO<sub>x</sub> is supported by this relatively simplistic photochemical model in which VOC reactivity and reaction rate constants are the only parameters that vary with temperature. In an evolving urban plume, the situation is more complex since there are accompanying factors and feedbacks that cannot be represented by a steady-state model, such as: changes in NO<sub>x</sub> lifetime, changes in the thermal stability of peroxy nitrates, and feedbacks on primary HO<sub>x</sub> radical production (e.g. from increased formaldehyde and O<sub>3</sub> in the plume). In order to provide a more quantitative analysis and demonstrate that our qualitative description of  $\Delta[O_x]_{chem}/\Delta t$  as a function of temperature and  $NO_x$  is robust, we use a 1-D Lagrangian plume model that incorporates this more detailed chemistry. A brief description of the model and details particular to this work are given in Appendix A. A complete description of the model can be found in Perez (2008) and Pérez et al. (2009). This model represents diurnal variations that occur as the plume evolves along with a self-consistent representation of the chemistry and mixing.

Figure 3d–f show the results,  $\Delta[O_x]_{chem}/\Delta t$  (circles with solid lines), from model calculations over a range of temperatures, with corresponding changes in BVOC emissions, and initial NO<sub>x</sub> mixing ratios and emissions rates.  $\Delta[O_x]_{chem}/\Delta t$  is calculated from the model in the same manner as from the observations – taking the O<sub>x</sub> difference between the beginning and end points of each segment and adjusting for the effect of dilution. We also calculate the average  $P(O_x)$  over each model transect (dashed lines in Fig. 3d–f), calculated directly at each time step, using Eq. (6), and taking the segment-wide average. The qualitative similarity between  $P(O_x)$  and  $\Delta[O_x]_{chem}/\Delta t$  justifies our use of  $\Delta[O_x]_{chem}/\Delta t$  as an indicator of observed  $O_x$  production.

$$P(O_x) = k_2 [NO_2] - k_1 [NO] [O_3]$$
 (6)

This model calculation, both for  $P(O_x)$  and  $\Delta[O_x]_{chem}/\Delta t$ , has a remarkable correspondence to the patterns in the observations. For Segment A, the model calculation shows three more or less flat and parallel lines that are well separated; for Segment B, the model calculation shows curvature that is very much like the observations; and for Segment C the model shows three curves that are almost on top of one another indicating that  $\Delta[O_x]_{chem}/\Delta t$  is independent of VOC and temperature in this region a result virtually identical to the analysis of the observations.

This is not to say the correspondence is perfect.  $\Delta[O_x]_{chem}/\Delta t$  in the model is too strongly  $NO_x$  suppressed in the first segment. The observations have a slightly positive slope while the model slightly negative. In Segment B,  $\Delta[O_x]_{chem}/\Delta t$  does not rise as steeply as the observations or reach values as high. In Segment C, the net production at the lowest  $NO_x$  is much higher than in the observations. However, our point here is not to establish that this model is correct, but rather to establish that this method of analysis of the observations does provide a strong challenge to any model, one that is especially sensitive to  $O_x$  production chemistry.

Some of the model observation differences can be interpreted as due to the model not having the ridgeline between NO<sub>x</sub> limited and NO<sub>x</sub> suppressed behavior in the right location. Factors that influence the location of this the boundary and thus may contribute to the model/observation discrepancy are: (1) uncertainty in the modeled VOC reactivity, for which very few observations exist in the region; a doubling of VOC reactivity in the model increases the NO<sub>x</sub> concentration where P(O<sub>x</sub>) peaks by about 50 % and would result in better agreement of the model and observations, (2) uncertainty surrounding the link between isoprene oxidation products and HO<sub>x</sub> concentrations, as indicated by recent theoretical and experimental studies (Thornton et al., 2002; Lelieveld et al., 2008; Ren et al., 2008; Hofzumahaus et al., 2009; Paulot et al., 2009; Peeters et al., 2009; Archibald et al., 2010; Da Silva et al., 2010; Stavrakou et al., 2010); observations of higher oxides of nitrogen as a function of temperature by Day et al. (2008) also indicated a need for additional OH in the region. An increase in OH by a factor of 2 would increase the modeled transition point between NO<sub>x</sub>-limited and NO<sub>x</sub>suppressed ozone production by 25 %, resulting in slightly better agreement between observations and the model; and (3) the uncertainty in our assumptions about the fraction of observed "NO2" in the urban core that is true NO2, which we estimate at 50-70 %; if NO<sub>2</sub> is a smaller fraction of the observed NO<sub>2</sub> than we estimate, the perceived transition point would occur at lower NO<sub>x</sub>.

