

# Dependence of SOA oxidation on organic aerosol mass concentration and OH exposure: experimental PAM chamber studies

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**Abstract.** The oxidation of secondary organic aerosol (SOA) is studied with mass spectra analysis of SOA formed in a Potential Aerosol Mass (PAM) chamber, a small flow-through photo-oxidation chamber with extremely high OH and ozone levels. The OH exposure from a few minutes in the PAM chamber is similar to that from days to weeks in the atmosphere. The mass spectra were measured with a Quadrupole Aerosol Mass Spectrometer (Q-AMS) for SOA formed from oxidation of  $\alpha$ -pinene, *m*-xylene, *p*-xylene, and a mixture of the three. The organic mass fractions of  $m/z$  44 ( $\text{CO}_2^+$ ) and  $m/z$  43 (mainly  $\text{C}_2\text{H}_3\text{O}^+$ ), named  $f_{44}$  and  $f_{43}$  respectively, are used as indicators of the degree of organic aerosol (OA) oxidation that occurs as the OA mass concentration or the OH exposure are varied. The degree of oxidation is sensitive to both. For a fixed OH exposure, the degree of oxidation initially decreases rapidly and then more slowly as the OA mass concentration increases. For fixed initial precursor VOC amounts, the degree of oxidation increases linearly with OH exposure, with  $f_{44}$  increasing and  $f_{43}$  decreasing. In this study, the degree of SOA oxidation spans much of the range observed in the atmosphere. These results, while sensitive to the determination of  $f_{44}$  and  $f_{43}$ , provide evidence that some characteristics of atmospheric OA oxidation can be generated in a PAM chamber. For all measurements in this study, the sum of  $f_{44}$  and  $f_{43}$  is  $0.25 \pm 0.03$ , so that the slope of a linear regression is approximately  $-1$  on an  $f_{44}$  vs.  $f_{43}$  plot. This constancy of the sum suggests that these ions are complete proxies for organic mass in the OA studied.

## 1 Introduction

Organic aerosol (OA) is a significant fraction of the total atmospheric aerosol (Kanakidou et al., 2005) and, as a result, affects both climate change by changing Earth's radiative balance (Forster et al., 2007; Hoyle et al., 2009; Myhre et al., 2009) and human health by degrading the cardiovascular and respiratory system (Nel, 2005; Jang et al., 2006; Baltensperger et al., 2008). A significant fraction of OA is secondary organic aerosol (SOA), which is formed when atmospheric oxidants such as the hydroxyl radical (OH) and ozone ( $\text{O}_3$ ) react with volatile organic compounds (VOCs) to form lower volatility organics. These VOCs are numerous and include biogenic terpenes, anthropogenic alkenes, aromatics, and long-chain alkenes. Because of the importance of SOA to both climate and human health, SOA has become a focus of intense laboratory, theoretical, and observational research (Kroll and Seinfeld, 2008; Hallquist et al., 2009).

Understanding the atmospheric evolution of SOA is as important as understanding the sources of the precursor VOCs because as organic particles age and become more oxidized, they become more hygroscopic and serve as cloud condensation nuclei (Jimenez et al., 2009; Massoli et al., 2010; Poulain et al., 2010). With further oxidation, the organics are subject to fragmentation that cleaves carbon-to-carbon bonds and functionalization that adds oxygen-containing functional groups (Kroll et al., 2009). SOA and primary organic aerosol (POA) can be hydrocarbon-like OA (HOA), semi-volatile oxygenated OA (SV-OOA), or low-volatility oxygenated OA (LV-OOA) with the degree of oxidation determined in part by the amount of time the OA are exposed to oxidants. The results of this aging process have been observed in both the atmosphere (Lanz et al., 2007; Zhang et al., 2007; Ng et al., 2010) and the laboratory (Jimenez et al., 2009; George and Abbatt, 2010).



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The evolution of SOA appears to be complicated. Unlike sulfate that remains in the particle phase, the organic constituents that compose OA are semi-volatile and continually partition between the particle and gas phases. The aging process can cause the shift in the gas and particle partitioning equilibrium by dilution with fresh air, the further oxidation of the gaseous product, heterogeneous oxidation of the organic layer in the particle, and the reactions that lead to oligomer formation (Donahue et al., 2006; Rudich et al., 2007; Shilling et al., 2009). Oxidants such as hydroxyl (OH), ozone (O<sub>3</sub>), hydroperoxyl (HO<sub>2</sub>), organic peroxy (RO<sub>2</sub>), nitric oxide (NO), and nitrogen dioxide (NO<sub>2</sub>) affect the yields and identity of the reaction products. In some situations, organics in OA may be directly decomposed by the ultraviolet (UV) light (Kroll et al., 2006; Surratt et al., 2006; Lambe et al., 2007; Warren et al., 2008).

New modeling frameworks are being developed to account for these changes in OA with atmospheric oxidation (Dzepina et al., 2009; Jimenez et al., 2009), but the uncertainties and unknowns in OA oxidation are large. Measured OA can greatly exceed the model calculated OA by a factor of 4 to 100 (Volkamer et al., 2006). The cause of this discrepancy is currently unknown but may result from unmeasured precursor VOCs that can lead to SOA formation, unknown oxidation processes, or measurement errors for OA and precursor VOCs in both the atmosphere and the laboratory.

To complicate this problem further, controlled laboratory chambers and flow tubes offer only imperfect simulations of OA atmospheric oxidation. Problems include using VOCs amounts well above atmospheric levels, potentially interactive walls (Matsunaga and Ziemann, 2010), and levels of oxidants or oxidant precursors that are significantly larger than those in the atmosphere. A key test is to compare the chemical composition of the OA produced in these chambers with that observed in the atmosphere. For most large environmental chambers, the chemical composition of OA is much more hydrocarbon-like than the OA observed in the atmosphere (Bahreini et al., 2005; Alfarra et al., 2006; Zhang et al., 2006; Shilling et al., 2009; Ng et al., 2010), probably because the exposure to oxidants is much less than in the atmosphere, especially in case of rural or remote regions. Even when oxidant levels are increased in large environmental chambers, it is difficult to achieve the exposure to oxidants that occurs in the atmosphere. Attempts to increase the exposure to oxidants have used highly oxidative environments in small chambers and flow tubes (Jimenez et al., 2009; Kroll et al., 2009; George and Abbatt, 2010), but the resulting chemical composition has too much SV-OOA for the observed amounts of LV-OOA (Ng et al., 2010). These conclusions are based on only a few laboratory studies.

The degree of OA oxidation is often studied with an aerosol mass spectrometer (AMS), which vaporizes incoming OA and then samples the mass spectrum of the vaporized, ionized molecular fragments (Zhang et al., 2005; Jimenez et al., 2009; Ng et al., 2010). HOA is identified by the mass to

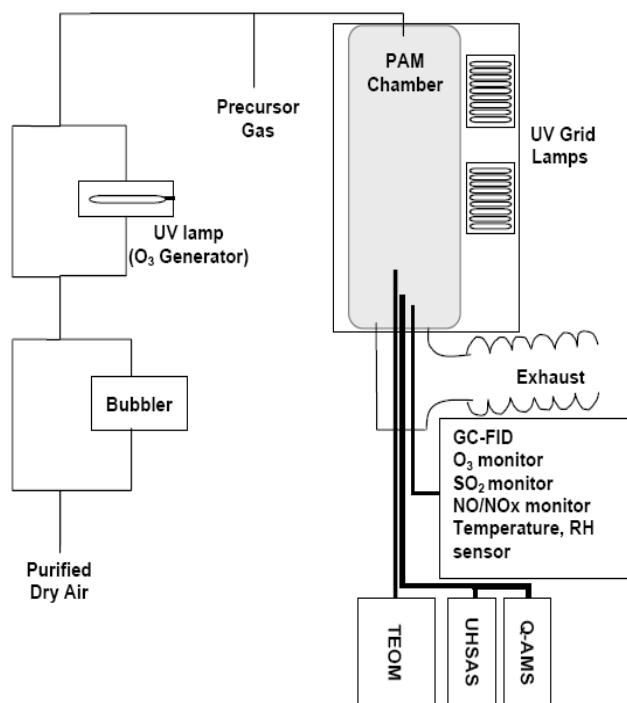
charge ratios ( $m/z$ ) 41, 43, 55, 57, 69, 71 and OOA is mainly identified by  $m/z$  43 and 44. SV-OOA is characterized as a relatively less aged aerosol with more volatile organic compounds. Thus ambient SV-OOA is often highly correlated with semi-volatile species like ammonium nitrate and ammonium chloride, has a low ratio of oxygen to carbon (O:C), and has  $m/z$  43 contributing more than  $m/z$  44 to the OA mass concentration. In contrast, LV-OOA has more  $m/z$  44 than  $m/z$  43. SV-OOA and LV-OOA are not uniquely defined but form a continuum of the degree of oxidation.

The contributions of the mass concentrations at  $m/z$  43 and  $m/z$  44 to OA mass concentration, called  $f_{43}$  and  $f_{44}$  (Ng et al., 2010), have been used to indicate the degree of OA oxidation, along with the O:C ratio and the overall mass spectrum (Lanz et al., 2007; Aiken et al., 2008; Jimenez et al., 2009). For the comparison of various OA aging processes in the atmosphere and laboratory studies, a generalized clear definition of the contribution of the mass concentrations at  $m/z$  43 and  $m/z$  44 to OA mass concentrations is required. Typically for laboratory studies,  $f_{43}$  and  $f_{44}$  can be defined as the mass concentrations at  $m/z$  43 and  $m/z$  44 divided by the OA mass concentrations as determined from Q-AMS fragmentation tables (Allan et al., 2004) that are modified to attribute the total observed mass to organics. While Principle Component Analysis provides a more rigorous characterization of atmospheric OA, the use of  $f_{43}$  and  $f_{44}$  is sufficient to track the degree of oxidation in VOC precursor laboratory experiments (e.g., Shilling et al., 2009; Ng et al., 2010).

In this paper, we present the results of OA oxidation studies conducted in the highly oxidizing environment of a Potential Aerosol Mass chamber (Kang et al., 2007). In a previous manuscript, we introduced the concept of Potential Aerosol Mass (PAM), which is defined as the maximum aerosol mass that the oxidation of precursor gases produces, and presented the results of extensive tests of the chamber performance and the OA yields of several atmospherically relevant VOCs (Kang et al., 2007). A Tapered Element Oscillation Microbalance (TEOM) was used to measure the OA mass in those studies. During some additional experiments, we also sampled the OA chemical composition from the PAM chamber using a Quadrupole-Aerosol Mass Spectrometer (Q-AMS). In this study, we use mass spectra taken with the Q-AMS to examine the chemical characteristics of OA as a function of the OA mass concentration and the OH exposure and to compare these results to those from other recent laboratory studies.