### 6 Implications for regional air quality

Regional air quality is a function of the integrated ozone production over the entire plume,  $P(O_x)_{tot}$ . From Fig. 4, an increase in temperature, and, consequently, VOC reactivity, is predicted to increase  $P(O_x)$  in the urban core, leading to an overall increase in  $P(O_x)_{tot}$  with temperature at all points in the plume, despite having little impact on  $P(O_x)$  in the  $NO_x$ -limited foothills. A reduction in  $NO_x$  emissions in the urban core, as observed in 2007 relative to 2001, may not have a significant effect on  $P(O_x)$  in the early stages of the plume or may even result in increased  $O_3$  in the urban core, but the point of peak ozone production and then  $NO_x$ -limiting behavior will be achieved closer to the urban

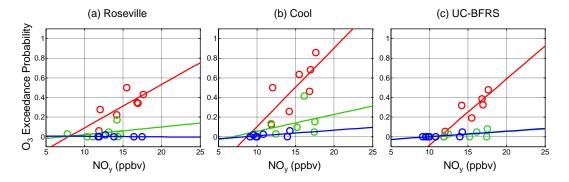


Fig. 5.  $O_3$  exceedance probability (90 ppb 1 h standard) for hot (red), medium (green), and cold (blue) temperature days vs [NO<sub>y</sub>]. Open circles are annual mean exceedance probabilities and [NO<sub>y</sub>] is calculated as in Fig. 2, separated by temperature. Data shown for (a) Roseville, (b) Cool, and (c) UC-BFRS.

core and  $P(O_x)_{tot}$  will decrease. This will be especially apparent at higher temperatures (higher BVOC emissions), where the  $P(O_x)$  contours are a steeper function of  $NO_x$ , as illustrated in Fig. 4. Thus, it is expected that the reductions in  $NO_x$  that occurred over the period of 2001 to 2007 were more effective at reducing  $O_3$  levels at high temperatures, where  $P(O_x)$  is highest, than at low temperatures.

Our analysis of  $\Delta[O_x]_{chem}/\Delta t$  above reinforces these model-based predictions for P(O<sub>x</sub>) in the Sacramento urban plume as a function of distance from the urban core, temperature, and  $NO_x$ . Comparison of these predictions of  $P(O_x)$ as a function of NO<sub>x</sub> and temperature and the probability for exceeding the 1 hour State of California ozone standard (90 ppb) on any given day during calendar years 2001–2007 provides useful insight. By focusing on the exceedance probability, variability in the annual distribution of high, medium, and low temperature days from year to year is removed, and the effects of the steady decline in NO<sub>x</sub> emissions can be quantified. This probability is calculated for three different temperature bins and plotted against the annual region-wide average NO<sub>v</sub> mixing ratio in Fig. 5a (Roseville), b (Cool), and c (UC-BFRS). For these probability calculations, a meteorological filter (similar to that described in Sect. 3) is used to select a subset of observation days during the ozone season. In a given year, somewhere between 60 % and 90 % of the observation days survive this filter. Table 2b summarizes the data used in these calculations. The uncertainty in the exceedance probability is calculated as  $0.5(N)^{1/2}/N$ , where N is the total number of days in each temperature bin for a given year. Typical uncertainties in the exceedance probability in a given year are about  $\pm 0.1$  (1  $\sigma$ ).

A dramatic effect in the exceedance probability is observed at Cool, where, in 2001 when the annual average  $NO_y$  in the urban core was 18 ppb, nearly 70 % of the days with a maximum air temperature of at least 33 °C were over the exceedance limit; but in 2007 at an urban  $NO_y$  concentration of 12 ppb only 10 % of these days exceeded the limit (Fig. 5b). At Roseville (Fig. 5a) the sensitivity to  $NO_y$  decreases is

lower than at Cool, but still quite strong, with a 4% decrease in the average exceedance probability for a 1 ppb decrease in  $NO_y$ . The data show a change in exceedance probability during the hot days from about  $40{\text -}50\,\%$  in the early part of the study period to  $10{\text -}20\,\%$  in the later part. Finally, at UC-BFRS, reductions in  $NO_x$  emissions in the Sacramento Metro region have reduced the exceedance probability at UC-BFRS, some  $90\,\text{km}$  to the northeast, from  $50\,\%$  to  $0\,\%$  on high temperature days (Fig. 5c). There are also reductions at lower temperatures, which are most evident at Cool.

Early in the study period, the number of exceedance days each year at UC-BFRS were comparable to that at Roseville, but the increased sensitivity to NO<sub>x</sub> reductions in the downwind segments of the plume have resulted in a greater improvement in air quality at UC-BFRS than in the urban core and the suburbs. This effect is consistent with the differences in the day-of-week patterns of ozone in the urban core versus in the downwind regions, as outlined by Murphy et al. (2007). Nonetheless, the 30% decrease in NO<sub>v</sub> that occurred from 2001 to 2007 has been extremely effective in reducing the exceedance probability at all locations during the hottest days of the year when increases in biogenic emissions result in chemistry that shifts to conditions that are more NO<sub>x</sub> limited. To put these results in perspective, if NO<sub>v</sub> levels in 2007 had remained at 2001 levels, the 33 high temperature days during 2007 are predicted to have resulted in 22 ( $\pm 5$ ) exceedance days at Cool, instead of the 4 that actually did occur.

It has been argued (e.g. Muller et al., 2009) that  $NO_x$  decreases cause  $O_3$  increases in the center of cities and are more detrimental to health because of the larger number of people who live in the urban core as opposed to the surrounding suburbs and rural regions. In GSA, Murphy et al. (2007) found that  $O_3$  concentrations (8 h maximum) increased on weekends in the urban core during the time period of 1998–2002 as a response to decreases in  $NO_x$  of approximately 20 % on weekends. We find that between 2001 and 2007, the average  $O_3$  is higher on weekends than on weekdays only for the

Table 2b. Relaxed Filter (May-September).

Year	# Days	Wind Direction (deg.)		Wind Speed (m s <sup>-1</sup> )		[NO <sub>y</sub> ] (ppbv)		Roseville O <sub>3</sub>		Cool O <sub>3</sub>		Blodgett O <sub>3</sub>	
		Mean	Std. Err.	Mean	Std. Err.	Mean	Std. Err.	Exc. Days	# Days	Exc. Days	# Days	Exc. Days	# Days
Hot													
2001	41	236.7	1.8	3.7	0.1	16.9	1.5	14	41	28	41	13	40
2002	21	244.1	2.5	3.2	0.1	17.6	2.2	9	21	18	21	10	21
2003	26	250.7	1.5	3.2	0.1	16.8	2.1	9	26	12	26	10	2
2004	27	249.5	1.1	3.6	0.1	14.2	0.9	6	27	7	26	8	2:
2005	18	245.2	2.4	2.9	0.1	12.0	1.0	5	18	9	18	1	13
2006	30	241.3	1.9	3.4	0.1	15.5	1.4	15	30	19	30	5	20
2007	33	248.7	1.3	3.4	0.1	11.9	0.9	2	33	4	32	0	1
Mediu	m												
2001	39	240.1	1.7	3.6	0.1	14.7	1.4	1	39	6	37	3	3′
2002	41	239.8	1.6	3.5	0.1	14.2	1.0	7	41	17	41	2	4
2003	21	242.3	1.8	3.6	0.1	14.3	2.0	0	21	1	21	0	2
2004	35	243.2	1.6	3.7	0.1	11.1	0.8	0	35	1	34	1	3
2005	21	241.9	2.1	3.3	0.1	13.1	1.7	1	21	2	21	0	1
2006	30	240.5	1.8	3.6	0.1	10.3	0.7	0	30	4	30	0	2
2007	33	242.2	1.5	3.5	0.1	7.8	0.5	1	33	1	32	0	1
Cold													
2001	62	234.9	1.7	3.3	0.1	16.4	1.7	0	62	0	30	0	6
2002	65	237.7	1.8	3.4	0.1	17.5	1.5	0	65	4	38	3	6
2003	50	240.8	1.7	3.3	0.1	12.7	1.6	1	50	1	30	0	4
2004	45	241.0	1.6	3.5	0.1	11.8	1.0	0	45	0	27	0	4
2005	49	239.1	1.8	3.3	0.1	11.9	1.1	0	49	0	30	0	3
2006	41	233.1	2.4	3.4	0.1	13.8	1.6	0	41	1	21	0	1
2007	60	239.0	1.4	3.3	0.1	11.8	1.0	0	57	0	31	0	1

lowest temperature days. Because  $O_3$  concentrations on low temperature days are generally well below the exceedance limit, increases in  $O_3$  with decreasing  $NO_x$  are not likely to lead to additional exceedances. Thus, we find no evidence that implementation of  $NO_x$  emission controls has been detrimental to air quality, by any policy-relevant metric, at any of the sites considered in this analysis over the period 2001 through 2007 (and presumably up to the present day).