## 2 Experimental method

These studies used a flow-through PAM chamber in which extreme levels of OH and O<sub>3</sub> were generated (Fig. 1). The outflow of this chamber was sampled with a TEOM monitor 1400 AB (The Thermo Scientific, Franklin, MA), a Q-AMS (Aerodyne Research, Inc., Bellerica, MA), and



**Fig. 1.** Schematic diagram of the PAM chamber. Purified air could be humidified by passing through or around a bubbler, after which ozone and the precursor gas could be added. This gas was added uniformly across the PAM chamber cross-section; part of the flow was sampled by multiple instruments in the center of the bottom plate, while about half the flow exited through a ring-shaped tube with tiny drilled holes surrounding the sampling tube.

miscellaneous gas sampling instruments. Whereas our previous study focused on the TEOM results, this study focuses on the Q-AMS data. Additional monitoring of the refractive index of the particles using the Ultra-high Sensitivity Aerosol Spectrometer (UHSAS, ParticleMetrics, Inc., Boulder, CO) will not be discussed here.

## 2.1 PAM chamber description

The PAM chamber and its operation are described in detail in Kang et al. (2007), so only a brief description is presented here (Fig. 1). This version of the PAM chamber was a continuous flow 19 L cylinder (diameter 20 cm, length 60 cm) made of Teflon FEP film (0.5 mm thick) and suspended in a sealed housing. Two ozone-producing ultraviolet (UV) grid lamps (BHK Inc., Ontario, CA) that mainly produced 185 nm and 254 nm light were mounted on a wall of the housing. The volume inside of the housing surrounding the Teflon chamber was purged with N<sub>2</sub> gas to prevent gas impurities from diffusing through the Teflon into the chamber air and to prevent ozone formation in the space between the Teflon chamber and housing. The sample air was continuously added into the PAM chamber and removed through a large exhaust hose

at the bottom of the PAM chamber. Aerosol particles, gases, relative humidity, pressure, and temperature were sampled from the bottom of the PAM chamber.

To distribute the air flow evenly into the chamber and to reduce losses on the wall surfaces, the sample air was added through tubes that had many tiny drilled holes and spiraled out from a central tube. Approximately 60% of the flow was sampled by the instrument inlet in the center of the bottom plate, while the rest exited the chamber through a ring-shaped tube with tiny drilled holes that surrounded the sampling inlet. The flow in the PAM chamber is not strictly plug flow, for which residence time and distance from the inlet are linearly correlated. Instead, convection mixes the air and creates a distribution of residence times (Lambe et al., 2010). Thus, OA sampled at any time have experienced a distribution of OH exposures, although the distribution does have a well-defined peak value. Possible wall effects in the PAM chamber were examined by adding SO<sub>2</sub>, turning on the UV lights to produce OH, and then measuring the SO<sub>2</sub> decrease and the sulfate mass increase. Sulfate aerosol is known to be rapidly lost to wall surfaces, but the conversion of SO<sub>2</sub> to sulfate in the chamber agreed with theory to within 10%, indicating that wall loss had little effect on the sampled air.

## 2.2 Experimental conditions

The SOA formation experiments were carried out using photo-oxidation of three precursor VOCs:  $\alpha$ -pinene, *m*-xylene, and *p*-xylene. The PAM chamber was continuously flushed with humidified and purified zero air overnight before experiments the next day. In addition, a humidified zero air flow was frequently irradiated by UV lamps to clean up the chamber and to test for particle formation, which would indicate problems with wall interactions or impure zero air. The flow rate in the chamber was 5 L min<sup>-1</sup>, which produced a mean residence time of 240 ± 36 s. VOC gas mixtures, prepared in ultra zero N<sub>2</sub> gas, were combined with humidified zero air prior to the PAM chamber inlet and then added into the chamber. The stated purities of parent VOCs and N<sub>2</sub> gas are as follows:  $\alpha$ -pinene (Fluka, 99.0%), *m*-xylene (Fluka, 99.5%), *p*-xylene (Fluka, 99.5%), and N<sub>2</sub> (99.9999% pure, <0.5 ppm THC, GTS). The preparation method was described previously (Kang et al., 2007).

The oxidants O<sub>3</sub>, OH, and HO<sub>2</sub> were generated in the PAM chamber by turning on the UV lamps. O<sub>3</sub> was kept constant at 9 ppmv, while OH and HO<sub>2</sub> were varied from 63 pptv to 480 pptv and 0.45 ppbv to 4 ppbv, respectively, by varying the relative humidity. The pressure of the chamber was ambient, approximately 960 hPa. The amount of OH in the chamber was calibrated as a function of relative humidity by sampling from the chamber with the Ground-based Tropospheric Hydrogen Oxides Sensor (GTHOS) and by measuring the decrease in SO<sub>2</sub> as OH was added (Root, 2007; Kang et al., 2007). The relationship between OH and relative

humidity is given by  $\text{OH}(\text{pptv}) = 9.3643 \times \text{RH}(\%) + 34.643$ ,  $R^2 = 0.99$ . The relative humidity range was 3 to 45%. The absolute uncertainty of this calibration is  $\pm 32\%$ ,  $2\sigma$  uncertainty (Faloona et al., 2004). The photo-oxidation and particle formation was initiated and terminated by turning on and off the UV lamp.

OH exposure, which is OH concentration ( $\text{molecules cm}^{-3}$ ) integrated over the time in the PAM chamber, determines how fast the precursor VOCs and their products are oxidized. The rates for reactions between OH and VOCs are large; for instance  $k_{\text{OH}+\alpha\text{-pinene}} = 5 \times 10^{-11} \text{ molecules}^{-1} \text{ cm}^3 \text{ s}^{-1}$ ,  $k_{\text{OH}+m\text{-xylene}} = 2.44 \times 10^{-11} \text{ molecules}^{-1} \text{ cm}^3 \text{ s}^{-1}$ , and  $k_{\text{OH}+p\text{-xylene}} = 1.5 \times 10^{-11} \text{ molecules}^{-1} \text{ cm}^3 \text{ s}^{-1}$  at 300 K (NIST Chemical Kinetics Database, Version 7.0, 2000). For even the largest VOC amount and smallest OH amount, photochemical modeling indicates that VOCs were oxidized and OH returned to a stable value within the first 150 s in the chamber, with OH recovering faster for  $\alpha$ -pinene than for the xylenes. This modeling result is confirmed by gas chromatographic measurements that show no VOCs remained at the bottom of the chamber for all experiments. Photochemical modeling also shows that OH exposure was reduced by the presence of the high VOC amounts, by as much as 40% in the worst case. These modeling calculations have been used to correct the OH exposure determined from relative humidity. The OH exposure calculation also includes the uncertainty error bars ( $2\sigma$  confidence level) to take into account the OH yields from the fact that the ozone reactions with the VOCs and their products can produce OH as well as destroy it. For experiments with various VOC amounts and fixed OH, OH exposures decreased with increasing VOC amounts, decreasing 20% for  $\alpha$ -pinene, 33% for *m*-xylene, and 23% for *p*-xylene. An OH exposure of  $10^{12} \text{ molecules cm}^{-3} \text{ s}$  in the PAM chamber is equivalent to an ambient exposure time of  $\sim 5$  days for a typical diurnally averaged OH concentration of  $1.5 \times 10^6 \text{ molecules cm}^{-3}$  (Mao et al., 2009).

We performed two different types of experiments. The first examined the SOA oxidation as a function of precursor VOC amount and the second examined the SOA oxidation as a function of OH exposure. The detailed experimental conditions are presented in Table 1. All experiments were performed at a constant temperature of 25 °C with neither seed particles nor  $\text{NO}_x$ . For experiments with various precursor VOC amounts,  $\text{O}_3$  and final OH amount were kept constant at 9 ppmv and 260 pptv, respectively. Relative humidity was also controlled in the 23 to 25% range and temperature was kept constant at  $25 \pm 1$  °C. For experiments with various OH amounts,  $\text{O}_3$  was kept constant at 9 ppmv while OH was varied in the range of 63 pptv to 480 pptv by changing the relative humidity over the range of 3 to 45%.

### 2.3 Instrumentation

Both gases and particles were measured at the bottom of the PAM chamber (Fig. 1).  $\text{O}_3$  was continuously monitored with the Model 8810 Ozone Analyzer (Monitor Labs Inc.),  $\text{NO}/\text{NO}_x$  with the 42C Trace level  $\text{NO}-\text{NO}_2-\text{NO}_y$  analyzer (Thermo Environmental Instruments), and relative humidity and temperature with a HUMICAP HMP 45 A/D (Vaisala). Initial and final VOC amounts were measured by the 8610C Gas Chromatography-Flame Ionization Detector (GC-FID) (SRI Instruments, Torrance, CA). Aerosol mass concentration was continuously measured by the TEOM. The TEOM temperature was set to 30 °C and the air stream was not dried in order to avoid the loss of semi-volatile species by evaporation. The aerosol mass concentration was calculated from 2-min averages of the TEOM raw frequency. The detailed TEOM setup was described previously (Kang et al., 2007). Even at 30 °C, semi-volatile mass can evaporate from the TEOM filter, causing an underestimate of the mass (Wilson et al., 2006). However, as we described in Kang et al. (2007), the TEOM measures the time-rate-of-change of mass on a filter and integrates it over a time period to find the mass concentration. When the UV lamps are turned off and no more mass is being produced, the continued evaporation of semi-volatile organics from the filter appears as a negative mass. For both Kang et al. (2007) and this study, the negative mass signal after the UV lights were turned off was only a few percent of the measured mass; thus we assume that loss of semivolatile organic mass from the TEOM can be neglected.

The chemical composition of OA was measured by Q-AMS. In this study, we use the mass spectra of total particles regardless of size from the Q-AMS operated in the MS-mode. Detailed operational information of Q-AMS can be found elsewhere (Jayne et al., 2000; Canagaratna et al., 2007) and only a brief description is given here. The Q-AMS can measure size-resolved chemical composition of aerosol in the atmosphere by alternating between two modes, called mass spectrum (MS) and particle time of flight (pTOF). In the MS mode, the quadrupole is scanned from  $m/z$  1 to 300 to obtain a volume (or mass)-averaged MS of the sampled aerosol. In the pTOF mode, it scans a pre-selected number of ion fragment masses from a chopped particle beam. Because the entire mass spectra scan cannot be completed for single particles due to the short duty cycle in pTOF mode, Q-AMS provides limited single particle information. In this study, we are primarily interested in the ensemble mass spectrum of the sampled aerosol. Therefore, the Q-AMS is adequate for a controlled laboratory study involving purified air and a single VOC or a simple mixture of VOCs (Drewnick et al., 2005; Canagaratna et al., 2007).