We calculate a projected time frame for eliminating exceedances in the region using the data in Fig. 5 to extrapolate to a 0% exceedance probability. We calculate both a 50% confidence time-frame, from a linear fit of the mean annual exceedance probabilities and a 95 % confidence time frame, from the upper bound of the  $2\sigma$  uncertainty in the mean. The high temperature values at Roseville are used for these calculations. We predict, with 95% confidence, that O<sub>3</sub> 1-h exceedance days at all sites will be completely eliminated with a further 2/3 reduction in NO<sub>v</sub> concentrations (and likely NO<sub>x</sub> emissions). Assuming that the observed rate of decrease in NO<sub>y</sub> continues, this is expected to be achieved by 2018. The 50 % confidence time-frame will be reached with a 30 % reduction in NO<sub>y</sub>, which is expected to occur by 2012. This suggests that in 2012, there will be a 50 % chance of no violations of the 1-h standard. This would be a first for the region since the passing of the original US Clean Air Act and regular monitoring of O<sub>3</sub> began in the early 1970s (CARB, 2009).

Climate change is expected to result in higher average temperatures in California, increasing biogenic VOC emissions

by 15-35 % in the Sacramento region by 2050 (Steiner et al., 2006). Absent reductions in NO<sub>x</sub>, an increase in the number of high temperature days would increase the average integrated  $P(O_x)$  in the plume and increase the probability of exceeding the 1 h O<sub>3</sub> standard in a given year. Between 2001 and 2007, there were on average  $28 \pm 7$  high temperature days per year. An increase in the number of hot days would likely increase the number of exceedances; however, even a doubling of the number of annual high temperature days over the next ten years is not expected to extend the projected time frames (50% and 95%) for eliminating exceedances by more than a year. Therefore, unless there is a significant departure from the projected rate of decrease in NO<sub>x</sub> emissions, increased high temperature days are not expected to affect the number of O<sub>3</sub> exceedances, in the next few decades.

An additional consideration for predicting the decline in  $O_3$  exceedance days is the reported rise in the global tropospheric  $O_3$  background. Parrish et al. (2009) estimate that background  $O_x$  in air transported across the Pacific has been increasing at a rate of  $0.46\,\mathrm{ppb}\,\mathrm{yr}^{-1}$ . If we increase the  $O_3$  observed in 2007 by 5 ppb to simulate an upper limit to the effect of this increase in background  $O_3$  after 10 years, we estimate mixing of this larger background would have the effect of increasing the frequency of violations at high temperature from 6 % (in 2007) to 9 % at Roseville and from 13 % to 30 % at Cool, with no further decrease in  $NO_x$ . However, we note that the increase reported by Parrish et al. (2009) would be largest in the recent years when  $NO_x$  is lowest. Were it

Table 2c. Strict Filter (May-September).