**Table 1.** Experimental conditions of OH exposures, SOA concentrations and SOA yield for various conditions. SOA concentration was measured by a TEOM.

VOCs	$\Delta$ HC (ppbv)	OH (pptv)	OH exposure (molecules $\text{cm}^{-3}$ s)	SOA Concentration ( $\mu\text{g m}^{-3}$ )	SOA Yield
$\alpha$ -pinene	7 $\pm$ 1	260	$(1.5 \pm 0.5) \times 10^{12}$	12 $\pm$ 5	0.31 $\pm$ 0.14
	19 $\pm$ 3	260	$(1.4 \pm 0.5) \times 10^{12}$	22 $\pm$ 5	0.22 $\pm$ 0.06
	33 $\pm$ 5	260	$(1.4 \pm 0.5) \times 10^{12}$	62 $\pm$ 7	0.35 $\pm$ 0.06
	48 $\pm$ 8	260	$(1.3 \pm 0.5) \times 10^{12}$	83 $\pm$ 8	0.32 $\pm$ 0.05
	57 $\pm$ 9	260	$(1.3 \pm 0.5) \times 10^{12}$	150 $\pm$ 13	0.49 $\pm$ 0.08
	79 $\pm$ 13	260	$(1.2 \pm 0.4) \times 10^{12}$	220 $\pm$ 18	0.51 $\pm$ 0.08
	39 $\pm$ 6	63	$(2.8 \pm 0.9) \times 10^{11}$	110 $\pm$ 10	0.54 $\pm$ 0.10
	39 $\pm$ 6	160	$(7.8 \pm 2.5) \times 10^{11}$	110 $\pm$ 10	0.52 $\pm$ 0.09
	39 $\pm$ 6	260	$(1.3 \pm 0.5) \times 10^{12}$	94 $\pm$ 9	0.45 $\pm$ 0.08
	39 $\pm$ 6	430	$(2.3 \pm 0.8) \times 10^{12}$	94 $\pm$ 9	0.45 $\pm$ 0.08
$m$ -xylene	87 $\pm$ 14	260	$(1.2 \pm 0.4) \times 10^{12}$	16 $\pm$ 5	0.04 $\pm$ 0.02
	160 $\pm$ 30	260	$(1.1 \pm 0.5) \times 10^{12}$	67 $\pm$ 7	0.10 $\pm$ 0.02
	360 $\pm$ 60	260	$(8.9 \pm 5.0) \times 10^{11}$	170 $\pm$ 15	0.11 $\pm$ 0.02
	430 $\pm$ 70	260	$(8.3 \pm 5.0) \times 10^{11}$	270 $\pm$ 22	0.15 $\pm$ 0.03
	170 $\pm$ 30	72	$(2.4 \pm 2.0) \times 10^{11}$	66 $\pm$ 7	0.09 $\pm$ 0.02
	170 $\pm$ 30	160	$(6.2 \pm 4.0) \times 10^{11}$	63 $\pm$ 7	0.09 $\pm$ 0.02
	170 $\pm$ 30	270	$(1.2 \pm 0.5) \times 10^{12}$	53 $\pm$ 7	0.07 $\pm$ 0.02
	170 $\pm$ 30	390	$(1.9 \pm 0.9) \times 10^{12}$	52 $\pm$ 7	0.07 $\pm$ 0.02
	170 $\pm$ 30	480	$(2.4 \pm 0.8) \times 10^{12}$	57 $\pm$ 7	0.08 $\pm$ 0.02
$p$ -xylene	140 $\pm$ 30	260	$(1.2 \pm 0.4) \times 10^{12}$	18 $\pm$ 5	0.03 $\pm$ 0.01
	200 $\pm$ 30	260	$(1.1 \pm 0.5) \times 10^{12}$	51 $\pm$ 6	0.06 $\pm$ 0.01
	260 $\pm$ 40	260	$(9.9 \pm 6.0) \times 10^{11}$	86 $\pm$ 9	0.08 $\pm$ 0.01
	370 $\pm$ 60	260	$(8.9 \pm 5.0) \times 10^{11}$	250 $\pm$ 21	0.16 $\pm$ 0.03
	180 $\pm$ 30	63	$(2.1 \pm 2.0) \times 10^{11}$	70 $\pm$ 8	0.09 $\pm$ 0.02
	180 $\pm$ 30	140	$(5.7 \pm 4.0) \times 10^{11}$	61 $\pm$ 7	0.08 $\pm$ 0.02
	180 $\pm$ 30	260	$(1.1 \pm 0.5) \times 10^{12}$	55 $\pm$ 7	0.07 $\pm$ 0.01
	180 $\pm$ 30	330	$(1.6 \pm 0.7) \times 10^{12}$	52 $\pm$ 7	0.07 $\pm$ 0.01
	A mixture				
$(\alpha$ -pinene 37 $\pm$ 6 ppbv,		58	$(1.8 \pm 1.0) \times 10^{11}$	79 $\pm$ 8	0.13 $\pm$ 0.03
$m$ -xylene 46 $\pm$ 7 ppbv,		260	$(1.1 \pm 0.5) \times 10^{12}$	59 $\pm$ 7	0.10 $\pm$ 0.02
$p$ -xylene 47 $\pm$ 8 ppbv)		450	$(2.3 \pm 0.8) \times 10^{12}$	48 $\pm$ 6	0.08 $\pm$ 0.02

## 2.4 Determination of the total OA mass concentration

The SOA mass concentrations generated in the PAM chamber were directly measured by TEOM and compared with the OA mass concentrations that were calculated from the AMS mass spectrum analysis. The calculation of the OA mass concentration depends on assumptions about which mass peaks contribute to the OAs.

The fragmentation table reported in Allan et al. (2004) was the basis for the calculation of OA mass concentration reported here. This table was modified to account for peaks that, in measurements of ambient aerosols, are dominated by air or by non-organic particulate compounds but, in these experiments with high OA mass concentrations, correlate

strongly with organic peaks, such as  $m/z$  43 and 44. Thus, signals from fragments at  $m/z$  14, 28, 31, 33, 34, 36, 39, 40, 46, and 47 are included in the calculation of OA mass concentration, excluding backgrounds measured in the absence of particles. In addition, small adjustments have been made to the Allan et al. (2004) relationships at  $m/z$  16, 17, 18, 19, 30, and 48 to account for correlations of these peaks with organic peaks (e.g., and  $m/z$  29, 44, and 62) that were observed for each set of experiments (Table 2). In this manner, the fragmentation table correctly attributed >99% of the OA mass concentration, with negligible attribution to other common ambient species such as sulfate, nitrate, and ammonium.

Because significant particulate signals were observed at  $m/z$  28 (presumably due to  $\text{CO}^+$  and  $\text{C}_2\text{H}_4^+$ ),  $m/z$  32 was

**Table 2.** The changes to Allan et al. (2004) fragmentation table used in this analysis.

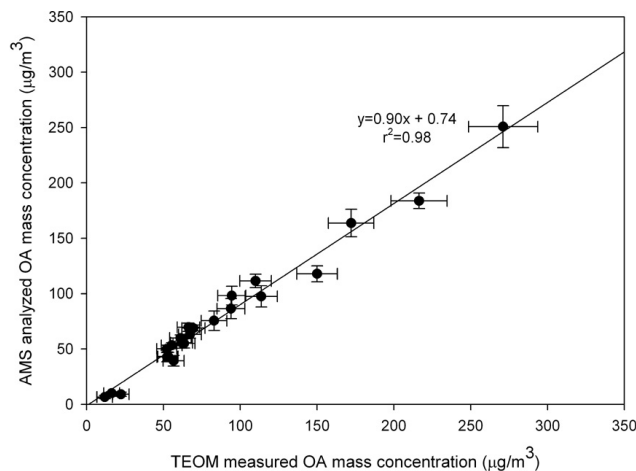
$m/z$	Allan et al. (2004)	This analysis (range)
14	–	$(3.5\text{--}4.5) \times \text{frag}_{\text{organic}}$ [13]*
16	$0.04 \times \text{frag}_{\text{organic}}$ [18]	$(0.18\text{--}0.25) \times \text{frag}_{\text{organic}}$ [44]
17	$0.25 \times \text{frag}_{\text{organic}}$ [18]	$(0.31\text{--}0.45) \times \text{frag}_{\text{organic}}$ [44]
18	$1.0 \times \text{frag}_{\text{organic}}$ [44]	$(0.8\text{--}1.04) \times \text{frag}_{\text{organic}}$ [44]
19	$0.00128 \times \text{frag}_{\text{organic}}$ [18]	$19 - \text{frag}_{\text{water}}$ [19] – $\text{frag}_{\text{air}}$ [19]
20	$0.002 \times \text{frag}_{\text{organic}}$ [18]	$(0.002\text{--}0.005) \times \text{frag}_{\text{organic}}$ [44]
21	–	21
22	–	22
28	–	$(0.6\text{--}0.7) \times \text{frag}_{\text{organic}}$ [43] or $(0.8\text{--}0.9) \times \text{frag}_{\text{organic}}$ [44]
30	$0.022 \times \text{frag}_{\text{organic}}$ [29]	$(0.18\text{--}0.23) \times \text{frag}_{\text{organic}}$ [29]
31	–	31
33	–	33
36	–	36
39	–	$(0.1\text{--}0.19) \times \text{frag}_{\text{organic}}$ [43]
40	–	$40 - \text{frag}_{\text{air}}$ [40]
46	–	$(0.03\text{--}0.06) \times \text{frag}_{\text{organic}}$ [44]
47	–	47
48	$0.5 \times \text{frag}_{\text{organic}}$ [62]	$(0.33\text{--}0.66) \times \text{frag}_{\text{organic}}$ [62]

\* [ $m/z$ ]: signals at the given  $m/z$ .

chosen for the air beam reference for normalization to the AMS-standard pre- and post-experiment calibrations that employed ammonium nitrate aerosols. The signals at  $m/z$  32 did not vary with OA mass concentration, indicating that this mass was suitable for tracking any drift in sensitivity or tuning of the quadrupole mass analyzer over the course of a series of experimental runs. A sample set of changes to the Allan et al. (2004) fragmentation table is shown in Table 2. The total OA mass concentration calculated here was about 25% greater than values obtained using the Allan et al. (2004) fragmentation table, due mostly to adjustments in  $m/z$  18 and  $m/z$  28 and inclusion of  $m/z$  14, 39, and 40.

It is important to note here that in these experiments, for which expected products are pure organics and signals are much larger than in ambient measurements, it is relatively straightforward to account for the OA mass concentration. It is more difficult to account for OA mass concentration in ambient measurements. Consequently, it is reasonable to compare values of  $f_{43}$  and  $f_{44}$  from laboratory experiments (e.g., flow tubes or environmental chambers), but comparisons to ambient measurements (e.g., Ng et al., 2010) are more complicated. It is likely that  $f_{43}$  and  $f_{44}$  values calculated with modified fragmentation tables that account for all the OA mass concentration as described above will be systematically smaller than values calculated for ambient aerosols with standard fragmentation tables.

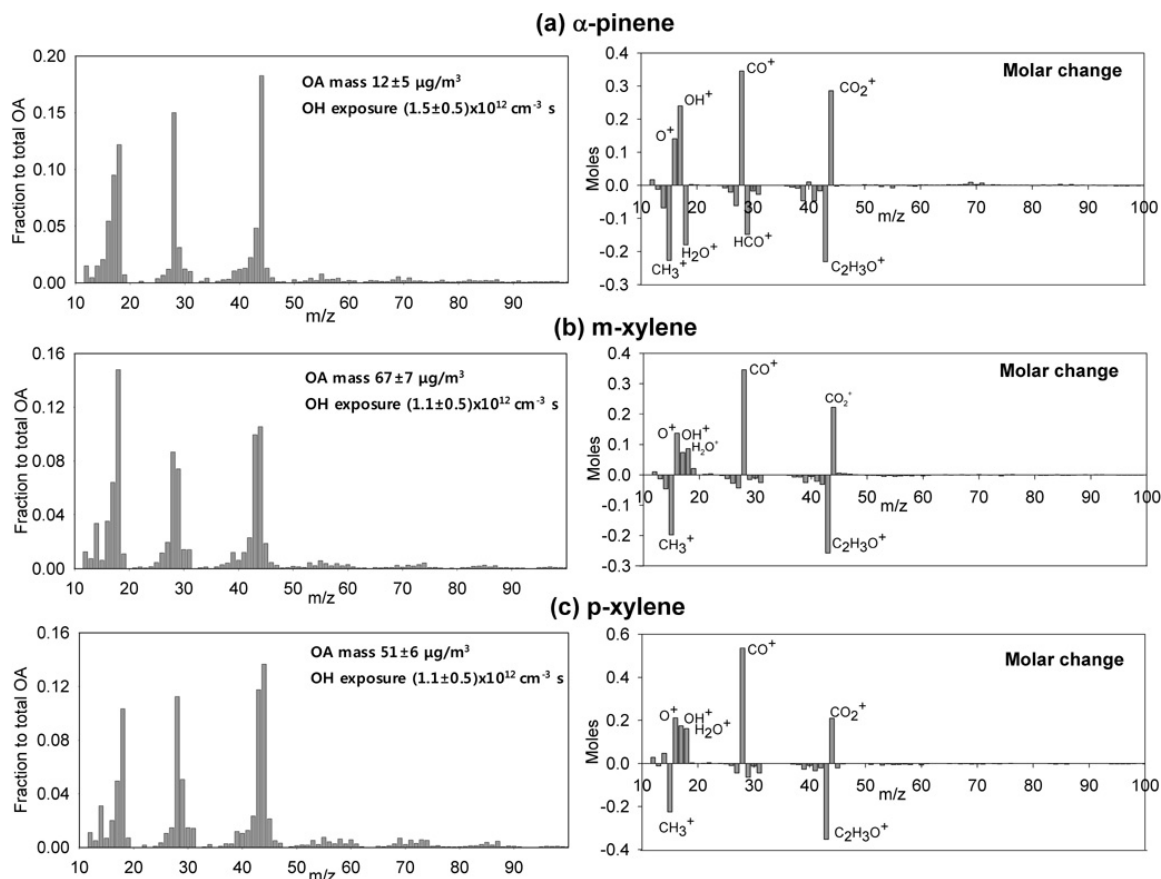
Depending on the assignment of  $m/z$  peaks or fractions of  $m/z$  peaks as organics, the calculated OA mass concentrations, thus  $f_{44}$  and  $f_{43}$ , differs by as much as 15–25%. If  $f_{44}$  and  $f_{43}$  are to be used as generalized oxidation indicators and for comparing oxidation processes in different lab-

**Fig. 2.** AMS-analyzed OA mass concentration compared with TEOM-measured OA mass concentration. Error bars for TEOM and AMS mass concentration are at the  $1\sigma$  confidence level.

oratory and field studies, then the publication of each study should contain a description or reference of the determination of OA mass concentrations in order to make the comparisons among published studies valid.

The OA mass concentration calculated from this AMS spectrum analysis is in a good agreement with TEOM-measured aerosol mass concentrations with a slope of 0.90 and  $r^2$  of 0.98 (Fig. 2). For these laboratory studies in which all the aerosol mass comes from the oxidation of VOCs, the OA mass concentration, as determined by the AMS analysis, should be the same as the total aerosol mass concentration, as determined by the TEOM. An AMS underestimation of the OA mass concentration could occur for three reasons: (1) the limited transmission of the AMS lens about 50–1500 nm in size, (2) evaporation of volatile components such as water and some light organics, and (3) potential particle bounce which results in less than 100% particle collection efficiency (Allan et al., 2004).

In this study, particles were not dried prior to sampling by the AMS. The good agreement between the total OA mass measured by the AMS and TEOM suggest that transmission losses in the AMS are small under these conditions. As described in Sect. 2.3, the evaporation losses of semivolatiles on the TEOM were also small. In addition, if the AMS results were to be corrected for particle bounce reported by others who dry the particles prior to sampling, the total organic yields would vastly exceed those observed in environmental chambers and flow tubes (Matthew et al., 2008). In light of recent studies that have discussed the AMS sampling issues, it would be useful for future studies of the PAM chamber to investigate the role of particle phase on sampling efficiency. When the uncertainties in the TEOM measurement and the AMS analysis are considered, the absolute uncertainty in the AMS OA mass concentration is estimated to be  $\pm 30\%$  at the  $2\sigma$  confidence level.



**Fig. 3.** The left panel represents the example of mass spectra of SOA for (a)  $7 \pm 1$  ppbv of  $\alpha$ -pinene, (b)  $160 \pm 30$  ppbv of *m*-xylene, and (c)  $200 \pm 30$  ppbv of *p*-xylene in the PAM chamber. OH exposure was  $1.5 \times 10^{12} \text{ cm}^{-3} \text{ s}$  for no VOC addition, and resulting OH exposures for each VOC amount are shown in the figure. The OH exposure includes the uncertainty error bars ( $2\sigma$  confidence level). All spectra are the quantitative fractional contribution to the total OA mass concentration. The right panel represents the observed fractional molar change as a function of increasing  $f_{44}$  (e.g., OH exposure). Peaks are normalized to the y-intercept of the  $f_{44}$  vs.  $f_{43}$  plot (e.g., Fig. 6).

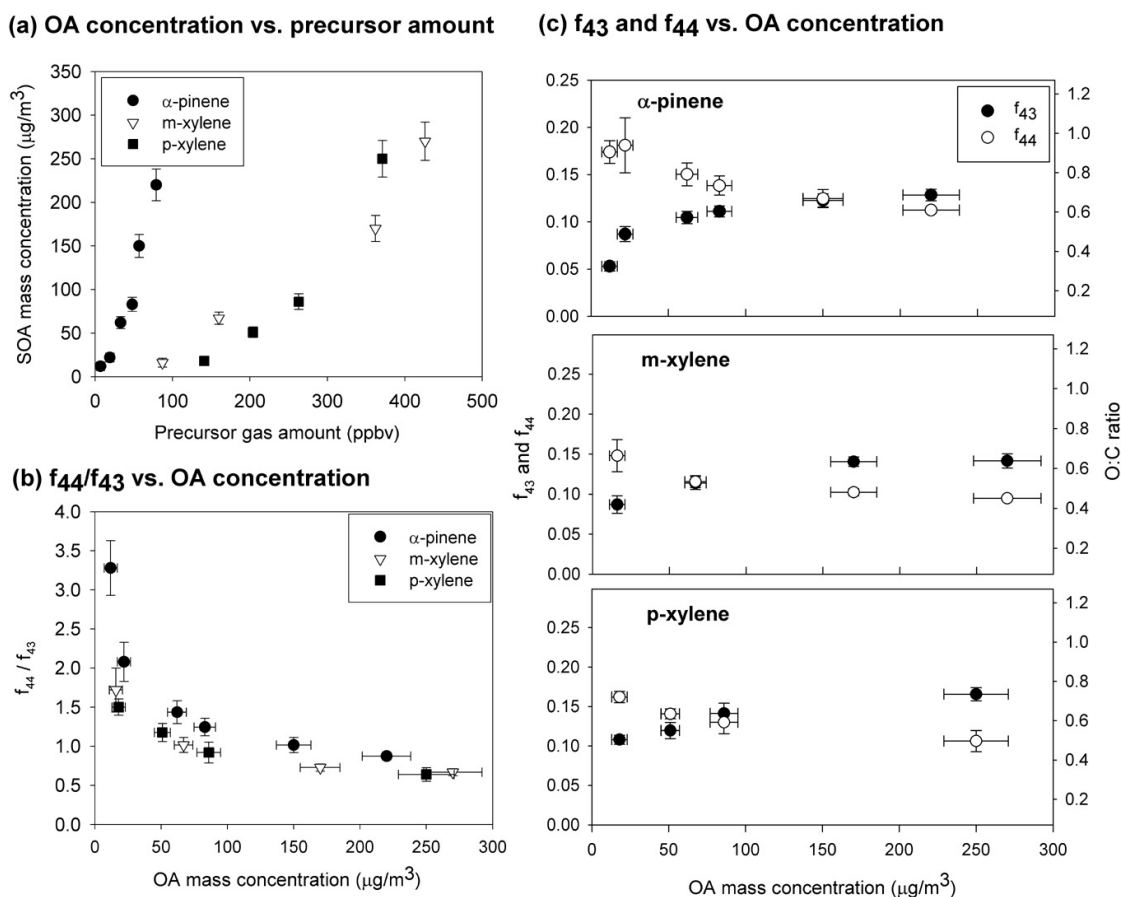
### 3 Results and discussion

#### 3.1 Characteristics of SOA generated in a highly oxidizing environment

Examples of mass spectra of SOA formed in the PAM chamber for three VOCs at a constant OH are shown in Fig. 3. Overall, four dominant peaks were observed at  $m/z$  18, 28, 43, and 44. Fragments at higher molecular weights were observed (such as  $m/z$  55, 57, 67, and/or higher  $m/z$ ) but these individual peaks were smaller than the four dominant ones. The relatively high contribution of  $m/z > 50$ , for instance  $m/z$  57, 67, and 69, are commonly found for HOA and consist of unsaturated hydrocarbons, unsaturated alcohols, unsaturated carbonyl groups, or freshly formed SOA from the urban plume or diesel exhaust. The dominance of  $m/z$  43 and 44 observed in these experiments is a characteristic of OOA that is commonly observed in rural or remote atmospheres, hours-to-days downwind on SOA sources

(Bahreini et al., 2005; Zhang et al., 2005; Ng et al., 2010). It is not surprising that the SOA in the PAM chamber resemble OOA, since the smallest OH exposure in the PAM chamber is  $1.8 \times 10^{11} \text{ cm}^{-3} \text{ s}$ , equivalent to about 1.4 days in the atmosphere, assuming an average OH concentration of  $1.5 \times 10^6 \text{ cm}^{-3}$ .