	# Days	Wind Di	irection (deg.)	Wind Speed (m s <sup>−1</sup> )		[NO <sub>y</sub>	] (ppbv)	Rosevil	le O <sub>3</sub>	Cool O <sub>3</sub>		Blodgett O <sub>3</sub>	
Year		Mean	Std. Err.	Mean	Std. Err.	Mean	Std. Err.	Exc. Days	# Days	Exc. Days	# Days	Exc. Days	# Days
Hot													
2001	29	239.2	2.2	3.4	0.1	17.1	1.9	10	29	23	29	11	29
2002	18	244.0	2.5	3.2	0.0	18.2	2.3	8	18	15	18	8	18
2003	26	250.7	1.5	3.2	0.1	16.8	2.1	9	26	12	26	10	26
2004	24	250.3	1.1	3.5	0.1	13.9	1.1	6	24	7	24	7	22
2005	13	245.9	2.8	3.1	0.1	10.7	1.2	3	13	6	13	0	13
2006	26	242.2	1.9	3.4	0.1	16.3	1.5	13	26	17	26	5	24
2007	30	248.4	1.3	3.4	0.1	11.6	0.9	1	30	4	30	0	10
Mediu	m												
2001	32	242.7	1.5	3.5	0.0	15.4	1.7	1	32	6	31	3	32
2002	37	241.4	1.5	3.4	0.0	14.0	1.1	6	37	16	37	2	37
2003	17	243.4	2.2	3.4	0.1	15.3	2.2	0	17	1	17	0	17
2004	26	245.2	1.8	3.4	0.1	11.2	1.0	0	26	1	26	1	26
2005	19	241.6	2.0	3.3	0.1	12.8	1.8	1	19	2	19	0	17
2006	23	241.9	2.0	3.4	0.1	9.4	0.8	0	23	3	23	0	16
2007	31	242.6	1.5	3.5	0.1	7.6	0.5	0	31	1	31	0	10
Cold													
2001	22	234.3	2.3	3.2	0.1	10.3	1.1	0	22	0	22	0	22
2002	24	240.7	2.7	3.4	0.1	12.6	1.0	0	24	4	24	1	23
2003	21	243.4	1.9	3.3	0.1	9.9	1.3	1	21	1	21	0	21
2004	19	245.3	1.9	3.4	0.1	13.2	1.5	0	19	0	19	0	19
2005	23	240.2	2.5	3.2	0.1	9.2	1.3	0	23	0	23	0	17
2006	17	239.7	2.6	3.2	0.0	11.1	1.3	0	17	1	17	0	10
2007	22	241.3	1.9	3.3	0.1	10.3	2.1	0	22	0	22	0	5

a strong effect we would expect to see a slowing of the decrease in exceedance probability at lower  $NO_x$ . We do not, a result we believe indicates growth in the background ozone has had no observable effect on the number of 1-h ozone violations in the Sacramento region.

#### 7 Conclusions

We have presented a quantitative method for evaluating the NO<sub>x</sub> and temperature dependence of O<sub>x</sub> production in an urban plume. The observational analysis represents a direct test of model chemistry. O3 exceedance days at all points in the plume are observed to have a strong temperature dependence (Fig. 5) due to the persistence in the plume of O<sub>3</sub> produced in the urban core and suburbs. We show that the 30 % decrease in NO<sub>x</sub> over the time frame of 2001 to 2007 has led to a significant decrease in O<sub>3</sub> 1-h exceedance days region-wide, especially during the hottest days. The reduction in exceedance days has been most significant at the farthest downwind regions of the plume. We predict that an additional decrease in NO<sub>x</sub> will effectively eliminate O<sub>3</sub> 1-h exceedances in the region. At the current rate of the observed NO<sub>v</sub> decrease (Fig. 2), we predict with 50 % confidence that exceedances will end in 2012 and with 95 % confidence that exceedances will be eliminated in the region by 2018.

# Appendix A

#### Lagrangian urban plume model

A detailed description of the Lagrangian model of the Sacramento urban plume is given in Perez (2008) and Perez et al. (2009). The model represents mixing, photochemistry, and dry deposition as occurring in a box that is transported from Granite Bay, located close to Roseville, to UC-BFRS at a rate set by the local winds. The chemical mechanism is a reduced form of the Master Chemical Mechanism (v 3.1) with modifications as outlined in Perez et al. (2009). There are a total of 370 reactions, 170 specific chemicals, and 7 lumped species in the model.

The model is initialized with observations at Granite Bay at noon and propagated forward along the Lagrangian plume coordinates in space and time. To the east of Granite Bay anthropogenic emissions are assumed to be negligible. Biogenic VOC emissions, including isoprene, MBO, and terpenes are based on Steiner et al. (2006). Biogenic  $NO_x$  emissions are estimated from measured fluxes of soil  $NO_x$  in the oak forests of the Sierra Nevada foothills (Herman et al., 2003). At characteristic wind speeds, the plume passes over Cool after 3 hours and reaches UC-BFRS after 5 h. In order to simulate the range of conditions observed, the model is run at 3 different temperatures and 5 different initial  $NO_x$  (and  $NO_y$ ) concentrations for a total of 15 separate model runs. The model inputs are variable with temperature according to

the Granite Bay observations in 2001. On top of this temperature variability, the  $NO_x$  and  $NO_y$  inputs are artificially scaled by factors of 0.33, 0.66, 1, 1.33, and 1.66 to provide 5 different  $NO_x$  scenarios for each temperature scenario.