For each precursor studied, there was a series of peaks that varied primarily with  $m/z$  43 and a different series that varied primarily with  $m/z$  44. There were a larger number of peaks that varied with both  $m/z$  43 and  $m/z$  44, probably due to fragmentation of a common parent compound. The right panels in Fig. 3 show difference spectra obtained from a regression of the changes in individual  $m/z$  values as a function of changing  $f_{44}$ . A positive (or negative) value represents a gain (or loss) of a particular mass with increasing  $f_{44}$ . These difference spectra are remarkably similar and very simple: they reveal that with increased oxidation the condensed phase is enriched in species that fragment into  $m/z$  16, 17, 28, and 44 (e.g., the expected fragments of  $-\text{COOH}$  and  $-\text{C}=\text{O}$  groups), while being depleted in species



**Fig. 4.** SOA oxidation dependent on the OA mass concentration for  $\alpha$ -pinene, *m*-xylene, and *p*-xylene. OH exposure was ranged between  $(12 \pm 4.0) \times 10^{11}$  and  $(15 \pm 5.0) \times 10^{11} \text{ cm}^{-3} \text{ s}$  for  $\alpha$ -pinene,  $(8.3 \pm 5.0) \times 10^{11}$  and  $(12 \pm 4.0) \times 10^{11} \text{ cm}^{-3} \text{ s}$  for *m*-xylene, and  $(8.9 \pm 5.0) \times 10^{11}$  and  $(12 \pm 4.0) \times 10^{11} \text{ cm}^{-3} \text{ s}$  for *p*-xylene. OA mass concentration was measured by the TEOM. Error bars for SOA mass concentration,  $f_{43}$ , and  $f_{44}$  are at the  $1\sigma$  confidence level. (a) Generated OA mass concentrations vs. precursor VOCs amount. (b) The ratio of  $f_{44}$  to  $f_{43}$  vs. OA mass concentrations. (c) The values of  $f_{44}$  and  $f_{43}$  vs. the OA mass concentrations. O:C ratio was calculated from  $f_{44}$  values based on Aiken et al. (2008).

that fragment into  $m/z$  14, 15, 27, 29, and 43 (the expected fragments of  $-\text{C}_2\text{H}_3\text{O}$  and  $-\text{CH}_3$  groups). For all three organic precursors, the change in the O/C ratio is  $-1.0 \pm 0.05$ , strong evidence for an increase in the oxidation state of carbon from +2 to +3 in response to OH exposure (i.e.,  $-\text{CH}_x\text{O}$ -groups), as opposed to just an increase in the number of oxidized carbon atoms in a particular compound. In the following discussions, the mass spectrum peaks at  $m/z$  43 and  $m/z$  44 will be used as indicators of the relative oxidation of the SOA as the VOC type and amount or the OH exposure are varied.

### 3.2 Effect of OA mass concentration on the SOA oxidation

The dependence of SOA oxidation on OA mass concentration was studied by varying the amounts of three VOCs:  $\alpha$ -pinene, *m*-xylene, and *p*-xylene.  $\text{O}_3$  was kept constant at 9 ppbv and the final OH amount was fixed to 260 pptv,

resulting in the OH exposures in Table 1. The amount of  $\alpha$ -pinene was varied from  $7 \pm 1$  to  $79 \pm 13$  ppbv, *m*-xylene from  $87 \pm 14$  to  $430 \pm 70$  ppbv, and *p*-xylene from  $140 \pm 30$  to  $370 \pm 60$  ppbv (Table 1). These mixing ratios are greater than those found in the atmosphere, although the smallest  $\alpha$ -pinene amounts are comparable to total VOC amounts in the atmosphere.

As VOC amounts increased, the measured OA mass concentration increased (Fig. 4a). The photo-oxidation of  $\alpha$ -pinene generated more OA mass than *m*-xylene because the OA yield is greater for  $\alpha$ -pinene than *m*-xylene in a PAM chamber (Kang et al., 2007). OA in the PAM chamber was formed from the direct photo-oxidation of VOCs without seed particles or POA, thus the OA mass concentration was directly proportional to the VOC amount. As can be seen by the upward curvature of all plots in Fig. 4a, the OA yield increased with VOC amounts, indicating an increase in the partitioning in the condensed phase with increasing OA mass concentration (Odum et al., 1996; Donahue et al., 2006).



The OA mass yields are greatly different for  $\alpha$ -pinene and the xylenes (*m*-xylene and *p*-xylene), but the fractions of  $m/z$  43 and  $m/z$  44 to the total OA mass concentration,  $f_{43}$  and  $f_{44}$ , have almost the same dependence on the OA mass concentration (Fig. 4b and c). The ratio of  $f_{44}$  to  $f_{43}$  of xylenes was about 10–20% smaller than that of  $\alpha$ -pinene, but the decrease in  $f_{44}/f_{43}$  as the OA mass concentration increases is similar (Fig. 4b), with the difference that the OA mass concentration at which  $f_{44}/f_{43}$  equals one is much less for xylenes. These results show that the amount of oxidation depends strongly on the OA mass concentration, especially for low OA mass concentrations. There is a rapid decrease in  $f_{44}/f_{43}$  with increasing OA below  $50 \mu\text{g m}^{-3}$  and a much smaller decrease at higher OA mass concentrations. For the lowest  $\alpha$ -pinene mixing ratio,  $7 \pm 1$  ppbv,  $f_{44}/f_{43}$  is 3.2 and  $f_{44}$  is 0.19, consistent with aged atmospheric OA (Ng et al., 2010, Fig. 5).

As the OA mass concentration increased,  $f_{43}$  increased and both  $f_{44}$  and atomic oxygen-to-carbon (O:C) ratios decreased (Fig. 4c). The O:C ratio was calculated using the approximate correlation equation, O/C atomic ratio =  $(0.0382 \pm 0.0005) \times (m/z \text{ 44/OA from this study (in \%))} + (0.0794 \pm 0.0070)$ , from Aiken et al. (2008). The O:C ratio for  $\alpha$ -pinene in this study decreased from 0.8 to 0.5 for a range of OA mass concentrations of  $12 \pm 5$  to  $220 \pm 18 \mu\text{g m}^{-3}$ . For *m*-xylene and *p*-xylene, the ratio decreased from 0.7 to 0.4 for a range of OA mass concentrations within  $16 \pm 5$  and  $270 \pm 22 \mu\text{g m}^{-3}$ . These  $f_{44}$  and O:C ratios are comparable to those observed in the atmosphere, where O:C ratios vary widely from 0.2 to 1.0 (Aiken et al., 2008; Jimenez et al., 2009; Ng et al., 2010). On the other hand, O:C ratios observed in environmental chambers and some flow tubes are less than 0.6 (Aiken et al., 2008; George and Abbatt, 2010; Ng et al., 2010), quite different from the atmosphere and from this study.

The decrease of  $f_{44}$  and increase of  $f_{43}$  with increasing OA mass concentration is significant. The decrease in the ratio of  $f_{44}$  to  $f_{43}$  with increasing OA mass concentration is another way to show this behaviour. One possible explanation for this behaviour is that more volatile and less oxidized organics are able to condense as the OA mass concentration increases (Donahue et al., 2006). As a result, an increasing fraction of the OA is less oxidized, thus shifting  $f_{43}$  up and  $f_{44}$  down. The non-linear behaviour of  $f_{44}$  and  $f_{43}$  as a function of OA mass concentration (Fig. 4 (b) and (c)) must then be related to the volatility of the semi-volatile organics that are condensing. The cross-over point (i.e., where  $f_{44}$  and  $f_{43}$  are equal) for *m*-xylene and *p*-xylene is at a lower OA mass concentration than for  $\alpha$ -pinene, suggesting that the organics in the xylenes OA are less volatile and more oxidized than those in the  $\alpha$ -pinene OA, a conclusion also reached by Ng et al. (2010).

The behaviour of  $f_{44}$  as a function of OA mass concentration observed in this study is similar to the compilation of photo-oxidation and ozonolysis experiments in Ng et al.,

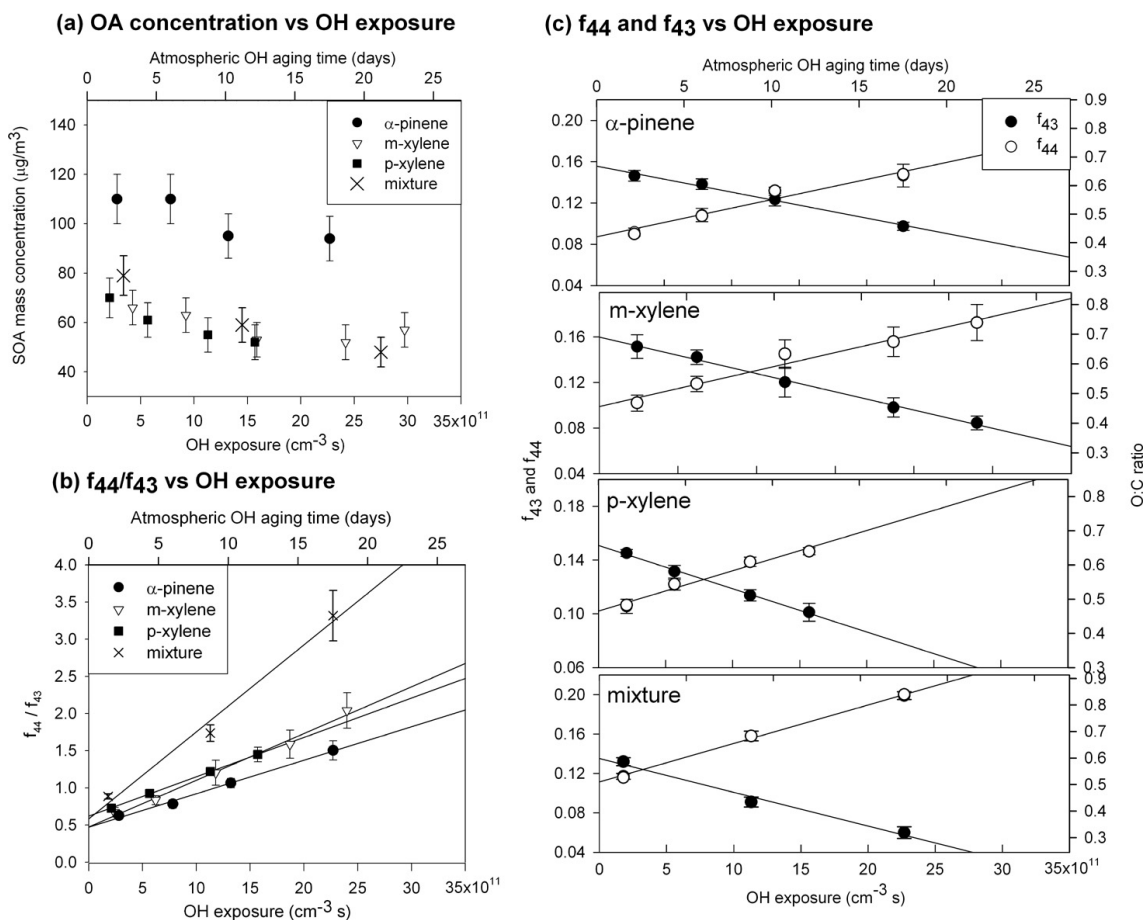
(2010). The difference between this study and the previous ones is that  $f_{44}$  for this study is about twice as large as that measured in most studies at low OA mass concentrations and in all studies at mass concentrations greater than  $50 \mu\text{g m}^{-3}$ . Our study may get this result because the PAM chamber samples the high OH exposure region of  $f_{44}$  and  $f_{43}$  values.

### 3.3 Effect of OH exposure on the SOA oxidation

The effect of OH exposure on the SOA formation and oxidation was studied with different levels of OH exposure in the PAM chamber. Initial VOCs concentrations were  $39 \pm 6$  ppbv of  $\alpha$ -pinene,  $170 \pm 30$  ppbv of *m*-xylene,  $180 \pm 30$  ppbv of *p*-xylene, and a mixture of  $37 \pm 6$  ppbv of  $\alpha$ -pinene,  $46 \pm 7$  ppbv of *m*-xylene and  $47 \pm 8$  ppbv of *p*-xylene. The OH exposure was varied by changing the relative humidity. The effect of relative humidity itself on the SOA was found to be negligible for these precursor VOC gases (Kang et al., 2007). By changing the relative humidity over the range of 3 to 45%, the OH was changed from 63 pptv to 480 pptv, and the OH exposure was changed from  $(1.8 \pm 1.0) \times 10^{11} \text{ cm}^{-3} \text{ s}$  to  $(2.4 \pm 0.8) \times 10^{12} \text{ cm}^{-3} \text{ s}$ .

The OH exposure affected the OA mass concentration as well as the chemical characteristics (Fig. 5a). In a simple conceptual model of OA oxidation, the OA mass concentration builds as the OH exposure begins and VOCs are oxidized to less volatile organics. With further oxidation, fragmentation can occur, resulting in breaking carbon bonds and loss of OA mass concentration. Functionalization and oligomerization can also occur (Gross et al., 2006; Jimenez et al., 2009), but in this study functionalization was not the dominant process based on the O:C ratio increase and OA mass concentration decrease with oxidation, which is similar to the conclusion of Kroll et al. (2009) and Heald et al. (2010). In this study, oligomerization is difficult to study because of the extensive fragmentation by the Q-AMS.

For these studies, the OA mass concentration decreased for all OH exposures. The OA mass concentration decrease, the increase in  $f_{44}$ , and the decrease in  $f_{43}$  indicate that, as the OA organics became more oxidized and potentially fragmented, they became more volatile and the OA particles lost mass. This phenomenon has also been seen in other studies (Warren et al., 2008; Kroll et al., 2009; George and Abbatt, 2010). The peak in OA mass concentrations must have occurred at an OH exposure less than  $3.0 \times 10^{11} \text{ cm}^{-3} \text{ s}$ , because the OA mass concentrations decreased and then leveled off at a lower value for  $\alpha$ -pinene, *m*-xylene, *p*-xylene, and the mixture. The position of this peak depends on the OA mass concentration because  $f_{44}$  and  $f_{43}$ , and thus chemical composition and volatility, do (Fig. 4c). As a result, the peak OA yield was probably not observed in this study. Because OA mass concentrations in the atmosphere are generally far less than those used in this study, the amount of OH exposure needed to achieve the peak OA mass concentration will be even less than that employed here.

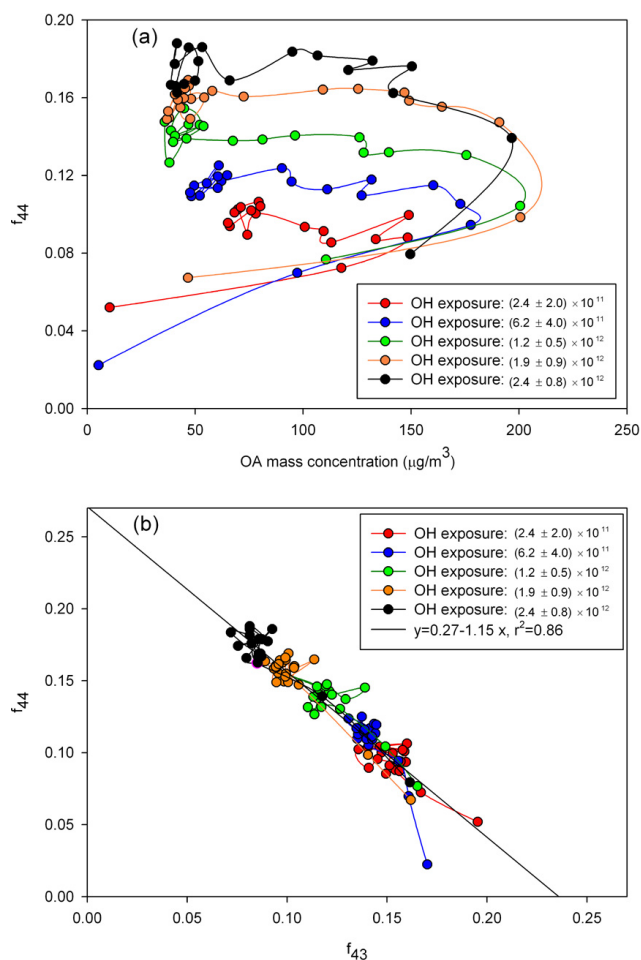


**Fig. 5.** SOA oxidation dependent on the OH exposure at a constant VOC amount of  $39 \pm 6$  ppbv of  $\alpha$ -pinene,  $170 \pm 30$  ppbv of  $m$ -xylene,  $180 \pm 30$  ppbv of  $p$ -xylene, and a mixture of three ( $37 \pm 6$  ppbv of  $\alpha$ -pinene,  $46 \pm 7$  ppbv of  $m$ -xylene,  $47 \pm 8$  ppbv of  $p$ -xylene). OA mass concentration was measured by the TEOM. The error bars for OA mass concentration,  $f_{43}$ , and  $f_{44}$  are at the  $1\sigma$  confidence level. Atmospheric OH aging time was obtained with a typical diurnally averaged OH concentration of  $1.5 \times 10^6$  molecules  $\text{cm}^{-3}$  (Mao et al., 2009). Uncertainties in the OH exposures are correlated so that the OH exposure scale could expand or shrink by the uncertainty ranges given in Table 1. **(a)** OA mass concentration vs. OH exposure. **(b)** The ratio of  $f_{44}$  to  $f_{43}$  vs. OH exposures. Linear regressions of each line are shown here; for  $\alpha$ -pinene, slope =  $4.50 \times 10^{-13}$ , intercept = 0.47,  $r^2 = 0.99$ ; for  $m$ -xylene, slope =  $6.29 \times 10^{-13}$ , intercept = 0.48,  $r^2 = 0.99$ ; for  $p$ -xylene, slope =  $4.46 \times 10^{-13}$ , intercept = 0.64,  $r^2 = 0.99$ ; and for the mixture, slope =  $1.17 \times 10^{-12}$ , intercept = 0.58,  $r^2 = 0.99$ . **(c)** The values of  $f_{43}$  and  $f_{44}$  vs. OH exposures. O:C ratio was calculated from  $f_{44}$  values based on Aiken et al. (2008).

The ratio of  $f_{44}$  to  $f_{43}$  increased as the OH exposure increased for all experiments here (Fig. 5b). The measured range of the ratio of  $f_{44}$  to  $f_{43}$  was 0.63 to 3.3 for OH exposures of  $(1.8 \pm 1.0) \times 10^{11}$   $\text{cm}^{-3}$  s to  $(2.4 \pm 0.8) \times 10^{12}$   $\text{cm}^{-3}$  s. The maximum values from large environmental chambers are on the lower end of this measured range; for instance, the ratios of  $f_{44}$  to  $f_{43}$  were 0.6 for 160 ppbv of  $\alpha$ -pinene photo-oxidation from Alfarra et al. (2006) and 0.5 for 186 ppbv of  $\alpha$ -pinene ozonolysis from Bahreini et al. (2005). The ratio of  $f_{44}$  to  $f_{43}$  in rural area in British Columbia, Canada and the Jungfrauoch remote high-alpine locations were observed to be 1.7 (Alfarra et al., 2006). It varied from about 0.4 to more than 4.0 for measurements downwind of Mexico City and in Riverside CA (Ng et al., 2010). Thus, the  $f_{44}/f_{43}$  values observed in this study are

comparable to those observed in the atmosphere even though the SOA mass concentrations observed in this study are far greater than those observed in the atmosphere.