Model outputs consist of concentrations of all species at 2 min intervals along the plume transect. The model output can be compared directly to the observations of  $\Delta[O_x]_{chem}/\Delta t$  between Roseville and Cool (first 3 h of plume age) and between Cool and UC-BFRS (last 2 h of plume age). In order to simulate the segment between Del Paso and Roseville, the model is modified to start at 1000 PST, and anthropogenic emissions are simulated for the first 2 h of the transect. Equation (4) is used to calculate  $\Delta[O_x]_{chem}/\Delta t$  from the model outputs in an identical manner to that used on the observations. An important advantage to using the model is that  $P(O_x)$  can be calculated directly and compared to the net chemical flux of  $O_x$  as calculated by Eq. (4) in order to understand where this approach might be affected by biases when applied to the observations.

# Appendix B

# Entrainment of background air

For calculating  $\Delta[O_x]_{chem}/\Delta t$ , entrainment of background air into the plume is treated in a similar way to that described by Perez et al. (2009). A 1-D representation of the plume is used in this analysis, which is most characteristic of the center-line of the plume where mixing occurs at the top of the boundary layer with free tropospheric air. Perez et al. (2009) found that the model best reproduced observations when a low "Global" background was used, characteristic of free troposphere air. Under this scenario, a constant  $O_3$  background of 53 ppb is assumed. The mixing rate of the plume  $(k_{mix})$  under these conditions was calculated to be  $0.31 \, h^{-1}$ .

Changes in the *absolute values* of the mixing parameters, including the entrainment rate and the  $O_3$  background, are expected to influence the absolute values of  $\Delta[O_x]_{chem}/\Delta t$ , but not the observed relationships with temperature and  $[NO_x]$ . Uncertainty in the *variability* of the entrainment rate or the background concentration of  $O_3$ , however, could potentially bias our results in a way that would artificially introduce a temperature dependence to  $\Delta[O_x]_{chem}/\Delta t$ .

Parrish et al. (2010) found a correlative link between O<sub>3</sub> in background air arriving at the coast of northern California from the west and high O<sub>3</sub> concentrations observed in certain locations of the Central Valley 22 h later. While the role of temperature was not specifically addressed in this study, the observations of Parrish et al. (2010) imply that the relevant [O<sub>3</sub>]<sub>bk</sub> value for our analysis should be higher when temperatures are higher. Similarly, if there is a carryover effect from day to day, and [O<sub>3</sub>]<sub>bk</sub> has some dependence on the chemistry occurring in the boundary layer on the previous day in the Central Valley, it would likely be positively

correlated with temperature since warm days generally follow other warm days.

Under each of these scenarios, higher temperatures, and a corresponding higher ozone background, would lead to a slower rate of dilution for  $O_x$  in the plume and a lower inferred value for  $\Delta[O_x]_{chem}/\Delta t$ . To understand the potential impact of this on our results, we recalculate  $\Delta[O_x]_{chem}/\Delta t$ , using a temperature dependent  $O_x$  background, calculated as a linear function of temperature and normalized such that the average background over the entire 7 year period is 53 ppb with a standard deviation of 8 ppb.

This effect will have the tendency to reduce the calculated  $\Delta[O_x]_{chem}/\Delta t$  at high temperatures and increase  $\Delta[O_x]_{chem}/\Delta t$  at low temperatures relative to that calculated using a constant background. We find that introducing this variability to  $[O_3]_{bk}$  changes the absolute values of  $\Delta[O_x]_{chem}/\Delta t$  at the high and low temperatures, but the basic features of the inferred relationship between ozone production,  $NO_x$ , and temperature are unchanged at all three segments of the transect. We find that an unreasonably large variability in  $[O_3]_{bk}$  (likely a range of 35 ppb or greater), that is perfectly correlated with temperature, would be necessary to produce the observed behavior in  $\Delta[O_x]_{chem}/\Delta t$  with temperature.