The changes in  $f_{44}$ ,  $f_{43}$ , and  $f_{44}/f_{43}$  are all linear with OH exposure. Linear regressions of  $f_{44}/f_{43}$  vs. OH exposure (Fig. 5 b and c) gave slopes of  $4.5 \times 10^{-13}$   $\text{cm}^3 \text{s}^{-1}$  for  $\alpha$ -pinene,  $6.3 \times 10^{-13}$   $\text{cm}^3 \text{s}^{-1}$  for  $m$ -xylene,  $5.3 \times 10^{-13}$   $\text{cm}^3 \text{s}^{-1}$  for  $p$ -xylene, and  $1.2 \times 10^{-12}$   $\text{cm}^3 \text{s}^{-1}$  for the mixture, with  $r^2$  values greater than 0.98 for all of them. The linear regressions of  $f_{44}$  vs. OH exposure gave slopes in the range of  $2.5 \times 10^{-14}$  to  $3.9 \times 10^{-14}$   $\text{cm}^3 \text{s}^{-1}$ , while the linear regressions of  $f_{43}$  vs. OH exposure gave slopes in the range of  $-2.5 \times 10^{-14}$  to  $-3.4 \times 10^{-14}$   $\text{cm}^3 \text{s}^{-1}$ , respectively. The intercepts of the linear regressions were within 20% of each other for both  $f_{44}$  and  $f_{43}$ . The fraction of other masses, such



**Fig. 6.** Change in  $f_{44}$  and  $f_{43}$  over the course of *m*-xylene photo-oxidation experiment for various OH exposure conditions. (a) Change in  $f_{44}$  as a function of OA mass concentrations, (b) Change in  $f_{44}$  as a function of  $f_{43}$ . Each data point is a one-minute measurement data by the Q-AMS. The OH exposure unit is  $\text{cm}^{-3} \text{ s}$  with uncertainty error bars ( $2\sigma$  confidence level).

as  $f_{57}$ , is similarly linear with OH exposure, demonstrating that this behaviour applies to several AMS masses, not just  $m/z$  43 and  $m/z$  44.

From these experiments, it is not possible to distinguish between the gas-phase oxidation of semi-volatile organics that are in equilibrium with particle phase and the heterogeneous oxidation of organics on the particle surface. Since the OH concentration,  $[\text{OH}]$ , is simply the OH exposure divided by the PAM chamber residence time, the oxidation indicated by the  $f_{44}$  increase and  $f_{43}$  decrease is linear with  $[\text{OH}]$ . If the oxidation is occurring on the particle surface, then the surface reaction kinetics are linear with  $[\text{OH}]$  no matter what the exact surface reaction mechanism is. If the oxidation is occurring in the gas phase, then the reaction kinetics appear to be first-order in  $[\text{OH}]$ . This linearity holds for  $[\text{OH}]$  from  $10^9 \text{ cm}^{-3}$  to  $10^{10} \text{ cm}^{-3}$ ; additional studies will be needed to

see if this linearity holds down to atmospheric levels of  $[\text{OH}]$  from  $10^6 \text{ cm}^{-3}$  to  $10^7 \text{ cm}^{-3}$ . Whether the oxidation occurs in the gas phase or on the particle, this observation suggests that the high levels of OH in the PAM chamber can possibly be used to simulate atmospheric oxidation.

Considering the differences in the oxidation pathways for  $\alpha$ -pinene and the xylenes, it is noteworthy that  $f_{44}$  and  $f_{43}$ , as indicators of OA oxidation, behave similarly as a function of OH exposure. This similarity suggests that the evolution of  $f_{44}$  and  $f_{43}$  are dominated by oxidation of the aliphatic groups attached to the aromatic rings, rather than to oxidation (and breaking) of the aromatic rings, since the latter process would lead to a more complex suite of compounds with differing molecular masses. It is therefore interesting that the behaviour of  $f_{44}$  and  $f_{43}$  for the mixture of  $\alpha$ -pinene, *m*-xylene, and *p*-xylene is somewhat different from that of the individual precursor VOCs. The oxidation of the mixture occurs at a lower OH exposure, as indicated by the crossover of the  $f_{44}$  and  $f_{43}$  linear fits and the amount of oxidation appears to be greater, as indicated by  $f_{44}/f_{43}$ . Yet at the same time, the OA mass concentration decreases similarly to the xylenes that make up 75% of the mixture. More experiments will be needed to understand these observations.

Additional insight into the OA oxidation can be gained from the behaviour of  $f_{44}$ ,  $f_{43}$ , and OA mass concentration during individual experiments using *m*-xylene photo-oxidation as an example (Fig. 6a). Photo-oxidation in the PAM chamber was initiated by turning on the UV lamps only after the gases were flowing and the VOC was well mixed in the chamber. The lamps produce OH immediately, sometimes with brief spikes according to photochemical models, and the final OH amount was reached as the lamps stabilized and the VOCs reacted away in a few minutes. Each point in Fig. 6 is a minute apart; the first point is the lowest  $f_{44}$  value observed in each experiment. The high OH concentrations in the chamber rapidly oxidized the VOCs, resulting in the rapid increase of lower volatility organics that partition between the gas and particle phases. For all OH exposures, the OA mass concentration reached a transient peak in 2–3 min and then decreased to the stable values. This transient peak is not understood, but may be related to OA non-linear oscillatory nucleation and growth (McGraw and Saunders, 1984) and the competing processes of oxidation and evaporation, as will be described below.

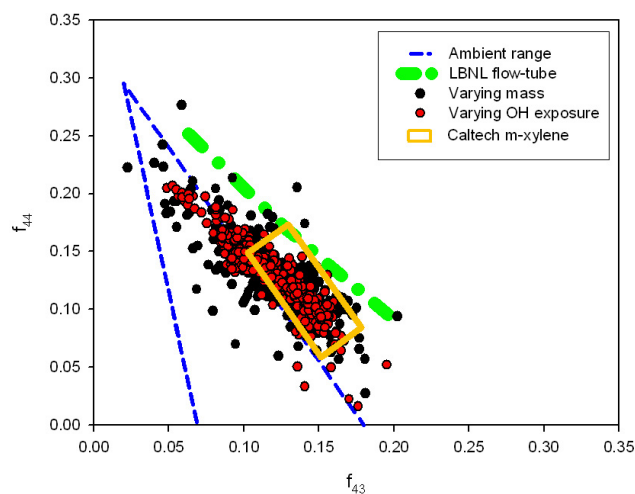
The stable OA mass concentrations are greater for the lower OH exposures while the transient peak OA mass concentrations are less. For the highest three OH exposures, the transient peaks are the same, as are the stable values. It is interesting to note how  $f_{44}$  and  $f_{43}$  behave as the OA go through this transient state. Initially,  $f_{44}$  increased as OH exposure increased even as the OA mass concentration grew. However, after the transient peak occurred and the OA began to evaporate, the amount of oxidation, as indicated by  $f_{44}$ , increased only slightly or did not change but it was greater for larger OH exposures. The behaviour

for  $\alpha$ -pinene, not shown, is qualitatively the same as for *m*-xylene, with similar peak values for different OH exposures, but with a slight decrease in  $f_{44}$  between the transient peaks and stable values. This result suggests that microphysics (e.g., evaporation) and not the oxidation of condensed phase was responsible for the decrease in the OA mass concentration between the transient peak and the stable value. It also suggests that most of the oxidation had already occurred by the time the OA mass concentration had peaked. This behaviour provides evidence that the oxidation occurred in the gas-phase, followed by partitioning of the low volatility products between the gas and particle phases. The increase in oxidation degree in all of these experiments is seen in a graph of  $f_{44}$  vs.  $f_{43}$  (Fig. 6b). All data plotted in Fig. 6a fall on a line with a slope of  $-1.15$ , and intercept of  $0.27$ , and an  $r^2$  of  $0.86$ . For greater OH exposure,  $f_{44}$  is higher and  $f_{43}$  is lower, as was already shown in Fig. 5c. Note that even the transient values fall on this line.

### 3.4 Comparison of SOA oxidation with atmospheric values and other laboratory studies

It can be difficult to compare the effects of OH exposure from this study to those of other studies primarily because the initial precursor VOC type and concentrations are generally different among the studies. However, if the  $f_{44}$  vs. OH exposure linear fit for *m*-xylene in this study is extrapolated to the OH exposure for the Caltech environmental chamber, the line could pass through the others' observed data (Ng et al., 2010, Fig. 7). George and Abbatt (2010) observed the increase of  $f_{44}$  with OH exposure for the mono-dispersed SOA from  $\alpha$ -pinene ozonolysis, but the slope was about  $1/3$  of ours (George and Abbatt, 2010, Fig. 4). Why the OA were more efficiently oxidized in this study compared to theirs is not known, but the conditions between their study and ours are quite different. In their study, OA were produced from  $\alpha$ -pinene by ozonolysis and then passed through an activated charcoal denuder to remove volatile and probably semi-volatile organics before being exposed to OH in a reaction flow tube. Thus, the greater amount of volatile and semi-volatile organics present during OH exposure in our study could explain the greater amount of  $f_{44}$  observed. It could also be that differences in the aerosol mass spectrometers used in the two studies or in the calculation of  $f_{44}$  and  $f_{43}$  are responsible for the different results. We come back to this point later.

A plot of  $f_{44}$  and  $f_{43}$  is a good indicator of the degree of SOA oxidation (Ng et al., 2010), as long as differences in the operation of the AMSs and in the calculation of  $f_{44}$  and  $f_{43}$  are similar for the studies being compared. The results of this study are plotted along with those from atmospheric measurements and other laboratory studies (Fig. 7). All one-minute measurements from this study are plotted, both for studies with varying OA mass concentrations and with vary-



**Fig. 7.** A graph of  $f_{44}$  vs.  $f_{43}$ . Red and black circles are 1-minute measurements from experiments with varying OA mass concentrations (black) and with varying OH exposure (red) in this study. Results from  $\alpha$ -pinene photo-oxidation in the LBNL high-OH flow tube (approximated by a green dot-dashed line) and from *m*-xylene photo-oxidation in the Caltech environmental chamber (approximated by the orange rectangle) fall outside of the triangle (blue dashed lines) that contains atmospheric measurements (Ng et al., 2010).

ing OH exposures. A linear fit to this study's results in Fig. 7 has a slope of  $-1.01$ , an intercept of  $0.25$ , and an  $r^2$  of  $0.72$ . The atmospheric measurements generally fall within the triangular space denoted by the dotted blue lines (Ng et al., 2010). Measurements of *m*-xylene photo-oxidation in the Caltech environmental chamber (orange rectangle) are consistent with the measurements from this study and fall just outside of the triangle. Measurements from a high-OH flow tube study at Lawrence Berkeley National Laboratory (LBNL) (Jimenez et al., 2009) have more  $f_{43}$  for a given  $f_{44}$  than any of the other measurements. Thus, the measurements from this study fall just outside of the triangle for less oxidized OA and inside the triangle for more oxidized OA.