Similarly, any relationship of the plume entrainment rate with temperature could give rise to a dampening of the perceived dependence of  $\Delta [O_x]_{chem}/\Delta t$  on temperature. This would occur if the entrainment rate slowed with increasing temperature. A conservative upper limit for this effect was estimated previously from correlations between CO, NOy, and temperature at UC-BFRS (Day et al., 2008); at this limit, no more than a 20 % increase in NOy at UC-BFRS could be accounted for by a slowing of the entrainment rate with increased temperatures in the Sacramento urban plume. In our analysis, varying the entrainment rate by  $\pm 20$ % (negatively correlated with temperature), which is more than sufficient to induce a 20 % change in NOy at UC-BFRS, does not change the patterns of  $\Delta [O_x]_{chem}/\Delta t$  with NOx and temperature appreciably.

#### Appendix C

# The role of anthropogenic VOCs

In our analysis we have assumed that the VOC reactivity at all points along the plume is dominated by biogenic emissions and their oxidation products. Observations at Granite Bay and UC-BFRS support this contention (Lamanna and Goldstein, 1999; Cleary et al., 2005; Steiner et al., 2008) for the suburbs and foothills. While observations of a detailed set of VOCs closer to the urban core are lacking, Steiner et al. (2008) used the CMAQ model (with a 4 km horizontal resolution) to predict about equal contributions from anthropogenic and biogenic sources to the total VOC

reactivity. From our anlaysis, the dependence of O<sub>3</sub> production on temperature suggests that the primary source of VOCs in the region, including in the urban core, have a strong temperature dependence. Presumably a major fraction of these temperature-dependent compounds are biogenics. It has been shown that evaporative anthropogenic VOC emissions can be significant in many urban locations (Rubin et al., 2006), but their contribution to the total VOC reactivity in the region is minimal compared to either the anthropogenic fuel combustion or biogenic VOC sources.

Strict regulations have resulted in a dramatic reduction of reactive VOCs in urban regions throughout California since the mid 1970s (Cox et al., 2009). According to CARB, anthropogenic VOC emissions, including those from evaporative sources, continued to decrease between 2000 and 2005 in the Sacramento Valley Air Basin at a rate of about 3 % per year, similar to that reported for NO<sub>x</sub> emissions over the same time frame (Cox et al., 2009). The likely correlation between NO<sub>x</sub> and anthropogenic VOCs in the longterm data set begs the question: to what extent are the perceived reductions in  $P(O_x)$  with  $NO_x$  due to the accompanying changes in anthropogenic VOC emissions? This effect would be most prevalent in the region between Del Paso and Roseville, where ozone production is most sensitive to VOC concentrations and where anthropogenic VOC emissions are expected to be greatest.

The realization of NO<sub>x</sub> reductions across two different time-scales, both interannual and day-of-week, allows us to address this question. The day-of-week changes in NO<sub>x</sub> concentrations are thought to be primarily due to changes in the number of commercial diesel vehicles on the road from weekdays to weekends (Harley et al., 2005; Murphy et al., 2007). Since VOC emissions from diesel engines are a small fraction of total anthropogenic VOC emissions, day-of-week variability in VOC emissions are not expected to be correlated with NO<sub>x</sub>. Conversely, over inter-annual time-scales, NO<sub>x</sub> reductions have come primarily as a result of cleaner gasoline vehicles replacing older gasoline vehicles. VOC emissions, which are significant from gasoline vehicles, then, are expected to be correlated with NO<sub>x</sub> emissions over the long term, but not within any given year.

Thus, a simple test of whether reductions in VOC emissions over the long term have had a significant impact on ozone production rates is to compare  $\Delta[O_x]_{chem}/\Delta t$  values from the early part of the study period (2001–2002) to the later part (2006–2007) under conditions where  $NO_x$  mixing ratios and temperatures are comparable. We find that there is no significant difference in the calculated  $\Delta[O_x]_{chem}/\Delta t$  values between the early period and the late period of the analysis time frame at similar temperatures and  $NO_x$ . We conclude, therefore, that there is no evidence of decreased  $P(O_x)$  between 2001 and 2007 resulting from decreases in anthropogenic VOC emissions. This comes despite a nearly equal relative decrease in VOC and  $NO_x$  emissions (Cox et al., 2009) over this time and implies that the intensity of

biogenic VOC emissions have made  $NO_x$  emission reductions more effective than anthropogenic VOC emission reductions in the region, at least downwind of Del Paso. This test also suggests that there are no additional effects correlated with the long-term fleet turn-over, such as the  $NO_2/NO$  emission ratio, that have had a detectable influence on  $P(O_x)$ .

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