For our study, the sum of  $f_{43}$  and  $f_{44}$  is  $0.25 \pm 0.03$  for essentially all one-minute measurements, independent of the type of study – varying OA mass concentration or varying OH exposure – and of the precursor gas –  $\alpha$ -pinene, *m*-xylene, *p*-xylene, and even a mixture of them. That this sum does not change significantly suggests that  $f_{43}$  and  $f_{44}$  are complete proxies for organic mass in the OA studied.

The slope of points on the  $f_{44}$  vs.  $f_{43}$  plot can be viewed as an indicator for the extent of oxidation of the condensed phase products. In the case of  $\alpha$ -pinene, products such as pinonaldehyde, pinonic acid, terpenylic acid, and a  $C_{10}H_{16}O_4$  hydroperoxyl compound have been identified in ozonolysis experiments (e.g., Chan et al., 2009). Assuming similar products are produced in the high-OH environment of the PAM chamber, we would expect  $m/z$  43 fragments to

represent  $\text{CH}_2\text{CHO}$  and  $\text{CH}_3\text{CO}$  (both  $\text{C}_2\text{H}_3\text{O}$ ) and  $m/z$  44 to represent  $\text{CO}_2^+$  (or  $-\text{COOH}$ , which would readily form  $\text{CO}_2^+$  by electron impact) from parent compounds with molecular weights ranging from  $168 \text{ g mole}^{-1}$  to  $200 \text{ g mole}^{-1}$ . On a  $f_{44}$  vs.  $f_{43}$  plot, fragmentation of a single  $\text{C}_2\text{H}_3\text{O}$  group from pinonaldehyde would generate an x-intercept of 0.256, whereas fragmentation of  $\text{CO}_2^+$  from pinic acid would result in a y-intercept of 0.238. Products with mixed  $\text{C}_2\text{H}_3\text{O}$  and  $\text{COOH}$  (and  $\text{CO}_2^+$ ) functional groups would produce a mix of  $m/z$  43 and  $m/z$  44 fragments that would lie along a line with a slope of  $-1.0$  on the  $f_{44}$  vs.  $f_{43}$  plot. Thus, our results for oxidation of  $\alpha$ -pinene in the PAM chamber are consistent with the fragmentation of a single functional group with an increased yield of  $\text{CO}_2^+$  (and  $\text{COOH}$ ) groups at the expense of  $-\text{CH}_x\text{O}-$  groups with increased OH exposure.

A similar conclusion can be drawn for the xylenes experiments, although it appears that there is less-than-unit fragmentation into  $m/z$  43 and  $m/z$  44 groups or that there is a modest yield of a compound that does not form  $m/z$  43 or  $m/z$  44 fragments upon electron impact ionization. Nevertheless, the progression from lower right to upper left along a straight line of slope  $-1.0$  in  $f_{44}$  vs.  $f_{43}$  space in the  $m$ -xylene experiments (e.g., Fig. 6) is also consistent with the same nature of increasing yield of  $\text{CO}_2^+$  groups at the expense of  $\text{C}_2\text{H}_3\text{O}$  groups with increased OH exposure.

The differences between the LBNL study and this study are puzzling, since both of these studies used short residence times and OH and  $\text{O}_3$  concentrations far above atmospheric levels. The OH exposures in the LBNL study ( $0$  to  $3.0 \times 10^{12} \text{ cm}^{-3} \text{ s}$ ) are comparable to those from this study ( $(1.8 \pm 1.0) \times 10^{11} \text{ cm}^{-3} \text{ s}$  to  $(2.4 \pm 0.8) \times 10^{12} \text{ cm}^{-3} \text{ s}$ ). However, just as in George and Abbatt (2010), Jimenez et al. (2009) produced SOA from  $\alpha$ -pinene ozonolysis and then removed volatile organics prior to adding the OA to the reaction flow tube. It is possible that these different conditions between their study and ours result in slightly different particle composition, which then produces different  $f_{44}$  and  $f_{43}$ , although in the same proportion. However, it is also possible that the differences in the AMSs used in the two studies or in the calculations of  $f_{44}$  and  $f_{43}$  are responsible.

In Fig. 7, note that the line representing the LBNL result is roughly parallel to the results from this study. Our results in Fig. 7 can be made to overlap with the results from Jimenez et al. (2009) by shifting our  $f_{44}$  or  $f_{43}$  values up by 0.07 or by shifting a combination of both by as little as 0.04. This parallel shift could occur if the AMS used in the LBNL study were more efficient at fragmenting the OA organics into  $m/z$  43 and  $m/z$  44. It could also occur if the calculation of the OA mass concentration was different from the calculation used in this study. In fact, using the fragmentation table that is commonly applied to the atmosphere for our laboratory results yields a total OA mass concentration that is 25% less than the one we used. As a result, our  $f_{44}$  and  $f_{43}$  values would shift to coincide with the LBNL results. Until there is consis-

tency in the methods of AMS operation and mass calculation, we cannot compare these results from different studies with much certainty.

#### 4 Conclusions

In the first paper on the Potential Aerosol Mass method, we showed that the yields of OA from individual organic precursor gases were similar to those obtained in large environmental chambers (Kang et al., 2007). In this study, we show that the extent of OA oxidation appears to be similar to that observed in the atmosphere and greater than that observed in large environmental chambers and laboratory flow tubes. The similarities between the OA in the atmosphere and the PAM chamber include the following properties: mass spectra;  $f_{44}$  of 0.10 to 0.18 and thus O:C of 0.4 to 0.8;  $f_{44}/f_{43}$  of 0.4 to 3.2; and points on an  $f_{44}$  vs.  $f_{43}$  plot that are close to or within the triangle containing results from atmospheric measurements. This statement is valid only for the few organic precursor gases  $\alpha$ -pinene,  $m$ -xylene, and  $p$ -xylene, and a mixture of them. More studies will be necessary to see how universal these results are.

This study highlights the value of small flow-through photo-oxidation chambers, like the PAM chamber, for examining the atmospheric oxidation of OA. The extreme oxidant amounts in the PAM chamber favors reactions of OH,  $\text{HO}_2$ , and  $\text{O}_3$  with VOCs and their reaction products, so that organic peroxy radicals react only with  $\text{HO}_2$  and not with each other as happens in the atmosphere. On the other hand, if most OA-producing and OA-aging reactions occur with OH,  $\text{HO}_2$ ,  $\text{O}_3$ , and  $\text{O}_2$  in the atmosphere, then the same oxidation reactions occur in the PAM chamber, only faster. While there is some evidence from another study that the OH levels are as important as the OH exposure, so that the slower oxidation of the atmosphere is different from the faster oxidation of the PAM chamber, the results from this study suggest otherwise.

The comparisons of this study to others are subject to other caveats -the possible differences in the operation of the AMS (in particular, oven temperature) and the calculation of the OA mass concentration. In this paper, we provide an explicit description of the calculation of the OA mass concentration. While similar descriptions or references to detailed descriptions have been provided in many published papers, they have not been provided in all. It would be very useful for future PAM-chamber studies to examine the sensitivity of  $f_{44}$  and  $f_{43}$  to oven temperature and to assumptions necessary for calculating the total OA mass concentration. To a large degree, the ambiguities in calculating the total OA mass concentration from Q-AMS results are not an issue with the high-resolution TOF-AMS instruments.

The highly linear behaviour of  $f_{44}$ , which has greater contribution in LV-OOA, and  $f_{43}$ , which has greater contribution in SV-OOA, is clearly observed in this study. It is surprising that both  $\alpha$ -pinene and the xylenes behave similarly, and it is

not clear if the organics from other precursor organics would show the same behaviour in this PAM chamber. While the absolute values of  $f_{43}$  and  $f_{44}$  are subject to the caveats in the previous paragraph, the slopes of linear regressions for  $f_{44}$  and  $f_{43}$  vs. OH exposure and  $f_{44}$  vs.  $f_{43}$  are not. The slope of  $-1$  on the  $f_{44}$  vs.  $f_{43}$  plot and the constancy of the sum of  $f_{44}$  and  $f_{43}$  suggest that these two masses represent essentially all of the organics in the OA as they oxidize and shift from  $C_2H_3O$  groups to  $CO_2^+$  groups for  $\alpha$ -pinene,  $m$ -xylene, and  $p$ -xylene.

The linear regressions of  $f_{44}$  and  $f_{43}$  measurements from some of other laboratory studies and the atmosphere have slopes quite similar to  $-1$  on a plot of  $f_{44}$  vs.  $f_{43}$  but some of them are not. These slopes could be different for a variety of reasons. If other processes are occurring, like the preferential removal of LV-OOA by clouds or surfaces for atmospheric OA or walls in the environmental chambers, then removal of organics contributing to  $f_{44}$  will reduce  $f_{44}$  more than  $f_{43}$ , causing scatter in the plot. The slope could also change if fresh, less oxidized organics condense on more aged SOA, thus increasing  $f_{43}$  while decreasing  $f_{44}$ , also resulting in scatter in the plot. Differences in the organic composition from the oxidation of different mixtures of precursor VOCs could also result in a different oxidation pathways, leading to different relationships between  $f_{44}$  and  $f_{43}$  (Ng et al., 2009, Fig. 6b). Likely there are many causes, especially in the atmosphere.

These conclusions suggest several lines of inquiry for future research. First, the determination of the OA mass concentration has to be standardized in a way that permits meaningful comparisons among different studies. Second, the oxidation of many other atmospheric VOCs, and indeed organics in ambient air itself, needs to be studied to see how universal this behaviour is, particularly the  $-1$  slope for  $f_{44}$  vs.  $f_{43}$  and the constancy of the sum of  $f_{44}$  and  $f_{43}$ . Third, the causes of differences in the oxidation slopes need to be identified, understood, and cataloged for importance to the real atmosphere. And fourth, comparisons must be made of all characteristics of SOA produced in the PAM chamber and in the atmosphere to test the ability of small, highly oxidative chambers to simulate the behaviour of SOA in the atmosphere.

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